# 4 BY-PRS AND AN EXTENSION OF SUBRESULTANT THEORY+)

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#### Abstract

This paper extends the polynomial remainder sequence and introduces a concept of by-PRS, or by-polynomial remainder sequence. The by-PRS can be used in many algebraic calculations, for example, in solving coupled Diophantine equations of polynomial coefficients. It is shown that the subresultant theory on PRS can be extended to include by-PRS, and two algorithms for by-PRS calculation are presented. The algorithms are analogous to the subresultant PRS algorithm.

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#### §1. Introduction

The PRS is an abbreviation of <u>polynomial remainder sequence</u>, and it plays an important role in algebra, for example, for calculating polynomial greatest common divisors or as a Sturm sequence.

Let F(x) and G(x) be polynomials of degree  $\ell$  and m, respectively, with coefficients in an integral domain I:

$$F(x) = f_{\ell} x^{\ell} + f_{\ell-1} x^{\ell-1} + ... + f_{0}, \quad f_{i} \in I,$$
 (1.1)

$$G(x) = g_m x^m + g_{m-1} x^{m-1} + ... + g_0, g_i \in I.$$
 (1.2)

Assuming  $\ell \ge m$  and putting  $P_1 = F$  and  $P_2 = G$ , we can generate a PRS,  $(P_1, P_2, ..., P_k \ne 0, P_{k+1} = 0)$ , by succesively calculating remainders through the following formula:

 $eta_i P_{i+1} = eta_i P_{i-1} - Q_i P_i$ ,  $\deg(P_{i+1}) < \deg(P_i)$ , i=2,3,...,k, (1.3) where  $eta_i,eta_i \in I$ . The choice  $eta_i=1$ , which is the case that (1.3) is the conventional polynomial division, does not guarantee that  $P_i(x) \in I[x]$ . In computer algebra, it is rather common to choose

$$\alpha_{i} = \{ lc(P_{i}) \}^{deg(P_{i-1}) - deg(P_{i}) + 1},$$
 (1.4)

where  $lc(P_i)$  is the <u>leading coefficient</u> of  $P_i$ . That is, we perform the pseudo-division instead of the division. Then, the <u>pseudo-remainder</u> (which we abbreviate by prem) is in I[x]. For example,

$$= \begin{bmatrix} g_{m} & g_{m-1} & \dots & g_{2m-\ell} & x^{\ell-m}G \\ g_{m} & g_{m-1} & \dots & g_{2m-\ell+1} & x^{\ell-m-1}G \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ g_{m} & & & & & & \\ f_{\ell} & f_{\ell-1} & \dots & f_{m} & & & & & \\ \end{bmatrix}.$$

Here,  $g_i$  is defined to be zero for negative i.

Calculation of PRS can be regarded as a succesive reduction of a set of two polynomials  $(P_1,P_2)$  to  $(P_i,P_{i+1})$ , i=2,3,...,k, by eliminating highest degree terms. In addition to the reduction of two polynomials, we are often necessary to reduce a set of many polynomials  $(P_0^{(1)}, P_0^{(2)}, ..., P_0^{(n)})$  to another set  $(P_i^{(1)}, P_i^{(2)}, ..., P_i^{(n)})$  by succesively eliminating highest degree terms. Such a case happens, for example, in solving coupled Diophantine equations of polynomial coefficients.

The necessity of reducing a set of many polynomials leads us to a concept of <u>by-PRS</u>, or <u>by-polynomial remainder sequence</u>. For simplicity, let us consider the case of three polynomials F(x), G(x) and H(x), with F and G given by (1.1) and (1.2), respectively, and H given by

$$H(x) = h_{\widetilde{\ell}} x^{\widetilde{\ell}} + h_{\widetilde{\ell}-1} x^{\widetilde{\ell}-1} + \dots + h_0, \quad h_i \in I.$$
 (1.6)

A by-PRS is a polynomial remainder sequence generated by H and the PRS  $(P_1, P_2, ..., P_k)$  through the following formula:

$$\begin{split} \tilde{P}_1 &= H, \\ \tilde{\beta}_i \tilde{P}_i &= \tilde{\alpha}_i \tilde{P}_{i-1} - \tilde{Q}_i P_i, \quad \deg(\tilde{P}_i) < \deg(P_i), \\ i &= 2, 3, ..., k \quad \text{if } \deg(P_k) > 0, \quad i = 2, 3, ..., k-1 \quad \text{if } \deg(P_k) = 0, \end{split}$$

$$(1.7)$$

where  $\tilde{\alpha}_i, \tilde{\beta}_i \in I$ . When  $\deg(P_k)=0$ , we define  $\tilde{P}_k=0$ . Note that, without the sequence  $(P_1, P_2, ..., P_k)$ , we cannot calculate the by-PRS  $(\tilde{P}_1, \tilde{P}_2, ..., \tilde{P}_k)$ . In this sense, we may call the sequence  $(P_1, P_2, ..., P_k)$  main-PRS.

From the above definition of by-PRS, it is clear that the reduction of a

set of more than three polynomials (F, G,  $H^{(1)}$ ,  $H^{(2)}$ , ...,  $H^{(n)}$ ) through the formula (1.7) can be done by calculating by-PRS for each triple of the set  $\{(F,G,H^{(1)}), (F,G,H^{(2)}), ..., (F,G,H^{(n)})\}$ . Hence, this paper discusses only the case of three polynomials (F,G,H).

So far, we have not mentioned about the choice of  $\beta_i$ . The efficiency of PRS calculation depends very much on the choice of  $\beta_i$  [1,5]. For example, the choice  $\beta_i=1$  makes the calculation of PRS extremely expensive because of a phenomenon of coefficient growth [5]. Different choices of  $\beta_i$   $\epsilon$  I in (1.3) give different PRSs the coefficients of which are not always in I but may be From the viewpoint of computer algebra, in the quotient field of I. calculations are easier over I than over the quotient field of I. Hence, an important problem in computer algebra is to search for a suitable choice of  $oldsymbol{eta}_i$  which makes the calculation of PRS reasonably efficient and makes the PRS be in I[x]. In 1966, Collins[2] analyzed the PRS generated through (1.3) and found an important choice of  $\beta_i$ . The choice is called the reduced PRS algorithm. Collins' work was deepened by himself[3] and by Brown and Traub[4], and the so-called subresultant PRS algorithm was found. algorithms are based on the subresultant theory which we briefly survey in \$2.

In this paper, we extend the subresultant theory so as to include by-PRS. The extension leads us to two algorithms for by-PRS calculation. The algorithms calculate by-PRS so that any polynomial in the by-PRS is equal to an extended subresultant and they are quite similar to the reduced-PRS algorithm.

#### §2. Survey of the subresultant theory on PRS

In this section, we define notations, survey the subresultant theory briefly, and present formulas which are necessary in the following sections.

