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Gentzen-Style Formulation of Systems of Set-Calculus

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We shall give Gentzen-style formulation for several systems of infinitary set-calculus. Most simple system will be given This system contains only the operators for constructing regular sets, that is, '.' (concatenation), and $\binom{s}{n=0}$ (infinite sum). However, basic sets '+' (sum) for the present system are arbitrary. It is easily proved that this system include the system given by A. Saloma [3]. And in this system we can define the fixed point operator and prove the computational induction for suitable predicate without dificulty. In § 2 we shall prove plausibility, completeness and elimination of redundance. Elimination of redundance corresponds to Gentzen's cut-elimination [1]. The method given by K. Schutte [4] will be applied. **€** 3, the system is somewhat extended so that the interpolation-§ 4 we shall extend the system by theorem holds. In combining formula. The extended system will be able to contribute to axiomatic basis for programming. In we shall some comments to the system given by C. A. R. Hoare [2].

§1 Gentzen-style formulation I

 ϕ , a, b, c,... (2) variables x, y, z,... operation symbols +, \cdot , \cup , and (4) the symbol \subset , Regular expressions and their degrees which are ordinal numbers $<\omega^\omega$, are defined recursively as follows, where the degree of the expression γ is denoted by d(γ). (1) constant symbol or a variable is a regular expression with the degree 0. A constant or a variable is called a literal. (2) If $\alpha_1, \ldots, \alpha_n, \ldots, \alpha$ and β are regular expressions, so are $\alpha \cdot \beta$, $\alpha + \beta$, and $\alpha = 0$ and $\alpha = 0$ where d($\alpha \cdot \beta$) = $d(\alpha) + d(\beta)$, $d(\alpha + \beta) = d(\alpha) + d(\beta) + 1$ and $d\left(\bigcup_{n=0}^{\infty} \bigotimes^{n}\right) = \left(d\left(\bigotimes\right) + 1\right) \cdot \omega . \qquad (2) \quad (\text{extreme clause})$ Regular expressions are obtained only by (1) and (2). apply the following abbreviations: $imes^0$ for the constant imes , an expression $\bowtie_1 \bowtie_2 \cdots \bowtie_n$ by term, where \bowtie_j 's are a literal. We say that an expression imes has the empty word is of the form 7 + 3 or 73 and 7 and 3 have ewp. Ingerently, 'a regular expression α has ewp 'means that the set represented by lpha contains the element λ .

Basic symbols are following: (1) Constant symbols λ ,

When $\alpha_1,\ldots,\alpha_m,\ \beta_1,\ldots,\ \beta_n$ are regular expressions then the figure of the following form is called a <u>sequent</u>:

 $\alpha_1, \ldots, \alpha_m \subset \beta_1, \ldots, \beta_n$

Inherently, it means that \swarrow_1 , \cdots , \swarrow_m \subset β_1 +...+ β_n , where ' \subset ' is the set-inclusion and ' + ' or ' \cdot ' is the set sum or product.

Now we give axioms and rules of inference of our system.

In what follows, by Greek capital letters such as , , , , etc. we denote finite set of expressions.

Axioms are sequents of the following form:

Rules of inference are of the following form

$$\frac{s_1, s_2, \ldots, s_n, \ldots}{s}$$

where S_1 , S_2 ,..., S_n ,... and S are sequents. S_1 , S_2 ,... are called the upper sequents and S the lower sequent of this rule. They are the followings:

- I. (1) We can replace an arbitrary expression \otimes in a sequent by $\wedge \otimes$ or $\otimes \wedge \wedge$ and conversely, where \wedge is the particular constant (inherently, denoting the empty word). We can also replace $\phi \otimes$ or $\otimes \phi$ by ϕ and conversely, where ϕ is a particular constant (inherently, denoting the empty set). If the left hand-side contains ϕ , we can replace the left hand-side by ϕ .
- (2) $\frac{\bigcap \bigcap \bigcap}{\bigcap \bigcap \bigcap}$ for \supseteq containing every expression in \triangle .

