EXAMPLES OF SEMI-STABLE DEGENERATIONS OF KUNEV SURFACES

Sampei USUI

O. This article consists of some examples of semi-stable degenerations of Kunev surfaces together with some remarks concerning about a compactification of their moduli space and the Torelli problem.

A Kunev surface X is defined as a canonical surface with $\chi(\mathcal{O}_X)=2$ and $(\omega_X)^2=1$ which has an involution σ such that $\chi(\sigma)=1$ is a K3 surface with rational double points (RDP, for short). Let $\hat{\chi}=1$ be the minimal model of a Kunev surface. Then it is known that $\hat{\chi}=1$ is a simply connected surface of general type with $p_g=c_1^2=1$ and its bicanonical map is a Galois cover of \mathbb{P}^2 with $\mathfrak{Gal}\simeq (\mathbb{Z}/2)^{\oplus 2}$ whose branch locus consists of two cubics and a line on \mathbb{P}^2 , and that $\hat{\chi}=1$ has the following numerical invariants:

(0.1)
$$h^{2, \theta}(\hat{X}) = h^{\theta, 2}(\hat{X}) = 1, \quad h^{1, 1}_{prim}(\hat{X}) = 18.$$

$$H^{\theta}(T_{\hat{X}}) = H^{2}(T_{\hat{X}}) = 0, \quad h^{1}(T_{\hat{X}}) = 18.$$

$$h^{2, \theta}(\hat{X})^{\sigma} = h^{\theta, 2}(\hat{X})^{\sigma} = 1, \quad h^{1, 1}_{prim}(\hat{X})^{\sigma} = 10.$$

$$h^{1}(T_{\hat{X}})^{\sigma} = 12.$$

It is also known that the moduli space \mathfrak{M} (resp. \mathfrak{R}) of surfaces with $p_g=c_1^2=1$ (resp. Kunev surfaces) is irreducible and rational (resp. irreducible). (For the above facts, see [Ca.1], [Ca.2], [U.2], [T.2], [SSU], [M].)

On the Hodge theoretic view-point, surfaces with $p_g=c_1^2=1$ or, as its subfamily, Kunev surfaces are interesting materials. After Kunev constructed an example of a Kunev surface as a

counterexample to the infinitesimal Torelli theorem, the following results are known:

- (0.2) The generic infinitesimal Torelli theorem holds for surfaces in $\mathfrak M$ ([Ca.1]).
- (0.3) The period map Φ_2 of surfaces in $\mathfrak M$ has positive dimensional fibers ([T.1], [U.1], [U.2]; [T.1] treats only Kunev surfaces).
- (0.4) R in M is characterized by dim $\Phi_2^{-1}\Phi_2([X])=2$, which is the maximal dimension of the fibers of Φ_2 ([U.1]).
- (0.5) The infinitesimal mixed Torelli theorem holds for pairs (X, C) of surfaces X in M and their smooth canonical curves C ([U.3]).
- (0.6) The generic mixed Torelli theorem holds for Kunev surfaces ([L], [SSU]; there is a point about monodromy which is not clear in [L]).
- (0.7) There exists a Zariski open subset U of \Re such that $\Phi^{-1}\Phi(U)=U$, where $\Phi:\Re\longrightarrow \Gamma\backslash D$ is the mixed period map ([SSU]). Hence, in order to solve the mixed Torelli problem for surfaces in \Re via Kunev locus \Re , it is necessary to study the following:
 - (0.8) A compactification of the mixed period map $\Phi : \Re \longrightarrow \Gamma \backslash D$.
- (0.9) The monodromy Γ in (0.7), where we used a geometric one. (For a general reference of the above as well as for the terminology such as mixed period map, mixed Torelli etc., see [SSU].)

We shall report here some results in an experiment concerning about the prblem (0.8). We shall construct some examples of semi-stable degenerations of pairs of Kunev surfaces and their canonical curves in the sequel. The examples in 1, 2 and 3 are of

 $type\ I$ with respect to the local monodromy of the pure second cohomology. The examples in 4 and 5 are of $type\ II$ and $type\ III$ respectively.

As for the problem of a compactification of the moduli space \Re of Kunev surfaces, Horikawa and Shah constructed a compactification of the moduli space of K3 surfaces of degree 2 as one of the moduli space of sexetic curves on \mathbb{P}^2 by the geometric invariant theory ([H], [Sh]). The latter contains a 10-dimensional subspace \Re which is a compactification of

 $\mathfrak{N} = \{ \Sigma \ C_j \in \operatorname{Sym}^2 | \mathfrak{O}_{\mathbb{P}^2}(3) \ | \ \Sigma \ C_j \text{ has only simple singularities} \} / \operatorname{SL}_3.$ A "compactification" of \mathfrak{R} sits over $\bar{\mathfrak{N}}$.

We use the terminology a numerical K3 surface for a minimal surface with $p_g=1$, q=0 and $c_1^2=0$ (for this terminology, cf. also [K]). Numerical K3 surfaces appeared in this article have an elliptic fibration with one double fiber.

