# A SUPER ANALOG OF THE KHOVANOV-LAUDA-ROUQUIER ALGEBRAS

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# 1. Introduction

In the conference, I reported a joint work [KKT] with Masaki Kashiwara (RIMS) and Seok-Jin Kang (SNU) that proposes a super analog of the Khovanov-Lauda-Rouquier algebras which we call quiver Hecke superalgebras. Our main results [KKT, Theorem 4.4, Theorem 5.4] establish a "Morita superequivalence" (see [KKT, §2.4]) between the cyclotomic quotient of the quiver Hecke superalgebras and the cyclotomic quotient of the affine Hecke-Clifford superalgebras¹ and its degeneration.

If you are interested in our work, I believe the best way to grasp the synopsis is reading the introduction of [KKT] since our motivations and results of the work is best summarized in it.

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### 2. KLR algebras and the symmetric groups

Recently, Khovanov-Lauda and Rouquier independently introduced a remarkable family of algebras (the KLR algebras, the quiver Hecke algebras) that categorifies the negative half of the quantized enveloping algebras associated with symmetrizable Kac-Moody Lie algebras [KL1, KL2, Roul (see Definition 2.1 and Theorem 2.4). An application of the KLR algebras is the gradation of the symmetric group algebras [BK1, Rou] (see Theorem 2.5) which quantizes Ariki's categorification of the Kostant  $\mathbb{Z}$ -form of the basic  $\widehat{\mathfrak{sl}}_p$ -module  $V(\Lambda_0)^{\mathbb{Z}} \cong \bigoplus_{n>0} \mathsf{K}_0(\mathsf{Proj}(\mathbb{F}_p\mathfrak{S}_n))$ . The story is also valid for its q-analog, the Iwahori-Heck algebra of type A.

Definition 2.1 ([KL1, KL2, Rou]). Let k be a field and let I be a finite set. Take a matrix  $Q=(Q_{ij}(u,v))\in \mathsf{Mat}_I(\mathbf{k}[u,v]) \ \ \textit{such that} \ \ Q_{ii}(u,v)=0, Q_{ij}(u,v)=Q_{ji}(v,u) \ \ \textit{for all} \ \ i,j\in I.$ 

- (a) The Khovanov-Lauda-Rouquier algebra (KLR algebra, for short)  $R_n(\mathbf{k};Q)$  for  $n\geq 0$  is a **k**-algebra generated by  $\{x_p, \tau_a, e_{\nu} \mid 1 \leq p \leq n, 1 \leq a < n, \nu \in I^n\}$  with the following defining relations for all  $\mu, \nu \in I^n, 1 \leq p, q \leq n, 1 \leq b < a \leq n-1$ .

- $\begin{array}{lll} \bullet \ e_{\mu}e_{\nu} = \delta_{\mu\nu}e_{\mu}, 1 = \sum_{\mu \in I^n} e_{\mu}, \ x_px_q = x_qx_p, \ x_pe_{\mu} = e_{\mu}x_p, & \bullet \ \tau_a\tau_b = \tau_b\tau_a \ if \ |a-b| > 1, \\ \bullet \ \tau_a^2e_{\nu} = Q_{\nu_a,\nu_{a+1}}(x_a,x_{a+1})e_{\nu}, \ \tau_ae_{\mu} = e_{s_a(\mu)}\tau_a, & \bullet \ \tau_ax_p = x_p\tau_a \ if \ p \neq a, a+1, \\ \bullet \ (\tau_ax_{a+1} x_a\tau_a)e_{\nu} = (x_{a+1}\tau_a \tau_ax_a)e_{\mu} = \delta_{\nu_a,\nu_{a+1}}e_{\nu}, \\ \bullet \ (\tau_{b+1}\tau_b\tau_{b+1} \tau_b\tau_{b+1}\tau_b)e_{\nu} = \delta_{\nu_b,\nu_{b+2}}((x_{b+2} x_b)^{-1}(Q_{\nu_b,\nu_{b+1}}(x_{b+2},x_{b+1}) Q_{\nu_b,\nu_{b+1}}(x_b,x_{b+1})))e_{\nu}. \end{array}$
- (b) For  $\beta = \sum_{i \in I} \beta_i \cdot i \in \mathbb{N}[I]$  with  $n = \operatorname{ht}(\beta) := \sum_{i \in I} \beta_i$ , we define  $R_{\beta}(\mathbf{k}; Q) = R_n(\mathbf{k}; Q)e_{\beta}$  where  $e_{\beta} = \sum_{\nu \in \operatorname{Seq}(\beta)} e_{\nu}$  and  $\operatorname{Seq}(\beta) = \{(i_j)_{j=1}^n \in I^n \mid \sum_{j=1}^n i_j = \beta\}$ .

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<sup>&</sup>lt;sup>1</sup>They can be regarded as a superanalog of the Ariki-Koike algebras.

(c) For  $\lambda = \sum_{i \in I} \lambda_i \cdot i \in \mathbb{N}[I]$  and  $\beta \in \mathbb{N}[I]$  with  $n = \mathsf{ht}(\beta)$ , we define

$$R_n^{\lambda}(\mathbf{k}; Q) = R_n(\mathbf{k}; Q) / R_n(\mathbf{k}; Q) (\sum_{\nu \in I^n} x_1^{\lambda_{h\nu_1}} e_{\nu}) R_n(\mathbf{k}; Q),$$

$$R_{\beta}^{\lambda}(\mathbf{k};Q) = R_{\beta}(\mathbf{k};Q)/R_{\beta}(\mathbf{k};Q)(\sum_{\nu \in \mathsf{Seq}(\beta)} x_1^{\lambda_{h_{\nu_1}}} e_{\nu})R_{\beta}(\mathbf{k};Q).$$

As a consequence of PBW theorem for KLR algebras, we see that  $\{e_{\beta} \mid \mathsf{ht}(\beta) = n\}$  exhausts all the primitive central idempotents of  $R_n(\mathbf{k};Q)$ . Thus,  $R_n(\mathbf{k};Q) = \bigoplus_{\substack{\beta \in \mathbb{N}[I] \\ \mathsf{ht}(\beta) = n}} R_{\beta}(\mathbf{k};Q)$  is a

decomposition into indecomposable factors. It is not difficult to see that both  $R_n^{\lambda}(\mathbf{k}; Q)$  and  $R_{\beta}^{\lambda}(\mathbf{k}; Q)$  are finite dimensional **k**-algebras.

**Definition 2.2** ([KL1, KL2, Rou]). Let  $A = (a_{ij})_{i,j \in I}$  be a symmetrizable generalized Cartan matrix with the symmetrization  $d = (d_i)_{i \in I}$ , i.e., a unique  $d \in \mathbb{Z}^I_{\geq 1}$  such that  $d_i a_{ij} = d_j a_{ji}$  for all  $i, j \in I$  and  $\gcd(d_i)_{i \in I} = 1$ . Take  $Q^A = (Q^A_{ij}(u, v)) \in \mathsf{Mat}_I(\mathbf{k}[u, v])$  subject to

$$Q_{ii}^A(u,v) = 0, \quad Q_{ij}^A(u,v) = Q_{ji}^A(v,u), \quad t_{i,j,-a_{ij},0} = t_{j,i,0,-a_{ij}} \neq 0$$

for all  $i, j \in I$  where  $Q_{ij}^A(u, v) = \sum_{\substack{pd_i+qd_i=-d_ia_i,\\pd_i\neq d_i=-d_ia_i,}} t_{ijpq}u^pv^q$ .