The PRS with starting polynomials  $P_1$ =F and  $P_2$ =G is denoted as  $(P_1,P_2,P_3,...,P_k)$  and the by-PRS with starting polynomial  $\widetilde{P}_1$ =H and the main-PRS  $(P_1,P_2,...,P_k)$  is denoted as  $(\widetilde{P}_1,\widetilde{P}_2,...,\widetilde{P}_k)$ , as before. The leading coefficients of  $P_i$  and  $\widetilde{P}_i$  are denoted as  $C_i$  and  $\widetilde{C}_i$ , respectively:

$$lc(P_i) = c_i,$$

$$lc(\tilde{P}_i) = \tilde{c}_i.$$
(2.1)

The degrees of  $P_i$  and  $\widetilde{P}_i$  are denoted as  $n_i$  and  $\widetilde{n}_i$ , respectively:

$$deg(P_i) = n_i,$$

$$deg(\tilde{P}_i) = \tilde{n}_i.$$
(2.2)

The d, denotes the following degree difference:

$$d_i = n_i - n_{i+1}.$$
 (2.3)

The PRS is called <u>normal</u> if  $d_i=1$  for every  $i\geq 2$ , otherwise the PRS is called abnormal.

Polynomials A(x) and B(x) in K[x] are <u>similar</u> over K and represented as  $A(x) \sim B(X)$  if  $a \cdot A(x) = b \cdot B(x)$  for some nonzero  $a, b \in K$ , where K is a coefficient domain. As we have mentioned in §1, different choices of  $\alpha_i$  and  $\beta_i$  in (1.3) give different PRSs which are similar to each other over the quotient field of I. Suitable choices of  $\beta_i$  as well as the choice (1.4) for  $\alpha_i$  make the PRS be in I[x], of course. Note that some polynomials in the by-PRS may be similar. Such a case happens when  $\deg(\tilde{P}_{i-1}) < \deg(P_i)$ , then  $\tilde{P}_{i-1} \sim \tilde{P}_i$ .

The j-th subresultant S<sub>i</sub> of F and G is defined by

$$S_{j}(F,G) = \begin{cases} f_{\ell-1} & \dots & f_{2j+2-m} & x^{m-j-1}F \\ f_{\ell} & f_{\ell-1} & \dots & f_{2j+3-m} & x^{m-j-2}F \\ \dots & \dots & \dots & \dots & \dots \\ f_{\ell} & \dots & f_{j+1} & x^{0}F \\ g_{m} & g_{m-1} & \dots & g_{2j+2-\ell} & x^{\ell-j-1}G \\ g_{m} & g_{m-1} & \dots & g_{2j+3-\ell} & x^{\ell-j-2}G \\ \dots & \dots & \dots & \dots & \dots \\ g_{m} & \dots & g_{j+1} & x^{0}G \end{cases}$$

$$\begin{pmatrix} f_{\ell} & f_{\ell-1} & \dots & f_{2j+2-m} & x^{m-j-1}F \\ g_{m-j} & rows \\ \dots & \dots & \dots & \dots \\ g_{\ell-j} & rows \\ \end{pmatrix} \ell-j \quad rows$$

where  $f_i = g_i = 0$  for i < 0. Note that  $S_0(F,G)$  is nothing but Sylvester's determinant representing the resultant of F and G and that  $S_j(F,G)$  is a polynomial of degree j or less: the i-th degree term of  $S_j(F,G)$  is obtained by replacing the rightmost column of (2.4) by the vector  $\mathbf{x}^i \cdot (f_{i-(m-j-1)}, \ldots, f_{i-1}, f_i, g_{i-(\ell-j-1)}, \ldots, g_{i-1}, g_i)$  which is proportional to one of the other columns of (2.4) if  $i \ge j+1$ .

The subresultants are closely related with the PRS:

$$P_i \sim S_{n_i}(F,G), \quad i=3,4,...,k.$$
 (2.5)

This fact was known to mathematicians for many years. However, it is Collins' paper in 1966 that gave the proportional factor between  $P_i$  and  $S_{n_i}$  and presented an efficient algorithm for reducing the proportional factor. Collins' work was deepened by himself and by Brown and Traub to the following theorem:

# Theorem 1 (main theorem on PRS).

When 
$$0 \le j < n_k$$
  $S_j(P_1, P_2) = 0$ , (2.6)

and for i=3,4,...,k,

$$P_{i} = \lambda_{i} S_{n_{i}}(P_{1}, P_{2}),$$

$$\lambda_{i} = c_{i}^{(1-d_{i-1})} \prod_{r=2}^{i-1} \{ (\alpha_{r} / \beta_{r})^{(n_{r}-n_{i})} c_{r}^{-(d_{r}-1+d_{r})}$$
(2.7)

$$\times (-1)^{(n_{r-1}-n_i)(n_r-n_i)}$$
, (2.8)

$$S_{j}(P_{1}, P_{2}) = 0$$
 when  $n_{i} < j < n_{i-1} - 1$ , (2.9)

$$P_{i} = \rho_{i} S_{n-1}(P_{1}, P_{2}), \qquad (2.10)$$

$$P_{i} = \rho_{i} S_{n_{i-1}-1}(P_{1}, P_{2}), \qquad (2.10)$$

$$\rho_{i} = C_{i-1}^{(d_{i-1}-1)} \prod_{r=2}^{i-1} \{ (\alpha_{r}/\beta_{r})^{(n_{r}-n_{i-1}+1)} C_{r}^{-(d_{r}-1+d_{r})} \times (-1)^{(n_{r}-1-n_{i-1}+1)(n_{r}-n_{i-1}+1)} \} //$$

For the proof, see [4].

In the reduced PRS algorithm,  $\beta_i$  is set simply as

$$\beta_2 = 1, \quad \beta_i = \alpha_{i-1}, \quad i=3,4,...,k,$$
 (2.12)

where  $\alpha_i$  is given by (1.4). Then, according to (2.10) and (2.11),

$$P_{3} = (-1)^{(d_{1}+1)} S_{n_{2}-1}(P_{1}, P_{2}),$$

$$P_{i} = (-1)^{(d_{i-2}+1)} S_{n_{i-1}-1}(P_{1}, P_{2})$$

$$\times \prod_{r=2}^{i-2} \{ c_{r}^{d_{r-1}(d_{r}-1)}(-1)^{(n_{r-1}-n_{i-1}+1)(n_{r}-n_{i-1}+1)} \}, \quad i \ge 4,$$

which show obviously that  $P_i \in I[x]$ .