 II. Rules with respect to connectives

$$(1) \begin{array}{c} \Gamma_{1}, & & & & \\ \Gamma_{1}, & & & \\ \hline \end{array}, \begin{array}{c} \Gamma_{2} & \subset \Lambda \\ \hline \end{array}, \begin{array}{c} \Gamma_{1}, & & & \\ \hline \end{array}, \begin{array}{c} \Gamma_{2} & \subset \Lambda \\ \hline \end{array}, \begin{array}{c} \Gamma_{3} & & \\ \hline \end{array}, \begin{array}{c}$$

is called the cut-expression.

(2) Elimination

where N is an arbitrary positive integer and $\,eta\,$ has not ewp.

(3)
$$\Gamma \subset \Delta \quad \Pi \subset \Sigma \qquad \Gamma_1, \, \alpha, \beta, \Gamma_2 \subset \Delta$$

 $\Gamma, \Pi \subset \Delta, \Sigma \qquad \Gamma_1, \, \alpha\beta, \Gamma_2 \subset \Delta$

The proofs of our system are defined recursively as follows:

then
$$\frac{1}{S}$$
 is a proof. (3)

Proof is obtained only by applying the above (1) and (2).

The proof to S is that which has S as the lowermost sequent. When we have a proof to S, we say that S is provable. In particular, we say that S is strictly provable when S is provable by applying only I and II.

§ 2. Plausibility, Completeness and Elimination of Redundance

First we give an interpretation.

Difinition 1. We make every expression \boxtimes correspond to an mathematical entity $|\boxtimes|$. $|\boxtimes|$ is defined as follows:

- (1) $|\phi| = \text{the empty set.}$ $|\lambda| = \text{the set consisting only}$ of the empty word.
- (2) If a is a constant or a variable, then $|a| = \{a\}$.
- $(3) \qquad |\alpha + \beta| = |\alpha| \cup |\beta|, \quad |\alpha \cdot \beta| = |\alpha| \cdot |\beta|$

and $\bigcup_{n=0}^{\infty} \alpha_n = \bigcup_{n=0}^{\infty} |\alpha_n|$.

$$|\alpha_{1},\ldots,\alpha_{m}\subset\beta_{1},\ldots,\beta_{n}|\quad \text{is}\quad |\alpha_{1}|\ldots|\alpha_{m}|\subset \\ |\beta_{1}|\ldots|\beta_{n}|$$

where 'c' is the set inclusion.

Theorem 1. (Plausibility) Every provable sequent is true under the above interpretation.

Proof. Axioms are trivially true. It is clear that the rules of inference I, II and III (1) (3), transforms the true sequents to a true sequent. When β has not ewp, $\bigcap_{n=N}^{\infty} \beta \bigcap_{n=1}^{\infty} \beta \cap \beta = \emptyset$. Hence we can see that the III (2) transforms a true sequent to a true one,

q.e.d.

Theorem 2. (Completeness and Elimination of redundance) Let $\times_1, \dots, \times_m$ and β_1, \dots, β_n are arbitrary

regular expressions. If $|x_1,\ldots,x_m| \subset \beta_1,\ldots,\beta_n|$ holds for an interprelation $|x_1,\ldots,x_m|$ then the sequent

 $\varnothing_1,\ldots,\varnothing_m\subset \beta_1,\ldots,\beta_n$ is strictly provable.

We shall give some preliminaries. First we can easily see the following:

$$\begin{split} &\mathrm{d}(\boldsymbol{x}) = 0 \quad \mathrm{iff} \quad \boldsymbol{x} \quad \mathrm{is \ a \ term,} \\ &\mathrm{d}(\boldsymbol{x}, \boldsymbol{\gamma}, \boldsymbol{\beta}), \; \mathrm{d}(\boldsymbol{x}, \boldsymbol{\beta}, \boldsymbol{\beta}) < \mathrm{d}(\boldsymbol{x}, \boldsymbol{\gamma}, \boldsymbol{\beta}), \\ &\mathrm{d}(\mathrm{F}(\boldsymbol{x}^{\mathrm{n}})) < \mathrm{d}(\sum_{n=0}^{\infty} \mathrm{F}(\boldsymbol{x}^{\mathrm{n}})) \\ &\mathrm{d}(\mathrm{F}(\boldsymbol{x}^{\mathrm{n}})) < \mathrm{d}(\mathrm{F}(\sum_{n=0}^{\infty} \boldsymbol{x}^{\mathrm{n}})) \end{split}$$

We define the degree of a sequent as the sum of the degrees of the expressions in the left hand-side.

