

RELATIVIZED LASCAR, KIM-PILLAY, SHELAH GROUPS

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ABSTRACT. We study relativized Lascar groups, and show that some fundamental facts about the Galois groups of first-order theories can be generalized to the relativized context.

1. PRELIMINARIES

1.1. Hyperimaginaries and model theoretic Galois groups. The proofs for basic properties of hyperimaginaries can be found on [C11] and [K14]. Most of the basic definitions and facts on the Lascar group can be found on [KL23], [Lee22] and [K14], which collect and generalize the results in [Z02], [CLPZ01], [LP01] and more papers to the context of hyperimaginaries.

We denote the automorphism group of \mathfrak{C} by $\text{Aut}(\mathfrak{C})$ and for $A \subset \mathfrak{C}$, we denote the set of automorphisms of \mathfrak{C} fixing A pointwise by $\text{Aut}_A(\mathfrak{C})$.

Definition 1.1. Let E be an equivalence relation defined on \mathfrak{C}^α and $A \subseteq \mathfrak{C}$. Then E is said to be

- (1) *finite* if the number of its equivalence classes is finite,
- (2) *bounded* if the number of its equivalence classes is small,
- (3) *A-invariant* if for any $f \in \text{Aut}_A(\mathfrak{C})$, $E(a, b)$ if and only if $E(f(a), f(b))$,
- (4) *A-definable* if there is a formula $\varphi(x, y)$ over A such that $\models \varphi(a, b)$ if and only if $E(a, b)$ holds, just *definable* if it is definable over some parameters, and
- (5) *A-type-definable* if there is a partial type $\Phi(x, y)$ over A such that $\models \Phi(a, b)$ if and only if $E(a, b)$ holds, just *type-definable* if it is type-definable over some parameters.

Definition 1.2. Let E be an \emptyset -type-definable equivalence relation on \mathfrak{C}^α . An equivalence class of E is called a *hyperimaginary* and it is denoted by a_E for a representative a . A hyperimaginary a_E is *countable* if $|a|$ is countable.

Definition 1.3. For a hyperimaginary e ,

$$\text{Aut}_e(\mathfrak{C}) := \{f \in \text{Aut}(\mathfrak{C}) : f(e) = e \text{ (setwise)}\}.$$

We say an equivalence relation E is *e-invariant* if for any $f \in \text{Aut}_e(\mathfrak{C})$, $E(a, b)$ holds if and only if $E(f(a), f(b))$ holds.

Definition 1.4. For a hyperimaginary e , we say two ‘objects’ (e.g. elements of \mathfrak{C} , tuples of equivalence classes, enumerations of sets) b and c are *interdefinable over e* if for any $f \in \text{Aut}_e(\mathfrak{C})$, $f(b) = b$ if and only if $f(c) = c$.

Fact 1.5 ([K14, Section 4.1] or [C11, Chapter 15]).

- (1) Any tuple (of elements) b in \mathfrak{C} , any tuple c of imaginaries of \mathfrak{C} , and any tuple of hyperimaginaries are interdefinable with a single hyperimaginary.
- (2) Any hyperimaginary is interdefinable with a sequence of countable hyperimaginaries.

Until the end of this paper, we will fix some arbitrary \emptyset -type-definable equivalence relation E and a hyperimaginary $e := a_E$.

Definition 1.6.

- (1) A hyperimaginary e' is *definable over e* if $f(e') = e'$ for any $f \in \text{Aut}_e(\mathfrak{C})$.
- (2) A hyperimaginary e' is *algebraic over e* if $\{f(e') : f \in \text{Aut}_e(\mathfrak{C})\}$ is finite.
- (3) A hyperimaginary e' is *bounded over e* if $\{f(e') : f \in \text{Aut}_e(\mathfrak{C})\}$ is small.
- (4) The *definable closure* of e , denoted by $\text{dcl}(e)$ is the set of all countable hyperimaginaries e' such that $f(e') = e'$ for any $f \in \text{Aut}_e(\mathfrak{C})$.

- (5) The *algebraic closure* of e , denoted by $\text{acl}(e)$ is the set of all countable hyperimaginaries e' such that $\{f(e') : f \in \text{Aut}_e(\mathfrak{C})\}$ is finite.
- (6) The *bounded closure* of e , denoted by $\text{bdd}(e)$ is the set of all countable hyperimaginaries e' such that $\{f(e') : f \in \text{Aut}_e(\mathfrak{C})\}$ is bounded.

Definition/Remark 1.7.

- (1) By Fact 1.5(2), if $f \in \text{Aut}(\mathfrak{C})$ fixes $\text{bdd}(e)$, then for any hyperimaginary e' which is bounded over e , $f(e') = e'$. Similar statements also hold for $\text{dcl}(e)$ and $\text{acl}(e)$.
- (2) By (1), for a hyperimaginary b_F which is possibly not countable, we write $b_F \in \text{bdd}(e)$ if b_F is bounded over e . We use notation $b_F \in \text{dcl}(e)$, $\text{acl}(e)$ in a similar way.
- (3) Each of $\text{dcl}(e)$, $\text{acl}(e)$, and $\text{bdd}(e)$ is small and interdefinable with a single hyperimaginary (c.f. [C11, Proposition 15.18]). Thus it makes sense to consider $\text{Aut}_{\text{bdd}(e)}(\mathfrak{C})$.