The subresultant PRS algorithm calculates PRS so that  $\rho_i=1$  for every  $i\geq 3$ . According to [4], the algorithm determines  $\boldsymbol{\beta}_i$  as

$$\beta_{2} = (-1)^{d_{1}+1},$$

$$\beta_{i} = -c_{i-1} \zeta_{i}^{d_{i-1}}, \quad i=3,4,...,k,$$
(2.14)

where  $\zeta_i$  is given by

$$\zeta_{i} = (-1/c_{i-1}) \prod_{r=2}^{i-1} \{ (\alpha_{r}/\beta_{r}) (-1)^{(n_{r-1}+n_{r}+1)} \},$$
 (2.15)

and it is calculated succesively as

$$\zeta_{2} = -1,$$

$$\zeta_{3} = -c_{2}^{d_{1}},$$

$$\zeta_{i} = (-c_{i-1})^{d_{i-2}}\zeta_{i-1}^{(1-d_{i-2})}, \quad i=4,5,...,k.$$
(2.16)

Formula (2.16) looks as if  $\zeta_i$  is not in I but in the quotient field of I. However, Brown[6] proved the following equality which shows  $\zeta_i$   $\epsilon$  I:

$$\zeta_{i} = -lc\{S_{n_{i-1}}(P_{1}, P_{2})\}, \quad i \ge 4.$$
 (2.17)

## §3. An extension of the subresultant theory

We assume that  $\deg(F) \ge \deg(G), \deg(H)$ , or  $\ell \ge m, \ell$ . We define an extended subresultant  $\widetilde{S}_{i-1}(F,G,H)$  by the following determinant of order  $\ell+m-2j+1$ :

where  $f_i = g_i = 0$  for i<0. Note that  $\widetilde{S}_{j-1}$  is a polynomial of degree j-1 or less, and  $\widetilde{S}_{j-1}$  can be defined only when  $0 < j \le m$ . Note further that the above definition is meaningless when j=m and  $\ell = \ell$  because we cannot form the determinant. In the case of j=m and  $\ell = \ell$ , we define  $\widetilde{S}_{m-1}(F,G,H)$  as follows:

$$\tilde{S}_{m-1} = \begin{pmatrix} g_{m} & g_{m-1} & \dots & g_{2m-\ell} & x^{\ell-m}G \\ g_{m} & g_{m-1} & \dots & g_{2m+1-\ell} & x^{\ell-m-1}G \\ & & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & \\ & & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\$$

The exceptional case of j=m and  $\ell = \ell$  is not important in the below because we are mainly interested in the case of j<m.

Let us consider the case of  $\ell \ge \ell \ge m$  first.

<u>Lemma 1</u>. Let  $\ell \ge \widetilde{\ell} \ge m$ , F = QG + F' with deg(F') = n < m, and  $H = \widetilde{Q}G + H'$  with  $deg(H') = \widetilde{n} < m$ :

$$F'(x) = f'_{n}x^{n} + f'_{n-1}x^{n-1} + \dots + f'_{0},$$
  

$$H'(x) = h'_{n}x^{n} + h'_{n-1}x^{n-1} + \dots + h'_{0}.$$
(3.2)

Then, for such j that 0 < j < m, we have the following equalities:

when 0 < j < n

$$\widetilde{S}_{j-1}(F,G,H) = (-1)^{(\ell-j)(m-j)} g_m^{(\ell-n)} \widetilde{S}_{j-1}(G,F',H'),$$
 (3.3)

when j=n≦ñ

$$\tilde{S}_{n-1}(F,G,H) = (-1)^{(\ell-n)(m-n)} g_m^{(\ell-n)} f_n^{(m-n-1)} prem(H',F'),$$
 (3.4)

when  $j=n>\tilde{n}$ 

$$\tilde{S}_{n-1}(F,G,H) = (-1)^{(\ell-n)(m-n)} g_m^{(\ell-n)} f_n^{\prime (m-n)} H',$$
(3.5)

when (j=n+1 or j=m-1) and  $n<\tilde{n}=m-1$ 

$$\widetilde{S}_{j-1}(F,G,H) = (-1)^{(\ell-j+1)(m-j)} g_m^{(\ell-j)} f_n^{(m-j-1)} h_{m-1}^{\prime} F^{\prime},$$
 (3.6)

and in other cases, that is,

when j=n+1 and  $\tilde{n} < m-1$  or when n+1 < j < m-1 or when  $j=m-1 > n, \tilde{n}$ 

$$\tilde{S}_{j-1}(F,G,H) = 0.$$
 (3.7)

(Proof) Since j<m, we have only to consider the determinant (3.1). Let  $Q=q_{\ell-m}x^{\ell-m}+\ldots+q_0$ . Then, representing the equality F-QG=F' as linear equations on coefficients of F, Q, G and F', we have

$$(1 -q_{\ell-m} \dots -q_0) \begin{bmatrix} f_{\ell} & f_{\ell-1} & \dots & f_0 \\ g_m & g_{m-1} & \dots & g_0 \\ & & \ddots & \ddots & \ddots & \vdots \\ & & g_m & g_{m-1} & \dots & g_0 \end{bmatrix} \ell-m+2 \text{ rows}$$

$$= (0 \dots 0 f'_n f'_{n-1} \dots f'_0).$$

Taking out left  $\ell+m-2j-i+1$  ones of the above equalities, with  $1 \le i \le m-j$ , and adding  $x^{m-j-i}F = q_{\ell-m}x^{\ell-j-i}G + \dots + q_0x^{m-j-i}G + x^{m-j-i}F'$  from the right, we obtain

$$\begin{split} (f_{\ell} & f_{\ell-1} \dots f_{2j+i-m} & x^{m-j-i}F) \\ & = \sum_{r=0}^{\ell-m} q_r \cdot (0 \dots 0 g_m g_{m-1} \dots g_{2j+i-m-r} & x^{m-j-i+r}G) \\ & + (0 \dots 0 f'_n f'_{n-1} \dots f'_{2j+i-m} & x^{m-j-i}F'). \end{split}$$

The l.h.s. of this equality is the i-th row of (3.1), and every vector in the summation of the r.h.s. is contained in (3.1). Hence, by replacing upper m-j rows of (3.1) by the r.h.s. of the above equalities, with i=1,2,...,m-j, and by replacing the lowest row of (3.1) similarly, we can rewrite (3.1) as

The determinant (3.8) can be reduced easily to yield (3.3)  $\sim$  (3.7). (Note the determinant representation (1.5) in deriving (3.4).) For example, let us reduce the determinant in the case of j=n+1<m. In this case, (3.8) turns out to be

$$\tilde{S}_{n}(F,G,H) = \begin{pmatrix} 0 & \dots & 0 & f'_{n} & f'_{n-1} & \dots & f'_{2\,n+3-m} & x^{m-n-2}F' \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & \\ & & & \\ & & \\ & & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & &$$

We see that the determinant is not zero only when the element  $h_{\widetilde{n}}'$  in the last row is located on the left side of the element  $f_n'$  in the first row, that is when  $\widetilde{n}=m-1$  (note that  $\widetilde{n}< m$ ). In this case, the above determinant can be transformed to an upper-triangular determinant to give (3.6) with j=n+1.//