1. Let C_1 and C_2 be general cubics on \mathbb{P}^2 . Denote by $\check{C}_j \subset \check{\mathbb{P}}^2$ the dual curve of $C_j \subset \mathbb{P}^2$, i.e., the image of the Gauss map. Then each \check{C}_j has nine cusps corresponding to nine inflexes on C_j , $\Sigma \, \check{C}_j$ has nine bitangents \check{D}_i with tangent points P_{i1} and P_{i2} $(1 \leq i \leq 9)$ subjected to nine nodes of $\Sigma \, C_j$, and we have two stratifications of $\check{\mathbb{P}}^2$ determined by $\Sigma \, \check{C}_j$ and $\Sigma \, \check{D}_i$:

$$(1.1) \qquad \check{\mathbb{P}}^{2} = (\check{\mathbb{P}}^{2} - \Sigma \check{C}_{j}) \coprod (\Sigma \check{C}_{j} - (\Sigma \check{P}_{ji} + \operatorname{Sing}(\Sigma \check{C}_{j}))$$

$$\coprod (\Sigma \operatorname{Sing}(\check{C}_{j})) \coprod (\cap \check{C}_{j}) \coprod (\Sigma \check{P}_{ji})$$

$$=: R_{0} \coprod R_{1} \coprod R'_{1} \coprod R_{2} \coprod R'_{0}.$$

(1.2)
$$\check{\mathbb{P}}^2 = (\check{\mathbb{P}}^2 - \Sigma \check{D}_i) \coprod (\Sigma \check{D}_i - \operatorname{Sing}(\Sigma \check{D}_i)) \coprod \operatorname{Sing}(\Sigma \check{D}_i)$$

 $=: s_0 \coprod s_1 \coprod s_2.$

We denote by Y the minimal K3 surface which is obtained as the minimal resolution of the double cover of \mathbb{P}^2 branched along Σ C_j . Let $\alpha_1:Y\longrightarrow \mathbb{P}^2$ be the projection and E_i $(1\leq i\leq 9)$ be the exceptional curves for α_j , i.e., (-2)-curves.

(1.3) Case $t_0 \in S_1 \cap R_0$: We may assume $t_0 \in \check{D}_1$. Let Δ be a small disc with center $0 = t_0$ intersecting transversely with \check{D}_1 such that $\Delta^* := \Delta - \{0\} \subset S_0$. We denote by $\mathcal{L} \subset \Delta \times \mathbb{P}^2$ the total space of the family of lines $\{L_t\}_{t \in \Delta}$. We can construct a semi-stable degeneration of pairs of Kunev surfaces and the canonical curves over Δ in the following way: (0) Set $\alpha = 1 \times \alpha_1 : \Delta \times Y \longrightarrow \Delta \times \mathbb{P}^2$ and $\delta_i = \Delta \times E_i$ $(1 \le i \le 9)$. (i) Let $\delta: \mathcal{Y} \longrightarrow \Delta \times Y$ be the blowing-up along $\alpha^{-1}\mathcal{L} \cap \delta_1$. Denote by W_0 the exceptional divisor. (ii) Take the double cover $\gamma: \mathcal{I}_1 \longrightarrow \mathcal{Y}$ branched along $(\alpha\beta)^{-1}\mathcal{L} + \beta^{-1}(\Sigma \delta_i)$. (iii) Let $\delta: \mathcal{I}_1 \longrightarrow \mathcal{I}$ be the contraction of $(\beta\gamma)^{-1}(\Sigma \delta_i)$. (iv) Let $\mathcal{I} \longrightarrow \overline{\mathcal{I}}$ be the contraction of $\delta(\beta\gamma)^{-1}W_0$. (In the above notation, we use $\alpha^{-1}\mathcal{L}$ etc. as the proper transforms.) Set $\mathcal{L}_{q} = (\delta(\alpha\beta\gamma)^{-1}\mathcal{L})$ with reduced structure) and $W_q = \delta\gamma^{-1}W_q$.

Then we can prove the following: (a) The projection $f:(\mathfrak{A},\mathcal{L}_{\mathfrak{A}})\longrightarrow \Delta$ is a semi-stable degeneration of pairs (for the terminology, see [SSU]). $K_{\mathfrak{A}}=\mathcal{L}_{\mathfrak{A}}+\mathcal{W}_{\mathfrak{A}}$. (b) The pair $(X_t,L_{X,t}):=f^{-1}(t)$ consists of a minimal Kunev surfaces and its canonical divisor which is an ample, smooth curve of genus 2 for $t\in\Delta^*$. The central fiber of f is as Figure 1. (c) $\overline{\mathfrak{A}}$ has an isolated singular point, raised from $C_1\cap C_2\cap L_0$, which is analytically isomorphic to the cone over the Veronese embedding of $\mathbb{P}^2\subset\mathbb{P}^5$ by $|\mathfrak{O}_{\mathbb{P}^2}(2)|$. $K_{\overline{\mathfrak{A}}}$ is

nef.

(1.4) Case $t_0 \in R'_0$: We may assume $t_0 = \check{P}_{11}$. We use the notation Δ , \mathcal{L} etc. in the same sense as in (1.3). The construction (0)-(iv) in (1.3) yields a family of pairs $f:(\mathfrak{A},\mathcal{L}_{\mathfrak{A}}) \longrightarrow \Delta$ and $\bar{\mathfrak{A}}$. We can prove the following: (a) The central fiber X_0 of f is not a divisor with normal crossings (see Figure 2). The total space \mathfrak{A} is smooth and $K_{\mathfrak{A}} = \mathcal{L}_{\mathfrak{A}} + W_{\mathfrak{A}}$. (b) After a base extension $\Delta_2 \longrightarrow \Delta$, $s \longmapsto t = s^2$, we can get a semi-stable reduction $f':(\mathfrak{A}',\mathcal{L}_{\mathfrak{A}'}) \longrightarrow \Delta_2$ of f whose central fiber is as Figure 3. (Because of the limit of pages, we omit the details of the process of reduction and contraction.) (c) The same statement as (c) in (1.3) holds.

