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On the discrepancy of the β-adic van der Corput sequence

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概要

The β-adic van der Corput sequence is constructed. When β satisfies some conditions, the order of discrepancy of the sequence become $O(\log M/M)$ or $O((\log M)^2/M)$.
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1 Introduction

It is well known that low-discrepancy sequences and their discrepancy play essential roles in quasi-Monte Carlo methods [6]. The author constructed a new class of low-discrepancy sequences N_β [7] by using the β-adic transformation [9][11]. Here, β is a real number greater than 1; when β is an integer greater than 2, N_β becomes the classical van der Corput sequence in base β. Therefore, the class N_β can be regarded as a generalization of the van der Corput sequence. N_β also contains a new construction by Barat and Grabner [1] [7]. The principle of the construction of N_β is that we can consider the van der Corput sequence to be a Kakutani adding machine [10]. Pagès [8] and Hellekalek [4] also considered the van der Corput sequence from this point of view. In [7], it is shown that when β satisfies the following two conditions:

- Markov condition: β is simple, that is to say, for this β, the β-adic transformation becomes Markov,
- Pisot-Vijayaraghavan condition: All conjugates of β with respect to its characteristic equation belong to $\{z \in \mathbf{C} \mid |z| < 1\}$,

the discrepancy of N_β decreases in the fastest order $O(N^{-1}\log N)$. In this paper, we consider the case in which β is not necessarily Markov. We introduce the function $\phi_\beta(z)$ from Ito and Takahashi [5]. It is shown that when β satisfies the following condition:

- All zeroes of $1-\phi_\beta(z)$ except for $z=1$ belong to $\{z \in \mathbf{C} \mid |z| > \beta\}$,

which is a generalization of the above Pisot-Vijayaraghavan condition, the discrepancy of N_β decreases in the order $O(N^{-1}(\log N)^2)$.

2 Low-discrepancy sequence

First, we recall the notions of a uniformly distributed sequence and the discrepancy of points [6]. A sequence $x_1, x_2, \ldots$ in the s-dimensional unit cube $I^s = \prod_{i=1}^{s}[0,1)$ is said to be uniformly distributed in I^s when

$$\lim_{N\to\infty}\frac{1}{N}\sum_{n=1}^{N}c_J(x_n) = \lambda_s(J)$$

holds for all subintervals $J \subset I^s$, where c_J is the characteristic function of J and λ_s is the s-dimensional Lebesgue measure. If $x_1, x_2, \ldots \in I^s$ is a uniformly distributed sequence, the formula

$$\lim_{N\to\infty} \frac{1}{N}\sum_{n=1}^{N} f(x_n) = \int_{I^s} f(x)\,dx \tag{2.1}$$

holds for any Riemann integrable function on I^s. The discrepancy of the point set $P = \{x_1, x_2, \ldots, x_N\}$ in I^s is defined as follows:

$$D_N(\mathcal{B}; P) = \sup_{B\in\mathcal{B}} \left| \frac{A(B;P)}{N} - \lambda_s(B) \right| \tag{2.2}$$

where $\mathcal{B} \subset \wp(I^s)$ is a non-empty family of Lebesgue measurable subsets and $A(B;P)$ is the counting function that indicates the number of n, where $1 \le n \le N$, for which $x_n \in B$. When $\mathcal{J}^* = \{\prod_{i=1}^{s}[0, u_i), 0 \le u_i < 1\}$, the star discrepancy $D_N^*(P)$ is defined by $D_N^*(P) = D_N(J^*; P)$. When $S = \{x_1, x_2, \ldots\}$ is a sequence in I^s, we define $D_N^*(S)$ as $D_N^*(S_N)$, where S_N is the point set $\{x_1, x_2, \ldots, x_N\}$. Let S be a sequence in I^s. It is known that the following two conditions are equivalent:

1. S is uniformly distributed in I^s;
2. $\lim_{N\to\infty} D_N^*(S) = 0$.

The following classical theorem shows the importance of the notion of discrepancy:

Theorem 2.1 (Koksma-Hlawka [6]) *If f has bounded variation $V(f)$ on $\bar{I}^s$ in the sense of Hardy and Krause, then for any $x_1, x_2, \ldots, x_N \in I^s$, we have*

$$\left| \frac{1}{N}\sum_{n=1}^{N} f(x_n) - \int_{I^s} f(x)\,dx \right| \le V(f) D_N^*(x_1, \ldots, x_N).$$

Schmidt [12] showed that, when $s = 1$ or 2, there exists a positive constant C that depends only on s, and the following inequality holds for an arbitrary point set P consisting of N elements:

$$D_N^*(P) \ge C\frac{(\log N)^{s-1}}{N}. \tag{2.3}$$

If (2.3) holds, then there exists a positive constant C that depends only on s, and any sequence $S \subset I^s$ satisfies

$$D_N^*(S) \ge C\frac{(\log N)^{s}}{N} \tag{2.4}$$

for infinitely many N. Taking account of (2.3) and (2.4), we define a low-discrepancy sequence for the one-dimensional case as follows:

Definition 2.1 Let S be an one-dimensional sequence in $[0, 1)$. If $D_N^*(S)$ satisfies

$$D_N^*(S) = O(N^{-1}\log N)$$

then S is called a low-discrepancy sequence.

Hereafter we consider only the case where $s = 1$. We now introduce the classical van der Corput sequence [2] [6].