Definition 1.8 ([K14, Section 4.1]). Let b_F and c_F be hyperimaginaries.

- (1) The *complete type of b_F over e* , $\text{tp}_x(b_F/e)$ is a partial type over a

$$\exists z_1 z_2 (\text{tp}_{z_1 z_2}(ba) \wedge F(x, z_1) \wedge E(a, z_2)),$$

whose solution set is the union of automorphic images of b_F over e .

- (2) We write $b_F \equiv_e c_F$ if there is an automorphism $f \in \text{Aut}_e(\mathfrak{C})$ such that $f(b_F) = c_F$. Then, the equivalence relation $x_F \equiv_e y_F$ in variables xy is a -type-definable, given by the partial type:

$$\exists z_1 z_2 w_1 w_2 (E(a, z_1) \wedge E(a, z_2) \wedge \text{tp}(z_1 w_1) = \text{tp}(z_2 w_2) \wedge F(w_1, x) \wedge F(w_2, y)).$$

We also write $\text{tp}_x(b_F/e) = \text{tp}_y(c_F/e)$ for $b_F \equiv_e c_F$.

Now we start to recall the model theoretic Galois groups.

Definition 1.9.

- (1) $\text{Aut}_L(\mathfrak{C}, e)$ is a normal subgroup of $\text{Aut}_e(\mathfrak{C})$ generated by $\{f \in \text{Aut}_e(\mathfrak{C}) : f \in \text{Aut}_M(\mathfrak{C}) \text{ for some } M \models T \text{ such that } e \in \text{dcl}(M)\}$.
- (2) The quotient group $\text{Gal}_L(T, e) = \text{Aut}_e(\mathfrak{C}) / \text{Aut}_L(\mathfrak{C}, e)$ is called the *Lascar group* of T over e .

Fact 1.10 ([KL23, Section 1]).

- (1) $\text{Gal}_L(T, e)$ does not depend on the choice of a monster model up to isomorphism, so it is legitimate to write $\text{Gal}_L(T, e)$ instead of $\text{Gal}_L(\mathfrak{C}, e)$.
- (2) $[\text{Aut}_e(\mathfrak{C}) : \text{Aut}_L(\mathfrak{C}, e)] = |\text{Gal}_L(T, e)| \leq 2^{|T|+|a|}$, which is small.

Fact 1.11 ([Z02, Lemma 1]). Let M be a small model of T such that $e \in \text{dcl}(M)$ and $f, g \in \text{Aut}_e(\mathfrak{C})$. If $\text{tp}(f(M)/M) = \text{tp}(g(M)/M)$, then $f \cdot \text{Aut}_L(\mathfrak{C}, e) = g \cdot \text{Aut}_L(\mathfrak{C}, e)$ as elements in $\text{Gal}_L(T, e)$.

Definition 1.12. Let M be a small model of T such that $e \in \text{dcl}(M)$.

- (1) $S_M(M) = \{\text{tp}(f(M)/M) : f \in \text{Aut}_e(\mathfrak{C})\}$.
- (2) $\nu : S_M(M) \rightarrow \text{Gal}_L(T, e)$ is defined by $\nu(\text{tp}(f(M)/M)) = f \cdot \text{Aut}_L(\mathfrak{C}, e) = [f]$, which is well-defined by Fact 1.11.
- (3) $\mu : \text{Aut}_e(\mathfrak{C}) \rightarrow S_M(M)$ is defined by $\mu(f) = \text{tp}(f(M)/M)$.
- (4) $\pi = \nu \circ \mu : \text{Aut}_e(\mathfrak{C}) \rightarrow \text{Gal}_L(T, e)$, so that $\pi(f) = [f]$ in $\text{Gal}_L(T, e)$.

Remark 1.13 ([KL23, Remark 1.9]). Let $S_x(M) = \{p(x) : |x| = |M| \text{ and } p(x) \text{ is a complete type over } M\}$ be the compact space of complete types. Note that even if $e \in \text{dcl}(M)$, possibly a is not in M , so that $S_M(M)$ is not $\{p \in S_x(M) : \text{tp}(M/e) \subseteq p\}$. But for any small model M such that $e \in \text{dcl}(M)$, $S_M(M)$ is a closed (so compact) subspace.

Proof. Let

$$r(x, a) = \text{tp}(M/e) = \exists z_1 z_2 (\text{tp}_{z_1 z_2}(Ma) \wedge x = z_1 \wedge E(a, z_2))$$

and $r_0(y, M) = \text{tp}(a/M)$. Then

$$r'(x, M) = \exists y (r(x, y) \wedge r_0(y, M))$$

has the same solution set as $\text{tp}(M/e)$. Thus $S_M(M) = \{p \in S_x(M) : r'(x, M) \subseteq p\}$, which is closed. \square

Fact 1.14 ([KL23, Corollary 1.20]).

