Stability of 1-dimensional stationary solution to the compressible Navier-Stokes equations on the half space

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1. Introduction

This article is concerned with the compressible Navier-Stokes equation on the half space \mathbf{R}_{+}^{n} $(n \geq 2)$:

(1.1)
$$\partial_t \rho + \operatorname{div}(\rho u) = 0,$$
$$\partial_t (\rho u) + \operatorname{div}(\rho u \otimes u) + \nabla p(\rho) = \mu \Delta u + (\mu + \mu') \nabla \operatorname{div} u,$$
$$p(\rho) = K \rho^{\gamma}.$$

Here $\mathbf{R}_{+}^{n}=\{x=(x_{1},x'); x'=(x_{2},\cdots,x_{n})\in\mathbf{R}^{n-1}, x_{1}>0\}; \rho=\rho(x,t)$ and $u=(u^{1}(x,t),\cdots,u^{n}(x,t))$ denote the unknown density and velocity, respectively; μ,μ',K and γ are constants satisfying $\mu>0,\frac{2}{n}\mu+\mu'\geq0,K>0$ and $\gamma>1$. We consider (1.1) under the initial and boundary conditions

(1.2)
$$u|_{x_1=0} = (u_b^1, 0, \dots, 0),$$
$$\rho \to \rho_+, \quad u \to (u_+^1, 0, \dots, 0) \quad (x_1 \to \infty),$$
$$(\rho, u)|_{t=0} = (\rho_0, u_0),$$

where ρ_+ , u_+^1 and u_b^1 are given constants satisfying $\rho_+ > 0$ and $u_b^1 < 0$.

Kawashima, Nishibata and Zhu [4] investigated the conditions for ρ_+ , u_+^1 and u_b^1 under which planar stationary motions occur. Namely, they showed that under suitable conditions for ρ_+ , u_+^1 and u_b^1 there exists a stationary solution $(\widetilde{\rho}, \widetilde{u})$ of problem (1.1)–(1.2) in the form $\widetilde{\rho} = \widetilde{\rho}(x_1)$, $\widetilde{u} = (\widetilde{u}^1(x_1), 0, \dots, 0)$. Furthermore, it was shown in [4] that $(\widetilde{\rho}, \widetilde{u})$ is asymptotically stable with respect to small one-dimensional perturbations, i.e.,

perturbations in the form $\rho - \widetilde{\rho} = \rho(x_1, t) - \widetilde{\rho}(x_1)$, $u - \widetilde{u} = (u^1(x_1, t) - \widetilde{u}^1(x_1), 0, \dots, 0)$, provided that $|u_+^1 - u_b^1|$ is sufficiently small.

In this article we will give a summary of the results in [3], where $(\widetilde{\rho}, \widetilde{u})$ is shown to be asymptotically stable with respect to multi-dimensional perturbations small in $H^s(\mathbf{R}^n_+)$, provided that $|u_+^1 - u_b^1|$ is sufficiently small. Here s is an integer satisfying $s \geq \lfloor n/2 \rfloor + 1$.

2. Stability Result

We first consider the one-dimensional stationary problem whose solutions represent planar stationary motions in \mathbb{R}^n_+ . We look for a smooth stationary solution $(\widetilde{\rho}, \widetilde{u})$ of (1.1)–(1.2) of the form $\widetilde{\rho} = \widetilde{\rho}(x_1) > 0$ and $\widetilde{u} = (\widetilde{u}^1(x_1), 0, \dots, 0)$. Then the problem for $(\widetilde{\rho}, \widetilde{u}^1)$ is written as

(2.1)
$$(\widetilde{\rho} \, \widetilde{u}^1)_{x_1} = 0 \quad (x_1 > 0),$$

$$(\widetilde{\rho} (\widetilde{u}^1)^2)_{x_1} + p(\widetilde{\rho})_{x_1} = (2\mu + \mu') \widetilde{u}^1_{x_1 x_1} \quad (x_1 > 0),$$

$$\widetilde{u}|_{x_1 = 0} = u^1_b,$$

$$\widetilde{\rho} \to \rho_+, \quad \widetilde{u}^1 \to u^1_+ \quad (x_1 \to \infty),$$

where subscript x_1 stands for differentiation in x_1 .