For  $n \geq 0$  and  $\lambda, \beta \in \mathbb{N}[I]$  with  $\mathsf{ht}(\beta) = n$ , all of  $R_n(\mathbf{k}; Q^A)$ ,  $R_{\beta}(\mathbf{k}; Q^A)$ ,  $R_n^{\lambda}(\mathbf{k}; Q^A)$ ,  $R_{\beta}^{\lambda}(\mathbf{k}; Q^A)$  are  $\mathbb{Z}$ -graded via the assignment where  $\nu \in I^n$ ,  $1 \leq p \leq n$ ,  $1 \leq a < n$ .

$$\deg(e_{\nu}) = 0, \quad \deg(x_{p}e_{\nu}) = 2d_{\nu_{p}}, \quad \deg(\tau_{a}e_{\nu}) = -d_{\nu_{a}}a_{\nu_{a},\nu_{a+1}}.$$

**Definition 2.3.** Let R a graded algebra. We denote by  $Proj_{gr}(R)$  the category of finitely generated left graded projective R-modules and degree preserving R-homomorphisms.

The grading shift autoequivalence  $\langle -1 \rangle$ :  $\mathsf{Proj}_{\mathsf{gr}}(R) \xrightarrow{\sim} \mathsf{Proj}_{\mathsf{gr}}(R)$  affords a  $\mathbb{Z}[v,v^{-1}]$ -module structure on  $\mathsf{K}_0(\mathsf{Proj}_{\mathsf{gr}}(R))$  via  $v = [\langle -1 \rangle]$ .

**Theorem 2.4** ([KL1, KL2, Rou]). Let A be a symmetrizable generalized Cartan matrix and let  $\mathscr{A} = \mathbb{Z}[v, v^{-1}]$ . Then, the following categorification results hold (though we don't explain how to define an algebra structure in (a) nor how to define a  $U_v^{\mathscr{A}}(A)$ -module structure in (b)).

- (a) as an  $\mathscr{A}$ -algebra, we have  $\bigoplus_{n>0} \mathsf{K}_0(\mathsf{Proj}_{\mathsf{gr}}(R_n(\mathbf{k};Q^A))) \cong U_v^{-,\mathscr{A}}(A)$ .
- (b) as a  $U_v^{\mathscr{A}}(A)$ -module, we have  $\overline{\bigoplus}_{n\geq 0} \mathsf{K}_0(\mathsf{Proj}_{\mathsf{gr}}(R_n^{\lambda}(\mathbf{k};Q^A))) \cong V(\lambda)^{\mathscr{A}}$ .

Here  $U_v^{\mathscr{A}}(A)$  (resp.  $U_v^{-,\mathscr{A}}(A),V(\lambda)^{\mathscr{A}}$ ) is the Lusztig's  $\mathscr{A}$ -lattice of  $U_v(A)$  (resp.  $U_v^{-}(A),V(\lambda)$ ) and we identify  $\lambda \in \mathcal{P}^+$  with  $\sum_{i \in I} \lambda(h_i) \cdot i \in \mathbb{N}[I]$ .

Recall that 
$$A_{\ell-1}^{(1)}=(2\delta_{ij}-\delta_{i+1,j}-\delta_{i-1,j})_{i,j\in\mathbb{Z}/\ell\mathbb{Z}}$$
 for  $\ell\geq 2$  and  $\widehat{\mathfrak{sl}}_{\ell}=\mathfrak{g}(A_{\ell-1}^{(1)})$ .

**Theorem 2.5** ([BK1, Rou]). Let **k** be a field of characteristic p > 0. Then, as a **k**-algebra we have  $\mathbf{k}\mathfrak{S}_n \cong R_n^{\Lambda_0}(\mathbf{k}; Q^{A_{p-1}^{(1)}})$  where  $Q_{ij}^{A_{p-1}^{(1)}}(u,v) = \pm (u-v)^{-2\delta_{ij}+\delta_{i+1,j}+\delta_{i-1,j}}$  for  $i \neq j \in \mathbb{Z}/p\mathbb{Z}$  (though we don't explain how to choose signs).

You can find related topics to Theorem 2.5 in a well-written survey paper [Kl1] which can be seen as an update of [Kl2].

#### 3. Super representations

We briefly recall our conventions and notations for superalgebras and supermodules following [BK2,  $\S2$ -b] (see also the references therein). Although they are different from [KKT,  $\S2$ ], we review [BK2,  $\S2$ -b] in order to cite [BK2, Tsu]. In this section, we always assume that in our field  $\mathbf{k}$  we have  $2 \neq 0$ .