Next we shall give the left decomposition of a sequent by the transfinite induction on the degree κ of the sequent.

- (1) In the case where $\mathcal{K}=0$, the expression in the left hand-side of the sequent is a sequence of terms. Then, if it contains ϕ , we replace the left hand-side ϕ . Then the decomposition terminates. In the case where $\mathcal{K}>0$, we carry out as follows.
- (2) If the sequent is of the form $\lceil \frac{1}{1}, \ \, \boxtimes \ \, (\ \frac{7}{7} + \frac{3}{3}\) \ \, \beta \ \, , \ \, \lceil \frac{1}{2} \subset \triangle \ \, , \ \, \text{then we decompose it to the two sequents} \qquad \qquad \qquad \lceil \frac{1}{1}, \ \, \boxtimes \ \, \beta \ \, , \ \, \lceil \frac{1}{2} \subset \triangle \ \, \right] \ \, \text{which degrees are less than that of the original.}$

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then $| \bigcirc_1, \ldots, \bigcirc_n \subset \lceil |$. When we continue (1) and (2), every sequent is decomposed to (infinitely or finitely) many sequents which degrees are 0.

Next we define the right decomposition of a sequent which degree is zero. From left to right in the right hand-side, we search an expression which contains + or = 0. If we have no such expression or the sequent is an axiom, then the decomposition terminates.

- (1) Let such the first expression be $\propto (\gamma + \beta)\beta$ and the sequent be of the form $\Gamma \subset \Lambda_1$, $\propto (\gamma + \beta)\beta$, Λ_2 . Then it is decomposed to $\Gamma \subset \Lambda_1$, $\propto \gamma\beta$, $\propto \beta\beta$, Λ_2 .
- (3) We can easily see that, if the decomposed one is strictly provable, so is the original.

Proof of Theorem 2.

Now we shall give the proof of Theorem 2. In order to do so, it is sufficient to prove that, for every term t, if the sequent $t \subset \triangle$ is strictly not-provable, then $|t \subset \triangle|$ is not true.

If the sequent were strictly not provable, then we should have a branch which satisfies the following properties;

(1) Let the left hand-sides of the sequents in this branch be $1, \dots, m$. Then $1, \dots, m$ does not

occur in the right hand-sides of them.

- (2) If an expression of the form $\beta(\beta+\xi)$ appears in the right hand-side of some sequent of this branch, then the expressions $\beta\beta\gamma$ and $\beta\xi\gamma$ appear in the right-hand-side of some sequent of this branch.
- (3) If the right hand-side of the sequent in this branch contains an expression of the form

$$\alpha_1 (\bigcup_{n=0}^{\infty} \alpha_2 \beta^n \gamma_1) \gamma_2$$
 , then $\alpha_1 \alpha_2 \beta^n \gamma_1 \gamma_2$

for every n=0,1,2,... is contained in the right hand-side of some sequent in this branch.

Let $\mbox{$\mb$

and $\beta \not \in \gamma$ appear in the right by the property (2). Therefore $t \not \in |\beta(\gamma + \xi)\gamma|$. In the case where δ is of the form $\alpha_1(\bigvee_{n=0}^{\infty} \alpha_2 \beta^n \gamma_1) \gamma_2$ we have $t \not \in |\alpha_1 \alpha_2 \beta^n \gamma_1 \gamma_2|$ $n=0,1,2,\ldots$ by the induction-hypothesis because $d(\alpha_1 \alpha_2 \beta^n \gamma_1 \gamma_2) < d(\alpha_1(\bigvee_{n=0}^{\infty} \alpha_2 \beta^n \gamma_1)) > 2$ $n=0,1,2,\ldots$ and every $\alpha_1 \alpha_2 \beta^n \gamma_1 \gamma_2$ appears in the right by the property (3).

§ 3. Extended system and Interpolation Theorem.

We extend the definition of expressions and their degree as follows: we omit (3) and add the following (3).