Kawashima, Nishibata and Zhu [4] investigated problem (2.1) and gave a necessary and sufficient condition for the existence of solutions. Following [4], we introduce the Mach number at infinity defined by

$$M_+ \equiv \frac{|u_+|}{\sqrt{p'(\rho_+)}}.$$

We also set

$$\delta \equiv |u_+^1 - u_b^1|,$$

which measures the strength of the stationary solution.

Proposition 2.1.([4]) Let $u_+^1 < 0$. Then problem (2.1) has a smooth solution $(\widetilde{\rho}, \widetilde{u}^1)$ if and only if $M_+ \geq 1$ and $w_c u_+ > u_b$, where w_c is a certain positive number. The solution $(\widetilde{\rho}, \widetilde{u}^1)$ is monotonic, in particular, $\widetilde{u}^1(x_1)$ is monotonically increasing when $M_+ = 1$. Furthermore, $(\widetilde{\rho}, \widetilde{u}^1)$ has the following decay properties as $x_1 \to \infty$.

(i) If $M_+ > 1$, then for any nonnegative integer k there exists a constant C > 0 such that

$$|\partial_{x_1}^k(\widetilde{\rho}-\rho_+,\widetilde{u}^1-u_+^1)| \leq C\delta e^{-\sigma x_1}$$

for some positive constant σ .

(ii) If $M_+=1$, then for any nonnegative integer k there exists a constant C>0 such that

$$|\partial_{x_1}^k(\widetilde{\rho}-\rho_+,\widetilde{u}^1-u_+^1)| \le C \frac{\delta^{k+1}}{(1+\delta x_1)^{k+1}}.$$

Our interest is the stability properties of $(\widetilde{\rho}, \widetilde{u})$, $\widetilde{u} = (\widetilde{u}^1, 0, \dots, 0)$, with respect to multi-dimensional perturbations. To state our stability result we introduce function spaces. For $0 < T \le \infty$ and $\sigma \in \mathbf{Z}$, $\sigma \ge 0$, we define the Banach space

$$Z^{\sigma}(T) = X^{\sigma}(T) \times Y^{\sigma}(T)^{n},$$

where

$$X^{\sigma}(T) = \bigcap_{j=0}^{[\frac{\sigma}{2}]} C^{j}([0,T]; H^{\sigma-2j})$$

and

$$Y^{\sigma}(T) = X^{\sigma}(T) \cap \bigcap_{j=0}^{\left[rac{\sigma+1}{2}
ight]} H^{j}(0,T;\widetilde{H}^{\sigma+1-2j}).$$

Here $\widetilde{H}^m = H^m \cap H^1_0$ when $m \ge 1$ and $\widetilde{H}^m = L^2$ when m = 0. The norm of $Z^{\sigma}(T)$ is defined by $\|U\|_{Z^{\sigma}(T)} = \|\phi\|_{X^{\sigma}(T)} + \|\psi\|_{Y^{\sigma}(T)}$ for $U = (\phi, \psi)$, where

$$\|\phi\|_{X^{\sigma}(T)} = \sup_{0 \le t \le T} |[\phi(t)]|_{\sigma}, \quad \|\psi\|_{Y^{\sigma}(T)} = \left(\|\psi\|_{X^{\sigma}(T)}^2 + \int_0^T |[\psi(t)]|_{\sigma+1}^2 dt\right)^{1/2}$$

with

$$|[\phi(t)]|_{\sigma,k} = \left(\sum_{j=0}^{k} \|\partial_t^j \phi(t)\|_{H^{\sigma-2j}}^2\right)^{1/2}, \quad |[\phi(t)]|_{\sigma} = |[\phi(t)]|_{\sigma,[\frac{\sigma}{2}]}.$$

We simply denote by Z^{σ} , X^{σ} and Y^{σ} when $T = \infty$.