Definition 2.2 Let $p \ge 2$ be an integer. Every integer $n \ge 0$ has a unique digit expansion

$$n = \sum_{j=0}^{\infty} a_j(n) p^j, \qquad a_j(n) \in \{0, 1, \ldots, p-1\} \text{ for all } j \ge 0,$$

in base p. Let $\tau = \{\tau_j\}_{j\ge 0}$ be a set of permutations τ_j of $\{0, 1, \ldots, p-1\}$. Then the radical-inverse function ϕ_p^τ is defined by

$$\phi_p^\tau(n) = \sum_{j=0}^{\infty} \tau_j(a_j(n)) p^{-j-1} \qquad \text{for all integers} \quad n \ge 0.$$

The van der Corput sequence in base p with digit permutations τ is the sequence $\{\phi_p^\tau(n)\}_{n=0}^{\infty} \subset [0, 1)$.

Theorem 2.2 ([2][6]) *For an arbitrary integer $p \ge 2$, the van der Corput sequence in base p is a low-discrepancy sequence.*

3 β-adic transformation

In this section we define the fibred system and the β-adic transformation, following [5] [13].

C, **R**, **Z**, and **N** are the sets of all complex numbers, all real numbers, all integers, and all natural numbers, respectively. We also set

$$\begin{aligned} \mathbf{R}_{>a} &= \{r \in \mathbf{R} \mid r > a\} \\ \mathbf{Z}_{\geq n} &= \{i \in \mathbf{Z} \mid i \geq n\} \\ &\vdots \end{aligned}$$

and so on. For $x \in \mathbf{R}$, $[x]$ denotes the integer part of x.

Definition 3.1 Let B be a set and $T : B \to B$ be a map. The pair (B, T) is called a fibred system if the following conditions are satisfied:

1. There is a finite countable set A.
2. There is a map $k : B \to A$, and the sets

$$B(i) = k^{-1}(\{i\}) = \{x \in B : k(x) = i\}$$

   form a partition of B.
3. For an arbitrary $i \in A$, $T|_{B(i)}$ is injective.

Definition 3.2 Let $\Omega = A^{\mathbf{N}}$ and $\sigma : \Omega \to \Omega$ be the one-sided shift operator. Let $k_j(x) = k(T^{j-1}x)$. We derive a canonical map $\varphi : B \to \Omega$ from

$$\varphi(x) = \{k_j(x)\}_{n=1}^{\infty}.$$

φ is called the representation map.

We have the following commutative diagram:

$$\begin{array}{ccc} B & \xrightarrow{T} & B \\ \varphi\downarrow & & \downarrow\varphi \\ \Omega & \xrightarrow{\sigma} & \Omega \end{array}$$

Definition 3.3 If a representation map φ is injective, φ is called a valid representation.

Definition 3.4 Let $\omega \in \Omega$. If $\omega \in \mathrm{Im}(\varphi)$, ω is called an admissible sequence.

Definition 3.5 The cylinder of rank n defined by $a_1, a_2, \ldots, a_n \in A$ is the set

$$B(a_1, a_2, \ldots, a_n) = B(a_1) \cap T^{-1}B(a_2) \cap \ldots \cap T^{-n+1}B(a_n).$$

We define B to be a cylinder of rank 0.

For a sequence $a \in \Omega$, we write the i-th element of a as $a(i)$, that is, $a = (a(0), a(1), a(2), \ldots)$.

Definition 3.6 Let $\beta > 1$ and $\beta \in \mathbf{R}$. Let $f_\beta : [0, 1) \to [0, 1)$ be the function defined by

$$f_\beta(x) = \beta x - [\beta x].$$

Let $A = \mathbf{Z} \cap [0, \beta)$. Then we have the following fibred system $([0, 1), f_\beta)$:

$$\begin{array}{ccc} [0,1) & \xrightarrow{f_\beta} & [0,1) \\ \varphi\downarrow & & \downarrow\varphi \\ \Omega & \xrightarrow{\sigma} & \Omega \end{array} \tag{3.1}$$

The representation map φ of this fibred system is defined as follows:

$$\varphi(x)(n) = k, \text{ if } \frac{k}{\beta} \leq f_\beta^n(x) < \frac{(k+1)}{\beta}$$

where $f_\beta^0(x) = x$, and $f_\beta^{n+1}(x) = f_\beta(f_\beta^n(x))$. Let X_β be the closure of $\mathrm{Im}(\varphi)$ in the product space Ω with the product topology. The lexicographical order $\prec$ (resp. $\succ$) is defined in Ω as follows: $\omega \prec \omega'$ (resp. $\omega \succ \omega'$) if and only if there exists an integer n such that $\omega(k) = \omega'(k)$ for $k < n$ and $\omega(n) < \omega'(n)$ (resp. $\omega(n) > \omega'(n)$). We also define $\preceq$ (resp. $\succeq$) as $\prec$ (resp. $\succ$) or equal. In this situation, we set

$$f_\beta^n(1) = \lim_{x \nearrow 1} f_\beta^n(x),$$

$$\zeta_\beta = \max\{X_\beta\} = \varphi(1),$$

and

$$\rho_\beta(a) = \sum_{n=0}^{\infty} a(n)\beta^{-n-1}.$$

We have the following diagram:

$$\begin{array}{ccc} [0,1] & \xrightarrow{f_\beta} & [0,1] \\ \varphi \downarrow \uparrow \rho_\beta & & \varphi \downarrow \uparrow \rho_\beta \\ X_\beta & \xrightarrow{\sigma} & X_\beta \end{array} \tag{3.2}$$

This diagram is called a β-adic transformation.

