# Category-Theoretic Reconstruction of Schemes from Categories of Reduced Schemes

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# Today's Talk

Let S be a scheme,  $\blacklozenge/S$  a set of properties of S-schemes, and Sch $_{\blacklozenge/S}$  the full subcategory of Sch $_{/S}$  determined by the objects  $X \in \text{Sch}_{\blacklozenge/S}$  that satisfy every property of  $\blacklozenge/S$ .

In this talk, I will explain how to reconstruct S from  $Sch_{\phi/S}$ .

## Notations and Conventions

 $\begin{array}{l} S : {\rm Scheme} \\ \blacklozenge/S : {\rm a \ set \ of \ properties \ of \ }S{\rm -schemes} \\ {\rm Sch}_{\blacklozenge/S} : \begin{cases} {\rm the \ full \ subcategory \ of \ }S{\rm -schemes} \\ {\rm the \ full \ subcategory \ of \ }S{\rm -schemes} \\ {\rm the \ objects \ }X \in {\rm Sch}_{\blacklozenge/S} \ {\rm that \ satisfy \ every \ property \ of \ }}/S \\ \times, \lim : {\rm the \ fiber \ product, \ limit \ in \ }S{\rm ch}_{\checkmark/S} \end{cases}$ 

In the present talk, we shall mainly be concerned with the properties

 $\blacklozenge \subset \{\mathrm{red}, \mathrm{qcpt}, \mathrm{qsep}, \mathrm{sep}\}\,.$ 

## **Previous Research**

Mochizuki 2004  $: \oint/S = f.t./S, S: \text{ locally Noetherian}$  (+ log scheme version)van Dobben de Bruyn 2019 :  $\oint = \emptyset, S:$  arbitrary Wakabayashi 2010 Anabelian Geometry  $: \oint/S = \text{fét}/S$ 

These research and my research are motivated by anabelian geometry.

# Main Theorem

### Main Theorem

- (1) S: locally Noetherian normal scheme, ♦ ⊂ {red, qcpt, qsep, sep}. Then the following may be reconstructed category-theoretically from Sch<sub>♦/S</sub>:
  - (a) the structure of T as a scheme (for every object  $T \in \mathsf{Sch}_{\blacklozenge/S}$ ),
  - (b) the structure of f as a morphism of schemes (for every morphism  $(f: X \to Y) \in \mathsf{Sch}_{\blacklozenge/S}$ ).
- (2) S,T: quasi-separated,
  ♦, ◊ ⊂ {red, qcpt, qsep, sep} s.t. {qsep, sep} ⊄ ♦, {qsep, sep} ⊄ ◊
  Then, Sch<sub>♦/S</sub> ≅ Sch<sub>◊/T</sub> ⇒ ♦ = ◊.
- (3) S,T: locally Noetherian normal schemes, ♦ ⊂ {red, qcpt, qsep, sep}.
   Then, the following natural functor is equivalent:

$$Isom(S,T) \to Isom(\mathsf{Sch}_{\bigstar/T},\mathsf{Sch}_{\bigstar/S})$$
$$f \mapsto f^*$$

# Outline

Since a scheme is constructed by

- the underlying set,
- the underlying topological space, and
- the structure sheaf,

to reconstruct a scheme,

it suffices to reconstruct these structures.

In the present talk,

I explain how to reconstruct the underlying sets, and give category-theoretic characterizations of various properties used to reconstruct the underlying topological spaces and the structure sheaves.

#### Lemma

 $f: Y \to X, g: Z \to X$ : morphisms in Sch<sub> $\blacklozenge/S$ </sub>. Suppose that either f or g is quasi-compact.

Then, the fiber product  $Y \times_X^{\blacklozenge} Z$  in  $Sch_{\blacklozenge/S}$  exists, and the following assertions hold:

If red 
$$\notin \blacklozenge$$
, then  $Y \times^{\blacklozenge}_X Z \cong Y \times_X Z$ .

If red  $\in \blacklozenge$ , then  $Y \times^{\blacklozenge}_X Z \cong (Y \times_X Z)_{red}$ .

In particular,  $Y \times_X Z$  and  $Y \times^{\blacklozenge}_X Z$  have same underlying top.