- (1) We give the quotient topology on $\text{Gal}_L(T, e)$ induced by $\nu : S_M(M) \rightarrow \text{Gal}_L(T, e)$. The quotient topology does not depend on the choice of M .

(2) $\text{Gal}_L(T, e)$ is a quasi-compact topological group.

Definition 1.15. Let $[\text{id}]$ be the identity in $\text{Gal}_L(T, e)$, $\text{Gal}_L^c(T, e)$ be the topological closure of the trivial subgroup of $\text{Gal}_L(T, e)$, and $\text{Gal}_L^0(T, e)$ be the connected component containing the identity in $\text{Gal}_L(T, e)$. Put $\text{Autf}_{\text{KP}}(\mathfrak{C}, e) = \pi^{-1}[\text{Gal}_L^c(T, e)]$ and $\text{Autf}_S(\mathfrak{C}, e) = \pi^{-1}[\text{Gal}_L^0(T, e)]$.

(1) The *KP(-Galois) group of T over e* is

$$\text{Gal}_{\text{KP}}(T, e) := \text{Aut}_e(\mathfrak{C}) / \text{Autf}_{\text{KP}}(\mathfrak{C}, e).$$

(2) The *Shelah(-Galois) group of T over e* is

$$\text{Gal}_S(T, e) := \text{Aut}_e(\mathfrak{C}) / \text{Autf}_S(\mathfrak{C}, e).$$

Note that we have

$$\text{Gal}_{\text{KP}}(T, e) \cong \text{Gal}_L(T, e) / \text{Gal}_L^c(T, e), \quad \text{Gal}_S(T, e) \cong \text{Gal}_L(T, e) / \text{Gal}_L^0(T, e).$$

Definition 1.16. Given hyperimaginaries b_F, c_F , they are said to have the same

- (1) *Lascar strong type* over e if there is $f \in \text{Autf}_L(\mathfrak{C}, e)$ such that $f(b_F) = c_F$, and it is denoted by $b_F \equiv_e^L c_F$,
- (2) *KP strong type* over e (where KP is an abbreviation for Kim-Pillay) if there is $f \in \text{Autf}_{\text{KP}}(\mathfrak{C}, e)$ such that $f(b_F) = c_F$, and it is denoted by $b_F \equiv_e^{\text{KP}} c_F$, and
- (3) *Shelah strong type* over e if there is $f \in \text{Autf}_S(\mathfrak{C}, e)$ such that $f(b_F) = c_F$, and it is denoted by $b_F \equiv_e^S c_F$.

Fact 1.17 ([KL23]). *Let b_F and c_F be hyperimaginaries.*

- (1) $b_F \equiv_e^L c_F$ if and only if for any e -invariant bounded equivalence relation E which is coarser than F , $E(b, c)$ holds; $x_F \equiv_e^L y_F$ is the finest such an equivalence relation among them.
- (2) The following are equivalent.
 - (a) $b_F \equiv_e^{\text{KP}} c_F$.
 - (b) $b_F \equiv_{\text{bdd}(e)} c_F$.
 - (c) For any e -invariant type-definable bounded equivalence relation E which is coarser F , $E(b, c)$ holds ($x_F \equiv_e^{\text{KP}} y_F$ is the finest such an equivalence relation among them).
- (3) The following are equivalent.
 - (a) $b_F \equiv_e^S c_F$.
 - (b) $b_F \equiv_{\text{acl}(e)} c_F$.
 - (c) For any e -invariant type-definable equivalence relation L coarser than F , if b_L has finitely many conjugates over e , then $L(b, c)$ holds.

If F is just = so that b_F and c_F are just real tuples, then we can omit “coarser than F ”.

Fact 1.18. *Let F be an e -invariant type-definable equivalence relation on \mathfrak{C}^α . Then there is an \emptyset -type-definable equivalence relation F' such that for any $c \in \mathfrak{C}^\alpha$, c_F and $(ca)_{F'}$ are interdefinable over e so that we can ‘replace’ an equivalence class of an e -invariant type-definable equivalence relation with a hyperimaginary.*

Proof. Since F is $e(= a_E)$ -invariant, F is type-definable over a , say by $F(x, y; a)$. Then for $p(x) = \text{tp}(a)$, put

$$F'(xz, yw) := (F(x, y; z) \wedge E(z, w) \wedge p(z) \wedge p(w)) \vee xz = yw.$$

Then F' is the desired one. \square

1.2. Relativized model theoretic Galois groups. From now on, we fix an e -invariant partial type $\Sigma(x)$ where x is a possibly infinite tuple of variables, that is, for any $f \in \text{Aut}_e(\mathfrak{C})$, $b \models \Sigma(x)$ if and only if $f(b) \models \Sigma(x)$. We write $\Sigma(\mathfrak{C})$ for the set of tuples b of elements in \mathfrak{C} such that $b \models \Sigma(x)$.