We use the following notation for periodic sequences:

$$\begin{aligned}(a_1, a_2, \ldots, \dot{a}_n, \ldots \dot{a}_{n+m}) = (a_1, a_2, \ldots, \; & a_n, a_{n+1}, \ldots, a_{n+m}, \\ & a_n, a_{n+1}, \ldots, a_{n+m}, \\ & \vdots \\ & a_n, a_{n+1}, \ldots, a_{n+m}, \\ & \ldots)\end{aligned}$$

We introduce the following proposition from Ito and Takahashi [5].

Proposition 3.1 *For an arbitrary $\beta \in \mathbf{R}_{>1}$ the following statements hold in (3.2).*

1. $\sigma \circ \varphi = \varphi \circ f_\beta$ *on* $[0, 1)$.
2. $\varphi : [0, 1] \to X_\beta$ *is an injection and is strictly order-preserving, i.e., $t < s$ implies that $\varphi(t) \prec \varphi(s)$.*
3. $\rho_\beta \circ \varphi = \mathrm{id}$ *on* $[0, 1]$.
4. $\rho_\beta \circ \sigma = f_\beta \circ \rho_\beta$ *on* $\mathrm{Im}(\varphi)$.
5. $\rho_\beta : X_\beta \to [0, 1]$ *is a continuous surjection and is order-preserving, i.e., $\omega \prec \omega'$ implies that $\rho_\beta(\omega) \leq \rho_\beta(\omega')$.*
6. *For an arbitrary $t \in [0, 1]$, $\rho_\beta^{-1}(t)$ consists either of a one point $\varphi(t)$ or of two points $\varphi(t)$ and* $\sup\{\varphi(s) \mid s < t\}$. *The latter case occurs only when $f_\beta^n(t) = (\dot{0})$ for some $n > 0$.*

We also remark that the following proposition holds:

Proposition 3.2

$$X_\beta = \{\omega \in \Omega \mid \sigma^n \omega \preceq \zeta_\beta, \quad \textit{for all} \quad n \geq 0\}$$

Definition 3.7 Let $u \in X_\beta$. If there exist $n \in \mathbf{Z}_{\geq 1}$ which satisfies $u(i) = u(i+n)$ for any $i \in \mathbf{Z}$, u is called a periodic sequence. When $u \in X_\beta$ is periodic, we define the period of u as $\min\{n \in \mathbf{Z}_{\geq 1} \mid u(i) = u(i+n)$ for any $i \in \mathbf{Z}\}$.

The following definition and theorem are from Parry [9].

Definition 3.8 When ζ_β is periodic and its period is m, β and β-adic transformation (3.2) are called Markov or simple. In this case, β is the unique $z > 1$ solution of the following equation:

$$z^m - \sum_{i=1}^{m} a_{i-1} z^{m-i} = 0 \tag{3.3}$$

where $\zeta_\beta = (\dot{a}_0, a_1, \ldots, a_{m-2}, (\dot{a}_{m-1} - 1))$. This equation is called the characteristic equation of β. When β is Markov, $p(\beta)$ denotes the length of the period of ζ_β.

Theorem 3.1 *The conjugates of β with respect to its characteristic equation have absolute values less than* 2.

When β is not necessarily Markov, the notion of the characteristic equation is generalized as follows. This function was first studied in Takahashi [14][15] and Ito and Takahashi [5].

Definition 3.9

$$\phi_\beta(z) = \sum_{n\geq 0} \zeta_\beta(n) \left(\frac{z}{\beta}\right)^{n+1}$$

We also have the following proposition from Ito and Takahashi [5].

Proposition 3.3 $\phi_\beta(z)$ converges in a neighborhood of the unit disk $\{z \in \mathbf{C} \mid |z| \leq 1\}$ and the function $1 - \phi_\beta(z)$ has only one simple root at $z = 1$ in a neighborhood of the unit disk.

Remark 3.1 When β is Markov, $1 - \phi_\beta(\beta/z) = 0$ becomes the characteristic equation of β.

4 Constructing the sequence

In this section, a sequence $N_\beta \subset [0,1)$ is defined by the use of β-adic transformation, following [7]. Let $\beta \in \mathbf{R}_{>1}$ and let $([0,1], f_\beta, X_\beta, \sigma, \varphi, \rho_\beta)$ be a β-adic transformation (3.2). Let $B = [0,1)$, and $A, \Omega, \zeta_\beta, B(a_1, \ldots, a_n)$ be the same as in the previous section.

Definition 4.1 Let $n \in \mathbf{Z}_{\geq 0}$. Define

$$\begin{aligned} X_\beta(n) &= \begin{cases} \{(\dot{0})\}, & n = 0 \\ \{\omega \in X_\beta \mid \sigma^{n-1}\omega \neq (\dot{0}) \ \text{ and } \ \sigma^n \omega = (\dot{0})\}, & n \neq 0 \end{cases}, \\ Y_\beta(n) &= \{(\omega(0), \ldots, \omega(n-1)) \mid \omega \in X_\beta\}, \end{aligned}$$

and

$$Y_\beta^0(n) = \{(a_0, \ldots, a_{n-1}) \mid (a_0, \ldots, a_{n-2}, a_{n-1}+1) \in Y_\beta(n)\}.$$