# An Idea to Reconstruct the Underlying Sets

### Observation

A point  $x \in X$  may be determined by

$$f: Y \to X$$
 s.t.  $|Y|$ : 1pt. set, and  $\operatorname{Im}(f) = \{x\}$ .

Hence,

giving a point of 
$$X \iff$$
 giving a certein equivalence class of  $f: Y \to X$  s.t.  $|Y|$ : 1pt. set

To reconstruct the underlying set, it suffices to characterize

one-pointed schemes (i.e., schemes whose underlying sets are 1pt. sets) cat.-theoretically.

# Characterization of the One-Pointed Schemes

Let  $X \in \mathsf{Sch}_{\blacklozenge/S}$ .

Characterization of the 1pt. Scheme

 $\begin{array}{l} |X| \text{ is not 1pt. set } \Longleftrightarrow \\ \exists Y, Z \neq \varnothing \ , \ \exists Y \rightarrow X, Z \rightarrow X \ \text{ s.t. } \ Y \times^{\blacklozenge}_X Z = \varnothing \end{array}$ 

 $\therefore$ ) X has two distinct pts.  $x_1, x_2 \Rightarrow \operatorname{Spec}(k(x_1)) \times^{\blacklozenge}_X \operatorname{Spec}(k(x_2)) = \emptyset$ . X satisfies the condition  $\Rightarrow y \in Y, z \in Z$  determine two distinct pts. of X.

## Reconstruction of the Underlying Set 1

Let  $X \in \operatorname{Sch}_{\blacklozenge/S}$ . We define

$$\operatorname{Pt}_{\bigstar/S}(X) := \left\{ (p_Z : Z \to X) \in \operatorname{Sch}_{\bigstar/S} \mid |Z|: \text{ 1pt. set} \right\} / \sim,$$

where

$$(p_Z:Z\to X)\sim (p_{Z'}:Z'\to X) \ :\stackrel{\mathsf{def}}{\Longleftrightarrow} \ Z\times_{p_Z,X,p_{Z'}}^{\bullet}Z'\neq \varnothing.$$

#### Reconstruction of the Underlying Set

 $\begin{array}{l} \operatorname{Pt}_{{\bigstar}/S}:\operatorname{Sch}_{{\bigstar}/S}\to\operatorname{Set} \text{ is naturally isomorphic to the functor}\\ U_{{\bigstar}/S}^{\operatorname{Set}}:\operatorname{Sch}_{{\bigstar}/S}\to\operatorname{Set}. \end{array}$ 

# Reconstruction of the Underlying Set 2

Since the functor  $\mathsf{Pt}_{\blacklozenge/S}$  is defined category-theoretically, the following corollary holds:

### Corollary

If  $F : \operatorname{Sch}_{{\mathbf{0}}/S} \to \operatorname{Sch}_{{\mathbf{0}}/T}$  is an equivalence, then  $U_{{\mathbf{0}}/S}^{\operatorname{Set}} \cong U_{{\mathbf{0}}/T}^{\operatorname{Set}} \circ F$ .

$$\begin{array}{ccc} \operatorname{Sch}_{\bigstar/S} & \xrightarrow{F} & \operatorname{Sch}_{\Diamond/T} \\ & & & & & \downarrow^{U^{\operatorname{Set}}_{\diamondsuit/T}} \\ & & & & & & \downarrow^{U^{\operatorname{Set}}_{\diamondsuit/T}} \\ & & & & & & \operatorname{Set} \ . \end{array}$$

# Regular Monomorphisms

$$\mathcal{C}$$
: category,  $(f: X \to Y) \in \mathcal{C}$ .

### Definition

f is a **regular monomorphism** : $\stackrel{\text{def}}{\Longrightarrow} \exists g, h: Y \to Z$ , s.t., f is the equalizer of (g, h).

### Property of reg. mono. in $Sch_{\diamond/S}$

 $S: \text{ q.s., } (f:X \to Y) \in \mathsf{Sch}_{\bigstar/S}: \text{ reg. mono.} \Rightarrow f: \text{ immersion.}$ 

:) f: reg. mono.  $\Rightarrow$  f: b.c. of the diagonal (details omitted).