Definition 1.19 (Restriction of automorphism groups to Σ). Let $X \in \{L, \text{KP}, S\}$.

- (1) $\text{Aut}_e(\Sigma) = \text{Aut}_e(\Sigma(\mathfrak{C})) = \{f \upharpoonright \Sigma(\mathfrak{C}) : f \in \text{Aut}_e(\mathfrak{C})\}$.
- (2) For a cardinal λ , $\text{Autf}_X^\lambda(\Sigma, e) =$

$$\{\sigma \in \text{Aut}_e(\Sigma) : \text{for any of tuple } b = (b_i)_{i < \lambda} \text{ where each } b_i \models \Sigma(x_i), b \equiv_e^X \sigma(b)\}.$$
- (3) $\text{Autf}_X(\Sigma, e) =$

$$\{\sigma \in \text{Aut}_e(\Sigma) : \text{for any cardinal } \lambda \text{ and for any tuple } b = (b_i)_{i < \lambda} \\ \text{with each } b_i \models \Sigma(x_i), b \equiv_e^X \sigma(b)\}.$$

Remark 1.20. For $X \in \{L, KP, S\}$, it is easy to check that $\text{Aut}_X^\lambda(\Sigma, e)$ and $\text{Aut}_X(\Sigma, e)$ are normal subgroups of $\text{Aut}_e(\Sigma)$.

Definition 1.21.

- (1) For $X \in \{L, KP, S\}$, for any cardinal λ , $\text{Gal}_X^\lambda(\Sigma, e) = \text{Aut}_e(\Sigma) / \text{Aut}_X^\lambda(\Sigma, e)$.
- (2) $\text{Gal}_L(\Sigma, e) = \text{Aut}_e(\Sigma) / \text{Aut}_L(\Sigma, e)$ is the *Lascar(-Galois) group over e relativized to Σ* .
- (3) $\text{Gal}_{KP}(\Sigma, e) = \text{Aut}_e(\Sigma) / \text{Aut}_{KP}(\Sigma, e)$ is the *KP(-Galois) group over e relativized to Σ* .
- (4) $\text{Gal}_S(\Sigma, e) = \text{Aut}_e(\Sigma) / \text{Aut}_S(\Sigma, e)$ is the *Shelah(-Galois) group over e relativized to Σ* .

Remark 1.22.

- (1) For $X \in \{L, KP, S\}$, if $[f] = [\text{id}]$ in $\text{Gal}_X(T, e)$, then $[f] = [\text{id}]$ in $\text{Gal}_X(\Sigma, e)$.
- (2) In general, $\text{Gal}_L^1(\Sigma) \neq \text{Gal}_L^2(\Sigma)$ (c.f. [DKKL21, Example 2.3]).

Fact 1.23.

- (1) $\text{Aut}_L(\Sigma, e) = \text{Aut}_L^\omega(\Sigma, e)$.
- (2) $\text{Aut}_{KP}(\Sigma, e) = \text{Aut}_{KP}^\omega(\Sigma, e)$.
- (3) $\text{Aut}_S(\Sigma, e) = \text{Aut}_S^\omega(\Sigma, e)$.

Proof. (1): The proof is the same as [DKL17, Remark 3.3] and [Lee22, Proposition 6.3] over a hyperimaginary, so we omit it.

(2): $\sigma \in \text{Aut}_{KP}(\Sigma, e)$ if and only if for any tuple b of realizations of Σ , $b \equiv_e^{KP} \sigma(b)$. But \equiv_e^{KP} is equivalent to $\equiv_{\text{bdd}(e)}$ (which is type-definable) by Fact 1.17, thus if $b' \equiv_e^{KP} \sigma(b)'$ for any corresponding subtuples $b', \sigma(b)'$ of $b, \sigma(b)$, which are tuples of finitely many realizations of Σ , then $b \equiv_e^{KP} \sigma(b)$ by compactness. Thus if $\sigma \in \text{Aut}_L^\omega(\Sigma, e)$, then $\sigma \in \text{Aut}_L(\Sigma, e)$. The proof for (3) is the same as (2). \square

Fact 1.24 ([DKL17, Proposition 3.6] or [Lee22, Proposition 6.5]). *The relativized Lascar group $\text{Gal}_L(\Sigma, e)$ does not depend on the choice of \mathfrak{C} .*

2. TOPOLOGY ON RELATIVIZED MODEL THEORETIC GALOIS GROUPS

In this section, we will generalize Fact 1.14 and Fact 1.17 into the relativized Lascar group. More precisely, we will find a topology \mathfrak{t} on the relativized Lascar group $\text{Gal}_L(\Sigma, e)$ such that

- the group $\text{Gal}_L(\Sigma, e)$ is a quasi-compact group with respect to \mathfrak{t} , and
- $\text{Aut}_{KP}(\Sigma, e) = \pi'^{-1}[\text{Gal}_L^0(\Sigma, e)]$ and $\text{Aut}_S(\Sigma, e) = \pi'^{-1}[\text{Gal}_L^c(\Sigma, e)]$,

where $\pi' : \text{Aut}_e(\Sigma) \rightarrow \text{Gal}_L(\Sigma, e)$ is the natural surjective map, and $\text{Gal}_L^0(\Sigma, e)$ and $\text{Gal}_L^c(\Sigma, e)$ are the identity closure and the connected component of $\text{Gal}_L(\Sigma, e)$ in the topology \mathfrak{t} .