Theorem 2.2. Let s be an integer satisfying $s \geq \lfloor n/2 \rfloor + 1$ and let $(\widetilde{\rho}, \widetilde{u})$ be the solution of (2.1). Then there exists a positive number δ_0 such that if $|u_b^1 - u_+^1| < \delta_0$, then $(\widetilde{\rho}, \widetilde{u})$ is stable with respect to perturbations small in $H^s(\mathbf{R}^n_+)$ in the following sense: there exist $\varepsilon_0 > 0$ and C > 0 such that if the initial perturbation $(\rho(0) - \widetilde{\rho}, u(0) - \widetilde{u}) \in H^s$ and satisfies a suitable compatibility condition, then perturbation $(\rho(t) - \widetilde{\rho}, u(t) - \widetilde{u})$ exists in Z^s , and it satisfies

$$\|(\rho(t) - \widetilde{\rho}, u(t) - \widetilde{u})\|_{H^s} \le C \|(\rho(0) - \widetilde{\rho}, u(0) - \widetilde{u})\|_{H^s}$$

for all $t \geq 0$ and

$$\lim_{t\to\infty} \|\partial_x(\rho(t)-\widetilde{\rho},u(t)-\widetilde{u})\|_{H^{s-1}} = 0,$$

provided that $\|(\rho(0) - \widetilde{\rho}, u(0) - \widetilde{u})\|_{H^s} \leq \varepsilon_0$. In particular,

$$\lim_{t\to\infty} \|(\rho(t)-\widetilde{\rho},u(t)-\widetilde{u})\|_{\infty} = 0.$$

Remarks. (i) The stability of $(\widetilde{\rho}, \widetilde{u})$ was firstly investigated in [4] and they proved Theorem 2.1 for n = 1, i.e., $(\widetilde{\rho}, \widetilde{u})$ is stable with respect to small perturbations in the form $\rho - \widetilde{\rho} = \rho(x_1, t) - \widetilde{\rho}(x_1)$, $u - \widetilde{u} = (u^1(x_1, t) - \widetilde{u}^1(x_1), 0, \dots, 0)$.

(ii) We here consider large time behavior of solutions of (1.1)–(1.2) only under the conditions for ρ_+ , u_b^1 and u_+^1 given in Proposition 2.1. As is easily imagined, if one of these conditions would be disturbed, then complicated phenomena might occur. In fact, Matsumura [5] proposed a classification of all possible time asymptotic states in terms of boundary data for one-dimensional problem. Some parts of this classification were already proved rigorously. See [5].

3. Outline of the Proof

Let us rewrite the problem into the one for perturbations. We set $(\phi, \psi) = (\rho - \widetilde{\rho}, u - \widetilde{u})$. Then problem (1.1)–(1.2) is transformed into

(3.1)
$$\partial_t \phi + u \cdot \nabla \phi + \rho \operatorname{div} \psi = F,$$

$$\rho(\partial_t \psi + u \cdot \nabla \psi) + L \psi + p'(\rho) \nabla \phi = G,$$

$$\psi|_{x_1=0} = 0; \quad (\phi, \psi) \to (0, 0) \quad (x_1 \to \infty),$$

$$(\phi, \psi)|_{t=0} = (\phi_0, \psi_0)$$

where

$$L\psi = -\mu \Delta \psi - (\mu + \mu') \nabla \operatorname{div} \psi,$$

$$F = -\psi \cdot \nabla \widetilde{\rho} - \phi \operatorname{div} \widetilde{u},$$

$$G = -(\rho \psi + \phi \widetilde{u}) \cdot \nabla \widetilde{u} - (p'(\rho) - p'(\widetilde{\rho})) \nabla \widetilde{\rho}.$$

The proof of Theorem 2.1 is thus reduced to showing the global existence of solution (ϕ, ψ) of (3.1) in the class Z^s , where s is an integer satisfying $s \geq \lfloor n/2 \rfloor + 1$.