Let $k \in \mathbf{Z}_{\geq 0}$, $u \in Y_\beta(k)$, and $v \in Y_\beta(l)$. Define $Y_\beta(u;n)$, $Y_\beta^0(u;n)$, $Y_\beta(u;n;v)$, $Y_\beta^0(u;n;v)$, $G_\beta(n)$, $G_\beta(u;n)$, $G_\beta^0(n)$, $G_\beta^0(u;n)$, and $G_\beta^0(u;n;v)$ as follows:

$$\begin{aligned} Y_\beta(u;n) &= \{u \cdot \omega \mid u \cdot \omega \in Y_\beta(k+n)\} \\ Y_\beta^0(u;n) &= \{u \cdot \omega \mid u \cdot \omega \in Y_\beta^0(k+n)\} \\ Y_\beta(u;n;v) &= \{u \cdot \omega \cdot v \mid u \cdot \omega \cdot v \in Y_\beta(k+n+l)\} \\ Y_\beta^0(u;n;v) &= \{u \cdot \omega \cdot v \mid u \cdot \omega \cdot v \in Y_\beta^0(k+n+l)\} \\ G_\beta(n) &= \sharp Y_\beta(n) \\ G_\beta^0(n) &= \sharp Y_\beta^0(n) \\ G_\beta(u;n) &= \sharp Y_\beta(u;n) \\ G_\beta^0(u;n) &= \sharp Y_\beta^0(u;n) \\ G_\beta(u;n;v) &= \sharp Y_\beta(u;n;v) \\ G_\beta^0(u;n;v) &= \sharp Y_\beta^0(u;n;v) \end{aligned}$$

where $u \cdot v$ means the concatenation of u and v, that is to say,

$$u \cdot v = (u(0), \ldots, u(n-1), v(0), v(1), \ldots).$$

Finally we set $Y_\beta(0) = Y_\beta^0(0) = \{\epsilon\}$ where ϵ is the empty word and satisfies $\epsilon \cdot u = u \cdot \epsilon = u$ for any $u \in Y_\beta(n)$.

Definition 4.2 Define the right-to-left lexicographical order $\overset{r-l}{\prec}$ in $\bigsqcup_{n=0}^{\infty} X_\beta(n)$ as follows: $\omega \overset{r-l}{\prec} \omega'$ if and only if $(\omega(n-1),\ldots,\omega(0)) \prec (\omega'(m-1),\ldots,\omega'(0))$ where $\omega \in X_\beta(n)$ and $\omega' \in X_\beta(m)$.

Definition 4.3 (N_β [7]) Define $L_\beta = \{\omega_i\}_{i=0}^{\infty}$ as $\bigsqcup_{n=0}^{\infty} X_\beta(n)$ ordered in right-to-left lexicographical order, that is, L_β is $\bigsqcup_{n=0}^{\infty} X_\beta(n)$ as a set and $\omega_i \overset{r-l}{\prec} \omega_j$ holds for all $i < j$. Then, the sequence N_β is defined as follows:

$$N_\beta = \{\rho_\beta(\omega_i)\}_{i=0}^{\infty}.$$

Example 4.1 *If $\beta = \frac{1+\sqrt{5}}{2}$, then $\zeta_\beta = (\dot{1}, \dot{0})$ and elements of N_β are calculated as follows:*

$$\begin{aligned}
N_\beta(0) &= \rho_\beta(0) = 0\\
N_\beta(1) &= \rho_\beta(1) = 0.618033988749895\ldots\\
N_\beta(2) &= \rho_\beta(01) = 0.381966011250106\ldots\\
N_\beta(3) &= \rho_\beta(001) = 0.23606797749979\ldots\\
N_\beta(4) &= \rho_\beta(101) = 0.854101966249686\ldots\\
N_\beta(5) &= \rho_\beta(0001) = 0.145898033750316\ldots\\
N_\beta(6) &= \rho_\beta(1001) = 0.763932022500212\ldots\\
N_\beta(7) &= \rho_\beta(0101) = 0.527864045000422\ldots\\
N_\beta(8) &= \rho_\beta(00001) = 0.0901699437494747\ldots\\
N_\beta(9) &= \rho_\beta(10001) = 0.70820393249937\ldots\\
N_\beta(10) &= \rho_\beta(01001) = 0.472135954999581\ldots\\
N_\beta(11) &= \rho_\beta(00101) = 0.326237921249265\ldots\\
N_\beta(12) &= \rho_\beta(10101) = 0.944271909999161\ldots\\
N_\beta(13) &= \rho_\beta(000001) = 0.0557280900008416\ldots\\
N_\beta(15) &= \rho_\beta(100001) = 0.673762078750737\ldots\\
N_\beta(16) &= \rho_\beta(010001) = 0.437694101250947\ldots\\
&\vdots
\end{aligned}$$

From this definition, we immediately have the following proposition:

Proposition 4.1 *If β is an integer greater than 2 then N_β is the van der Corput sequence in base β with all digit permutations $\tau_j = \mathrm{id}$.*

From Theorem 2.2 and Proposition 4.1, we see that if $\beta \in \mathbf{Z}_{\geq 2}$ then N_β is a low-discrepancy sequence, that is to say, $D_M^*(N_\beta) = O(M^{-1}\log M)$ holds for all $\beta \in \mathbf{Z}_{\geq 2}$. We also have the following theorem:

Theorem 4.1 *Let β be a real number greater than 1, and let the following condition* **(PV)** *hold:*

(PV) *All zeroes of $1 - \phi_\beta(z)$ except for $z = 1$ belong to $\{z \in \mathbf{C} \mid |z| > \beta\}$.*

Then,

$$D_M^*(N_\beta) = O\left(\frac{(\log M)^2}{M}\right)$$

holds. Moreover, if β is Markov, then

$$D_M^*(N_\beta) = O\left(\frac{\log M}{M}\right)$$

holds.