- 3.1. **Superspaces.** By a vector superspace, we mean a  $\mathbb{Z}/2\mathbb{Z}$ -graded vector space  $V = V_{\overline{0}} \oplus V_{\overline{1}}$  over  $\mathbf{k}$  and denote the parity of a homogeneous vector  $v \in V$  by  $\overline{v} \in \mathbb{Z}/2\mathbb{Z}$ . Given two vector superspaces V and W, an  $\mathbf{k}$ -linear map  $f: V \to W$  is called homogeneous if there exists  $p \in \mathbb{Z}/2\mathbb{Z}$  such that  $f(V_i) \subseteq W_{p+i}$  for  $i \in \mathbb{Z}/2\mathbb{Z}$ . In this case we call p the parity of f and denote it by  $\overline{f}$ .
- 3.2. Superalgebras. A superalgebra A is a vector superspace which is an unital associative **k**-algebra such that  $A_iA_j\subseteq A_{i+j}$  for  $i,j\in\mathbb{Z}/2\mathbb{Z}$ . By an A-supermodule, we mean a vector superspace M which is a left A-module such that  $A_iM_j\subseteq M_{i+j}$  for  $i,j\in\mathbb{Z}/2\mathbb{Z}$ .
- 3.3. Super categories. In the rest of the paper, we only deal with finite-dimensional A-supermodules. Given two A-supermodules V and W, an A-homomorphism  $f:V\to W$  is an k-linear map such that  $f(av)=(-1)^{\overline{fa}}af(v)$  for  $a\in A$  and  $v\in V$ . We denote the set of A-homomorphisms from V to W by  $\operatorname{Hom}_A(V,W)$ . By this, we can form a superadditive category A-smod whose hom-set is a vector superspace in a way that is compatible with composition. However, we adapt a slightly different definition of isomorphisms from the categorical one.
- 3.4. Parity change functors. Two A-supermodules V and W are called evenly isomorphic (and denoted by  $V \simeq W$ ) if there exists an even A-homomorphism  $f: V \to W$  which is an **k**-vector space isomorphism. They are called isomorphic (and denoted by  $V \cong W$ ) if  $V \simeq W$  or  $V \simeq \Pi W$ . Here for an A-supermodule M,  $\Pi M$  is an A-supermodule defined by the same but the opposite grading underlying vector superspace  $(\Pi M)_i = M_{i+\overline{1}}$  for  $i \in \mathbb{Z}/2\mathbb{Z}$  and a new action given as follows from the old one  $a \cdot_{\mathsf{new}} m = (-1)^{\overline{a}} a \cdot_{\mathsf{old}} m$ .
- 3.5. **Types of simple supermodules.** We denote the isomorphism class of an A-supermodule M by [M] and denote the set of isomorphism classes of irreducible A-supermodules by Irr(A-smod). Let us assume that V is irreducible. We say that V is type  $\mathbb{Q}$  if  $V \simeq \Pi V$  otherwise type  $\mathbb{M}$ .
- 3.6. Super tensor products. Given two superalgebras A and B,  $A\otimes B$  with multiplication defined by  $(a_1\otimes b_1)(a_2\otimes b_2)=(-1)^{\overline{b_1}\overline{a_2}}(a_1a_2)\otimes (b_1b_2)$  for  $a_i\in A,b_j\in B$  is again a superalgebra<sup>2</sup>. Let V be an A-supermodule and let W be a B-supermodule. Their tensor product  $V\otimes W$  is an  $A\otimes B$ -supermodule by the action given by  $(a\otimes b)(v\otimes w)=(-1)^{\overline{bv}}(av)\otimes (bw)$  for  $a\in A,b\in B,v\in V,w\in W$ . Let us assume that V and W are both irreducible. If V and W are both of type  $\mathbb{Q}$ , then there exists a unique (up to odd isomorphism) irreducible  $A\otimes B$ -supermodule X of type  $\mathbb{M}$  such that  $V\otimes W\simeq X\oplus \Pi X$  as  $A\otimes B$ -supermodules. We denote X by  $V\circledast W$ . Otherwise  $V\otimes W$  is irreducible but we also write it as  $V\circledast W$ . Note that  $V\circledast W$  is defined only up to isomorphism in general and  $V\circledast W$  is of type  $\mathbb{M}$  if and only if V and W are of the same type.
- 3.7. Grothendieck groups. For a superalgebra A, we define the Grothendieck group  $K_0(A\operatorname{-smod})$  to be the quotient of the  $\mathbb{Z}$ -module freely generated by all finite-dimensional A-supermodules by the  $\mathbb{Z}$ -submodule generated by
  - $V_1 V_2 + V_3$  for every short exact sequence  $0 \to V_1 \to V_2 \to V_3 \to 0$  in A-smod<sub> $\overline{0}$ </sub>.
  - $M \Pi M$  for every A-supermodule M.

Here  $A\operatorname{-smod}_{\overline{0}}$  is the abelian subcategory of  $A\operatorname{-smod}$  whose objects are the same but morphisms are consisting of even  $A\operatorname{-homomorphisms}$ . Clearly,  $\mathsf{K}_0(A\operatorname{-smod})$  is a free  $\mathbb{Z}\operatorname{-module}$  with basis  $\mathsf{Irr}(A\operatorname{-smod})$ . The importance of the operation  $\circledast$  lies in the fact that it gives an isomorphism

(3.1)  $\mathsf{K}_0(A\operatorname{-smod})\otimes_{\mathbb{Z}}\mathsf{K}_0(B\operatorname{-smod})\stackrel{\sim}{\longrightarrow}\mathsf{K}_0(A\otimes B\operatorname{-smod}), \quad [V]\otimes [W]\longmapsto [V\circledast W]$  for two superalgebras A and B.

<sup>&</sup>lt;sup>2</sup>Note that in general we have  $|A \otimes B| \not\cong |A| \otimes |B|$  where for a superalgebra C we denote by |C| the underlying unital associative algebra.

3.8. **Projective supermodules.** Let A be a superalgebra. A projective A-supermodule is, by definition, a projective object in A-smod and it is equivalent to saying that it is a projective object in A-smod since there are canonical isomorphisms

$$\operatorname{Hom}_{A\operatorname{-smod}}(V,W)_{\overline{0}}\cong \operatorname{Hom}_{A\operatorname{-smod}_{\overline{0}}}(V,W),$$
 $\operatorname{Hom}_{A\operatorname{-smod}}(V,W)_{\overline{1}}\cong \operatorname{Hom}_{A\operatorname{-smod}_{\overline{0}}}(V,\Pi W)(\cong \operatorname{Hom}_{A\operatorname{-smod}_{\overline{0}}}(\Pi V,W)).$ 

We denote by Proj(A) the full subcategory of A-smod consisting of all the projective A-supermodules.

3.9. Cartan pairings. Let us assume further that A is finite-dimensional. Then, as in the usual finite-dimensional algebras, every A-supermodule X has a (unique up to even isomorphism) projective cover  $P_X$  in A-smod $_{\overline{0}}$ . If X is irreducible, then  $P_X$  is (evenly) isomorphic to a projective indecomposable A-supermodule. From this, we easily see  $M \cong N$  if and only if  $P_M \cong P_N$  for  $M, N \in Irr(A$ -smod). Thus,  $K_0(Proj(A))$  is identified with  $K_0(A$ -smod)\*  $\stackrel{\text{def}}{=}$   $Hom_{\mathbb{Z}}(K_0(A$ -smod),  $\mathbb{Z})$  through the non-degenerate canonical pairing

$$\langle,\rangle_A:\mathsf{K}_0(\mathsf{Proj}(A))\times\mathsf{K}_0(A\operatorname{-smod})\longrightarrow\mathbb{Z},\\ ([P_M],[N])\longmapsto\begin{cases}\dim\operatorname{Hom}_A(P_M,N)&\text{if type }M=\mathsf{M},\\ \frac{1}{2}\dim\operatorname{Hom}_A(P_M,N)&\text{if type }M=\mathsf{Q},\end{cases}$$

for all  $M \in Irr(A\operatorname{-smod})$  and  $N \in A\operatorname{-smod}$ . Note that the left hand side is nothing but the composition multiplicity [N:M]. We also reserve the symbol

$$\omega_A: \mathsf{K}_0(\mathsf{Proj}(A)) \longrightarrow \mathsf{K}_0(A\operatorname{\mathsf{-smod}})$$

for the natural Cartan map.

- 3.10. Clifford superalgebras. The Clifford superalgebra is defined as  $C_n = C_1^{\otimes n}$  for  $n \geq 0$  where  $C_1$  is a 2-dimensional superalgebra generated by the odd generator C with  $C^2 = 1$ . Assume  $\sqrt{-1} \in \mathbf{k}$ , then  $C_n$  is a split-simple superalgebra, but  $|C_n|$  is split-simple if and only if n is even. We denote by  $U_n = C_1^{\otimes n}$  the Clifford module, i.e., a  $2^{\lfloor (n+1)/2 \rfloor}$ -dimensional irreducible  $C_n$ -supermodule (of type Q iff n is odd) characterized by  $\operatorname{Irr}(C_n\operatorname{-smod}) = \{[U_n]\}$  noting (3.1).
- 3.11. Morita superequivalences. We must clarify our meaning of the terminology Morita superequivalence. Again we emphasize that our meaning of Morita superequivalence in this article is similar to [Kl2, BK2, Wan] and different from that of [KKT, §2.4].