Let us firstly consider the local existence of solutions. The local existence can be proved by applying the result in [2]. In fact, problem (3.1) is a hyperbolic-parabolic system satisfying the assumptions in [2] that guarantees the local solvability in H^s for s satisfying $s \ge \lfloor n/2 \rfloor + 1$. Therefore, we obtain the following

Proposition 3.1. Let s be an integer satisfying $s \ge s_0 = [\frac{n}{2}] + 1$. Assume that the initial value (ϕ_0, ψ_0) satisfies the following conditions.

- (a) $(\phi_0, \psi_0) \in H^s$ and (ϕ_0, ψ_0) satisfies the \widehat{s} -th order compatibility condition, where $\widehat{s} = \left[\frac{s-1}{2}\right]$.
- (b) $\inf_{x} \rho_0(x) \ge -\frac{1}{4} \inf_{x_1} \widetilde{\rho}(x_1)$.

Then there exists a positive number T_0 depending on $\|(\phi_0, \psi_0)\|_{H^s}$ and $\inf_{x_1} \widetilde{\rho}(x_1)$ such that problem (3.1) has a unique solution $(\phi, \psi) \in Z^s(T_0)$ satisfying $\phi(x,t) \geq -\frac{1}{2}\inf_{x_1} \widetilde{\rho}(x_1)$ for all $(x,t) \in \mathbf{R}^n_+ \times [0,T_0]$. Furthermore, there exist constants C > 0 and $\gamma > 0$ depending on s, $\|(\phi_0, \psi_0)\|_{H^s}$ and $\inf_{x_1} \widetilde{\rho}(x_1)$ such that

$$\|(\phi,\psi)\|_{Z^{s}(T_{0})}^{2} \leq C \{1 + \|(\phi_{0},\psi_{0})\|_{H^{s}}^{2}\}^{\gamma} \|(\phi_{0},\psi_{0})\|_{H^{s}}^{2}.$$

We next derive a priori estimates to show the global existence of solution. We define $E_{\sigma}(t)$ and $D_{\sigma}(t)$ by

$$E_{\sigma}(t) = \left(\sup_{0 \le \tau \le t} \left\{ |[\psi(\tau)]|_{\sigma}^{2} + \|\phi(\tau)\|_{H^{\sigma}}^{2} + |[\partial_{\tau}\phi(\tau)]|_{\sigma-1}^{2} \right\} \right)^{1/2}$$

and

$$D_{\sigma}(t) = \begin{cases} \left(\int_{0}^{t} \|\partial_{x}\psi\|_{2}^{2} + \|\phi|_{x_{1}=0}\|_{L^{2}(\mathbf{R}^{n-1})}^{2} d\tau \right)^{1/2} & \text{for } \sigma = 0, \\ \left(\int_{0}^{t} \|\partial_{x}\psi\|_{H^{\sigma}}^{2} + \|\phi|_{x_{1}=0}\|_{L^{2}(\mathbf{R}^{n-1})}^{2} + \|\partial_{x}\phi\|_{H^{\sigma-1}}^{2} + |[\partial_{\tau}\phi]|_{\sigma-1}^{2} + |[\partial_{\tau}\psi]|_{\sigma-1}^{2} d\tau \right)^{1/2} & \text{for } \sigma \geq 1. \end{cases}$$

In what follows we will denote the solution (ϕ, ψ) and the initial value (ϕ_0, ψ_0) by

$$U = (\phi, \psi), \quad U_0 = (\phi_0, \psi_0).$$

Theorem 2.2 follows from Proposition 3.1 and the following a priori estimate.

Proposition 3.2. Let $U = (\phi, \psi)$ be a solution of (3.1) on [0, T]. Assume that $E_s(t) < 1$ for all $t \in [0, T]$. Then there exist constants $\varepsilon_0 > 0$ and C > 0, which are independent of T > 0, such that

$$E_s(t)^2 + D_s(t)^2 \le C \|U_0\|_{H^s}^2$$

for all $t \in [0,T]$, provided that $||U_0||_{H^s} < \varepsilon_0$.