Let us next investigate the case of  $\ell \geq m > \ell$ . In this case, only the replacement of upper m-j rows of (3.1) leads us to the determinant of the form (3.8). Hence, we obtain the following lemma:

Lemma 2. Let  $\ell \ge m > \ell$  and F = QG + F' with deg(F') = n < m. Then, for such j that 0 < j < m, we have the same equalities as  $(3.3) \sim (3.7)$  except that H',  $\widetilde{n}$ ,  $h'_{m-1}$  in  $(3.3) \sim (3.7)$  are replaced by H,  $\ell$ ,  $h_{m-1}$ , respectively.//

This lemma is rather obvious since H=H' for  $\ell \ge m > \widetilde{\ell}$ . Before going further, let us briefly investigate the case of j=m. When j=m, (3.1) contains no rows concerning F hence we can readily reduce (3.1) to yield the following lemma: Lemma 3. The extended subresultant of degree m-1,  $\widetilde{S}_{m-1}(F,G,H)$ , satisfies the following equalities:

when  $\ell = \ell$ 

$$\tilde{S}_{m-1}(F,G,H) = S_{m-1}(G,H) = prem(H,G),$$
(3.9)

when  $\ell > \tilde{\ell} \ge m$ 

$$\tilde{S}_{m-1}(F,G,H) = g_m^{(\ell-\ell-1)} prem(H,G),$$
 (3.10)

and when  $m \ge \tilde{\ell} + 1$ 

$$\tilde{S}_{m-1}(F,G,H) = g_m^{(\ell-m)}H//$$
 (3.11)

In the below, we consider the case of j<m mostly.

Using the lemmas 1 and 2, we can analyze the by-PRS.

<u>Lemma 4.</u> Let  $(P_1, P_2, ..., P_k)$  be a PRS generated through (1.3) and  $(\tilde{P}_1, \tilde{P}_2, ..., \tilde{P}_k)$  be a by-PRS generated through (1.7). Then for such j that  $0 < j < n_1$ , we have the following equalities:

when  $0 < j < n_{i+1}$ 

$$\alpha_{i}^{(n_{i}-j)} \tilde{\alpha}_{i} \cdot \tilde{S}_{j-1}(P_{i-1}, P_{i}, \tilde{P}_{i-1})$$

$$= (-1)^{(n_{i}-j)(n_{i-1}-j)} c_{i}^{(d_{i-1}+d_{i})} \beta_{i}^{(n_{i}-j)} \tilde{\beta}_{i} \cdot \tilde{S}_{j-1}(P_{i}, P_{i+1}, \tilde{P}_{i}), \qquad (3.12)$$

when  $j=n_{i+1} \leq \tilde{n}_i$ 

$$\alpha_{i}^{d_{i}} \tilde{\alpha}_{i} \cdot \tilde{S}_{n_{i+1}-1}(P_{i-1}, P_{i}, \tilde{P}_{i-1})$$

$$= (-1)^{d_{i}(d_{i-1}+d_{i})} c_{i}^{(d_{i-1}+d_{i})} c_{i+1}^{(n_{i}-\tilde{n}_{i}-1)} \beta_{i}^{d_{i}} \tilde{\beta}_{i} \cdot \text{prem}(\tilde{P}_{i}, P_{i+1}), \qquad (3.13)$$

when  $j=n_{i+1}>\tilde{n}_i$ 

$$\alpha^{d_{i}} \widetilde{\alpha}_{i} \cdot \widetilde{S}_{n_{i+1}-1}(P_{i-1}, P_{i}, \widetilde{P}_{i-1})$$

$$= (-1)^{d_{i}(d_{i-1}+d_{i})} c_{i}^{(d_{i-1}+d_{i})} c_{i+1}^{d_{i}} \beta_{i}^{d_{i}} \widetilde{\beta}_{i} \cdot \widetilde{P}_{i}, \qquad (3.14)$$

when  $(j=n_{i+1}+1 \text{ or } j=n_i-1)$  and  $n_{i+1}<\tilde{n}_i=n_i-1$ 

$$\alpha_{i}^{(n_{i}-j)} \widetilde{\alpha}_{i} \cdot \widetilde{S}_{j-1}(P_{i-1}, P_{i}, \widetilde{P}_{i-1})$$

$$= (-1)^{(n_{i}-j)(n_{i-1}-j+1)} c_{i}^{(n_{i-1}-j)} c_{i+1}^{(n_{i}-j-1)} \beta_{i}^{(n_{i}-j)} \widetilde{c}_{i} \widetilde{\beta}_{i} \cdot P_{i+1}, \qquad (3.15)$$

and in other cases of j<n, that is,

when  $j=n_{i+1}+1$  and  $\tilde{n}_i < n_i-1$  or when  $n_{i+1}+1 < j < n_i-1$  or when  $j=n_i-1 > n_{i+1}, \tilde{n}_i$  $\tilde{S}_{i-1}(P_{i-1}, P_i, \tilde{P}_{i-1}) = 0$ . (3.16)

(Proof) For such j that j<m, we have

$$\widetilde{S}_{j-1}(fF,gG,hH) = f^{(m-j)}g^{(\ell-j)}h\cdot \widetilde{S}_{j-1}(F,G,H).$$

Let us consider lemma 1 first. Noting the above relation, and replacing F, G,

H, F', and H' in lemma 1 by  $\alpha_i P_{i-1}$ ,  $P_i$ ,  $\widetilde{\alpha}_i \widetilde{P}_{i-1}$ ,  $\beta_i P_{i+1}$ , and  $\widetilde{\beta}_i \widetilde{P}_i$ , respectively (then, replacements  $\ell \to n_{i-1}$ ,  $m \to n_i$ ,  $\widetilde{\ell} \to \widetilde{n}_{i-1}$ ,  $n \to n_{i+1}$ , and  $\widetilde{n} \to \widetilde{n}_i$  are made simultaneously), we obtain (3.12)  $\sim$  (3.16) from (3.3)  $\sim$  (3.7). We can derive similar equalities from lemma 2. Noting that equalities in lemma 2 are the same as those in lemma 1 except for replacements  $H' \to H$ ,  $\widetilde{n} \to \widetilde{\ell}$  and  $h'_{m-1} \to h_{m-1}$ , and noting that  $\widetilde{\alpha}_i \widetilde{P}_{i-1} = \widetilde{\beta}_i \widetilde{P}_i$  and  $\widetilde{n}_i = \widetilde{n}_{i-1}$  when  $\widetilde{n}_{i-1} < n_i$ , we see that lemma 2 gives the same equalities (3.12)  $\sim$  (3.16) as lemma 1.//

Now, we are ready to state the main theorem.