Remark 4.1 When β is Markov, the condition **(PV)** is equivalent to the condition that all conjugates of β with respect to its characteristic equation (3.3) belong to $\{z \in \mathbf{C} \mid |z| < 1\}$.

Remark 4.2 In [7], the case in which β is Markov is proved.

To prove this theorem, we provide lemmas and definitions. We use the following notations:

$$\omega[i,j) = \begin{cases} (\omega(i),\dots,\omega(j-1)), & i<j \\ \epsilon, & i=j \end{cases},$$

where $\omega \in X_\beta$ and $i,j \in \mathbf{Z}_{\geq 0}$. $R_\beta(u) = \lambda(B(u))$ where, λ is the one-dimensional Lebesgue measure, $u \in X_\beta(n)$, and $B(u)$ is the cylinder (3.5). For a sequence S, $S[N]$ denotes the point set consisting of the first N elements of S, and $S[N;M] = S[N+M] \setminus S[N]$.

Definition 4.4 For any $k \geq 0$ and $u \in Y_\beta(k)$, define

$$e(u) = \{i \in \mathbf{Z}_{\geq 0} \mid \zeta_\beta[0,i+1)\cdot u \notin Y_\beta(k+i+1)\}.$$

Lemma 4.1 ([5]) *For an arbitrary $k \geq 0$ and $u \in Y_\beta(k)$, we have the following partitioning of $Y_\beta(u;n)$:*

$$Y_\beta(u;n) = \bigsqcup_{j=1}^{n} Y_\beta^0(u;j)\cdot\zeta_\beta[0,n-j) \bigsqcup \max\{Y_\beta(u;n)\}$$

Proof. It is trivial to show that the left-hand side includes the right-hand side.

If $v = (a_1,\dots,a_{n+k}) \in Y_\beta(u;n) \setminus Y_\beta^0(u;n)$ and $v \neq \max\{Y_\beta(u;n)\}$, then there exists an integer l that satisfies

$$k+1 \leq l \leq n+k$$

and

$$\min\{w \in Y_\beta(u;n) \mid w \succ v\} = (a_1,\dots,a_l+1,0,\dots,0).$$

This means that

$$(a_{l+1},\dots,a_{n+k}) = \zeta_\beta[0,n+k-l)$$

and

$$(a_1,\dots,a_{l-1},a_l+1) \in Y_\beta^0(u;l-k)$$

hold. □

Taking account of Lemma 4.1, we give the following definition:

Definition 4.5 For an arbitrary $u \in Y_\beta(n)$, define an integer $d(u)$ as follows: $d(u) = k$ if

$$u \in Y_\beta^0(k)\cdot\zeta_\beta[0,n-k)$$

holds. Remark that $\max\{Y_\beta(n)\} = \zeta_\beta[0,n)$.

From Lemma 4.1, Definition 4.4, and Definition 4.5 we have the following lemma:

Lemma 4.2 *For any $k,l,n \geq 0$, $u \in Y_\beta(k)$, and $v \in Y_\beta(l)$, we have the following partitioning of $Y_\beta(u;n;v)$:*

$$Y_\beta(u;n;v) \cong \begin{cases} \displaystyle\bigsqcup_{\substack{1\leq j\leq n \\ n-j-1\notin e(v)}} Y_\beta^0(u;j)\cdot\zeta_\beta[0,n-j), & \textit{if } n+k-d(\max\{Y_\beta(u;n)\})-1 \in e(v) \\ \displaystyle\bigsqcup_{\substack{1\leq j\leq n \\ n-j-1\notin e(v)}} Y_\beta^0(u;j)\cdot\zeta_\beta[0,n-j) \bigsqcup \max\{Y_\beta(u;n)\}, & \textit{otherwise.} \end{cases}$$

Lemma 4.3 *For any $n \geq 0$ and $u \in Y_\beta(n)$,*

$$R_\beta(u) = \frac{1}{\beta^{d(u)}}\left(1 - \sum_{i=0}^{n-d(u)-1} \frac{\zeta_\beta(i)}{\beta^{i+1}}\right)$$

holds.

Proof. Let $u = u^0 \cdot \zeta_\beta[0, n - d(u))$ where $u^0 \in Y^0_\beta(d(u))$. From Definition 3.6,

$$R_\beta(u^0) = \rho_\beta((u^0(0), \ldots, u^0(d(u)-1)+1) - \rho_\beta((u^0(0), \ldots, u^0(d(u)-1)) = \frac{1}{\beta^{d(u)}}$$

and

$$R_\beta(\zeta_\beta[0, n-d(u))) = 1 - \sum_{i=0}^{n-d(u)-1} \frac{1}{\beta^{i+1}}.$$

When $v \cdot w \in Y_\beta(m)$, it follows that $R_\beta(v \cdot w) = R_\beta(v)R_\beta(w)$. Then, the lemma holds. □

Remark 4.3 From Definition 3.6, it follows that

$$f^n_\beta(x) = \beta^n \left(x - \sum_{i=0}^{n-1} \frac{\varphi(x)(i)}{\beta^{i+1}} \right)$$

for any $x \in [0, 1]$ and $n \geq 0$. Then, we have

$$R_\beta(u) = \frac{1}{\beta^n} f^{n-d(u)}_\beta(1)$$

for any $u \in Y_\beta(n)$ and $n \geq 0$, from Lemma 4.3.