Definition 2.1. A small tuple b of realizations of Σ is called a *Lascar tuple* (in Σ) if $\text{Aut}_L(\Sigma, e) = \{\sigma \in \text{Aut}_e(\Sigma) : b \equiv_e^L \sigma(b)\}$.

Lemma 2.2. *For any e -invariant partial type $\Sigma(x)$, there is a Lascar tuple in Σ .*

Proof. By Fact 1.10(2), the set of Lascar equivalence classes

$$C = \{c \equiv_e^L : c \text{ is a countable tuple of realizations of } \Sigma\}$$

is small, say its cardinal is κ .

Let $b = (b_i : i < \kappa)$ be a small tuple that collects representatives of Lascar classes in C , only one for each class. Then b is the desired one; by Fact 1.23, it is enough to show that for an automorphism $f \in \text{Aut}_e(\mathfrak{C})$, if $b \equiv_e^L f(b)$, then for any tuple d of countable realizations of Σ , $d \equiv_e^L f(d)$. Note that there is $i < \kappa$ such that $d \equiv_e^L b_i$. Then we have

$$d \equiv_e^L b_i \equiv_e^L f(b_i) \equiv_e^L f(d)$$

where the last equivalence follows from the invariance of \equiv_e^L . \square

Remark 2.3.

- (1) Any small tuple of realizations of Σ can be extended into a Lascar tuple.
- (2) Any concatenation of two Lascar tuples is again a Lascar tuple.

Now we fix a Lascar tuple b in Σ and a small model M with $b \in M$ and $e \in \text{dcl}(M)$. Let

$$\begin{aligned} S_b(b) &:= \{\text{tp}(\sigma(b)/b) : \sigma \in \text{Aut}_e(\Sigma)\} \\ &= \{\text{tp}(f(b)/b) : f \in \text{Aut}_e(\mathfrak{C})\}. \end{aligned}$$

For the natural restriction map $r : S_M(M) \rightarrow S_b(b)$, which is continuous with respect to the logic topology on the type space, we have that $S_b(b)$ is a compact space. Next, Consider a map

$$\nu_b : S_b(b) \rightarrow \text{Gal}_L^\lambda(\Sigma, e), \quad p = \text{tp}(\sigma(b)/b) \mapsto [\sigma].$$

Then, it is not hard to check that ν_b is well-defined because b is a Lascar tuple (the same proof of Fact 1.11 works).

Remark 2.4. We have the following commutative diagram of natural surjective maps:

$$\begin{array}{ccccccccc} \text{Aut}_e(\mathfrak{C}) & \xrightarrow{\mu} & S_M(M) & \xrightarrow{\nu} & \text{Gal}_L(T, e) & \xrightarrow{\eta_{\text{KP}}} & \text{Gal}_{\text{KP}}(T, e) & \xrightarrow{\eta_S} & \text{Gal}_S(T, e) \\ \downarrow \xi & & \downarrow r & & \downarrow \xi_L & & \downarrow \xi_{\text{KP}} & & \downarrow \xi_S \\ \text{Aut}_e(\Sigma) & \xrightarrow{\mu_b} & S_b(b) & \xrightarrow{\nu_b} & \text{Gal}_L(\Sigma, e) & \xrightarrow{\eta_{\text{KP}, \Sigma}} & \text{Gal}_{\text{KP}}(\Sigma, e) & \xrightarrow{\eta_{S, \Sigma}} & \text{Gal}_S(\Sigma, e) \end{array}$$

Put $\pi_b := \nu_b \circ \mu_b$ and $\pi_\Sigma := \pi_b \circ \xi = \xi_L \circ \pi$. Note that $\pi = \nu \circ \mu : \text{Aut}_e(\mathfrak{C}) \rightarrow \text{Gal}_L(T, e)$ and $\pi_b = \pi' : \text{Aut}_e(\Sigma) \rightarrow \text{Gal}_L(\Sigma, e)$.

Remark 2.5 (Relativized Galois groups are topological groups). Consider a topology \mathfrak{t}_b on $\text{Gal}_L(\Sigma, e)$ given by the quotient topology via ν_b . Then, $(\text{Gal}_L(\Sigma, e), \mathfrak{t}_b)$ is a quasi-compact topological group whose topology \mathfrak{t}_b is independent of the choice of a Lascar tuple b .