Theorem 2 (main theorem on by-PRS). The extended subresultant  $\tilde{S}_{j-1}(P_1,P_2,\tilde{P}_1)$  satisfies the following equalities:

when  $0 < j < n_k$ 

$$\widetilde{S}_{i-1}(P_1, P_2, \widetilde{P}_1) = 0,$$
 (3.17)

when  $j=n_{i+1} \leq \widetilde{n}_i$ , i=2,3,...,k-1,

$$\begin{split} \widetilde{S}_{n_{i+1}-1}(P_{1},P_{2},\widetilde{P}_{1}) & \prod_{r=2}^{i} \{\alpha_{r}^{(n_{r}-n_{i+1})} \widetilde{\alpha}_{r} \} \\ &= c_{i+1}^{(n_{i}-\widetilde{n}_{i}-1)} \cdot prem(\widetilde{P}_{i},P_{i+1}) \\ &\times \prod_{r=2}^{i} \{(-1)^{(n_{r}-n_{i+1})(n_{r-1}-n_{i+1})} c_{r}^{(d_{r-1}+d_{r})} \beta_{r}^{(n_{r}-n_{i+1})} \widetilde{\beta}_{r} \}, \end{split}$$
(3.18)

when  $j=n_{i+1}>\tilde{n}_{i}$ , i=2,3,...,k-1,

$$\widetilde{S}_{n_{i+1}-1}(P_{1},P_{2},\widetilde{P}_{1}) \prod_{r=2}^{i} \{\alpha_{r}^{(n_{r}-n_{i+1})}\widetilde{\alpha}_{r}\} 
= c_{i+1}^{d_{i}} \cdot \widetilde{P}_{i} 
\times \prod_{r=2}^{i} \{(-1)^{(n_{r}-n_{i+1})(n_{r-1}-n_{i+1})} c_{r}^{(d_{r-1}+d_{r})} \beta_{r}^{(n_{r}-n_{i+1})} \widetilde{\beta}_{r}\},$$
(3.19)

when  $(j=n_{i+1}+1 \text{ or } j=n_i-1)$  and  $n_{i+1}<\tilde{n}_i=n_i-1$ , i=2,3,...,k-1,

$$\widetilde{S}_{j-1}(P_{1}, P_{2}, \widetilde{P}_{1}) \prod_{r=2}^{i} \{\alpha_{r}^{(n_{r}-j)} \widetilde{\alpha}_{r}\} 
= (-1)^{(n_{i}-j)} c_{i}^{(n_{i+1}-j)} c_{i+1}^{(n_{i}-j-1)} \widetilde{c}_{i} \cdot P_{i+1} 
\times \prod_{r=2}^{i} \{(-1)^{(n_{r}-j)(n_{r-1}-j)} c_{r}^{(d_{r-1}+d_{r})} \beta_{r}^{(n_{r}-j)} \widetilde{\beta}_{r}\},$$
(3.20)

and in other cases of  $j < n_i$ , i=2,3,...,k-1, that is,

when  $j=n_{i+1}+1$  and  $\tilde{n}_i < n_i-1$  or when  $n_{i+1}+1 < j < n_i-1$  or when  $j=n_i-1 > n_{i+1}, \tilde{n}_i$   $\tilde{S}_{i-1}(P_1,P_2,\tilde{P}_1) = 0. \tag{3.21}$ 

(Proof) When  $0 < j < n_i$ , successive application of (3.12) yields

$$\widetilde{S}_{j-1}(P_1, P_2, \widetilde{P}_1) \prod_{r=2}^{i-1} {\{\alpha_r^{(n_r-j)} \widetilde{\alpha}_r\}} 
= \widetilde{S}_{j-1}(P_{i-1}, P_i, \widetilde{P}_{i-1}) \times \prod_{r=2}^{i-1} {\{(-1)^{(n_r-j)(n_{r-1}-j)} c_r^{(d_{r-1}+d_r)} \beta_r^{(n_r-j)} \widetilde{\beta}_r\}}.$$
(3.22)

(Note that replacement  $i+1 \rightarrow i$  was made in deriving (3.22) from (3.12).) When  $j < n_k$ , the above equality is valid for i=k also. Then, using the same procedure that yielded (3.3) from (3.1), we see that  $\tilde{S}_{j-1}(P_{k-1},P_k,\tilde{P}_{k-1})=0$  because  $P_{k+1}=0$ . Hence, we obtain (3.17). When  $j=n_{i+1} \leq \tilde{n}_i$ , (3.22) is still valid and (3.13) can be used to replace  $\tilde{S}_{j-1}(P_{i-1},P_i,\tilde{P}_{i-1})$  in (3.22) by  $prem(\tilde{P}_i,P_{i+1})$ , which yields (3.18). Similarly, combining (3.22) with (3.14), (3.15) and (3.16), we obtain (3.19), (3.20) and (3.21), respectively.

Let us comment on theorem 2. Since  $\tilde{n}_i \ge n_{i+1}$  in (3.18),  $prem(\tilde{P}_i, P_{i+1}) \sim \tilde{P}_{i+1}$  in (3.18), hence (3.18) states that

$$\tilde{S}_{n_{i+1}-1}(P_1, P_2, \tilde{P}_1) \sim \tilde{P}_{i+1}.$$
 (3.23)

Similarly, (3.19) gives the same similarity because  $\widetilde{P}_i \sim \widetilde{P}_{i+1}$  in this case (note that  $n_{i+1} > \widetilde{n}_i$  for (3.19)). That is, (3.18) and (3.19) represent the same similarity in two different cases,  $n_{i+1} \le \widetilde{n}_i$  and  $n_{i+1} > \widetilde{n}_i$ . Note that we have either (3.18) or (3.19) in any case. Both (3.18) and (3.19) correspond to (2.7) for PRS, and no equality is obtained which corresponds to (2.10) for PRS. Eq.(3.20) is special because it exists only in special cases of  $n_{i+1} < \widetilde{n}_i = n_i - 1$ . For example, (3.20) is vacuous for normal PRS for which  $n_{i+1} = n_i - 1$ , i = 2, 3, ..., k-1.

#### §4. Subresultant by-PRS algorithms

This section considers a problem of calculating by-PRS  $\widetilde{\mathbf{P}}_i = \widetilde{\mathbf{S}}_{n_i-1}(\mathbf{P}_1,\mathbf{P}_2,\widetilde{\mathbf{P}}_1). \quad \text{In this section we choose } \alpha_i \text{ as (1.4), or}$ 

$$\alpha_{i} = c_{i}^{d_{i-1}+1}. {4.1}$$

The  $\widetilde{\alpha}_i$ ,  $\beta_i$  and  $\widetilde{\beta}_i$  are specified in the below.

We begin by defining normality for by-PRS:

<u>Definition</u>. A by-PRS is normal if  $\tilde{n}_1 \ge n_2$  and  $\tilde{n}_i = n_i - 1$  for every i = 2, 3, ..., k-1and for i=k if  $n_k>0$ , otherwise the PRS is abnormal. (Note that a by-PRS can be normal even if the main-PRS is abnormal.)