Corollary (Cat.-Theoretic Characterization of Red. Schemes)

 $X \in \mathsf{Sch}_{\bigstar/S} \text{ is red. } \iff [f: Y \to X: \text{ surj. reg. mono.} \Rightarrow f: \text{ isom.}]$ 

 $\therefore$ ) a surj. reg. mono. is a surj. closed immersion.

Closed immersions may be characterized as follows:

### Characterization of Closed Immersions

- $S: \text{ q.s., } (f:X \to Y) \in \mathsf{Sch}_{\blacklozenge/S}.$
- f: closed immersion if and only if
  - f: reg. mono.
  - $\forall (T \to Y)$ , the b.c.  $X_{\blacklozenge,T} = X \times^{\blacklozenge}_Y T$  exists.
  - $\forall (T \to Y), \forall t \in T: \text{ closed pt. s.t. } t \notin \text{Im}(f_{\blacklozenge,T} : X_{\diamondsuit,T} \to T), X_{\diamondsuit,T} \coprod \text{Spec}(k(t)) \to T: \text{ reg. mono.}$

Hence to give a cat.-theoretic characterization of closed immersions, it suffices to characterize the closed pt. In particular, it suffices to characterize the relation  $x_1 \leftrightarrow x_2$ 

In particular, it suffices to characterize the relation  $x_1 \rightsquigarrow x_2$ .

# Strongly Local 1

 $S: \text{ q.s., } X \in \mathsf{Sch}_{\blacklozenge/S}\text{, } x_1, x_2 \in X.$ 

## Definition (Strongly Local)

 $(X, x_1, x_2)$  is strongly local in  $\mathsf{Sch}_{\blacklozenge/S}$ :

- X: connected.
- $\forall (f:Z \to X)$ : reg. mono.,  $[x_1, x_2 \in \operatorname{Im}(f), \Rightarrow f$ : isom.].
- $\operatorname{Spec}(k(x_1)) \coprod \operatorname{Spec}(k(x_2)) \to X$ : epi.
- $\operatorname{Spec}(k(x_1)) \to X$ : reg. mono.
- $\forall (f: Z \to X)$ : reg. mono.,  $[x_1 \notin \operatorname{Im}(f), Z \neq \varnothing \Rightarrow Z \coprod \operatorname{Spec}(k(x_1)) \to X$ : **not** a reg. mono.].

### Remark

The property that  $(X, x_1, x_2)$  is strongly local is defined cat.-theoretically from the data  $(Sch_{\blacklozenge/S}, X, x_1, x_2)$ .

# Strongly Local 2

S: q.s.,  $X \in \mathsf{Sch}_{\blacklozenge/S}, x_1, x_2 \in X$ .

### Properties of Strongly Local Objects

If  $(X, x_1, x_2)$ : strongly local, then

(1)  $X \cong \text{Spec}(\text{local domain})$ 

(2) One of  $x_1, x_2$  is the closed pt., and the other is the generic pt.

In particular,  $x_1 \rightsquigarrow x_2$  or  $x_2 \rightsquigarrow x_1$ .

Let  $V = \text{Spec}(\text{valuation ring}), v \in V$ : closed pt.,  $\eta \in V$ : generic pt.

Proposition (Spec. of Valuation Rings are Strongly Local)

 $(V, v, \eta)$ : strongly local.

# Cat.-Theoretic Characterization of " $x_1 \rightsquigarrow x_2$ or $x_2 \rightsquigarrow x_1$ "

S: q.s., 
$$X \in \mathsf{Sch}_{\blacklozenge/S}$$
,  $x_1, x_2 \in X$ .

Cat.-Theoretic Characterization of " $x_1 \rightsquigarrow x_2$  or  $x_2 \rightsquigarrow x_1$ ".

$$\begin{array}{l} "x_1 \rightsquigarrow x_2 \text{ or } x_2 \rightsquigarrow x_1" \iff \\ \exists Z \in \mathsf{Sch}_{\blacklozenge/S}, \exists z_1, z_2 \in Z, \exists (f: Z \rightarrow X) \in \mathsf{Sch}_{\blacklozenge/S}, \, \mathsf{s.t.}, \\ (Z, z_1, z_2): \, \mathsf{str. loc., and } \{f(z_1), f(z_2)\} = \{x_1, x_2\}. \end{array}$$

By using the above characterization,

we can characterize the relation  $x_1 \rightsquigarrow x_2$  (details omitted).