Outline of the proof of Proposition 3.2

As in the one-dimensional problem studied in [4], the point in the proof of Proposition 3.2 is to derive a suitable bound for the L^2 norm of (ϕ, ψ) . Due to the fact that the stationary solution has no shear components, one can obtain the L^2 bound in the same way as in the one-dimensional case in [4].

Proposition 3.3. There exists a constant M > 0 such that if

$$(3.2) E_s(t) \le M$$

for all $t \in [0,T]$, then

$$E_0(t)^2 + D_0(t)^2 \le C\{\|U_0\|_2^2 + R_0(t)^2\},$$

uniformly in $t \in [0,T]$, where C > 0 is independent of T and

$$R_0(t)^2 = -\int_0^t \left\{ (\rho \psi \cdot \nabla \widetilde{u}, \psi) + ((p(\rho) - p(\widetilde{\rho}) - p'(\rho))\phi, \operatorname{div} \widetilde{u}) + (\frac{1}{\widetilde{\rho}}\phi L\widetilde{u}, \psi) \right\} d\tau.$$

Proof. As in [4], we introduce an energy functional based on the energy function defined by

$$ho \mathcal{E} =
ho \{ rac{1}{2} |u|^2 + \Phi(
ho) \}, \quad \Phi(
ho) = \int^{
ho} rac{p(\zeta)}{\zeta^2} \, d\zeta.$$

Note that $\Phi(\rho)$ is a strictly convex function of $\frac{1}{\rho}$. We then define

$$ho\widetilde{\mathcal{E}} =
ho\{rac{1}{2}|\psi|^2 + \Psi(
ho,\widetilde{
ho})\},$$

where

$$\Psi(\rho, \widetilde{\rho}) = \Phi(\rho) - \Phi(\widetilde{\rho}) - \partial_{\frac{1}{\rho}} \Phi(\widetilde{\rho}) \left(\frac{1}{\rho} - \frac{1}{\widetilde{\rho}}\right)$$
$$= \int_{\widetilde{\rho}}^{\rho} \frac{p(\zeta) - p(\widetilde{\rho})}{\zeta^{2}} d\zeta.$$

As shown in [4], $\rho\Psi(\rho,\widetilde{\rho})$ is equivalent to $|\rho-\widetilde{\rho}|^2$ for suitably small $|\rho-\widetilde{\rho}|$, and hence, there are positive constants c_0 and c_1 such that

$$(3.3) c_0^{-1}|U| \le \rho \widetilde{\mathcal{E}} \le c_0|U|,$$

where $U = (\phi, \psi), \phi = \rho - \widetilde{\rho}$ with $|\phi| \leq c_1$.

Since $H^s \hookrightarrow L^{\infty}$ we can find a number M > 0 such that if $E_s(t) \leq M$, then $\|\phi(t)\|_{\infty} \leq c_1$ and $\inf_x \phi(x,t) \geq -\frac{1}{4} \inf_{x_1} \widetilde{\rho}(x_1)$ for all $t \in [0,T]$.

A direct calculation shows

$$\partial_t(\rho \mathcal{E}) + \operatorname{div}\left(\rho u \mathcal{E} + (p(\rho) - p(\widetilde{\rho}))\psi\right) = \mu \operatorname{div}\left(\frac{1}{2}|\nabla \psi|^2\right) + (\mu + \mu')\operatorname{div}\left(\psi \operatorname{div}\psi\right) \\ -\mu|\nabla \psi|^2 - (\mu + \mu')(\operatorname{div}\psi)^2 + \mathcal{R}_0,$$

where $\mathcal{R}_0 = \mathcal{R}_0(x,t)$ is the function defined by

$$\mathcal{R}_0 = -
ho(\psi\cdot
abla\widetilde{u})\cdot\psi - (p(
ho)-p(\widetilde{
ho})-p'(\widetilde{
ho})\phi)\mathrm{div}\,\widetilde{u} - rac{1}{\widetilde{
ho}}\phi\psi\cdot L\widetilde{u}.$$

Proposition 3.3 now follows from this identity and (3.3). This completes the proof.