(3)' If $\bowtie_0, \bowtie_1, \ldots, \bowtie_n, \ldots, \bowtie_n$ and β are expressions, so are $\bowtie_1, \bowtie_2, \ldots, \bowtie_n$ and β are $\bowtie_1, \bowtie_2, \ldots, \bowtie_n$ where $q(\bowtie_1, \bowtie_2, \ldots, \bowtie_n)$ and $q(\bowtie_1, \bowtie_2, \bowtie_n)$ and $q(\bowtie_1, \bowtie_2, \bowtie_n)$ and $q(\bowtie_1, \bowtie_2, \bowtie_n)$ and $q(\bowtie_1, \bowtie_2, \bowtie_n)$ = $q(q(\bowtie_1, \bowtie_2, \bowtie_n))$ = $q(q(\bowtie_1, \bowtie_1, \bowtie_n))$

Moreover, we change $\propto_1 \propto_2 \beta^n \gamma_1 \gamma_2$ to $\propto_1 \propto_2 \beta_0 \beta_1 \cdots \beta_n \gamma_1 \gamma_2$ in the rules of inference II (2).

Thus we have an extended system. In this system we have the theorem 1 and 2 in \S 2. Applying theorem 2 we have the interpolation theorem in the extended system.

§ 4. Extended system obtained by combining formulas

We extend the system given in § 1 by combining formulas.

As basic symbols we add the following other than given in § 1;

bound variables (constants and free variables are given in § 1);

predicate symbols; logical connectives 7 (negation),

∃ (exist), ∀ (for all); constant for formula ▼ .

Besides the above, ❖ (the constant for regular expression)

is also used as the constant for formula. Moreover, the

connective '.' or '+' is also used as the logical connective

and as the connective combining extended expressions.

Formulas are defined as usual, where '.' is used for 'and' and '+' for 'or'.

Then expressions are defined as follows: (1) A regular expression or a formula is an expression. (2) If A and B are expressions, so is A·B, A+B or $\bigcap_{n=0}^{\kappa} A^n$.

(3) Expression are obtained only by applying (1) and (2).

As the axioms, the sequents of the form

$$\lceil \subset \triangle_1, T, \triangle_2 \rceil$$

are added.

Rules of inference are added or modified as follows:

I (1) is extended as follows:

where $\stackrel{\longrightarrow}{\Phi}$ and $\stackrel{\longrightarrow}{\Psi}$ are consist only of formulas, and every expression in \bigwedge is contained in \bigwedge .

To the group I, we add the following I (4).

I (4) If \bowtie is an expression, then T \bowtie or \bowtie T can be replaced by \bowtie , and conversely. If P is a formula, then (7P·P or P·(7P) is replaced by \diamondsuit .

To the group II, we add the following II (4).

II (4) A formula 7(P+Q), 7(PQ) $7\exists xF$ or $7\forall xF$ is replaced by $7P \cdot 7Q$ 7P + 7Q $\forall x 7F$ or $\exists x 7F$ respectively, and conversely.

II (5)
$$\Gamma_1, \alpha \cdot F(a) \cdot \beta, \Gamma_2 \subset \Delta$$
 $\Gamma \subset \Delta, \alpha \cdot F(b) \cdot \beta, \alpha \cdot \exists x F(b) \cdot \beta$ $\Gamma_1, \alpha \cdot \exists x F(x) \cdot \beta, \Gamma_2 \subset \Delta$ $\Gamma \subset \Delta, \alpha \cdot \exists x F(b) \cdot \beta$

a is eigen-variable

b is an arbitrary constant or a free variable

II (6)
$$\frac{\Gamma_{1}, \alpha \cdot F(b) \cdot \forall x F(x) \cdot \beta, \Gamma_{2} \subset \Delta}{\Gamma_{1}, \alpha \cdot \forall x F(x) \cdot \beta, \Gamma_{2} \subset \Delta} \qquad \frac{\Gamma \subset \Delta, \alpha \cdot F(a) \cdot \beta}{\Gamma \subset \Delta, \alpha \cdot \forall x F(x) \cdot \beta}$$

b is an arbitrary constant or a free variable a is eigen-variable

III (1) is modified as follows:

$$\Gamma \subset \Delta_1, \gamma, \Delta_2 \qquad \Gamma_1, \gamma, \Gamma_2 \subset \Delta$$

$$\Gamma, \Gamma_1, \Gamma_2 \subset \Delta_1, \Delta_2, \Delta$$

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where 1 and 2 are consist only of formulas.