### Corollary

(1) Closed immersions may be characterized cat.-theoretically.

(2) Underlying top. may be reconstructed cat.-theoretically.

In particular, top.-theoretic properties of schemes (or morphisms) may be characterized cat.-theoretically (ex: q.s., q.c., sep., irred., local ( $\cong$  Spec(local ring)), open imm., univ. closed, etc.). 16 / 30

### (Similarly to the case of Set)

 $\forall F : \operatorname{Sch}_{\diamondsuit/S} \xrightarrow{\sim} \operatorname{Sch}_{\Diamond/T}$ , the following diagram commutes (up to isom.):



# An Observation

To reconstruct the structure sheaf of  $X \in \mathsf{Sch}_{\mathbf{4}/S}$ , it suffices to characterize the ring scheme  $\mathbb{A}^1_X \to X$  cat.-theoretically.

Since  $\mathbb{A}^1$  is f.p. over a base scheme,

we want to get a cat.-theoretic characterization of f.p. morphisms.

#### Idea

f.p.
$$/S$$
  $\rightleftharpoons$  a "compact object" in Sch $^{
m op}_{/S}$ 

More precisely,  $X \rightarrow S$ : f.p.  $\iff$   $\forall (V_{\lambda}, f_{\lambda\mu})_{\lambda \in \Lambda}$ : diagram in Sch<sub>/S</sub> s.t.  $\Lambda$ : cofiltered,  $V_{\lambda}$ : affine, the following natural map is surj. :

$$\varphi: \operatorname{colim}_{\lambda \in \Lambda^{\operatorname{op}}} \operatorname{Hom}_{\mathsf{Sch}_{/S}}(V_{\lambda}, X) \to \operatorname{Hom}_{\mathsf{Sch}_{/S}}(\lim_{\lambda \in \Lambda} V_{\lambda}, X).$$

# Locally of Finite Presentation Morphisms 1

S: q.s., 
$$(f: X \to Y) \in \mathsf{Sch}_{\blacklozenge/S}, x \in X$$
.

### Proposition

 $\begin{array}{l} f_x^{\#}: \mathcal{O}_{Y,f(x)} \to \mathcal{O}_{X,x} \text{: essentially of finite presentation } \iff \\ \forall (V_{\lambda}, f_{\lambda\mu})_{\lambda \in \Lambda} \text{: diagram in Sch}_{{\phi/Y}} \text{ s.t.} \\ \Lambda \text{: cofiltered, } V_{\lambda} \text{: local, } f_{\lambda\mu}(\text{closed pt.}) = f(x), \\ \text{the following natural map is surjective :} \end{array}$ 

$$\varphi: \operatorname{colim}_{\lambda \in \Lambda^{\operatorname{op}}} \operatorname{Hom}_{\mathsf{Sch}_{\Phi/Y}}(V_{\lambda}, X) \to \operatorname{Hom}_{\mathsf{Sch}_{\Phi/Y}}(\lim_{\lambda \in \Lambda} V_{\lambda}, X).$$

:) f.p. schemes (over Y) are cpt. objects in  $Sch_{/Y}$  (details omitted).

# Locally of Finite Presentation Morphisms 2

$$S: \text{ q.s., } (f: X \to Y) \in \mathsf{Sch}_{\blacklozenge/S}.$$

Cat.-Theoretic Characterization of Loc.F.P. Morphisms

 $f: \mathsf{loc.} \mathsf{ of f.p.} \iff$ 

- $\forall x \in X$ ,  $f_x^{\#} : \mathcal{O}_{Y,f(x)} \to \mathcal{O}_{X,x}$ : essentially of finite presentation.
- $\forall (Z \to Y)$ ,  $\forall z \in Z$ , the following natural map is bijective :

 $\varphi_{z,X}: \operatorname{colim}_{W \in I_Z(z)^{\operatorname{op}}} \operatorname{Hom}_{\mathsf{Sch}_{{\bigstar}/Y}}(W,X) \to \operatorname{Hom}_{\mathsf{Sch}_{{\bigstar}/Y}}( \lim_{W \in I_Z(z)} W,X),$ 

where  $I_Z(z) := \{i_W : W \to Z \mid i_W: \text{ open imm., } z \in \text{Im}(i_W)\}.$ 

:) f.p. schemes (over Y) are cpt. objects in  $Sch_{/Y}$  (details composited).