Proof. Then the restriction map $r : S_M(M) \rightarrow S_b(b)$ is a continuous surjective map between compact Hausdorff spaces, hence a quotient map. Thus $\nu_b : S_b(b) \rightarrow \text{Gal}_L(\Sigma, e)$ and $\nu_b \circ r : S_M(M) \rightarrow \text{Gal}_L(\Sigma, e)$ induce the same quotient topology on $\text{Gal}_L(\Sigma, e)$.

But the quotient topology on $\text{Gal}_L(\Sigma, e)$ given by the natural projection map $\xi_L : \text{Gal}_L(T, e) \rightarrow \text{Gal}_L(\Sigma, e)$ is also the same as the above topology, thus the topology of $\text{Gal}_L(\Sigma, e)$ is independent of the choice b (Fact 1.14) and it is a topological group since a quotient group of a topological group with quotient topology is a topological group (it is the same reasoning as [DKL17, Remark 3.4]). \square

The following is a relativized analogue of [KL23, Proposition 2.3]. The purpose of Proposition 2.6 is to interpret closed subgroups of $\text{Gal}_L(\Sigma, e)$ using bounded hyperimaginaries.

Proposition 2.6. *Let $H \leq \text{Aut}_e(\mathfrak{C})$. The following are equivalent.*

- (1) $\pi_\Sigma(H)$ is closed in $\text{Gal}_L(\Sigma, e)$ and $H = \pi_\Sigma^{-1}[\pi_\Sigma(H)]$.
- (2) $H = \text{Aut}_{e'e}(\mathfrak{C})$ for some hyperimaginary $e' \in \text{bdd}(e)$, and one of the representatives of e' is a tuple of realizations of Σ .

Proof. The method of proof is the same as the proof of [KL23, Proposition 2.3], but we use a Lascar tuple b instead of a model M .

(\Rightarrow): We have

$$\text{Aut}_b(\mathfrak{C}) = \text{Aut}_{be}(\mathfrak{C}) \leq \xi^{-1}[\text{Aut}_L(\Sigma, e)] \leq H$$

and since $\pi_\Sigma(H)$ is closed, $\nu_b^{-1}(\pi_\Sigma(H))$ is closed and thus $\{h(b) : h \in H\}$ is type-definable over b . Hence by [KL23, Proposition 2.2], $H = \text{Aut}_{b_F e}(\mathfrak{C})$ for some \emptyset -type-definable equivalence relation F .

We have $b_F \in \text{bdd}(e)$ because $[\text{Aut}_e(\mathfrak{C}) : H] = \kappa$ is small since $\text{Aut}_L(\mathfrak{C}, e) \leq H$, and so there is $\{f_i \in \text{Aut}_e(\mathfrak{C}) : i < \kappa\}$ such that $\text{Aut}_e(\mathfrak{C}) = \bigsqcup_{i < \kappa} f_i \cdot H$. Then for all $g, h \in \text{Aut}_e(\mathfrak{C})$, if $g \cdot H = h \cdot H$, then $h^{-1}g \in H$ and hence $g(b_F) = h(b_F)$.

(\Leftarrow): Say $e' = c_F$ where c is a tuple of realizations of Σ and F is an \emptyset -type-definable equivalence relation. By Remark 2.3 and Remark 2.5, we may choose a Lascar tuple b which contains c . For $q(x) = \text{tp}(c/e)$, because $e' \in \text{bdd}(e)$,

$$F'(z_1, z_2) := (q(z_1) \wedge q(z_2) \wedge F(z_1, z_2)) \vee (\neg q(z_1) \wedge \neg q(z_2))$$

is an e -invariant bounded equivalence relation on $\mathfrak{C}^{|\mathfrak{C}|}$. Since c is a tuple in $\Sigma(\mathfrak{C})$, for any $\sigma \in \text{Aut}_L(\Sigma, e)$, $\sigma(c) \equiv_e^L c$ and thus $F'(\sigma(c), c)$ by Fact 1.17(1). Note that $c_F = c_{F'}$, so we have $\xi^{-1}[\text{Aut}_L(\Sigma, e)] \leq H = \text{Aut}_{c_F e}(\mathfrak{C})$, hence $\pi_\Sigma^{-1}[\pi_\Sigma[H]] = H$. Notice that $H = \{f \in \text{Aut}_e(\mathfrak{C}) : f(c) \models F(z, c)\}$. Then $\nu_b^{-1}[\pi_\Sigma[H]] = \{p(z') \in S_b(b) : F(z, c) \subseteq p(z')\}$ where $z \subseteq z'$ and $|z'| = |b|$. Thus H is closed. \square

Definition 2.7.

- (1) For $H \leq \text{Aut}_e(\mathfrak{C})$, \equiv^H is an orbit equivalence relation such that for tuples b, c in \mathfrak{C} , $b \equiv^H c$ if and only if there is $h \in H$ such that $h(b) = c$.
- (2) For $H \leq \text{Aut}_e(\Sigma)$, we will use the same notation \equiv^H but it is confined to the tuples of realizations of Σ .