To estimate higher order derivatives, we rewrite (3.1) as

(3.4)
$$\begin{aligned} \partial_t \phi + u \cdot \nabla \phi + \rho_+ \operatorname{div} \psi &= f, \\ \partial_t \psi + \frac{1}{\rho_+} L \psi + \frac{p'(\rho_+)}{\rho_+} \nabla \phi &= g, \\ \psi|_{x_1=0} &= 0, \\ (\phi, \psi) \to (0, 0) \quad (x_1 \to \infty), \\ (\phi, \psi)|_{t=0} &= (\phi_0, \psi_0) \end{aligned}$$

where $L\psi = -\mu\Delta\psi - (\mu+\mu')\nabla\operatorname{div}\psi$, $f = \widehat{f} + \widetilde{f}$ and $g = -\widetilde{u}\cdot\nabla\psi + \widehat{g}+\widetilde{g}$. Here $\widehat{f} = -\phi\operatorname{div}\psi$, $\widetilde{f} = -(\widetilde{\rho}-\rho_+)\operatorname{div}\psi - \psi\cdot\nabla\widetilde{\rho} - \phi\operatorname{div}\widetilde{u}$, and $\widehat{g} = \widehat{g}^{(1)} + \widehat{g}^{(2)} + \widehat{g}^{(3)}$, $\widetilde{g} = \widetilde{g}^{(1)} + \widetilde{g}^{(2)} + \widetilde{g}^{(3)}$ with

$$\widehat{g}^{(1)} = \widehat{P}(\rho, \rho_+)\phi \nabla \phi, \quad \widehat{g}^{(2)} = \frac{1}{\rho \rho_+}\phi L \psi, \quad \widehat{g}^{(3)} = -\psi \cdot \nabla \psi,$$

$$\widetilde{g}^{(1)} = \widehat{P}(\rho, \rho_+)(\widetilde{\rho} - \rho_+)\nabla\phi + \widehat{P}(\rho, \widetilde{\rho})\phi\nabla\widetilde{\rho},$$

$$\widetilde{g}^{(2)} = \frac{1}{\rho \widetilde{\rho}} (L\widetilde{u}) \phi + \frac{1}{\rho \rho_{+}} (\widetilde{\rho} - \rho_{+}) L \psi,$$

$$\widetilde{g}^{(3)} = -\psi \cdot \nabla \widetilde{u},$$

$$P(\rho_1, \rho_2) = \int_0^1 p''(\rho_2 + \theta(\rho_1 - \rho_2)) d\theta, \quad \widehat{P}(\rho_1, \rho_2) = \frac{p'(\rho_1)}{\rho_1 \rho_2} - \frac{P(\rho_1, \rho_2)}{\rho_2}.$$

Before proceeding further, we introduce some notations. We define $N_{\sigma} \geq 0$ by

$$N_{\sigma}(t)^{2} = \int_{0}^{t} |[\widehat{f}]|_{\sigma}^{2} + |[\widehat{g}]|_{\sigma-1}^{2} + |[\psi \cdot \nabla \phi]|_{\sigma-1}^{2} d\tau$$

$$+ \sum_{1 \leq 2j+|\alpha'| \leq \sigma} \int_{0}^{t} |(\partial_{\tau}^{j} \partial_{x'}^{\alpha'} \widehat{g}, \partial_{\tau}^{j} \partial_{x'}^{\alpha'} \psi)| d\tau$$

$$+ \sum_{1 \leq 2j+|\alpha| \leq \sigma} \int_{0}^{t} |(\operatorname{div} \psi, |\partial_{\tau}^{j} \partial_{x}^{\alpha} \phi|^{2})| d\tau$$

$$+ \sum_{2j+|\alpha| \leq \sigma} \int_{0}^{t} |[\partial_{\tau}^{j} \partial_{x}^{\alpha}, \psi \cdot \nabla] \phi|_{2}^{2} d\tau,$$