Two superalgebras A and B are called Morita superequivalent of type M if there exist superadditive functors F:A-smod  $\to B$ -smod and G:B-smod  $\to A$ -smod such that  $G\circ F\simeq \operatorname{id}, F\circ G\simeq \operatorname{id}$  and both  $F|_{\operatorname{Irr}(A\operatorname{-smod})}:\operatorname{Irr}(A\operatorname{-smod})\stackrel{\sim}{\to}\operatorname{Irr}(B\operatorname{-smod})$ ;  $\operatorname{Irr}(B\operatorname{-smod})\stackrel{\sim}{\to}\operatorname{Irr}(A\operatorname{-smod})$  are type preserving. We say that A and B are called Morita superequivalent of type Q if there exist superadditive functors  $F:A\operatorname{-smod}\to B\operatorname{-smod}$  and  $G:B\operatorname{-smod}\to A\operatorname{-smod}$  such that  $G\circ F\simeq\operatorname{id}\oplus\Pi$ ,  $F\circ G\simeq\operatorname{id}\oplus\Pi$  and induces type reversing bijections

$$\begin{aligned} &\{[V] \in \operatorname{Irr}(A\operatorname{-smod}) \mid \operatorname{type} V = \mathsf{M}\} \xrightarrow{\sim} \{[W] \in \operatorname{Irr}(B\operatorname{-smod}) \mid \operatorname{type} W = \mathsf{Q}\}, \\ &\{[V] \in \operatorname{Irr}(B\operatorname{-smod}) \mid \operatorname{type} V = \mathsf{M}\} \xrightarrow{\sim} \{[W] \in \operatorname{Irr}(A\operatorname{-smod}) \mid \operatorname{type} W = \mathsf{Q}\}. \end{aligned}$$

We say that A and B are called Morita superequivalent if they are either Morita superequivalent of type A or type A.

**Example 3.1.** Let A be a superalgebra and  $e \in A$  a full even idempotent, i.e.,  $e \in A_{\overline{0}}, e^2 = e$  and  $A = AeA \stackrel{\text{def}}{=} \{\sum_{i=1}^n a_i eb_i \mid a_i, b_i \in A, n \geq 0\}$ . Then, A and eAe are Morita superequivalent of type M.

FIGURE 1. Dynkin diagrams of type  $A_{2\ell}^{(2)}, D_{\ell+1}^{(2)}$  and  $b_{\infty}$ .

Example 3.2. Let A and B superalgebras and suppose there exists a superalgebra isomorphism  $A \otimes \mathcal{C}_n \xrightarrow{\sim} B$  for some  $n \geq 0$ . Then, A and B are Morita equivalent of type Q (resp. type M) if n is odd (resp. n is even) via

$$F: A\operatorname{-smod} \longrightarrow B\operatorname{-smod}, \quad V \longmapsto \operatorname{Hom}_{\mathcal{C}_n}(U_n, V),$$
  
 $G: B\operatorname{-smod} \longrightarrow A\operatorname{-smod}, \quad W \longmapsto W \otimes U_n.$ 

4. Partial categorifications using Hecke-Clifford superalgebras

From now on, we reserve a non-zero quantum parameter  $q \in \mathbf{k}^{\times}$  and set  $\xi = q - q^{-1}$  for convenience. Let us define the affine Hecke-Clifford superalgebra [JN, §3]. Although Jones and Nazarov introduced it under the name of affine Sergeev algebra, we call it affine Hecke-Clifford superalgebra following [BK2, §2-d].

**Definition 4.1** ([JN]). Let  $n \geq 0$  be an integer. The affine Hecke-Clifford superalgebra  $\mathcal{H}_n$  is defined by even generators  $X_1^{\pm 1}, \dots, X_n^{\pm 1}, T_1, \dots, T_{n-1}$  and odd generators  $C_1, \dots, C_n$  with the following relations.

- wing retailors. (1)  $X_i X_i^{-1} = X_i^{-1} X_i = 1, X_i X_j = X_i X_j$  for all  $1 \le i, j \le n$ . (2)  $C_i^2 = 1, C_i C_j + C_j C_i = 0$  for all  $1 \le i \ne j \le n$ . (3)  $T_i^2 = \xi T_i + 1, T_i T_j = T_j T_i, T_k T_{k+1} T_k = T_{k+1} T_k T_{k+1}$  for all  $1 \le k \le n-2$  and  $1 \le i, j \le n-1$  with  $|i-j| \ge 2$ . (4)  $C_i X_i^{\pm 1} = X_i^{\pm 1} C_i, C_i X_j^{\pm 1} = X_j^{\pm 1} C_i$  for all  $1 \le i \ne j \le n$ . (5)  $T_i C_i = C_{i+1} T_i, (T_i + \xi C_i C_{i+1}) X_i T_i = X_{i+1}$  for all  $1 \le i \le n-1$ . (6)  $T_i C_j = C_j T_i, T_i X_j^{\pm 1} = X_j^{\pm 1} T_i$  for all  $1 \le i \le n-1$  and  $1 \le j \le n$  with  $j \ne i, i+1$ .

**Definition 4.2** ([BK2, Tsu]). Let k be a field whose characteristic different from 2 and take  $q \in \mathbf{k}^{\times}$ .

- (a) Rep  $\mathcal{H}_n$  is a full subcategory of  $\mathcal{H}_n$ -smod consisting of  $\mathcal{H}_{\mu}$ -supermodule M such that the set of eigenvalues of  $X_j + X_j^{-1}$  is a subset of  $\{q(i) \mid i \in \mathbb{Z}\}$  for all  $1 \leq j \leq n^3$  where q(i) = 0 $2 \cdot (q^{2i+1} + q^{-(2i+1)})/(q + q^{-1}).$
- (b) Put I be the set of vertices of Dynkin diagram X (see Figure 1) where

$$X = \begin{cases} A_{2\ell}^{(2)} & \text{(if } q^2 \text{ is a primitive } (2\ell+1)\text{-the root of unity for some } \ell \geq 1) \\ D_{\ell+1}^{(2)} & \text{(if } q^2 \text{ is a primitive } 2(\ell+1)\text{-the root of unity for some } \ell \geq 1) \\ b_{\infty} & \text{(if otherwise and moreove we have } q^4 \neq 1). \end{cases}$$

We define for a dominant integral weight  $\lambda \in \mathcal{P}^+$  of X a finite-dimensional quotient superalgebra  $\mathcal{H}_n = \langle f^{\lambda} \rangle$  where  $g^{\lambda} = \prod_{i \in I} (X_1^2 - q(i)X_1 + 1)^{\lambda(h_i)}$  and

$$f^{\lambda} = \begin{cases} g^{\lambda}/((X_1 - 1)^{\lambda(h_0)}(X_1 - 1)^{\lambda(h_{\ell})}) & (if \ X = D_{\ell+1}^{(2)}) \\ g^{\lambda}/(X_1 - 1)^{\lambda(h_0)} & (if \ X = A_{2\ell}^{(2)}, b_{\infty}) \end{cases}$$

 $<sup>^3</sup>$ It is equivalent to require only the set of eigenvalues of  $X_1 + X_1^{-1}$  is a subset of  $\{q(i) \mid i \in \mathbb{Z}\}$  by [BK2, Lemma 4.4].