Lemma 4.4 ([5]) *Let r be the absolute value of the second smallest zero of $1-\phi_\beta(z)$, that is, $r = \min\{|z| \mid z \in \mathbf{C},\ z \neq 1$ Then for any small $\varepsilon > 0$, there exists a constant $C_\varepsilon > 0$ and*

$$\left| G^0_\beta(u; n) - \frac{\beta^{n+k} R_\beta(u)}{\phi'_\beta(1)} \right| \leq \frac{C_\varepsilon}{n} \left(\frac{\beta}{r - \varepsilon} \right)^n$$

holds for any $n \geq 0$, $k \geq 0$ and $u \in Y_\beta(k)$.

Proof. Let $k \geq 0$ and $u \in Y_\beta(k)$. Remark that

$$R_\beta(u) = \sum_{u \cdot v \in Y_\beta(u;n)} R_\beta(u \cdot v) \tag{4.1}$$

holds. From (4.1), Lemma 4.1, and Remark 4.3, we have

$$\beta^{n+k} R_\beta(u) = \sum_{j=0}^{n-1} f^j_\beta(1) G^0_\beta(u; n-j) + f^{n+l}_\beta(1) \tag{4.2}$$

where $l = k - d(\max\{Y_\beta(u;n)\}) \geq 0$. Remark that the formal power series

$$\sum_{n \geq 1} z^n \sum_{j=0}^{n-1} f^j_\beta(1) G^0_\beta(u; n-j) \beta^{-(n+k)}$$

converges for $|z| < 1$. We have the following equality from (4.2):

$$\beta^k \sum_{n \geq 1} z^n R_\beta(u) = \sum_{n \geq 1} \left(\frac{z}{\beta}\right)^n \sum_{j=0}^{n-1} f^n_\beta(1) G^0_\beta(u; n-j) + \sum_{n \geq 1} \left(\frac{z}{\beta}\right)^n f^{n+l}_\beta(1) \tag{4.3}$$

We also have

$$\begin{aligned} &\sum_{n \geq 1} \left(\frac{z}{\beta}\right)^n \sum_{j=0}^{n-1} f^j_\beta(1) G^0_\beta(u; n-j) \\ &= \sum_{j \geq 1} \sum_{n \geq j} f^{j-1}_\beta(1) G^0_\beta(u; n-j+1) \left(\frac{z}{\beta}\right)^n \\ &= \sum_{j \geq 0} f^j_\beta(1) \left(\frac{z}{\beta}\right)^j \sum_{n \geq 1} G^0_\beta(u; n) \left(\frac{z}{\beta}\right)^n \end{aligned}$$

and, from Remark 4.3,

$$
\begin{aligned}
&(1-z)\sum_{n\geq 0} f_\beta^n(1)\left(\frac{z}{\beta}\right)^n \\
&= (1-z) + (1-z)\sum_{n\geq 1}\left(1-\sum_{i=0}^{n-1}\frac{\zeta_\beta(i)}{\beta^{i+1}}\right)z^n \\
&= 1-\frac{\zeta_\beta(0)}{\beta} + \sum_{n\geq 2}(1-z)\left(1-\sum_{i=0}^{n-1}\frac{\zeta_\beta(i)}{\beta^{i+1}}\right)z^n \\
&= 1-\sum_{n\geq 0}\zeta_\beta(n)\left(\frac{z}{\beta}\right)^{n+1} = 1-\phi_\beta(z).
\end{aligned}
$$

By using these two equalities, we obtain from (4.3) that

$$
\sum_{n\geq 1} G_\beta^0(u;n)\left(\frac{z}{\beta}\right)^n = \frac{z\beta^k R_\beta(u)}{1-\phi_\beta(z)} - \frac{(1-z)\sum_{n\geq 1} f_\beta^{n+l}(1)(z/\beta)^n}{1-\phi_\beta(z)}. \tag{4.4}
$$

Consider the function

$$
\begin{aligned}
h_u(z) = &\sum_{n\geq 1}\left(G_\beta^0(u;n)\left(\frac{z}{\beta}\right)^n - \frac{\beta^k R_\beta(u)}{\phi'_\beta(1)}z^n\right) \\
= &\frac{z\beta^k R_\beta(u)}{1-\phi_\beta(z)} - \frac{(1-z)\sum_{n\geq 1} f_\beta^{n+l}(1)(z/\beta)^n}{1-\phi_\beta(z)} - \frac{z\beta^k R_\beta(u)}{(1-z)\phi'_\beta(1)}.
\end{aligned} \tag{4.5}
$$

The second equality comes from (4.4). From Proposition 3.3, we see that $h_u(z)$ is analytic in a neighborhood of $\{z \in \mathbf{C} \mid |z| \leq r-\varepsilon,\ z \neq 1\}$. We also see from (4.5) that $\lim_{z\to 1}(1-z)h_u(z) = 0$. Considering the fact that $\beta^k R_\beta(u) \leq 1$ for any $u \in Y_\beta(k)$, $k \geq 1$ and that the second term of the right-hand side of (4.4) and its derivative are bounded uniformly in l, we see that there exists a constant C_ε and

$$
\sup_{\substack{k\geq 1,\ u\in Y_\beta(k)\\ |z|=r-\varepsilon}} |h'_u(z)| < C_\varepsilon \tag{4.6}
$$

holds. Then we have

$$
\begin{aligned}
n!\left|\frac{G_\beta^0(u;n)}{\beta^n} - \frac{\beta^k R_\beta(u)}{\phi'_\beta(1)}\right| &= \left|h_u^{(n)}(0)\right| \\
&= \left|\frac{d^{n-1}h'_u}{dz^{n-1}}(0)\right| \\
&= \left|\frac{(n-1)!}{2\pi(r-\varepsilon)^n}\int_{|z|=r-\varepsilon} h'_u(z)\,dz\right| \\
&\leq (n-1)!\frac{C_\varepsilon}{(r-\varepsilon)^n}
\end{aligned}
$$

and the lemma follows. □

Lemma 4.5 *If $\beta \in \mathbf{R}_{>1}$ is Markov and $\zeta_\beta = (\dot{a}_0, \ldots, a_{m-2}, (\dot{a}_{m-1}-1))$, where $m = p(\beta)$, then we have the following statements:*