To III(3), the following is added.

$$\Gamma \subset A, \propto P\beta$$
 $\Gamma \subset A, \propto Q, \beta$ $\Gamma \subset A, \times PQ \beta$

where P and Q are formulas.

We shall give the interpretation of an expression \varnothing . If \varnothing is a regular expression, then $|| \varnothing || = | \varnothing |$ given in ε 2. If G is a formula, then || G || is defined as follows. We denote the set of all constants and free variables by T^* .

$$||T|| = T^*, \quad ||\phi|| = \phi \quad \text{(the empty set)}$$
 $||P(a_1, \dots, a_n)|| \in \{\phi, T^*\} \quad \text{for predicate symbol} \quad P$ and constants or free variables

$$a_1, \dots, a_n$$
.
$$| |A+B| | = | |A| | \cup | |B| |$$
 for every expressions A and B

$$|| \neg A || = T^* - || A ||$$

$$|| \exists x F(x) || = \bigcup_{a \in T^*} || F(a) ||, \qquad || \forall x F(x) || = \bigcap_{a \in T^*} || F(a) ||$$

Then we have the following usual truth table.

 $||\exists x F(x)|| = T^*$ iff we have a such that $||F(a)|| = T^*$ $||\forall x F(x)|| = T^*$ iff $||F(a)| = T^*$ for all a

For every expression \mathcal{A} , \mathcal{A} or \mathcal{A} , we have

$$||(\alpha + \beta) + \gamma||_{\alpha} = ||\alpha + (\beta + \gamma)||_{\alpha}$$

$$||(\alpha \beta) \gamma||_{\alpha} = ||\alpha (\beta \gamma)||_{\alpha}$$

We shall prove the second. If more than two of $\prescript{\ensuremath{\not{\vee}}}$, $\prescript{\ensuremath{\beta}}$ and $\prescript{\ensuremath{\ensuremath{\gamma}}}$ are formulas, then

$$||(\ \bowtie\ \beta\)\ \gamma\ ||\ =\ ||\ \bowtie\ ||\ \cap\ ||\ \beta\ ||\ \cap\ ||\ \gamma\ ||\ =\ ||\ \bowtie\ (\ \beta\ \gamma\)||.$$
 If all of $\ \bowtie\$, $\ \beta\$ and $\ \gamma\$ are not formulas, then

$$||(\, \otimes \, \beta \,) \, \gamma \, || \, = \, || \, \otimes \, || \, \cdot || \, \beta \, || \, \cdot || \, \gamma \, || \, = \, || \, \otimes \, (\, \beta \, \, \gamma \,) \, || \, .$$

$$||(\alpha \beta) \gamma|| = (||\alpha|| \wedge ||\beta||) \cdot ||\gamma||$$

$$||\alpha (\beta \gamma)|| = ||\alpha|| \cdot (||\beta|| \wedge ||\gamma||).$$

In the case that $||\beta|| = T^*$,

$$||(\alpha\beta)\gamma|| = ||\alpha||\cdot||\gamma|| = ||\alpha(\beta\gamma)||.$$

In the case that $||\beta|| = \phi$,

We have the next two theorems. However we omit the proof here.

65 Comment to Hoare's rules

Hoare gave an axiomatic basic for computer programming $[\mathcal{L}]$. We shall give some comments to the system. Under a translation, his rules are included in the present system. However, we do not treat the assignment statement, Hoare's axiom DO,

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which will be discussed in the forthcoming paper.

We translate his formal statement into the present system as follows.

$$P{Q}R$$
 is translated to $QP \subset R$
$$P{Q_1;Q_2}R$$
 to $Q_2Q_1P \subset R$ while B do S' to $(\neg B) \bigcup_{n=0}^{\infty} (SB)^n$

 $R \supset S$ to $R \subset S$

 $P \wedge S$ to PS

Then D1 is obtained by the following.

$$\frac{QP \subset R \quad R \subset S}{QP \subset S} \text{ cut}$$

D2 is obtained by the follosing.

D3 is obtained by the following.

$$\frac{SBP \subset P \quad SB \subset SB}{P \subset P \quad SBP \subset P \quad (SB)^{2}P \subset P \quad \dots}$$

$$\frac{\sum_{n=0}^{\infty} (SB)^{n}P \subset P}{7B \cdot \sum_{n=0}^{\infty} (SB)^{n}P \subset 7B \cdot P}$$

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