

# The syntomic realization functor for Shimura varieties of abelian type

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## Abstract

This is a survey article on prismatic  $F$ -gauges and their application to Shimura varieties.

## 1 Introduction

Let  $(G, X)$  be a Shimura datum, and  $K$  a compact open subgroup of  $G(\mathbb{A}_f)$ . Let  $E$  be the reflex field of  $(G, X)$ . We write  $\mathrm{Sh}_K(G, X)$  for the Shimura variety over  $E$  attached to  $(G, X)$  and  $K$ . This should be “a moduli of  $G$ -motives of type  $X$  with  $K$ -level structure”.

We define  $G^c$  as in [KSZ21, 1.5.8], which is a quotient of  $G$  by a subtorus of the center of  $G$ . We have  $G^c = G$  if  $(G, X)$  is of Hodge type (*cf.* [IKY23, Remark 2.6]).

Let  $p$  be a prime number. Assume that  $G_{\mathbb{Q}_p}$  has a reductive model  $\mathcal{G}$  over  $\mathbb{Z}_p$ . We assume that  $K_p = \mathcal{G}(\mathbb{Z}_p)$ . Let  $\mathcal{G}^c$  be an integral analogue of  $G^c$  define in [IKY23, §2.3]. Let  $v$  be a prime of  $E$  above  $p$ . We can construct a tensor functor

$$\omega_{\mathrm{et}}: \mathrm{Rep}_{\mathbb{Z}_p}(\mathcal{G}^c) \rightarrow \mathrm{Loc}_{\mathbb{Z}_p}(\mathrm{Sh}_{K, E_v})$$

using a tower of Shimura varieties, where  $\mathrm{Rep}_{\mathbb{Z}_p}(\mathcal{G}^c)$  is the category of finite rank algebraic representations of  $\mathcal{G}^c$  over  $\mathbb{Z}_p$  and  $\mathrm{Loc}_{\mathbb{Z}_p}(\mathrm{Sh}_{K, E_v})$  is the category of étale  $\mathbb{Z}_p$ -local systems on  $\mathrm{Sh}_{K, E_v}$ .

Assume  $(G, X)$  is of abelian type. Then there is a canonical integral model  $\mathcal{S}_K$  of  $\mathrm{Sh}_{K, E_v}$  constructed by Kisin in [Kis10]. In [Lov17], Lovering constructed a tensor functor

$$\omega_{\mathrm{crys}}: \mathrm{Rep}_{\mathbb{Z}_p}(\mathcal{G}^c) \rightarrow \mathrm{FilF}\text{-Crys}(\widehat{\mathcal{S}}_K)$$

compatible with  $\omega_{\mathrm{et}}$ , where  $\mathrm{FilF}\text{-Crys}(\widehat{\mathcal{S}}_K)$  is a category of filtered  $F$ -crystals on the  $p$ -adic completion  $\widehat{\mathcal{S}}_K$  of  $\mathcal{S}_K$ .

If  $(G, X)$  is of Hodge type, a shtuka realization compatible with  $\omega_{\mathrm{et}}$  is constructed in [PR24].

In [IKY23], we give a refinement of these realizations in prismatic  $F$ -gauges.

## 2 Prismatic $F$ -crystal

We recall the notion of prism and prismatic  $F$ -crystal from [BS22] and [BS23].

**Definition 2.1.** A  $\delta$ -ring is a commutative  $\mathbb{Z}_{(p)}$ -algebra  $A$  with a map  $\delta: A \rightarrow A$  such that  $\delta(1) = 0$  and

$$\begin{aligned}\delta(x + y) &= \delta(x) + \delta(y) + \frac{x^p + y^p - (x + y)^p}{p}, \\ \delta(xy) &= x^p\delta(y) + y^p\delta(x) + p\delta(x)\delta(y)\end{aligned}$$

for any  $x, y \in A$ .

For a  $\delta$ -ring  $A$  with  $\delta$ , we define a Frobenius lift  $\phi: A \rightarrow A$  by  $\phi(x) = x^p + p\delta(x)$ . A morphism of  $\delta$ -rings is a ring homomorphism that intertwines the  $\delta$ -structures.