**Remark 4.3.** In the setting of Definition 4.2 (b), for  $M \in \mathcal{H}_n$ -smod we have  $M \in \text{Rep } \mathcal{H}_n \Leftrightarrow \exists \lambda \in \mathcal{P}^+, f^{\lambda}M = 0$ 

**Theorem 4.4** ([BK2, Tsu]). Let k be an algebraically closed field whose characteristic different from 2 and take  $q \in k^{\times}$  and X as in Definition 4.2 (b). Then, we have the following.

- (a) the graded dual of  $K(\infty)=\bigoplus_{n\geq 0}\mathsf{K}_0(\mathsf{Rep}\,\mathcal{H}_n)$  is isomorphic to  $U_\mathbb{Z}^+$  as graded  $\mathbb{Z}$ -Hopf algebra.
- (b)  $K(\lambda)_{\mathbb{Q}} = \bigoplus_{n \geq 0} \mathbb{Q} \otimes \mathsf{K}_0(\mathcal{H}_n^{\lambda}\text{-smod})$  has a left  $U_{\mathbb{Q}}$ -module structure which is isomorphic to the integrable highest weight  $U_{\mathbb{Q}}$ -module of highest weight  $\lambda$ .
- (c)  $B(\infty) = \bigsqcup_{n>0} \operatorname{Irr}(\operatorname{Rep} \mathcal{H}_n)$  is isomorphic to Kashiwara's crystal associated with  $U_v^-(\mathfrak{g}(X))$ .
- (d)  $B(\lambda) = \bigsqcup_{n \geq 0} \operatorname{Irr}(\mathcal{H}_n^{\lambda}\operatorname{-smod})$  is isomorphic to Kashiwara's crystal associated with the integrable  $U_v(\mathfrak{g}(X))\operatorname{-module}$  of highest weight  $\lambda$ .
- (e)  $K(\lambda)^* = \bigoplus_{n\geq 0} \mathsf{K}_0(\mathsf{Proj}(\mathcal{H})_n^{\lambda})$  and  $K(\lambda) \bigoplus_{n\geq 0} \mathsf{K}_0(\mathcal{H}_n^{\lambda}\text{-smod})$  are two integral lattices of  $K(\lambda)_{\mathbb{Q}}$  containing the trivial representation  $[\mathbf{1}_{\lambda}]$  of  $\mathcal{H}_0^{\lambda} = \mathbf{k}$ . Moreover,  $K(\lambda)^*$  is minimum lattice in the sense that  $K(\lambda)^* = U_{\mathbb{Z}}^{-}[\mathbf{1}_{\lambda}]$ .

Here  $U_{\mathbb{Z}}^{\pm}$  is the  $\pm$ -part of the Kostant  $\mathbb{Z}$ -form of the universal enveloping algebra of  $\mathfrak{g}(X)$  and  $U_{\mathbb{Q}}$  is the  $\mathbb{Q}$ -subalgebra of the universal enveloping algebra of  $\mathfrak{g}(X)$  generated by the Chevalley generators.

Remark 4.5. Since A-smod is not necessarily an abelian category for a superalgebra A, Theorem 4.4 cannot be seen as a categorification result in the usual sense (see for example [KMS]). For example, in the identification Theorem 4.4 (b) neither the action of Chevalley generators  $e_i$  nor  $f_i$  are "exact" functors, of course. We just can assign for each simple module identified up to parity change (which is a basis of the Grothendieck groups (see 3.7)) a well-defined destination in a "module-theoretic" way.

Remark 4.6. Under the identification (b) and (e) of Theorem 4.4, the Cartan pairing on  $K(\lambda)_{\mathbb{Q}}$  coincides with the Shapovalov form [BK2, Tsu]. It is expected but not proved so far<sup>4</sup> that the decomposition of  $K(\lambda)_{\mathbb{Q}}$  comes from the block decomposition of  $\{\mathcal{H}_n^{\lambda} \mid n \geq 0\}$  coincides with the weight space decomposition of the corresponding integrable highest weight module.

### 5. An expectation and two counterexamples

Considering both Theorem 2.4 and Theorem 4.4, it is reasonable to expect that in the setting of Definition 4.2 (b),  $R_n^{\lambda}(X;Q^X)$  and  $\mathcal{H}_n^{\lambda}$  has a "good relation" as Theorem 2.5. However, we believe that this expectation never achieved because of the following two facts.

5.1.  $X=D_2^{(2)}$  case. Let  $q=\exp(2\pi\sqrt{-1}/8)\in \mathbf{k}$  and let char  $\mathbf{k}=0$ . In virtue of Theorem 2.4 and Theorem 4.4, the family of (super)algebras  $\{\mathcal{H}_n^{\Lambda_0}(q)\}_{n\geq 0}$  (resp.  $\{R_n^{\Lambda_0}(\mathbf{k};Q^X)\}_{n\geq 0}$ ) categorifies  $U(\mathfrak{g}(X))$ -module (resp.  $U_v(\mathfrak{g}(X))$ -module)  $V(\Lambda_0)$ .

However, there is no Morita equivalence between  $|\mathcal{H}_4^{\Lambda_0}(X)|$  and  $R_4^{\Lambda_0}(\mathbf{k};Q^X)$  nor Morita superequivalence of type M between  $\mathcal{H}_4^{\Lambda_0}(X)$  and  $R_4^{\Lambda_0}(\mathbf{k};Q^X)$  whatever superalgebra structure we impose  $R_4^{\Lambda_0}(\mathbf{k};Q^X)$  on and for any choice of parameters  $Q^X$ . This is because we have

$$\dim Z(|\mathcal{H}_4^{\Lambda_0}(q)|) = 4 \neq 5 = \dim Z(|R_4^{\Lambda_0}(\mathbf{k};Q^X)|).$$

Because  $\#\operatorname{Irr}(\operatorname{\mathsf{Mod}}_{\operatorname{\mathsf{gr}}}(R_4^{\Lambda_0}(\mathbf{k};Q^X)))=2$  and  $\operatorname{\mathsf{Irr}}(\mathcal{H}_4^{\Lambda_0}(q)\operatorname{\mathsf{-smod}})$  consists of 2 irreducible supermodules of type M, there is no possibility that  $\mathcal{H}_4^{\Lambda_0}(X)$  and  $R_4^{\Lambda_0}(\mathbf{k};Q^X)$  get Morita superequivalence of type Q by defining a superalgebra structure on  $R_4^{\Lambda_0}(\mathbf{k};Q^X)$  appropriately.

<sup>&</sup>lt;sup>4</sup>For the degenerate case, some partial results are known [Ruf].

5.2.  $X = A_2^{(2)}$  and degenerate case. Let us briefly recall the affine Sergeev superalgebra  $\overline{\mathcal{H}}_n$  introduced by Nazarov in his study of spin Young symmetrizers for the symmetric groups [Naz].

**Definition 5.1.** (i) The spin symmetric group superalgebra  $k\mathfrak{S}_n^-$  is defined by odd generators  $\{t_i \mid 1 \leq i \leq n-1\}$  and the following relations

$$t_a^2 = 1$$
,  $t_a t_b = -t_b t_a$  if  $|a - b| > 1$ ,  $t_c t_{c+1} t_c = t_{c+1} t_c t_{c+1}$ .