where [C, D] denotes the commutator of C and D

$$[C, D] = CD - DC.$$

We also define $R_{\sigma} \geq 0 \ (\sigma \geq 1)$ by

$$R_{\sigma}(t)^{2} = R_{\sigma-1}(t)^{2} + \int_{0}^{t} |[\widetilde{f}]|_{\sigma}^{2} + |[\widetilde{g}]|_{\sigma-1}^{2} + |[\widetilde{u} \cdot \nabla \phi]|_{\sigma-1}^{2} d\tau$$

$$+ \sum_{1 \leq 2j+|\alpha'| \leq \sigma} \int_{0}^{t} |(\partial_{\tau}^{j} \partial_{x'}^{\alpha'} \widetilde{g}, \partial_{\tau}^{j} \partial_{x'}^{\alpha'} \psi)| d\tau$$

$$+ \sum_{1 \leq 2j+|\alpha| \leq \sigma} \int_{0}^{t} |(\operatorname{div} \widetilde{u}, |\partial_{\tau}^{j} \partial_{x}^{\alpha} \phi|^{2})| d\tau$$

$$+ \sum_{2j+|\alpha|+\ell \leq \sigma-1} \int_{0}^{t} |[\partial_{\tau}^{j} \partial_{x}^{\alpha} \partial_{x_{1}}^{\ell+1}, \widetilde{u} \cdot \nabla] \phi||_{2}^{2} d\tau,$$

Proposition 3.4. Let $1 \le \sigma \le s$. Assume that (3.2) holds. Then there exists a constant C > 0 such that

$$E_{\sigma}(t)^{2} + D_{\sigma}(t)^{2} \le C\{\|U_{0}\|_{H^{s}}^{2} + R_{\sigma}(t)^{2} + N_{\sigma}(t)^{2}\}.$$

To prove Proposition 3.4 we introduce a notation

$$|v|_k = \left(\sum_{|lpha|=k} \|\partial_x^lpha v\|_2^2
ight)^{1/2}.$$

We also define $T_{j,\alpha'}$ by

$$T_{j,\alpha'}v = \partial_t^j \partial_{x'}^{\alpha'} v.$$

Proposition 3.4 follows from the following inequalities.

Proposition 3.5. Let σ be a nonnegative integer satisfying $\sigma \leq s$.

(i) Let j and α' satisfy $2j + |\alpha'| = \sigma$. Then

$$||T_{j,\alpha'}U(t)||_2^2 + \int_0^t ||L^{1/2}T_{j,\alpha'}\psi||_2^2 d\tau \le C\{||U_0||_{H^s}^2 + R_{\sigma}(t)^2 + N_{\sigma}(t^2)\},$$

where $||L^{1/2}\psi||_2^2 = \mu ||\nabla \psi||_2^2 + (\mu + \mu') ||\operatorname{div}\psi||_2^2$.

(ii) Let j and α' satisfy $2j + |\alpha'| = \sigma - 1$. Then

$$\|L^{1/2}T_{j,lpha'}\psi(t)\|_2^2 + \int_0^t \|T_{j+1,lpha'}\psi\|_2^2 d au \leq \eta D_\sigma(t)^2 + C_\eta \mathcal{N}_\sigma(t)^2$$

for any $\eta > 0$. Here and in what follows $\mathcal{N}_{\sigma}(t)^2$ denotes

$$\mathcal{N}_{\sigma}(t)^{2} = ||U_{0}||_{H^{s}}^{2} + E_{\sigma-1}(t)^{2} + D_{\sigma-1}(t)^{2} + R_{\sigma}(t)^{2} + N_{\sigma}(t^{2}).$$

(iii) Let j and α' satisfy $2j + |\alpha'| + \ell = \sigma - 1$. Then

$$||T_{j,\alpha'}\partial_{x_1}^{\ell+1}\phi(t)||_2^2 + \int_0^t ||T_{j,\alpha'}\partial_{x_1}^{\ell+1}\phi||_2^2 d\tau$$

$$\leq \eta D_{\sigma}(t)^2 + C_{\eta} \{\mathcal{N}_{\sigma}(t)^2 + \int_0^t ||T_{j+1,\alpha'}\partial_{x_1}^{\ell}\psi||_2^2 + ||\partial_x\partial_{x'}T_{j,\alpha'}\partial_{x_1}^{\ell}\psi||_2^2 d\tau \}$$

for any $\eta > 0$.