(1.5) Case $t_0 \in S_2$: We may assume $t_0 \in \check{D}_1 \cap \check{D}_2$. We use the notation Δ , \mathcal{L} etc. in a similar sense as in (1.3). An analoguous construction (0)-(iv) as in (1.3) yields a family of pairs $f: (\mathfrak{A}, \mathcal{L}_{\mathfrak{A}}) \longrightarrow \Delta$ and $\bar{\mathfrak{A}}$ with the following properties: (a) The same statement as (a) in (1.3) holds. (b) The central fiber of f is as Figure 4. (c) $\bar{\mathfrak{A}}$ has two Veronese cone singularities raised from two points $C_1 \cap C_2 \cap L_0$. $K_{\bar{\mathfrak{A}}}$ is nef.

Remark. For fixed general cubics C_1 and C_2 , we constructed a complete family of degenerations of Kunev surfaces over $\check{\mathbb{P}}^2$ and described their fibers X_t , $t\in\check{\mathbb{P}}^2$, in [U.4,I], [U.5]. We point out here only that the stratification (1.1) controls RDP on the main components V_t of X_t , whereas the stratification (1.2) controls their Kodaira dimensions. In fact, we know that the main component V_t is a (singular) Kunev surface, numerical K3 surface with one double fiber, or K3 surface according to $t\in S_0$, S_1 , or S_2 (for

more general statement, see Remark in 3).

2. Next we consider the case that both cubics C_1 and C_2 degenerate into two pairs of cocurrent three lines. Denote by Q_j the triple point of C_j $(j=1,\ 2)$. We deal with the general situation in this case, i.e., we assume moreover that $\sum C_j$ consists of six different lines and that $Q_1 \neq Q_2$. Denote by Q_j the line on $\check{\mathbb{P}}^2$ corresponding to the pencil of lines through Q_j on \mathbb{P}^2 $(j=1,\ 2)$. Then we have a stratification of $\check{\mathbb{P}}^2$:

Let Y be the minimal K3 surface which is obtained as the minimal resolution of the double cover of \mathbb{P}^2 branched along Σ C_j and let $\alpha_1: Y \longrightarrow \mathbb{P}^2$ be the projection. We denote by E_i (1 \le i \le 9) the (-2)-curves on Y which are mapped by α_1 to the nine points $C_1 \cap C_2$. Notice that, beside the E_i , Y carries two D_4 -configurations of (-2)-curves over the triple points Q_j of C_j .

the line L_{t_0} passes one and only one of the two triple points, say Q_1 . Let Δ be a small disc with center $0=t_0$ intersecting transeversely with \check{Q}_1 such that $\Delta^*\subset T_0-(\Sigma C_j)^*$. Let $\mathcal{L}\subset\Delta\times\mathbb{P}^2$ be the total space of the family of lines as before. Similarly as in (1.3), we can construct a family of pairs $f:(\mathfrak{A},\mathcal{L}_{\mathfrak{A}})\longrightarrow\Delta$ which is a degeneration of pairs of Kunev surfaces and the canonical curves in the following way: (0) Set $\alpha=1\times\alpha_1:\Delta\times Y\longrightarrow\Delta\times\mathbb{P}^2$, $\mathcal{E}_i=\Delta\times E_i$ ($1\leq i\leq 9$) and $\mathcal{D}_j=\Delta\times\alpha_1^{-1}(Q_j)$ (j=1,2).

(i) Take the double cover $\beta: \mathfrak{A}_1 \longrightarrow \Delta \times Y$ branched along $\alpha^{-1} \mathcal{L} + \Sigma \mathcal{E}_i$. (ii) Let $\gamma: \mathfrak{A}_1 \longrightarrow \mathfrak{A}$ be the contraction of $\beta^{-1}(\Sigma \mathcal{E}_i)$. (iii) Let $\delta: \mathfrak{A} \longrightarrow \bar{\mathfrak{A}}$ be the contraction of $\gamma \beta^{-1}(\Sigma \mathcal{D}_i)$.

Set $\mathcal{L}_{\underline{A}} = (\gamma(\alpha\beta)^{-1}\mathcal{L})$ with reduced structure) and $f:(\mathbf{I}, \mathcal{L}_{\underline{A}})$ $\longrightarrow \Delta$, the porojection. Then we can prove: (a) X_0 of the central fiber $f^{-1}(0) = (X_0, L_{X,0})$ is irreducible. Rising from the cocurrent four lines $C_1 + L$ on \mathbb{P}^2 , X_0 has the singular locus which is a rational curve consisting of double points, on which there are four cuspidal points. The total space \mathbf{A} is smooth and $K_{\mathbf{A}} = L_{\mathbf{A}}$ which is nef. (b) After a base extension $\Delta_2 \longrightarrow \Delta$, $\mathbf{S} \longmapsto \mathbf{t} = \mathbf{S}^2$, we get a semi-stable degeneration $f': (\mathbf{I}', \mathcal{L}_{\mathbf{A}'}) \longrightarrow \Delta_2$ of pairs of Kunev surfaces and the canonical curves, whose central fiber is as Figure 6. (We omit the details of the process.) (c) Rising from the two triple points Q_1 and Q_2 , $\bar{\mathbf{I}}$ has four compounds R.D.P. of type D_4 , two of which coming from Q_1 clash to make up a simple elliptic singularity of type \bar{L}_8 in the sense of K. Saito on the central fiber \bar{X}_0 with a local equation