[Case 1] Normal by-PRS, i.e.,  $\tilde{n}_1 \ge n_2$  and  $\tilde{n}_i = n_i - 1$  for all  $i \ge 2$ .

In this case, (3.18) becomes (with replacement  $i+1 \rightarrow i$ )

$$prem(\tilde{P}_{i-1}, P_i) = \tilde{\lambda}_i \tilde{S}_{n_i-1}(P_1, P_2, \tilde{P}_1) \prod_{r=1}^{i-1} (\tilde{\alpha}_r / \tilde{\beta}_r), \quad i=3,4,...,k,$$
 (4.2)

$$\operatorname{prem}(\tilde{P}_{i-1}, P_i) = \tilde{\lambda}_i \tilde{S}_{n_i-1}(P_1, P_2, \tilde{P}_1) \prod_{r=2}^{i-1} (\tilde{\alpha}_r / \tilde{\beta}_r), \quad i=3,4,...,k,$$

$$\tilde{\lambda}_i = \prod_{r=2}^{i-1} \{ (-1)^{(n_r - n_i)(n_{r-1} - n_i)} c_r^{-(d_{r-1} + d_r)} (\alpha_r / \beta_r)^{(n_r - n_i)} \}.$$

$$(4.2)$$

Therefore, if we define  $\widetilde{\alpha}_i$  as

$$\widetilde{\alpha}_{2} = c_{2}^{\widetilde{n}_{1}-n_{2}+1},$$

$$\widetilde{\alpha}_{i} = c_{i}^{\widetilde{n}_{i-1}-n_{i}+1} = c_{i}^{d_{i-1}}, \quad i=3,4,...,k,$$
(4.4)

then  $\widetilde{\beta}_{i}\widetilde{P}_{i} = \widetilde{\alpha}_{i}\widetilde{P}_{i-1} - \widetilde{Q}_{i}P_{i} = prem(\widetilde{P}_{i-1},P_{i}), i \ge 2$ . Hence, (4.2) becomes

$$\widetilde{P}_{i} = \widetilde{\lambda}_{i} \widetilde{S}_{n_{i}-1}(P_{1}, P_{2}, \widetilde{P}_{1}) (1/\widetilde{\beta}_{2}) \prod_{r=2}^{i-1} (\widetilde{\alpha}_{r}/\widetilde{\beta}_{r+1}). \tag{4.5}$$

[Case 2] Abnormal by-PRS with  $deg(\tilde{P}_{i-1}) \ge deg(P_i)$ .

In this case, (3.18) becomes (with replacement  $i+1 \rightarrow i$ )

$$c_{i}^{(n_{i-1}-\tilde{n}_{i-1}-1)} \operatorname{prem}(\tilde{P}_{i-1},P_{i}) = \tilde{\lambda}_{i} \tilde{S}_{n_{i}-1}(P_{1},P_{2},\tilde{P}_{1}) \prod_{r=2}^{i-1} (\tilde{\alpha}_{r}/\tilde{\beta}_{r}). \tag{4.6}$$

Therefore, if we choose  $\tilde{\alpha}_{i}$  as

$$\widetilde{\alpha}_{2} = c_{2}^{\widetilde{n}_{1}-n_{2}+1},$$

$$\widetilde{\alpha}_{i} = c_{i}^{n_{i-1}-n_{i}} = c_{i}^{d_{i-1}}, \quad i=3,4,...,k,$$
(4.7)

then  $\widetilde{\beta}_i \widetilde{P}_i = \widetilde{\alpha}_i \widetilde{P}_{i-1} - \widetilde{Q}_i P_i = c_i^{(n_{i-1} - \widetilde{n}_{i-1} - 1)} \operatorname{prem}(\widetilde{P}_{i-1}, P_i)$ ,

and (4.6) is made coincide with (4.5).

[Case 3] Abnormal by-PRS with  $deg(\tilde{P}_{i-1}) < deg(P_i)$ .

In this case, (3.19) becomes (with replacement  $i+1 \rightarrow i$ )

$$c_{i}^{d_{i-1}}\tilde{P}_{i-1} = \tilde{\lambda}_{i}\tilde{S}_{n_{i}-1}(P_{1}, P_{2}, \tilde{P}_{1}) \prod_{r=2}^{i-1} (\tilde{\alpha}_{r}/\tilde{\beta}_{r}), \quad i \ge 3.$$
 (4.8)

Since  $\widetilde{\beta}_i\widetilde{P}_i=\widetilde{\alpha}_i\widetilde{P}_{i-1}$  in this case, we can choose  $\widetilde{\alpha}_i$  freely. Therefore, by choosing  $\widetilde{\alpha}_i$ ,  $i\geq 3$ , as (4.7), we can make (4.8) coincide with (4.5). The  $\widetilde{\alpha}_2$  can be chosen as (4.7) if  $\widetilde{n}_1\geq n_2$ . If  $\widetilde{n}_1< n_2$ , we can choose  $\widetilde{\alpha}_2=1$  without contradiction with (4.5) because we have no constraint on  $\widetilde{P}_2$  except that  $\widetilde{P}_2\in I[x]$ .

Summarizing the above results, we see that formulas (3.18) and (3.19) are unified to (4.5) by the choice

$$\widetilde{\alpha}_{2} = c_{2}^{\widetilde{n}_{1}-n_{2}+1} \text{ if } \widetilde{n}_{1} \ge n_{2} \text{ else } \widetilde{\alpha}_{2} = 1,$$

$$\widetilde{\alpha}_{i} = c_{i}^{d_{i-1}}, \quad i=3,4,...,k.$$
(4.4, 4.7)

Then, (4.5) suggests the following choice of  $\tilde{\beta}_i$ :

$$\widetilde{\boldsymbol{\beta}}_{2} = 1,$$

$$\widetilde{\boldsymbol{\beta}}_{i} = \widetilde{\boldsymbol{\alpha}}_{i-1}, \quad i=3,4,...,k,$$
(4.9)

which reduces (4.5) to

$$\tilde{P}_{i} = \tilde{\lambda}_{i} \tilde{S}_{n_{i}-1}(P_{1}, P_{2}, \tilde{P}_{1}), \quad i=3,4,...,k.$$
 (4.10)

Note that  $\widetilde{\lambda}_i$  depends only on main-PRS and not on by-PRS. Note further that

$$\tilde{\lambda}_i = c_i^{(d_{i-1}-1)} \lambda_i. \tag{4.11}$$

So far, we have not specified the main-PRS. In the rest of this section, two kinds of PRSs are considered: one is the reduced PRS and the other is the subresultant PRS.

[Case A] When  $P_i = P_i^{\text{(red)}}$  (the reduced PRS).