(iv) Let j and α' satisfy $2j + |\alpha'| + \ell = \sigma - 1$ and set $\frac{D\phi}{Dt} = \partial_t \phi + u \cdot \nabla \phi$. Then

$$\int_{0}^{t} \left| T_{j,\alpha'} \frac{D\phi}{Dt} \right|_{\ell+1}^{2} d\tau \leq \eta D_{\sigma}(t)^{2} + C_{\eta} \left\{ \mathcal{N}_{\sigma}(t)^{2} + \int_{0}^{t} \|T_{j+1,\alpha'} \partial_{x_{1}}^{\ell} \psi\|_{2}^{2} + \|\partial_{x} \partial_{x'} T_{j,\alpha'} \partial_{x_{1}}^{\ell} \psi\|_{2}^{2} d\tau \right\}$$
for any $\eta > 0$.

(v) Let j and α' satisfy $2j + |\alpha'| + \ell = \sigma - 1$. Then

$$\int_{0}^{t} |T_{j,\alpha'}\psi|_{\ell+2}^{2} + |T_{j,\alpha'}\phi|_{\ell+1}^{2} d\tau \leq C \int_{0}^{t} \{|T_{j+1,\alpha'}\psi|_{\ell}^{2} + |T_{j,\alpha'}f|_{\ell+1}^{2} + |T_{j,\alpha'}\frac{D\phi}{Dt}|_{\ell+1}^{2} + |T_{j,\alpha'}\widetilde{g}|_{\ell}^{2} + |T_{j,\alpha'}\widetilde{g}|_{\ell}^{2} + |T_{j,\alpha'}\widetilde{g}|_{\ell}^{2} \} d\tau.$$

(vi) Let j and α' satisfy $2j + 1 \leq \sigma$. Then

$$\|\partial_t^{j+1}\phi(t)\|_2^2 + \int_0^t \|\partial_{\tau}^{j+1}\phi\|_2^2 d\tau \le \eta D_{\sigma}(t)^2 + C_{\eta}\mathcal{N}_{\sigma}(t)^2$$

for any $\eta > 0$.

Proof. Proposition 3.5 can be proved by the energy method as in [1, 6]. The details can be found in [3].

It remains to estimate R_{σ} and N_{σ} . To estimate R_{0} we will use a special case of Hardy's inequality

(3.5)
$$\left\| \frac{1}{x_1} \int_0^{x_1} v(y) \, dy \right\|_{L^2(0,\infty)} \le C \|v\|_{L^2(0,\infty)}.$$

In a similar manner as in [1, 4], applying (3.5) and the decay estimates in Proposition 2.1 together with the Gagliardo-Nirenberg inequality, one can show that

$$R_0(t)^2 \le C\{\delta D_0(t)^2 + E_s(t)D_s(t)^2\}.$$

Here we note that we also use the monotonicity of $\widetilde{u}^1(x_1)$ when $M_+ = 1$. For $\sigma \geq 1$, one can show, as in [1], that

$$R_{\sigma}(t)^{2} + N_{\sigma}(t)^{2} \le C\{D_{\sigma-1}(t)^{2} + \delta D_{\sigma}(t)^{2} + E_{s}(t)D_{s}(t)^{2}\},$$

provided that $E_s(t) < \min\{M, 1\}$. Therefore, it follows that if δ is sufficiently small and $E_s(t) < \min\{M, 1\}$ then

$$E_s(t)^2 + D_s(t)^2 \le C\{\|U_0\|_{H^s}^2 + E_s(t)D_s(t)^2\},$$

and hence, we conclude that

$$E_s(t)^2 + D_s(t)^2 \le C \|U_0\|_{H^s}^2,$$

provided that $||U_0||_{H^s}$ is sufficiently small. This completes the proof of Proposition 3.2.

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