 $z^2 + y(x^4 + y^2) = 0.$

(2.3) Case $t_0 \in T_2$: We use the notation Δ , \mathcal{L} , \mathcal{E}_i , \mathcal{D}_j etc in (2.2). An analogous construction (0)-(iii) in (2.2) yields a family of pairs $f:(\mathfrak{A},\mathcal{L}_{\mathfrak{A}})\longrightarrow \Delta$ and $\bar{\mathfrak{A}}$ with the follwing properties: (a) A similar statement of (a) in (2.2) holds but now the singular locus of X_0 consists of two copies of the rational curves as before. (b) The same statement as (b) in (2.2) holds and the central fiber of the semi-stable degeneration $f':(\mathfrak{A}',\mathcal{L}_{\mathfrak{A}'})\longrightarrow \Delta_2$ is as Figure 6. (c) A similar statement of (c) in (2.2) holds but now each pair of compounds R.D.P. of type D_4 on $\bar{\mathfrak{A}}$, coming from

 Q_j (j = 1, 2), clashes to make up a simple elliptic singularity of type \mathcal{E}_8 .

3. Consider now the case that C_f is degenerating into a smooth conic \mathcal{Q} and a line \mathcal{L} . Assume that \mathcal{Q} , \mathcal{L} and a smooth cubic C_2 are in general position on \mathbb{P}^2 . Let $\{C_t\}$ be a family of cubics on \mathbb{P}^2 over a disc Δ such that $C_Q = Q + L$ and that c_t is smooth and intersects transversely with c_2 for $t \in \Delta^*$. Denote by \mathscr{C}_1 the total space of the family $\{C_t\}_{t \in \Delta}$, $\mathscr{C}_2 = \Delta \times C_2$ and $\mathcal{L} = \Delta \times L$. Assume that \mathcal{C}_1 is smooth. Then we can construct a degeneration of pairs $f:(\mathfrak{A},\ \mathscr{L}_{\mathfrak{A}})\longrightarrow \Delta$ of Kunev surfaces and their canonical curves in an analoguous way as (0)-(iv) in (1.3). On this stage, X_0 of the central fiber $f^{-1}(0)$ is not reduced, hence we need a semi-stable reduction by extending the base $\Delta_2 \longrightarrow$ Δ , $s \mapsto t = s^2$. Figure 7 illustrates the central fiber $(X'_0, L_{X',0}) = f'^{-1}(0)$ of the resulting family $f': (\mathfrak{A}', \mathcal{L}_{\mathfrak{A}'}) \longrightarrow$ Δ_2 . Contracting W_i' (3 \leq i \leq 5, 9 \leq i \leq 11), W_2' and W_i' (6 \leq i \leq 8) in this order, we get a simpler semi-stable degeneration $f^{"}$: (A", $\mathcal{L}_{\mathfrak{A}^{"}}$) \longrightarrow Δ_{2} of Kunev surfaces. The central fiber $(X_0'', L_{X'',0}) = f''^{-1}(0)$ is as Figure 8. $K_{\mathfrak{A}''}$ is not nef. Let \mathfrak{A}'' $\longrightarrow \bar{\mathfrak{A}}$ be the contraction of $W_{i}^{"}$ (12 $\leq i \leq$ 17). Then $K_{\bar{q}}$ becomes nef and $ar{\mathfrak{A}}$ has six Veronese cone singularities.

Remark (cf.[U.4,II], [U.5]). Recall the notation $\mathfrak N$ in 0. For any fixed $\{\Sigma \ C_j\} \in \mathfrak N$, we define functions in $t \in \check{\mathbb P}^2$ by $m(t) = \sum_{P \in \mathbb P^2} \min\{I(P, \ L_t \cap C_j) \mid j=1, \ 2\}, \text{ and } n(t) = \#\{\text{triple points of } C_j \text{ on } L_t, \ j=1, \ 2\}.$

Notice that if C_j has a triple point then C_j consists of three distinct lines with a common point. These functions define two stratifications of $\check{\mathbb{P}}^2$:

$$\begin{split} \check{\mathbb{P}}^2 &= S_0 \coprod S_1 \coprod S_2, \quad \text{where} \quad S_m = \{t \in \check{\mathbb{P}}^2 \mid m = \min\{2, \ m(t)\}\}. \\ \check{\mathbb{P}}^2 &= T_0 \coprod T_1 \coprod T_2, \quad \text{where} \quad T_n = \{t \in \check{\mathbb{P}}^2 \mid n = n(t)\}. \end{split}$$

Notice that $\operatorname{codim} S_m = m$, $\operatorname{codim} T_0 = 0$, and $\operatorname{codim} T_n = n$ if T_n is non-empty (n = 1, 2). We can construct a complete family of degenerations of Kunev surfaces $f: \mathfrak{A} \longrightarrow \check{\mathbb{P}}^2$ and we can prove:

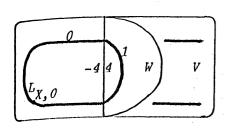
- (3.1) The main component V_t of the fiber $f^{-1}(t)$ is a (singular) Kunev surface, numerical K3 surface with one double fiber, K3 surface, elliptic surface with $p_g=q=1$, or abelian surface according to $t\in S_0\cap T_0$, S_1 , S_2 , $S_0\cap T_1$, or T_2 .
- (3.2) If $t \notin S_0 \cap T_0$, the main component V_t is an elliptic surface. V_t has constant J-invariant if and only if $t \in T_1 \cap T_2$. If this is the case, the K3 surface Y is a Kummer surface associated to a decomposable abelian surface $D_1 \times D_2$, where D_j is an elliptic curve (j = 1, 2).