## List of Cat.-Theoretic Properties

S: q.s.  $\forall X\in \mathrm{Sch}_{\bigstar/S}\text{, }|X|\text{ has been reconstructed cat.-theoretically, and }$ 

the following scheme-theoretic properties have been characterized cat.-theoretically:

- red., irred., integral, q.c.,  $\cong$  Spec(local ring),  $\cong$  Spec(field).
- q.c., q.s., sep., imm., closed imm., open imm., loc. of f.p., f.p., f.p. + proper (= sep.+ f.p.+ univ. closed).

The following properties have not given yet cat.-theoretic characterizations:

flat, smooth, étale, etc.

To reconstruct the structure sheaf of  $X\in\mathsf{Sch}_{\blacklozenge/S}$ , it suffices to characterize the ring scheme  $\mathbb{A}^1_X\to X$  cat.-theoretically. Since  $\mathbb{A}^1_X=\mathbb{P}^1_X\setminus\{\infty\}$ , it suffices to characterize  $\mathbb{P}^1_X\to X$  cat.-theoretically.

### What to Do

Give a cat.-theoretic characterization of  $\mathbb{P}^1$ .

# The Case where X = Spec(k)

$$\mathbb{P}^1_k \iff \begin{cases} \bullet \text{ proper over } \operatorname{Spec}(k) \\ \bullet \text{ the residue field of the generic pt. } \cong k(t) \\ \bullet \text{ "Closest" to } \operatorname{Spec}(k(t)) \end{cases}$$

: it suffices to characterize  $\operatorname{Spec}(k(t)) \to \operatorname{Spec}(k)$ . Idea: Lüroth's theorem.

### Cat.-Theoretic Characterization of k(t)/k

 $f:Y\to \operatorname{Spec}(k)\text{: isom. to }\operatorname{Spec}(k(t))\to \operatorname{Spec}(k) \text{ over }\operatorname{Spec}(k) \iff$ 

- $\exists K : \mathsf{field} \ , \ Y \cong \operatorname{Spec}(K)$
- f: not f.p. ( $\Leftrightarrow K/k$ : not a finite extension)
- $k \subsetneq \forall L \subset K$ ,  $\exists$  isom.  $K \cong L$  over k (Lüroth's theorem).

 $\rightsquigarrow$  We obtain a cat.-theoretic characterization of  $\mathbb{P}^1_k \to \operatorname{Spec}(k)$ .

# An Idea in the Case of General Base Scheme 1

To characterize  $\mathbb{P}^1_X \to X$ , it suffices to characterize  $\mathbb{P}^1_S \in \mathrm{Sch}_{\blacklozenge/S}$ . Since

 $\mathbb{P}^1_S \iff \mathbb{P}^1$ -bundle/ $S + \exists 3$  sections  $s_1, s_2, s_3$  s.t.  $s_i \cap s_j = \emptyset, (i \neq j),$ 

it suffices to characterize the  $\mathbb{P}^1$ -bundle over S.  $\rightsquigarrow \mathbb{P}^1$ -bundle  $\Rightarrow$  each fiber is  $\mathbb{P}^1$ .

#### Remark

- If  $red \in \blacklozenge$ , then cat.-theoretic fiber  $\ncong$  scheme-theoretic fiber.
- A generic fiber may be presented by a limit of open immersions.
   → cat.-theoretic generic fiber ≅ scheme-theoretic generic fiber.

# An Idea in the Case of General Base Scheme 2

 $\mathbb{A}^1 = \mathbb{P}^1 \setminus \{\infty\}$  has a ring scheme structure:

### Observation

1-dim ring scheme  $= \mathbb{A}^1$  ??

## Lemma (♠♠♠)

 $R: \text{ DVR, } V :\stackrel{\text{def}}{=} \operatorname{Spec} R, K :\stackrel{\text{def}}{=} \operatorname{Frac}(R) f : X \to V: \text{ flat ring scheme } /V.$ If f satisfies the following conditions, then  $X \cong \mathbb{A}^1_V$  and f is the proj.:

- The special fiber of f is connected and 1-dim.
- The generic fiber of f is  $\mathbb{A}^1_K$ .