- (ii) The Sergeev superalgebra is defined as  $\mathcal{Y}_n = \mathbf{k}\mathfrak{S}_n^- \otimes \mathcal{C}_n$  (for super tensor product, see §3.6) where  $C_n$  is the Clifford superalgebra (see §3.10).
- (iii) The affine Sergeev superalgebra  $\overline{\mathcal{H}}_n$  is the k-superalgebra generated by the even generators  $x_1, \ldots, x_n, t_1, \ldots, t_{n-1}$  and the odd generators  $C_1, \ldots, C_n$  with the following relations.

  - (i)  $x_i x_j = x_j x_i$  for all  $1 \le i, j \le n$ , (ii)  $C_i^2 = 1$ ,  $C_i C_j + C_j C_i = 0$  for all  $1 \le i \ne j \le n$ , (iii)  $t_i^2 = 1$ ,  $t_i t_{i+1} t_i = t_{i+1} t_i t_{i+1}$ ,  $t_i t_j = t_j t_i$  ( $|i-j| \ge 2$ ),

  - $\begin{array}{l} \text{(iv)} \ t_iC_j = C_{s_i(j)}t_i, \\ \text{(v)} \ C_ix_j = x_jC_i \ \text{for all} \ 1 \leq i \neq j \leq n, \\ \text{(vi)} \ C_ix_i = -x_iC_i \ \text{for all} \ 1 \leq i \leq n, \end{array}$

  - (vii)  $t_i x_i = x_{i+1} t_i 1 C_i C_{i+1}$ ,  $t_i x_{i+1} = x_i t_i + 1 C_i C_{i+1}$  for all  $1 \le i \le n-1$ ,
  - (viii)  $t_i x_j = x_j t_i$  if  $j \neq i, i + 1$ .

 $\overline{\mathcal{H}}_n$  is an affinization of the Sergeev superalgebra  $\mathcal{Y}_n$  and  $\overline{\mathcal{H}}_n$  has  $\mathcal{Y}_n$  as its finite-dimensional quotient  $\mathcal{Y}_n \cong \overline{\mathcal{H}}_n^{\Lambda_0} := \overline{\mathcal{H}}_n/\langle x_1 \rangle$  since there is a non-trivial superisomorphism

$$(5.1) \mathbf{k}\mathfrak{S}_{n}^{-}\otimes\mathcal{C}_{n} \xrightarrow{\sim} \mathbf{k}\mathfrak{S}_{n}^{-}\ltimes\mathcal{C}_{n} 1\otimes\mathcal{C}_{j} \mapsto 1\otimes\mathcal{C}_{j}, t_{i}\otimes 1 \mapsto \frac{1}{\sqrt{-2}}s_{i}\otimes(\overset{\bullet}{C_{i}}-C_{i+1}).$$

due to Sergeev and Yamaguchi [Ser, Yam]. Note that  $\mathcal{Y}_n$  is Morita superequivalent to  $\mathbf{k}\mathfrak{S}_n^-$  (see Example 3.2),

Modular representation theory of  $\overline{\mathcal{H}}_n$  was considerably developed in [BK2] using the method of Grojnowski [Gro]. A consequence of [BK2] is that the category of finite-dimensional integral  $\overline{\mathcal{H}}_n$ -supermodules partially categorifies  $U^-(\mathfrak{g}(b_\infty))$  (resp.  $U^-(\mathfrak{g}(A_{2\ell}^{(2)}))$  when char  $\mathbf{k}=0$  (resp. char  $\mathbf{k} = 2\ell + 1$  for  $\ell \ge 1$ ) as Theorem 4.4.

Assume char  $\mathbf{k}=3$  and put  $X=A_2^{(2)}$  (see Figure 1). Take a block subsuperalgebra B of  $\overline{\mathcal{H}}_{11}$  which categorifies  $U^-(\mathfrak{g}(X))_{-\nu}$  where  $\nu=8\alpha_0+3\alpha_1$ . Although  $R_{\nu}(\mathbf{k};Q^X)$  categorifies  $U^-_v(\mathfrak{g}(X))_{-\nu}$ ,  $\operatorname{Irr}(\mathsf{Mod}_{\mathsf{gr}}(R_{\nu}(\mathbf{k};Q^X)))$  and  $\operatorname{Irr}(B\operatorname{-smod})$  correspond to different perfect basis at the specialization v=1.

Let us explain in detail. By [BK2] (see also [Kl2, part II]), we have

$$(5.2) \qquad \qquad \bigoplus_{n \geq 0} \mathsf{K}_0(\overline{\mathcal{H}}_n^{\Lambda_0}\operatorname{-smod})_{\mathbb{C}} \cong V(\Lambda_0), \quad \bigsqcup_{n \geq 0} \mathsf{Irr}(\overline{\mathcal{H}}_n^{\Lambda_0}\operatorname{-smod}) \cong \mathsf{RP}_3 \cong B(\Lambda_0)$$

where the left isomorphism is as  $U(\mathfrak{g}(X))$ -modules and the right isomorphism is as  $U_v(\mathfrak{g}(X))$ crystals. In virtue of (5.1) and Example 3.2, the same Lie-theoretic descriptions hold when we replace  $\overline{\mathcal{H}}_n^{\Lambda_0}$  with  $\mathbf{k}\mathfrak{S}_n^-$ .

Recall RP<sub>3</sub> is the set of all 3-restricted 3-strict partitions. A partition  $\lambda = (\lambda_1, \dots, \lambda_r)$  is 3-restricted 3-strict if the following conditions are satisfied [Kan, Kl2, LT].

- $\lambda_k = \lambda_{k+1}$  implies  $\lambda_k \in 3\mathbb{Z}$ ,
- $\lambda_k \lambda_{k+1} < 3$  if  $\lambda_k \in 3\mathbb{Z}$
- $\lambda_k \lambda_{k+1} \leq 3$  if  $\lambda_k \not\in 3\mathbb{Z}$ .

For each  $\lambda \in \mathsf{RP}_3 \cong B(\Lambda_0)$ , we denote by  $V_{\lambda}^{\mathsf{spin}}$  the corresponding isomorphism class of irreducibles of  $\mathbf{k}\mathfrak{S}^-_{|\lambda|}$ . Note that  $V_{\lambda}^{\mathsf{spin}}$  is of type Q if and only if  $\gamma_1(\lambda) := \sum_{k \geq 1} \lfloor \frac{1+\lambda_k}{3} \rfloor$  is odd.

On the other hand, by [KK, LV] we have

$$\bigoplus_{n \geq 0} \mathsf{K}_0(\mathsf{Mod}_{\mathsf{gr}}(R_n^{\Lambda_0}(\mathbf{k};Q^X)))_{\mathbb{C}} \cong V(\Lambda_0), \quad \bigsqcup_{n \geq 0} \mathsf{Irr}(\mathsf{Mod}_{\mathsf{gr}}(R_n^{\Lambda_0}(\mathbf{k};Q^X)) \cong B(\Lambda_0)$$

where the left isomorphism is as  $U_v(\mathfrak{g}(X))$ -modules and the right isomorphism is as  $U_v(\mathfrak{g}(X))$ -crystals. For each  $\lambda \in \mathsf{RP}_3 \cong B(\Lambda_0)$ , we denote by  $V_{\lambda}^{\mathsf{KLR}}$  the corresponding isomorphism class of irreducibles of  $R_n^{\Lambda_0}(\mathbf{k}; Q^X)$ .