1. *For an arbitrary $v \in X_\beta$, $\{G_\beta^0(n)\}_{n=0}^\infty$ and $\{G_\beta(n)\}_{n=0}^\infty$ satisfy the following linear recurrent equation:*

$$
G_\beta(\epsilon; n+m; v) - \sum_{i=0}^{m-1} a_i G_\beta(\epsilon; n+m-i-1; v) = 0. \tag{4.7}
$$

2. *For arbitrary $u \in Y_\beta(k)$, $k \geq m$ and $v \in X_\beta$, the following equation holds for any $n \geq m-k+d$:*

$$
G_\beta(u;n;v) = \begin{cases} \displaystyle\sum_{i=1}^{m-k+d} a_{k-d+i} G_\beta(\epsilon; n-i; v) & \text{when } d > k-m \\ G_\beta(\epsilon; n; v) & \text{when } d = k-m \end{cases} \tag{4.8}
$$

where $d = d(u[\max\{0, k-m+1\}, k+1)) + k - m$.

Proof. From Proposition 3.2, we have the following partitioning:

$$Y_\beta(\epsilon; n+m; v) = \bigsqcup_{j=0}^{m-1} \bigsqcup_{i=0}^{a_j-1} \zeta_\beta[0,j) \cdot i \cdot Y_\beta(\epsilon; n+m-j-1; v).$$

When $d = k-m$, it is trivial to obtain this partitioning from Proposition 3.2. When $d > k-m$, we obtain the following partitioning from the same proposition.

$$Y_\beta(u; n; v) = \bigsqcup_{j=1}^{m-k+d} \bigsqcup_{i=0}^{a_{k-d+j}-1} u \cdot i \cdot Y_\beta(\epsilon; n-j; v)$$

The lemma follows from these partitionings. □

Proof of **Theorem 4.1.** Let $k > 0$, $u \in Y_\beta(k)$. Let $M \in \mathbf{N}$ and $b = (b_0, b_1, \ldots, b_{m-1}) = L_\beta(M)$. We assume M to satisfy $m > k$. Define

$$\Delta(I; P) = A(I; P) - M\lambda(I),$$

where I is an interval in $[0,1)$ and $P = \{x_1, x_2, \ldots, x_M\} \subset [0,1)$. For any finite sets of points P, P' in $[0,1)$ and any intervals $I, I' \subset [0,1)$, $I \cap I' = \emptyset$,

$$\begin{aligned} \Delta(I; P \sqcup P') &= \Delta(I; P) + \Delta(I; P') \\ \Delta(I \sqcup I'; P) &= \Delta(I; P) + \Delta(I'; P) \end{aligned} \tag{4.9}$$

hold. Here, $P \sqcup P'$ is the disjoint union of P and P' or the union of P and P' with multiplicity. From Definition 4.3 and (4.9), we have

$$\begin{aligned} \Delta(B(u); N_\beta[M]) &= \Delta(B(u); \bigsqcup_{j=0}^{m-1} \bigsqcup_{i=0}^{b_j-1} Y_\beta(\epsilon; j; v_{ij})) \\ &= \sum_{j=0}^{m-1} \sum_{i=0}^{b_j-1} \Delta(B(u); Y_\beta(\epsilon; j; v_{ij})) \end{aligned} \tag{4.10}$$

where $v_{ij} = i \cdot b[j+1, m)$. Consider the $0 \le j \le k$ part of the right hand side of (4.10).

$$\sum_{j=0}^{k} \sum_{i=0}^{b_j-1} |\Delta(B(u); Y_\beta(\epsilon; j; v_{ij}))| \le \sum_{j=0}^{k} ([\beta]+1) G_\beta(j) R_\beta(u) \tag{4.11}$$

holds from the definition of Δ. Since $R_\beta(u) \le \beta^{-k}$ and $G_\beta(j) \le ([\beta]+1)^j$, there exists a constant C_0, and

$$\sum_{j=0}^{k} ([\beta]+1) G_\beta(j) R_\beta(u) < C_0$$

is satisfied for any k. Then, from (4.10) and (4.11), we have

$$\Delta(B(u); N_\beta[M]) \le C_0 + \sum_{j=k+1}^{m-1} \sum_{i=0}^{b_j-1} |\Delta(B(u); Y_\beta(\epsilon; j; v_{ij}))|. \tag{4.12}$$