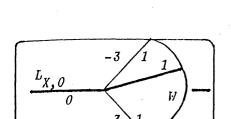
Combining with the Clemens-Schmid exact sequence ([Cl]), (3.1) yields a uniform explanation of the appearance of positive dimensional fibers of the period map of Kunev surfaces and elliptic surfaces with $p_q = 1$ and q = 0, 1:

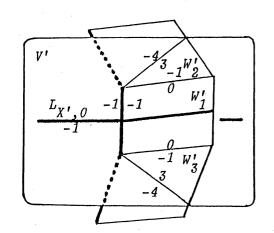
(3.3) $S_0 \cap T_0$, S_1 , and $S_0 \cap T_1$ appear as positive dimensional fibers of the period map of the pure second cohomology of Kunev surfaces, numerical K3 surfaces with one double fiber, and elliptic surfaces with $p_g = q = 1$ respectively. (For Kunev surfaces and elliptic surfaces with $p_g = q = 1$, these phenomea were pointed out separately in [T.1], [U.1], [U.2] and [Sa].)

- 4. Next we consider the case that C_1 is approaching C_2 . Let C_2 be a smooth cubic and L a line on \mathbb{P}^2 . Assume that C_2 and L intersect transversely. Let $\{C_t\}$ be a smooth family of cubics on \mathbb{P}^2 over a disc Δ such that $C_0 = C_2$ and that C_t intersects transversely with C_2 for $t \in \Delta^*$. Denote by \mathcal{E}_1 the total space of the family $\{C_t\}_{t \in \Delta}$, $\mathcal{E}_2 = \Delta \times C_2$ and $\mathcal{E} = \Delta \times L$. Then, as before, we can construct a degeneration of pairs $f: (\mathfrak{A}, \mathcal{E}_{\mathfrak{A}}) \longrightarrow \Delta$ of Kunev surfaces and their canonical curves. On this stage, X_0 of the central fiber $f^{-1}(0)$ is not reduced, hence we need a semi-stable reduction by extending the base $\Delta_2 \longrightarrow \Delta$, $s \mapsto t = s^2$. The resulting family $f': (\mathfrak{A}', \mathcal{E}_{\mathfrak{A}'}) \longrightarrow \Delta_2$ has the central fiber illustrated as Figure 9. Contracting W'_t $(2 \le i \le 7)$ we get a nef terminal model $\tilde{\mathfrak{A}}$ which has six Veronese cone singularities.
- degenerating into the same set of three distinct lines Σ M_i . Let L be a line on \mathbb{P}^2 . Assume that Σ M_i + L has no triple points. Let $\{C_1, t\}$ and $\{C_2, t\}$ be two families of cubics on \mathbb{P}^2 over a disc Δ such that $C_{1,0} = C_{2,0} = \Sigma$ M_i and that $C_{1,t}$ and $C_{2,t}$ are smooth and intersect transversely for $t \in \Delta^*$. Denote by \mathscr{C}_1 and by \mathscr{C}_2 the total space of the families $\{C_1, t\}_{t \in \Delta}$ and $\{C_2, t\}_{t \in \Delta}$ respectively and $\mathscr{L} = \Delta \times L$. We also assume that \mathscr{C}_j (j = 1, 2) are smooth. Then, as before, we can construct a degeneration of pairs $f: (\mathfrak{A}, \mathscr{L}_{\mathfrak{A}}) \longrightarrow \Delta$ of Kunev surfaces and their canonical curves. Since X_0 of the central fiber $f^{-1}(0)$ is not

reduced, we should perform a semi-stable reduction by extending the base $\Delta_2 \longrightarrow \Delta$, s $\longmapsto t = s^2$. The resulting family $f': (\mathfrak{A}', \mathcal{L}_{\mathfrak{A}'}) \longrightarrow \Delta_2$ has the central fiber illustrated as Figure 10. Let $\mathfrak{A}' \longrightarrow \bar{\mathfrak{A}}$ be the contraction of W'_i $(19 \le i \le 24)$. Then $K_{\bar{\mathfrak{A}}} = \mathcal{L}_{\bar{\mathfrak{A}}}$, which is nef, and $\bar{\mathfrak{A}}$ has six Veronese cone singularities.