Without connectedness of the special fiber, there is a counterexample:  $Spec(R[x, (x^{p^2} - x^p)/\pi]).$ 

## The Case of General Base Scheme

#### Theorem

S: locally Noetherian normal,  $\Diamond = \blacklozenge \cup \{ \text{red} \}, (f : X \to S) \in \mathsf{Sch}_{\blacklozenge/S}$ . f is isom. to  $\mathbb{P}^1_S \to S \iff f$  satisfies the following conditions: (1) f: f.p. proper. (2)  $\forall s \in S, f^{-1}(s)_{\text{red}} \cong \mathbb{P}^1_{k(s)}.$ (3)  $\forall$ generic pt.  $\eta \in S$ ,  $f^{-1}(\eta) \cong \mathbb{P}^1_{k(\eta)}$ . (4)  $\exists s_0, s_1, s_\infty$ : sections of f s.t.  $s_i \cap s_j = \emptyset, (i \neq j)$ . (5)  $\forall i = 0, 1, \infty, \exists$  a ring structure on  $X \setminus s_i$  over S in Sch<sub> $\Diamond/S$ </sub> s.t.  $s_i$ : add. unit,  $s_k$ : mult. unit, and  $\{i, j, k\} = \{0, 1, \infty\}$ . (6)  $(g: Y \to S) \in \mathsf{Sch}_{\blacklozenge/S}, t_0, t_1, t_\infty$ : sections, s.t. satisfy (1),...,(5),  $\Rightarrow \exists !h: X \rightarrow Y$ : closed imm. s.t.  $\forall i = 0, 1, \infty, f = g \circ h, h \circ s_i = t_i$ .

## Proof

If  $\mathbb{P}^1$  satisfies (6), then by the uniqueness of (6), " $\Leftarrow$ ": ok.  $\therefore$  It suffices to prove " $\Rightarrow$ " (i.e.,  $\mathbb{P}^1_S$  satisfies (6)). *Y*: satisfies (1),...,(5). We define

$$\begin{split} C: \mathsf{Sch}_{/S}^{\mathrm{op}} &\to \mathsf{Set}, \\ (T \to S) \mapsto \left\{ \begin{array}{l} i : \mathbb{P}_T^1 \to Y_T \ \middle| \begin{array}{l} i : \text{ closed imm.,} \\ 0, 1, \infty \mapsto t_0, t_1, t_\infty \end{array} \right\}. \end{split}$$

Then,

- C: algebraic space /S.
- by (2), each fiber of  $C \rightarrow S$  is a 1-pt. set.
- by (3),  $C \rightarrow S$  is birational.

#### What to Prove

 $C(S){:}$  1-pt. set.

# Proof

 $W \stackrel{\mathsf{def}}{=} \operatorname{Spec}(\mathsf{DVR}).$ 

$$\forall (W \to S), (Y_W)_{red} \setminus t_{i,W}$$
: flat ring scheme  $/W$ .  
 $\therefore \forall W, C(W)$ : 1-pt. set ( $\Rightarrow C$  is a scheme).  
By lemma ( $\spadesuit \spadesuit \spadesuit$ ) and a valuative criterion,  
 $C \to S$ : proper birat. bij. ( $\Rightarrow$  finite).  
Since S is normal,  $C_{red} \cong S$  (by ZMT).

In particular, C(S): 1-pt. set.  $\rightsquigarrow$  Q.E.D.

### 28 / 30

# The Main Result

(Similarly to the case of Set, Top)  $\forall F : \operatorname{Sch}_{{}^{\diamond}/S} \xrightarrow{\sim} \operatorname{Sch}_{{}^{\diamond}/T}:$  equiv., the following diagram commutes (up to isom.):



Moreover, the following equiv. holds:

$$\operatorname{Isom}(S,T) \xrightarrow{\sim} \operatorname{Isom}(\operatorname{Sch}_{{\bigstar}/T},\operatorname{Sch}_{{\bigstar}/S}).$$

# **Related Works**

I also confirmed that the following problem has been solved:

• Reconstructing a Noetherian scheme  ${\cal S}$  from the category of finite  $S\mbox{-schemes}.$ 

Since we may consider many properties of schemes, there are many cat.-theoretic reconstruction problems.

Thank you for your attention.