In this case,  $\beta_i$  is chosen as (2.12). Substituting  $c_i^{d_{i-1}+1}$  and  $c_{i-1}^{d_{i-2}+1}$ , respectively, for  $\alpha_i$  and  $\beta_i$  in (4.3), we obtain

$$\tilde{\lambda}_{i} = \prod_{r=2}^{i-1} \{ c_{r}^{d_{r-1}(d_{r}-1)}(-1)^{(n_{r-1}-n_{i})(n_{r}-n_{i})} \}.$$
(4.12)

Thus,  $\tilde{\lambda}_i$   $\epsilon$  I and hence  $\tilde{P}_i$   $\epsilon$  I[x]. Furthermore, since  $\tilde{\lambda}_i$  is easily calculated from the main-PRS, we can eliminate  $\tilde{\lambda}_i$  from  $\tilde{P}_i$  obtaining a polynomial  $\hat{P}_i$  such that

$$\hat{P}_{i} = \tilde{P}_{i} / \tilde{\lambda}_{i} = \tilde{S}_{n-1} (P_{1}, P_{2}, \tilde{P}_{1}). \tag{4.13}$$

(We have introduced a new notation  $\hat{P}_i$  to emphasize the elimination of the factor  $\tilde{\lambda}_i$  from  $\tilde{P}_i$ .) In order to calculate the sign factor in (4.12), we can use the following relation which is easily derived from (4.12):

$$\tilde{\lambda}_{i}/\tilde{\lambda}_{i-1} = c_{i-1}^{d_{i-2}(d_{i-1}-1)}(-1)^{(n_1-n_i+i-1)d_{i-1}}, \quad i=4,5,...,k.$$
 (4.14)

Noting that  $\tilde{\lambda}_3 = c_2^{d_1(d_2-1)}(-1)^{(n_1-n_3)d_2}$ , we can extend (4.14) to the case of i=3 by defining  $\tilde{\lambda}_2=1$ . Hence, we obtain the following algorithm.

## Algorithm A (reduced PRS/subresultant by-PRS algorithm).

Input: polynomials F,G,H such that  $deg(F) \ge deg(H) \ge deg(G)$ ;

Output: reduced PRS  $(P_1=F,P_2=G,P_3,...,P_k)$  and

subresultant by-PRS  $(\hat{P}_1 = H, \hat{P}_2, ..., \hat{P}_k);$ 

$$\widehat{P}_2 \leftarrow \widehat{P}_2 \leftarrow \text{prem}(\widetilde{P}_1, P_2)$$
;

$$P_3 \leftarrow prem(P_1, P_2)$$
;

$$i \leftarrow 2$$
;

$$\tilde{\lambda} \leftarrow 1$$
;

while  $deg(P_{i+1})>0$  do begin

$$i \leftarrow i+1$$
;

if i=3 then 
$$\widetilde{\beta} \leftarrow c_2^{(\widetilde{n}_1-n_2+1)}$$
 else  $\widetilde{\beta} \leftarrow c_{i-1}^{d_{i-1}}$ ;

if 
$$\tilde{n}_{i-1} \ge n_i$$
 then  $\tilde{P}_i \leftarrow \{c_i^{(n_{i-1} - \tilde{n}_{i-1} - 1)} \text{prem}(\tilde{P}_{i-1}, P_i)\} / \tilde{\beta}$ 

else 
$$\tilde{P}_i \leftarrow \{c_i^{d_{i-1}} \tilde{P}_{i-1}\} / \tilde{\beta}$$
;

$$\tilde{\lambda} \leftarrow \tilde{\lambda} \cdot c_{i-1}^{d_{i-2}(d_{i-1}-1)} (-1)^{(n_1-n_i+i-1)d_{i-1}};$$

$$\hat{P}_{i} \leftarrow \tilde{P}_{i}/\tilde{\lambda};$$

$$P_{i+1} \leftarrow prem(P_{i-1}, P_i)/c_{i-1}^{(d_{i-2}+1)};$$

end;

return 
$$(P_1, P_2, ..., P_i)$$
 and  $(\hat{P}_1, \hat{P}_2, ..., \hat{P}_i)$ .

[Case B] When  $P_i = P_i^{(sub)}$  (the subresultant PRS).

Using the relation  $(-1)^{i \times i} = (-1)^i$  which is valid for any integer i, we can derive the following relation from (2.11) and (4.3):

$$\tilde{\lambda}_{i}/\rho_{i} = \{ (1/c_{i-1}) \prod_{r=2}^{i-1} (\alpha_{r}/\beta_{r}) (-1)^{(n_{r-1}+n_{r}+1)} \}^{(d_{i-1}-1)}. \tag{4.15}$$

Since  $\rho_i=1$  for the subresultant PRS, (2.15), (2.17) and (4.15) give

$$\tilde{\lambda}_{i} = (-\zeta_{i})^{(d_{i-1}-1)} = \{lc[S_{n_{i-1}}(P_{1}, P_{2})]\}^{(d_{i-1}-1)}.$$
 (4.16)

Thus,  $\tilde{\lambda}_i$   $\varepsilon$  I and hence  $\tilde{P}_i$   $\varepsilon$  I[x]. Since  $\zeta_i$  is obtained by the subresultant PRS calculation, we can eliminate  $\tilde{\lambda}_i$  easily from  $\tilde{P}_i$  obtaining the  $\hat{P}_i$  defined by (4.13). Hence, we obtain the following algorithm.

# Algorithm B (subresultant PRS/subresultant by-PRS algorithm).

Input: polynomials F,G,H such that  $deg(F) \ge deg(H) \ge deg(G)$ ;

Output: subresultant PRS  $(P_1=F,P_2=G,P_3,...,P_k)$  and

subresultant by-PRS  $(\hat{P}_1=H, \hat{P}_2, ..., \hat{P}_k)$ ;

$$\hat{P}_2 \leftarrow \tilde{P}_2 \leftarrow \text{prem}(\tilde{P}_1, P_2)$$
;

$$P_3 \leftarrow prem(P_1, P_2)/(-1)^{d_1+1}$$
;

$$i \leftarrow 2$$
;

$$\zeta \leftarrow -1$$
;

while  $deg(P_{i+1})>0$  do begin

$$i \leftarrow i+1$$
;

if i=3 then 
$$\widetilde{\beta} \leftarrow c_2^{(\widetilde{n}_1 - n_2 + 1)}$$
 else  $\widetilde{\beta} \leftarrow c_{i-1}^{d_{i-2}}$ :