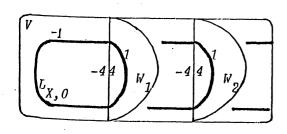


Figure 1

$$f^{-1}(0) = (X_0, L_{X,0}), X_0 = V + W_{\mathfrak{A}}$$

 $K_{\mathfrak{A}} = \mathcal{L}_{\mathfrak{A}} + W_{\mathfrak{A}}$

V: a homotopic K3 surface

$$K_V = \mathcal{L}_{\mathfrak{A}} | V :$$
 an elliptic curve $W := W_{\mathfrak{A}} \simeq \mathbb{P}^2$, $\mathcal{L}_{\mathfrak{A}} | W_{\mathfrak{A}} :$ a line

Figure 2

$$f^{-1}(0) = (X_0, L_{X,0}), X_0 = V + W_{\mathfrak{A}}$$
 $K_{\mathfrak{A}} = \mathcal{L}_{\mathfrak{A}} + W_{\mathfrak{A}}$

V : a homotopic K3 surface

$$W := W_{\mathfrak{A}} \simeq \mathbb{P}^2$$

Figure 3

$$f'^{-1}(0) = (X'_0, L_{X',0}), X'_0 = V' + \Sigma_1^3 W'_k$$

 $K_{X'} = \mathcal{L}_{X'} + \Sigma W'_k$

V': a numerical K3 surface with one double fiber

 $W_k' \cong F_1$: a rational ruled surface (1 \leq k \leq 3) $\mathcal{L}_{K'} \cap V' : \text{an elliptic curve}$

Figure 4

$$f^{-1}(0) = (X_0, L_{X,0}), \quad X_0 = V + \Sigma W_i$$

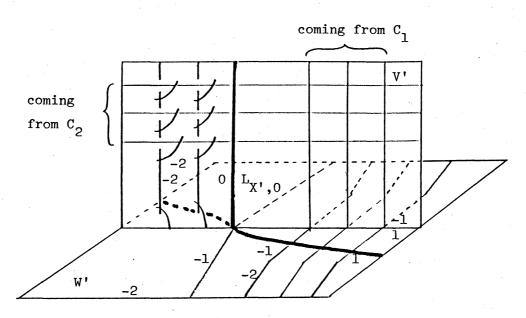
$$K_{\mathfrak{A}} = \mathcal{L}_{\mathfrak{A}} + \Sigma W_i$$

$$V : a \quad K3 \quad surface$$

$$K_V = \mathcal{L}_{\mathfrak{A}} | V : a \quad (-1) - curve$$

$$W_i \simeq \mathbb{P}^2 \quad (i = 1, 2)$$

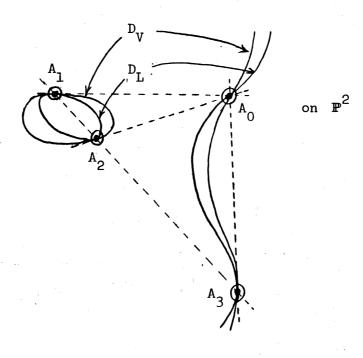
Figure 5



 $f^{r-1}(0) = (X'_0, L_{X',0}), X'_0 = V' + W', K_{X'} = L_{X'} + W'$

V': an elliptic surface with $p_g=q=1$, with two singular fibers of type I_0^\star and with four sections each of which is an elliptic curve with self-intersection -1

W': One description is as follows. We start with a configuration below of two cubics D_V and D_L on \mathbb{P}^2 such that $D_V \cong V' \cap W'$ and $D_L \cong \mathcal{L}_{X'} \cap W'$.



where A_0 is a common inflex on D_V and D_L with intersection multiplicity $I(A_0, D_V \cap D_L) = 3$; there is unique abelian group structure on D_V and D_L such that A_0 is the zero element; A_k $(0 \le k \le 3)$ are the four 2-torsion points both on D_V and on D_L with respect to the above abelian group structures and $I(A_k, D_V \cap D_L) = 2$ $(1 \le k \le 3)$. Blow-up twice at each of the four common points $D_V \cap D_L$, we get W'.

 $V' \cap W' \cong D_V$, $\mathcal{L}_{\chi'} \cap V'$ and $\mathcal{L}_{\chi'} \cap W' \cong D_L$ are elliptic curves.

Figure 6

 $f'^{-1}(0) = (X'_0, L_{X',0}), \quad X'_0 = V' + W'_1 + W'_2, \quad X_{\mathcal{X}'} = \mathcal{L}_{\mathcal{X}'} + \Sigma W'_j$

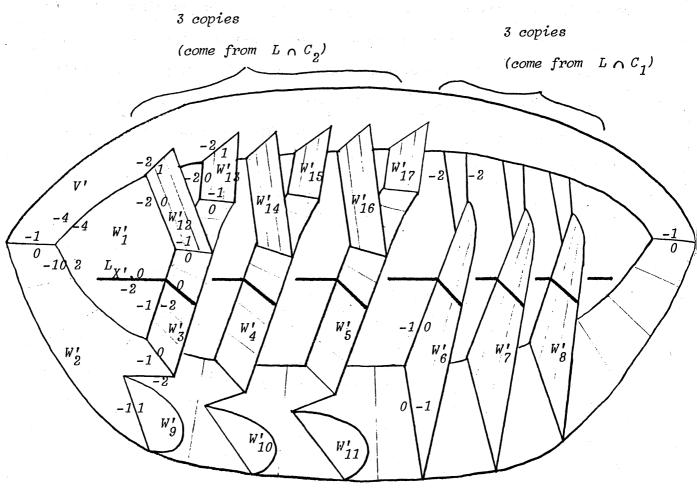
V': blowing-up one point on a decomposable abelian surface $D_1 \times D_2$, where D_j is an elliptic curve (j=1,2)

 W_j' ($j=1,\ 2$): a rational surface like W' in Figure 5

 $V' \cap W'_j$ and $\mathcal{L}_{\mathcal{X}'} \cap W'_j$ are elliptic curves (j = 1, 2)