Remark 2.8. Let $H \leq \text{Aut}_e(\Sigma)$ and c, d be tuples of realizations of Σ .

- (1) If $H = \text{Aut}_L(\Sigma, e)$, then $c \equiv^H d$ if and only if $c \equiv_e^L d$.
- (2) If $H = \text{Aut}_{KP}(\Sigma, e)$, then $c \equiv^H d$ if and only if $c \equiv_e^{KP} d$.
- (3) If $H = \text{Aut}_S(\Sigma)$, then $c \equiv^H d$ if and only if $c \equiv_e^S d$.

Proposition 2.9. Give the following topology on $\text{Aut}_e(\Sigma)$: Its basic open sets are of the form $O_{c,d}$ where c and d are tuples of finitely many realizations of Σ , and $\sigma \in O_{c,d}$ if and only if $\sigma(c) = d$. Then $\pi_b : \text{Aut}_e(\Sigma) \rightarrow \text{Gal}_L(\Sigma, e)$ is continuous.

Proof. It can be proved in the same way as in [KL23, Proposition 3.1] using a Lascar tuple b . Let U be an open subset of $\text{Gal}_L(\Sigma, e)$ and $[\sigma] = \sigma \cdot \text{Aut}_L(\Sigma, e) \in U$. Then $\nu_b^{-1}[U]$ is open and so there is a basic open set $V_{\varphi(x)} = \{p \in S_b(b) : \varphi(x) \in p\} \subseteq \nu_b^{-1}[U]$ such that $\text{tp}(\sigma(b)/b) \in V_{\varphi(x)}$. Let b_0 be subtuple of b , which is a tuple of finitely many realizations of Σ and contains the finite tuple of b which corresponds to $\varphi(x)$ in $V_{\varphi(x)}$. Then $\mu_b^{-1}[V_{\varphi(x)}] = \{\tau \in \text{Aut}_e(\Sigma) : \tau(b_0) \models \varphi(x)\}$ contains σ . Note that the basic open set $O_{b_0, \sigma(b_0)} = \{\tau \in \text{Aut}_e(\Sigma) : \tau(b_0) = \sigma(b_0)\}$ contains σ and is contained in $\mu_b^{-1}[V_{\varphi(x)}]$. Thus $\pi_b^{-1}[U]$ is open. \square

Proposition 2.10. Let H be a subgroup of $\text{Aut}_e(\Sigma)$ containing $\text{Aut}_L(\Sigma, e)$ such that $\pi_b(H)$ is a closed subgroup of $\text{Gal}_L(\Sigma, e)$. Then

- (1) For any tuples c and d of realizations of Σ , $c \equiv^H d$ if and only if for all corresponding subtuples c' and d' of c and d , which are tuples of finitely many realizations of Σ , $c' \equiv^H d'$.
- (2) $H = \{\sigma \in \text{Aut}_e(\Sigma) : \sigma \text{ fixes all the } \equiv^H \text{-classes of any tuples of realizations of } \Sigma\}$
 $= \{\sigma \in \text{Aut}_e(\Sigma) : \sigma \text{ fixes all the } \equiv^H \text{-classes of any tuples of finitely many realizations of } \Sigma\}.$

Proof. (1): Suppose that for all corresponding subtuples c' and d' of c and d , which are tuples of finitely many realizations of Σ , $c' \equiv^H d'$. Note that, by Proposition 2.6, $H' := \pi_\Sigma^{-1}[\pi_b[H]] = \text{Aut}_{e'e}(\mathfrak{C})$ for some hyperimaginary $e' \in \text{bdd}(e)$ such that one of its representatives is a tuple of realizations of Σ . Then by commutativity of diagram in Remark 2.4, $H = \xi[H'] = \text{Aut}_{e'e}(\Sigma)$ and so $c \equiv^H d$ if and only if $\text{tp}(c/e'e) = \text{tp}(d/e'e)$. Since $\text{tp}(c'/e'e) = \text{tp}(d'/e'e)$ for all corresponding subtuples c' and d' of c and d , which are tuples of finitely many realizations of Σ , by compactness, we have that $\text{tp}(c/e'e) = \text{tp}(d/e'e)$ and so $c \equiv^H d$.

(2): We show that

$$H \supseteq \{\sigma \in \text{Aut}_e(\Sigma) : \sigma \text{ fixes all the } \equiv^H \text{-classes of any tuples of finitely many realizations of } \Sigma\}.$$

Let σ be an element on the right. For any subtuple b' of b , which is a tuple of finitely many realizations of Σ , $b' \equiv^H \sigma(b')$, and so by (1), $b \equiv^H \sigma(b)$. Thus there is $\tau \in H$ such that $\tau(b) = \sigma(b)$ and $\tau^{-1}\sigma(b) = b$. We have

$$\tau^{-1}\sigma \in \text{Aut}_b(\Sigma) \leq \text{Aut}_L(\Sigma, e) \leq H,$$

and conclude that $\sigma \in \tau H = H$. \square

Definition 2.11.