$$\begin{split} \text{if } & \widetilde{\mathbf{n}}_{i-1} \!\! \geq \!\! \mathbf{n}_i \text{ then } & \widetilde{\mathbf{P}}_i \leftarrow \{ c_i^{(n_{i-1} \!\!-\!\! \widetilde{\mathbf{n}}_{i-1} \!\!-\!\! 1)} \text{prem}(\widetilde{\mathbf{P}}_{i-1}, \!\! \mathbf{P}_i) \} / \widetilde{\boldsymbol{\beta}} \\ & \text{else } & \widetilde{\mathbf{P}}_i \leftarrow \{ c_i^{d_{i-1}} \widetilde{\mathbf{P}}_{i-1} \} / \widetilde{\boldsymbol{\beta}}; \end{split}$$

$$\zeta \leftarrow (-c_{i-1})^{d_{i-2}}\zeta^{(1-d_{i-2})};$$

$$\widetilde{\lambda} \leftarrow (-\zeta)^{(d_{i-1}-1)}:$$

$$\hat{P}_{i} \leftarrow \tilde{P}_{i}/\tilde{\lambda}$$
;

$$P_{i+1} \leftarrow prem(P_{i-1}, P_i) / (-c_{i-1} \zeta^{d_{i-1}});$$

end:

return  $(P_1, P_2, ..., P_i)$  and  $(\hat{P}_1, \hat{P}_2, ..., \hat{P}_i)$ .

#### §5. Examples

Let us present several examples. We calculate PRSs and by-PRSs with starting polynomials

$$F(x) = x^{8} + x^{6} - 3x^{4} - 3x^{3} + 8x^{2} + 2x - 5,$$

$$G(x) = 3x^6 + 5x^4 - 4x^2 - 9x + 21,$$

$$H(x) = 2x^8 + 2x^7 + 3x^5 - 4x^3 - 2x - 1.$$

The polynomials F and G are taken from [1]. For simplicity, we write only the coefficient vectors in the below.

Example 1 shows the PRS and the by-PRS with  $\beta_i = \tilde{\beta}_i = 1$ , where pseudo-division is adopted to calculate remainders. This example shows the seriousness of the coefficient growth typically.

# Example 1 (original PRS / original by-PRS).

 $P_1: (1,0,1,0,-3,-3,8,2,-5),$ 

P<sub>2</sub>: (3,0,5,0,-4,-9,21),

$$P_3$$
:  $(-15, 0, 3, 0, -9)$ , :  $\beta_2 = 1$ 

$$P_4$$
: (15795, 30375, -59535), :  $\beta_3 = 1$ 

$$P_5$$
: (1254542875143750, -1654608338437500), :  $\beta_4$ =1

$$P_6$$
: (12593338795500743100931141992187500).:  $\beta_5$ =1

$$\tilde{P}_1$$
: (2, 2, 0, 3, 0, -4, 0, -2, -1),

$$\tilde{P}_2$$
: (-9,222,126,-336,-702,603), :  $\tilde{\beta}_2$ =1

$$\tilde{P}_3$$
: (27945, -65610, -156735, 105705), :  $\tilde{\beta}_3$ =1

$$\tilde{P}_{A}$$
: (44436771365624, -85860272261250), :  $\tilde{\beta}_{A}$ =1

$$\tilde{P}_5$$
:  $(-34189940388449881177593750000), :  $\tilde{\beta}_5 = 1$$ 

 $\tilde{P}_6$ : (0).

The result of application of algorithm A is shown in example 2. As we see, elimination of the coefficient factor by  $\tilde{\beta}_i$  resolves the coefficient growth problem largely. However, the factor  $\tilde{\lambda}_i$  is still large.

# Example 2 (reduced PRS / subresultant by-PRS).

```
P_1: (1,0,1,0,-3,-3,8,2,-5),
P<sub>2</sub>: (3,0,5,0,-4,-9,21),
P_3: (-15, 0, 3, 0, -9),
                                                                       : \beta_2 = 1
                                                                        : \beta_3 = 3^3
P_{A}: (585, 1125, -2205),
                                                                       : \beta_4 = (-15)^3
P_5: (-18885150, 24907500),
                                                                       : \beta_5 = 585^3
P<sub>6</sub>: (527933700).
\tilde{P}_1: (2,2,0,3,0,-4,0,-2,-1),
\tilde{P}_{2}: (-9,222,126,-336,-702,603),
                                                                       : \tilde{\beta}_2 = 1
                                                                       : \widetilde{\beta}_3 = 3^3
\tilde{P}_3: (1035, -2430, 5805, 3915),
                                                                       : \tilde{\beta}_4 = (-15)^2
\tilde{P}_{A}: (10033875, -19387350),
                                                                       : \widetilde{\beta}_{5} = 585^{2}
\tilde{P}_{5}: (339584400),
\tilde{P}_6: (0).
\hat{P}_2: (-9,222,126,-336,-702,603),
\hat{P}_3: (115, -270, -645, 435),
                                                                        : \tilde{\lambda}_3 = 9
                                                                       : \tilde{\lambda}_4 = 2025
\hat{P}_{A}: (4955, -9574),
                                                                       : \tilde{\lambda}_5 = -2025,
\hat{P}_5: (-167696),
\hat{P}_{6}: (0).
```

Example 3 shows the result of application of algorithm B, where  $\widetilde{\lambda}_i \,=\, (-\zeta_i)^{d_{i-1}-1}.$ 

Compared with example 2, the superiority of algorithm B over algorithm A will be obvious. However, algorithm B works almost the same as algorithm A when the main-PRS is normal.

# Example 3 (subresultant PRS / subresultant by-PRS).

```
P_1: (1,0,1,0,-3,-3,8,2,-5),
P_2: (3,0,5,0,-4,-9,21),
                                                                         : \beta_2 = -1
P<sub>3</sub>: (15,0,-3,0,9),
                                                                      : \beta_3 = -3^5
P_A: (65, 125, -245),
                                                                        : \beta_4 = -15 \cdot 5^4
P_5: (9326, -12300),
                                                                       : \beta_5 = 65 \cdot 13^2
P_6: (260708).
\tilde{P}_1: (2, 2, 0, 3, 0, -4, 0, -2, -1),
                                                                     : \widetilde{\beta}_2 = 1
\tilde{P}_2: (-9,222,126,-336,-702,603),
                                                                          : \widetilde{\beta}_3 = 3^3
\tilde{P}_3: (1035, 2430, -5805, 3915),
                                                                          : \widetilde{\beta}_4 = 15^2
\tilde{P}_{A}: (123875, -239350),
                                                                           : \tilde{\beta}_5 = 65^2
\tilde{P}_{5}: (-167696),
\tilde{P}_6: (0).
\hat{P}_2: (-9, 222, 126, -336, -702, 603),
\hat{P}_3: (115, -270, -645, 435),
                                                                           : \tilde{\lambda}_{3} = 9
\hat{P}_{A}: (4955, -9574),
                                                                          : \tilde{\lambda}_{\Lambda} = 25
                                                                           : \quad \widetilde{\lambda}_5 = 1
\hat{P}_5: (-167696),
\hat{P}_{6}: (0).
```

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