 $\mathcal{L}_{\chi'} \cap V' : a (-1)$ -curve

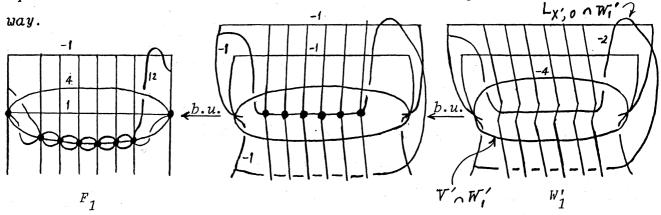
Figure 7



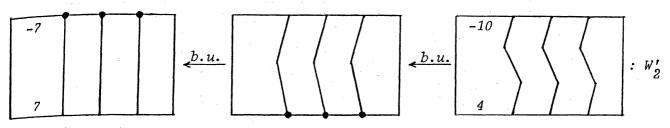
 $X_0' = V' + \Sigma_1^{17} W_i', \quad K_{\mathfrak{A}'} = \mathcal{L}_{\mathfrak{A}'} + (\Sigma_1 + 2\Sigma_2^5 + 4\Sigma_6^{11} + \Sigma_{12}^{17})W_i'$

V': a K3 surface blown-up two points (come from $Q \cap L$)

 W_1' : One description is 14 times blown-ups of F_1 in the following

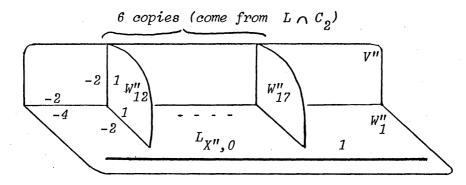


 \mathbf{W}_2' : One description is six times blown-ups of \mathbb{P}^1 -bundle of degree 7 over a curve of genus 3 in the following way.



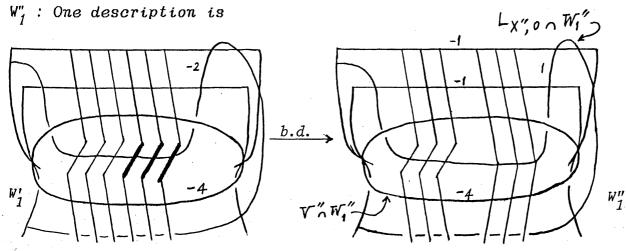
 $\begin{aligned} \mathbf{W}_{i}' &\simeq F_{2} & (3 \leq i \leq 5), & \mathbf{W}_{i}' \simeq F_{1} & (6 \leq i \leq 8,\ 12 \leq i \leq 17), & \mathbf{W}_{i}' \simeq \mathbb{P}^{2} \\ (9 \leq i \leq 11) & \end{aligned}$

Figure 8



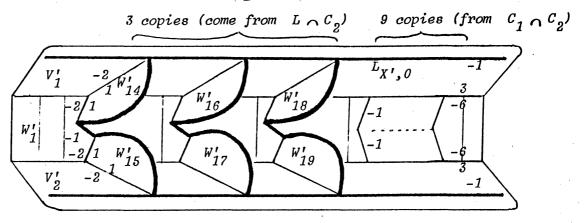
 $K_0''' = V'' + (\Sigma_1 + \Sigma_{12}^{17}) W_i'', K_{\mathfrak{A}''} = \mathcal{L}_{\mathfrak{A}''} + (\Sigma_1 + \Sigma_{12}^{17}) W_i''$

V": a minimal K3 surface



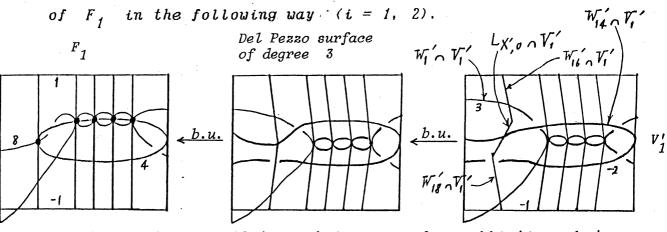
 $W_{i}^{"} \simeq \mathbb{P}^{2}$ (12 $\leq i \leq$ 17), $L_{X'',0}$: a curve of genus 2

Figure 9



$$X'_{0} = \Sigma_{1}^{2} V'_{i} + (\Sigma_{1} + \Sigma_{14}^{19}) W'_{i}, \quad K_{\mathfrak{A}}, = \mathcal{L}_{\mathfrak{A}}, + \Sigma_{14}^{19} W'_{i}$$

V' : a rational surface. One description is six times blown-ups



 W_1' : One description is 15 times bolwn-ups of an elliptic ruled surface of degree 6 in the following way.