If both  $\operatorname{Irr}(\operatorname{\mathsf{Mod}}_{\operatorname{\mathsf{gr}}}(R_{\nu}(\mathbf{k};Q^X)))$  and  $\operatorname{\mathsf{Irr}}(B\operatorname{\mathsf{-smod}})$  correspond (after the specialization v=1) the same perfect basis in the sense of  $[\operatorname{BeKa}]$  on  $U(\mathfrak{g}(X))\operatorname{\mathsf{-module}} V(\Lambda_0)$ , then we must have

$$\dim V_{\lambda}^{\mathsf{spin}}/\dim V_{\lambda}^{\mathsf{KLR}} = 2^{[(1+\gamma_1(\lambda))/2]}$$

for any  $\lambda \in \mathsf{RP}_3$  (see [Kl2, Lemma 22.3.8]). A computer calculation shows that for  $\lambda = (6,4,1)$ , we have  $\dim V_\lambda^{\mathsf{KLR}} = 648$  while it is known that  $\dim V_\lambda^{\mathsf{spin}} = 2880$ . It may be interesting to point out that in history this dimension  $\dim V_\lambda^{\mathsf{spin}} = 2880$  was first miscalculated as  $\dim V_\lambda^{\mathsf{spin}} = 2592$  in [MY]. If it were correct, observing such a direct discrepancy between the KLR algebras and the spin symmetric groups must become more difficult.

## 6. Quiver Hecke superalgebras

**Definition 6.1** ([KKT, §3.1]). Let **k** be a field such that  $2 \neq 0$  and let I be a finite set with parity decomposition  $I = I_{\text{odd}} \sqcup I_{\text{even}}$ . For  $i \in I$ , we denote the parity of i by  $\text{par}(i) \in \mathbb{Z}/2\mathbb{Z}$ , i.e.,  $\text{par}(i) = \overline{1}$  if  $i \in I_{\text{odd}}$  otherwise  $\overline{0}$ . Take  $Q = (Q_{ij}(u, v))$  such that

- $Q_{ij} \in \mathbf{k}\langle u, v \rangle / \langle uv (-1)^{\mathsf{par}(i)\mathsf{par}(j)}vu \rangle$  for all  $i, j \in I$ ,
- $Q_{ij}(u,v) = 0$  for all  $i, j \in I$  with i = j,
- $Q_{ij}(u,v) = Q_{ji}(v,u)$  for all  $i,j \in I$ ,
- $Q_{ij}(u,v) = Q_{ij}(-u,v)$  for all  $i \in I_{\text{odd}}, j \in I$ .
- (a) The quiver Hecke superalgebra<sup>5</sup>  $R_n(\mathbf{k};Q)$  is the  $\mathbf{k}$ -superalgebra generated by  $\{x_p, \tau_a, e_\nu \mid 1 \leq p \leq n, 1 \leq a < n, \nu \in I^n\}$  with parity  $e(\nu) = \overline{0}$ ,  $\overline{x_p e(\nu)} = \operatorname{par}(\nu_p)$ ,  $\overline{\tau_a e(\nu)} = \operatorname{par}(\nu_a) \operatorname{par}(\nu_{a+1})$  with the following defining relations<sup>6</sup> for all  $\mu, \nu \in I^n, 1 \leq p, q \leq n, 1 \leq b < a \leq n-1$ .

$$e_\mu e_\nu = \delta_{\mu\nu} e_\mu, 1 = \sum_{\mu \in I^n} e_\mu, x_p x_q e_\nu = (-1)^{\mathsf{par}(\nu_p)\mathsf{par}(\nu_q)} x_q x_p e_\nu,$$

$$x_p e_\nu = e_\nu x_p, \tau_a \tau_b e_\nu = (-1)^{\mathsf{par}(\nu_a)\mathsf{par}(\nu_{a+1})\mathsf{par}(\nu_b)\mathsf{par}(\nu_{b+1})} \tau_b \tau_a e_\nu if \, |a-b| > 1,$$

$$\tau_a^2 e_{\nu} = Q_{\nu_a,\nu_{a+1}}(x_a,x_{a+1})e_{\nu}, \\ \tau_a e_{\mu} = e_{s_a(\mu)}\tau_a, \\ \tau_a x_p e_{\nu} = (-1)^{\mathsf{par}(\nu_p)\mathsf{par}(\nu_a)\mathsf{par}(\nu_{a+1})}x_p\tau_a e_{\nu} \\ if \ p \neq a, a+1, a \neq a, a \neq b, b \neq a, b \neq a, b \neq b, b \neq$$

$$(\tau_a x_{a+1} - (-1)^{\mathsf{par}(\nu_a)\mathsf{par}(\nu_{a+1})} x_a \tau_a) e_{\nu} = (x_{a+1} \tau_a - (-1)^{\mathsf{par}(\nu_a)\mathsf{par}(\nu_{a+1})} \tau_a x_a) e_{\nu} = \delta_{\nu_a,\nu_{a+1}} e_{\nu},$$

$$(\tau_{b+1}\tau_b\tau_{b+1} - \tau_b\tau_{b+1}\tau_b)e_{\nu} =$$

$$\begin{cases} \frac{Q_{\nu_b,\nu_{b+1}}(x_{b+2},x_{b+1})-Q_{\nu_b,\nu_{b+1}}(x_b,x_{b+1})}{x_{b+2}-x_b}e_{\nu} & \text{ if } \nu_b=\nu_{b+2}\in I_{\mathrm{even}},\\ (-1)^{\mathsf{par}(\nu_b)}(x_{b+2}-x_b)\frac{Q_{\nu_b,\nu_{b+1}}(x_{b+2},x_{b+1})-Q_{\nu_b,\nu_{b+1}}(x_b,x_{b+1})}{x_{b+2}^2-x_b^2}e_{\nu} & \text{ if } \nu_b=\nu_{b+2}\in I_{\mathrm{odd}},\\ 0 & \text{ otherwise} \end{cases}$$

(b) For 
$$\beta = \sum_{i \in I} \beta_i \cdot i \in \mathbb{N}[I]$$
 with  $n = \mathsf{ht}(\beta) := \sum_{i \in I} \beta_i$ , we define  $R_\beta(\mathbf{k}; Q) = R_n(\mathbf{k}; Q) e_\beta$  where  $e_\beta = \sum_{\nu \in \mathsf{Seq}(\beta)} e_\nu$ ,

<sup>&</sup>lt;sup>5</sup>Because when  $I_{\text{odd}} = \emptyset$  the quiver Hecke superalgebra is the same as the Khovanov-Lauda-Rouquier algebra, the notation  $R_n(\mathbf{k}; Q)$  for the quiver Hecke superalgebra is justified.

<sup>6</sup> When  $\nu_b$  is odd,  $Q_{\nu_b,\nu_{b+1}}(x_b,x_{b+1})$  belongs to the commutative ring  $\mathbf{k}[x_b^2,x_{b+1}]$ , and hence we can define  $\frac{Q_{\nu_b,\nu_{b+1}}(x_{b+2},x_{b+1})-Q_{\nu_b,\nu_{b+1}}(x_b,x_{b+1})}{x_{b+2}^2-x_b^2}$  as an element of  $\mathbf{k}[x_b^2,x_{b+1},x_{b+2}^2]$ .