Define

$$\begin{aligned} \delta(u; n) &= G_\beta^0(u; n) - \frac{\beta^{n+k} R_\beta(u)}{\phi'_\beta(1)} \\ \delta(n) &= G_\beta^0(n) - \frac{\beta^n}{\phi'_\beta(1)} \end{aligned}$$

for $u \in Y_\beta(k)$ and $k, n \ge 0$. From this definition,

$$\begin{aligned} |\Delta(B(u); Y_\beta^0(n))| &= |G_\beta^0(u; n) - R_\beta(u) G_\beta^0(k+n)| \\ &= |\delta(u; n) - R_\beta(u)\delta(k+n)| \end{aligned} \tag{4.13}$$

holds. Then, from Lemma 4.2 we have

$$\begin{aligned}
&\sum_{j=k+1}^{m-1}\sum_{i=0}^{b_j-1}|\Delta(B(u);Y_\beta(\epsilon;j;v_{ij}))| \\
&\le \sum_{j=k+1}^{m-1}\sum_{i=0}^{b_j-1}\left(\sum_{\substack{l=1,\dots,j\\ j-l-1\notin e(v_{ij})}}|\Delta(B(u);Y_\beta^0(l)\cdot\zeta_\beta[0,j-l))|+1\right) \\
&\le \sum_{j=k+1}^{m-1}\sum_{i=0}^{b_j-1}\left(\sum_{l=1}^{j}|\Delta(B(u);Y_\beta^0(l))|+1\right).
\end{aligned} \tag{4.14}$$

From the **(PV)** condition and Lemma 4.4, there exist $r>\beta$ and a constant C_r that satisfy

$$|\delta(u;n)|\le\frac{C_r}{n}\left(\frac{\beta}{r}\right)^n \tag{4.15}$$

for any $n,k>0$ and $u\in Y_\beta(k)$. From (4.12), (4.13), (4.14), (4.15), and $r>\beta$, we see that

$$\begin{aligned}
&\Delta(B(u);N_\beta[M]) \\
&\le C_0+C_r([\beta]+1)\sum_{j=k+1}^{m-1}\left(\sum_{l=1}^{j}\left(\frac{1}{l}\left(\frac{\beta}{r}\right)^l+\frac{1}{k+l}\left(\frac{\beta}{r}\right)^{k+l}R_\beta(u)\right)+1\right) \\
&=O(m)=O(\log M)
\end{aligned} \tag{4.16}$$

holds.

Choose an arbitrary $t\in[0,1)$. Let $M\in\mathbf{N}$ and $L_\beta(M)=(b_0,\dots,b_{m-1})$. Let $B(t_0,\dots,t_{m-1})$ be a cylinder of rank m that satisfies $t\in B(t_0,\dots,t_{m-1})$. Then we have

$$[0,t)=B_{s_1}\sqcup B_{s_2}\sqcup\dots\sqcup B_{s_k}\sqcup R,$$

where $0\le s_1<s_2<\dots<s_k=m-1$, B_{s_i} is a cylinder of rank s_i and $\lambda(R)<\beta^{-m+1}$. Then from (4.9) and (4.16), we have

$$|\Delta([0,t);N_\beta[M])|=O((\log M)^2),$$

and therefore

$$D_M^*(N_\beta)=O\left(\frac{(\log M)^2}{M}\right).$$

In the following part, we consider the case in which β is Markov. Let $l=p(\beta)$ and $\zeta_\beta=(a_0,\dots,a_{l-2},(a_{l-1}-1))$. Then, β is the unique $z>1$ solution of

$$z^l-\sum_{i=0}^{l-1}a_iz^{l-1-i}=0. \tag{4.17}$$

Let $\alpha_1,\dots,\alpha_q$ be the conjugates of β with respect to the equation (4.17), that is,

$$z^l-\sum_{i=0}^{l-1}a_iz^{l-1-i}=(z-\beta)\prod_{i=1}^{q}(z-\alpha_i)^{l_i}$$

where $l_i\ge1$, $\alpha_i\ne\alpha_j$ for all $i\ne j$ and $\sum_{i=1}^q l_i=l-1$. We also have

$$|\alpha_i|<1,\quad \text{for all } i\in\{1,\dots,q\} \tag{4.18}$$

from the **(PV)** condition. Let $v\in X_\beta$. From Lemma 4.5, there exist complex numbers c, c_{ij} $(i=1,\dots,q,\ j=0,\dots l_i-1)$ that satisfy the following equation:

$$G_\beta(\epsilon;n;v)=c\beta^n+\sum_{i=1}^{r}\sum_{j=0}^{l_i-1}c_{ij}n^j\alpha_i^n\quad\text{for all}\quad n\in\mathbf{N}. \tag{4.19}$$

From Lemma 4.3, Lemma 4.5, and (4.19), we have

$$\Delta(B(u); N_\beta[G_\beta(\epsilon; k+n; v)]) = \begin{cases} \displaystyle\sum_{h=1}^{q}\sum_{j=0}^{l_h-1} c_{hj}\left(n^j\alpha_h^n - \frac{1}{\beta^k}(k+n)^j\alpha_h^{k+n}\right), & \text{when } d = k-l \\ \displaystyle\sum_{i=k-d}^{l-1} a_i \sum_{h=1}^{q}\sum_{j=0}^{l_h-1} c_{hj}\left((k+n-d)^j\alpha_h^{k+n-d-i} - \frac{1}{\beta^{d+i}}(k+n)^j\alpha_h^{k+n}\right), & \text{when } d > k-l \end{cases} \tag{4.20}$$

where $u \in Y_\beta(k)$, $n \in \mathbf{N}$, and $d = d(u[\max\{0, k-l+1\}, k+1)) + k - l$. From (4.9), (4.12), (4.14), (4.18), and (4.20), there exists a constant C that satisfies the following inequality (4.21) for any cylinder $B(u)$ of any rank k and $M > G_\beta(l+d)$.

$$|\Delta(B(u); N_\beta[M])| < C \tag{4.21}$$

Then, we obtain

$$D_M^*(N_\beta) = O\left(\frac{\log M}{M}\right)$$

by the above reasoning. □

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