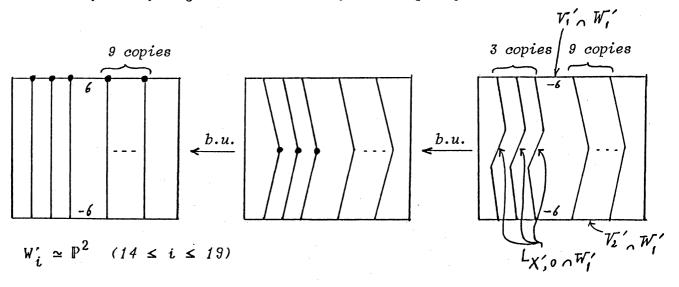
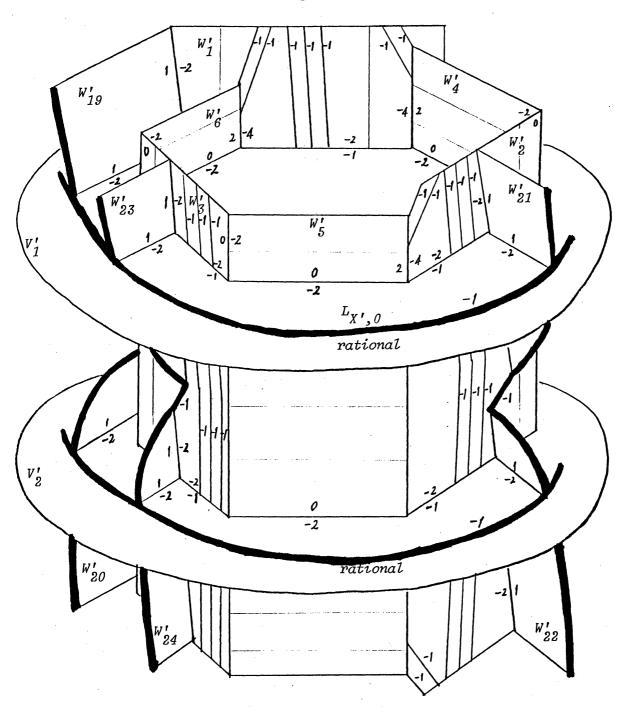


Figure 10



 $X'_0 = \Sigma_1^2 \ V'_i + (\Sigma_1^6 + \Sigma_{19}^{24}) W'_i, \quad K_{\mathfrak{A}}, = \mathcal{L}_{\mathfrak{A}}, + \Sigma_{19}^{24} \ W'_i$

 \textbf{V}_i' : a rational surface which is the minimal resolution of the double cover of $\,\mathbb{P}^2\,$ branched along $\,\Sigma\,\,\textbf{M}_i^{\,}+L$

 W_i' : a rational surface (cf. the above drawing) (1 \leq i \leq 3)

 $W_i' \simeq F_2 \quad (4 \le i \le 6), \quad W_i' \simeq \mathbb{P}^2 \quad (19 \le i \le 24)$

REFERENCES

- [Ca.1] Catanese, F., Surfaces with $K^2 = p_g = 1$ and their period mapping, Proc. Summer Meeting, Copenhagen 1978, Lect. Notes in Math. No 732, Springer-Verlag (1979) 1-29.
- [Ca.2] Catanese, F., The moduli and the global period mapping of the surfaces with $K^2 = p_g = 1$: a counter-example to the global Torelli problem, Comp. Math. 41-3 (1980) 401-414.
- [Cl] Clemens, C. H., Degenerations of Kähler manifolds, Duke Math. J. 44 (1977) 215-290.
- [H] Horikawa, E., Surjectivity of period map of K3 surfaces of degree 2, Math. Ann. 228 (1977) 113-146.
- [K] Kodaira, K., On homotopy K3 surface, Essays on topology and related topics, Mémoires dédiés à George de Rham, Springer, 1970, pp 58-69.
- [L] Letizia, M., Intersections of a plane curve with a moving line and a generic global Torelli-type theorem for Kunev surfaces,

 Amer. J. Math. 106-5 (1984) 1135-1146.
- [M] Morrison, D., On the moduli of Todorov surfaces, to appear.
- [Sa] Saito, M.-H., On the infinitesimal Torelli problem of elliptic surfaces, J. Math. Kyoto Univ. 23-3 (1983) 441-460.
- [Sh] Shah, J., A complete moduli space for K3 surfaces of degree 2,
 Ann. Math. 112 (1980) 485-510.
- [SSU] Saito, M.-H., Shimizu, Y. & Usui, S., Variation of mixed Hodge structure and Torelli problem, to appear in Advanced Study of Pure Math., North-Holland Publ. C. & Kinokuniya C. LTD.
- [T.1] Todorov, A. N., Surfaces of general type with $p_g = 1$ and (K,K)

- = 1: I, Ann. scient. Éc. Norm. Sup. 4-13 (1980) 1-21.
- [T.2] Todorov, A. N., A construction of surfaces with $p_g = 1$, q = 0 and $2 \le (K^2) \le 8$: Counterexamples of the global Torelli theorem, Invent. Math. 63 (1981) 287-304.
- [U.1] Usui, S., Period map of surfaces with $p_g = c_1^2 = 1$ and K ample, Mem. Fac. Sci. Kochi Univ. (Math.) 3 (1981) 37-73.
- [U.2] Usui, S., Effect of automorphisms on variation of Hodge structure, J. Math. Kyoto Univ. 21-4 (1981) 645-672.
- [U.3] Usui, S., Variation of mixed Hodge structure arising from family of logarithmic deformations, Ann. scient. Éc. Norm. Sup. 4-16 (1983) 91-107; Id II: Classifying space, Duke Math. J. 51-4 (1984) 851-875.
- [U.4] Usui, S., Degeneration of Kunev surfaces I; II, Proc. Japan Acad. 63-A-4 (1987) 110-113; 63-A-5 (1987) 167-169.
- [U.5] Usui, S., Degeneration of Kunev surfaces, to appear in Astérisque.

Sampei USUI

Department of Mathematics

Faculty of Science

Kochi University

KOCHI, 780 Japan