A SUPER ANALOG OF THE KHOVANOV-LAUDA-ROUQUIER ALGEBRAS

FIGURE 2. Dynkin diagrams of type  $A_{2\ell}^{(2)}, D_{\ell+1}^{(2)}$  and  $b_{\infty}$  with parity. Here  $\odot$  indicates an odd vertex.

(c) For  $\lambda = \sum_{i \in I} \lambda_i \cdot i \in \mathbb{N}[I]$  and  $\beta \in \mathbb{N}[I]$  with  $n = \mathsf{ht}(\beta)$ , we define

$$\begin{split} R_n^{\lambda}(\mathbf{k};Q) &= R_n(\mathbf{k};Q)/R_n(\mathbf{k};Q)(\sum_{\nu \in I^n} x_1^{\lambda_{h_{\nu_1}}} e_{\nu})R_n(\mathbf{k};Q), \\ R_{\beta}^{\lambda}(\mathbf{k};Q) &= R_{\beta}(\mathbf{k};Q)/R_{\beta}(\mathbf{k};Q)(\sum_{\nu \in \mathsf{Seq}(\beta)} x_1^{\lambda_{h_{\nu_1}}} e_{\nu})R_{\beta}(\mathbf{k};Q). \end{split}$$

**Definition 6.2** ([BKM, KKT]). A generalized Cartan matrix (GCM) with parity is a GCM  $A = (a_{ij})_{i,j \in I}$  with the parity decomposition  $I = I_{\text{even}} \sqcup I_{\text{odd}}$  such that  $a_{ij} \in 2\mathbb{Z}$  for all  $i \in I_{\text{odd}}$  and  $j \in I$ .

**Definition 6.3** ([KKT, §3.6]). Let  $A = (a_{ij})_{i,j \in I}$  be a symmetrizable GCM with parity. Take the symmetrization  $d = (d_i)_{i \in I}$ . For  $i, j \in I$ , let  $S_{ij}$  be the set of (r, s) where r and s are integers satisfying the following conditions. Note that  $S_{i,j} = \emptyset$  when i = j.

- (i)  $0 \le r \le -a_{ij}$ ,  $0 \le s \le -a_{ji}$  and  $d_i r + d_j s = -d_i a_{ij}$ ,
- (ii)  $r \in 2\mathbb{Z}$  if  $i \in I_{\text{odd}}$  and  $s \in 2\mathbb{Z}$  if  $j \in I_{\text{odd}}$ .

Take a sequence  $(t_{i,j,r,s})_{(r,s)\in S_{ij}}$  in  $\mathbf{k}$  such that  $t_{i,j,r,s}=t_{j,i,s,r}$  and  $t_{i,j,-a_{i,j},0}\neq 0$  and put  $Q_{i,j}^A(u,v)=\sum_{(r,s)\in S_{ij}}t_{i,j,r,s}u^rv^s\in \mathbf{k}_A\langle w,z\rangle/\langle zw-(-1)^{\mathsf{par}(i)}\mathsf{par}(j)wz\rangle.$ 

For  $n \geq 0$  and  $\lambda, \beta \in \mathbb{N}[I]$  with  $\mathsf{ht}(\beta) = n$ , all of  $R_n(\mathbf{k}; Q^A)$ ,  $R_{\beta}(\mathbf{k}; Q^A)$ ,  $R_n^{\lambda}(\mathbf{k}; Q^A)$ ,  $R_{\beta}^{\lambda}(\mathbf{k}; Q^A)$  are  $(\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z})$ -graded via the assignment where  $\nu \in I^n, 1 \leq p \leq n, 1 \leq a < n$ .

$$\deg(e_{\nu}) = (0,\overline{0}), \quad \deg(x_p e_{\nu}) = (2d_{\nu_p}, \mathsf{par}(\nu_p)), \quad \deg(\tau_a e_{\nu}) = (-d_{\nu_a} a_{\nu_a,\nu_{a+1}}, \mathsf{par}(\nu_a) \mathsf{par}(\nu_{a+1})).$$

**Theorem 6.4** ([KKT, Corollary 4.8,Theorem 3.13]). Let  $\mathbf{k}$  be an algebraically closed field whose characteristic different from 2 and take  $q \in \mathbf{k}^{\times}$  and  $X \in \mathsf{Mat}_I(\mathbb{Z})$  as in Definition 4.2 (b) and make X a GCM with parity as in Figure 2. Then,  $\mathcal{H}_n^{\lambda}$  and  $R_n^{\lambda}(X;Q^X)$  are Morita superequivalent (see §3.11) for all  $\lambda \in \mathcal{P}^+$  where we identify  $\lambda \in \mathcal{P}^+$  and  $\sum_{i \in I} \lambda(h_i) \cdot i \in \mathbb{N}[I]$ .

**Remark 6.5.** Actually, in [KKT, Theorem 4.4] we also treat other blocks of  $\mathcal{H}_n$ -smod than Rep  $\mathcal{H}_n$  where Dynkin diagram without parity of type  $a_{\infty}, c_{\infty}, A_{\ell}^{(1)}, C_{\ell}^{(1)}$  appear (in addition to  $b_{\infty}, A_{2\ell}^{(2)}, D_{\ell+1}^{(2)}$  with parity).

$$a_{\infty} \quad \cdots - \underset{\alpha_{-1}}{\circ} - \underset{\alpha_{0}}{\circ} - \underset{\alpha_{1}}{\circ} - \underset{\alpha_{0}}{\circ} - \cdots \qquad A_{1}^{(1)} \quad \underset{\alpha_{0}}{\circ} \Leftrightarrow \underset{\alpha_{1}}{\circ} \quad A_{\ell}^{(1)} \quad \underset{\alpha_{1}}{\circ} \stackrel{\circ}{\underset{\alpha_{2}}{\circ}} \cdots - \underset{\alpha_{\ell}}{\circ} \\ c_{\infty} \quad \underset{\alpha_{0}}{\circ} \Rightarrow \underset{\alpha_{1}}{\circ} - \underset{\alpha_{2}}{\circ} - \underset{\alpha_{3}}{\circ} - \cdots - \underset{\alpha_{\ell}}{\circ} \Leftrightarrow \underset{\alpha_{1}}{\circ} - \cdots - \underset{\alpha_{\ell-1}}{\circ} \Leftrightarrow \underset{\alpha_{\ell}}{\circ}$$

Remark 6.6. We believe that  $R_n^{\lambda}(\mathbf{k};Q^X)$  has simpler representation theory than  $\mathcal{H}_n^{\lambda}$  while they are Morita superequivalent. For example, we conjectured that all the simple supermodules of  $R_n^{\lambda}(\mathbf{k};Q^X)$  are of type M. This "type M phenomenon" are verified in [HW, §6.5]. Moreover, Hill and Wang claims that  $R_n(\mathbf{k};Q^A)$  categorifies the half of quantum Kac-Moody superalgebra introduced by Benkart-Kang-Melville [BKM].

#### SHUNSUKE TSUCHIOKA

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