- (1) $\text{Gal}_L^c(\Sigma, e)$ is the topological closure of the trivial subgroup of $\text{Gal}_L(\Sigma, e)$.
- (2) $\text{Gal}_L^0(\Sigma, e)$ is the connected component containing the identity in $\text{Gal}_L(\Sigma, e)$.

Note that $\text{Gal}_L^c(\Sigma, e)$ and $\text{Gal}_L^0(\Sigma, e)$ are closed normal subgroups.

Lemma 2.12. $\text{Aut}_{KP}(\Sigma, e)/\text{Aut}_L(\Sigma, e)$ and $\text{Aut}_S(\Sigma, e)/\text{Aut}_L(\Sigma, e)$ are closed in $\text{Gal}_L(\Sigma, e)$.

Proof. We have

$$\nu_b^{-1}\eta_{KP, \Sigma}^{-1}[\text{Aut}_{KP}(\Sigma, e)/\text{Aut}_L(\Sigma, e)] = \{\text{tp}(f(b)/b) : b \equiv_e^{KP} f(b)\}.$$

By Fact 1.17(2) and the proof of Remark 1.13, \equiv_e^{KP} is type-definable and there is an e -invariant partial type $\Gamma(x, y)$ over b such that $\{\text{tp}(f(b)/b) : b \equiv_e^{KP} f(b)\} = \{p(x) \in S_b(b) : \models \Gamma(x, b)\}$, implying that $\text{Aut}_{KP}(\Sigma, e)/\text{Aut}_L(\Sigma, e)$ is closed. The proof for $\text{Aut}_S(\Sigma, e)/\text{Aut}_L(\Sigma, e)$ is exactly the same; replace KP by S. \square

Proposition 2.13.

- (1) Let $H = \pi_b^{-1}(\text{Gal}_L^c(\Sigma, e)) \leq \text{Aut}_e(\Sigma)$. Then $c \equiv^H d$ if and only if $c \equiv_e^{\text{KP}} d$.
 (2) Let $H = \pi_b^{-1}(\text{Gal}_L^0(\Sigma)) \leq \text{Aut}_e(\Sigma)$. Then $c \equiv^H d$ if and only if $c \equiv_e^S d$.

Proof. (1): By Proposition 2.6, we know that $\pi_\Sigma^{-1}[\text{Gal}_L^c(\Sigma, e)] = \text{Aut}_{e'e}(\mathfrak{C})$ for $e' \in \text{bdd}(e)$. Thus by commutativity of diagram in Remark 2.4, $H = \xi(\text{Aut}_{e'e}(\mathfrak{C})) = \text{Aut}_{e'e}(\Sigma)$ and so $c \equiv^H d$ if and only if $c \equiv_{e'e} d$. By Fact 1.17(2), $c \equiv_e^{\text{KP}} d$ if and only if $c \equiv_{\text{bdd}(e)} d$, and $e' \in \text{bdd}(e)$, thus $c \equiv_e^{\text{KP}} d$ implies $c \equiv^H d$.

By Lemma 2.12, $\text{Gal}_L^c(\Sigma, e) \leq \text{Autf}_{\text{KP}}(\Sigma, e) / \text{Autf}_L(\Sigma, e)$. We already have proved that $c \equiv_e^{\text{KP}} d$ implies $c \equiv^H d$, and $c \equiv_{\text{Autf}_{\text{KP}}(\Sigma, e)} d$ if and only if $c \equiv_e^{\text{KP}} d$ by Remark 2.8, so it follows that $c \equiv^H d$ if and only if $c \equiv_e^{\text{KP}} d$.

(2): It can be proved using Fact 1.17(3) and the fact that in a topological group, the connected component containing the identity is the intersection of all closed normal subgroups of finite indices. \square

Theorem 2.14.

- (1) $\text{Gal}_L^c(\Sigma, e) = \text{Autf}_{\text{KP}}(\Sigma, e) / \text{Autf}_L(\Sigma, e)$.
 (2) $\text{Gal}_L^0(\Sigma, e) = \text{Autf}_S(\Sigma, e) / \text{Autf}_L(\Sigma, e)$.

Proof. (1): Let $H_1 = \pi_b^{-1}[\text{Gal}_L^c(\Sigma, e)]$ and $H_2 = \text{Autf}_{\text{KP}}(\Sigma, e)$. By Remark 2.8 and Proposition 2.13, \equiv^{H_1} and \equiv^{H_2} are the same equivalence relations on the tuples of realizations of $\Sigma(\mathfrak{C})$. Then by Corollary 2.10, $H_1 = H_2$.

(2): By exactly the same proof as (1), by letting $H_1 = \pi_b^{-1}[\text{Gal}_L^0(\Sigma, e)]$ and $H_2 = \text{Autf}_S(\Sigma, e)$. \square

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