**Example 2.2** ([Joy85]). For a commutative ring  $R$ , let  $W(R)$  be the ring of  $p$ -typical Witt vectors in  $R$ , and let

$$\delta_{W(R)}: W(R) \rightarrow W(R); (x_0, x_1, \dots) \mapsto (x_1, x_2, \dots).$$

Then  $W(R)$  with  $\delta_{W(R)}$  is a  $\delta$ -ring. The functor  $R \mapsto (W(R), \delta_{W(R)})$  gives the right adjoint of the forgetful functor from the category of  $\delta$ -rings to the category of commutative rings.

**Definition 2.3.** A prism is a pair  $(A, I)$  where  $A$  is a  $\delta$ -ring and  $I \subset A$  is an invertible ideal such that  $A$  is derived  $(p, I)$ -adically complete, and  $I + \phi(I)$  contains  $p$ .

For a prism  $(A, I)$ , we equip  $A$  with the  $(p, I)$ -adic topology.

**Definition 2.4.** We say that a commutative ring  $R$  has bounded  $p^\infty$ -torsion if there is some positive integer  $n$  such that  $R[p^\infty] = R[p^n]$ . We say that a prism  $(A, I)$  is bounded if  $A/I$  has bounded  $p^\infty$ -torsion.

Let  $\mathfrak{X}$  be a  $p$ -adic formal scheme.

**Definition 2.5.** The absolute prismatic site  $\mathfrak{X}_\Delta$  of  $\mathfrak{X}$  is the opposite category of the category of bounded prisms  $(A, I)$  with  $\mathrm{Spf}(A/I) \rightarrow \mathfrak{X}$ , with topology given by flat covers of prisms.

We define presheaves  $\mathcal{O}_\Delta$  and  $\mathcal{I}_\Delta$  on  $\mathfrak{X}_\Delta$  by  $\mathcal{O}_\Delta(A, I) = A$  and  $\mathcal{I}_\Delta(A, I) = I$ . These are sheaves by [BS22, Corollary 3.12].

**Definition 2.6.** A prismatic  $F$ -crystal on  $\mathfrak{X}$  is a vector bundle  $\mathcal{E}$  on  $\mathfrak{X}_\Delta$  with an isomorphism  $\varphi_\mathcal{E}: (\phi^*\mathcal{E})[\frac{1}{\mathcal{I}_\Delta}] \xrightarrow{\sim} \mathcal{E}[\frac{1}{\mathcal{I}_\Delta}]$ .

Let  $\mathrm{Vect}^\varphi(\mathfrak{X}_\Delta)$  be the category of prismatic  $F$ -crystals on  $\mathfrak{X}$ .

**Example 2.7.** If  $\mathrm{Spa}(R, R^+)$  is an affinoid perfectoid space over  $\mathbb{F}_p$  with an untilt  $\mathrm{Spa}(R^\sharp, R^{+\sharp})$  and a morphism  $\mathrm{Spf}(R^{+\sharp}) \rightarrow \mathfrak{X}$ , then  $(W(R^+), \mathrm{Ker}(W(R^+) \rightarrow R^{+\sharp}))$  with  $\mathrm{Spf}(R^{+\sharp}) \rightarrow \mathfrak{X}$  gives an object of  $\mathfrak{X}_\Delta$ . Using the value of  $(\mathcal{E}, \varphi_\mathcal{E})$  at this object, we can obtain a shtuka with one leg at  $\mathrm{Spa}(R^\sharp, R^{+\sharp})$ .

The construction in Example 2.7 gives a connection between the prismatic theory and shtukas. See [IKY24, Theorem 3] for details.

### 3 Prismatic $F$ -gauge

The notion of prismatic  $F$ -gauge is introduced by Bhatt–Lurie (cf. [Bha23]) based on previous works [Dri24], [BL22a], [BL22b] on the stacky approach to the integral  $p$ -adic Hodge theory.

Let  $W$  be the ring scheme of  $p$ -typical Witt vectors over  $\mathbb{Z}_{(p)}$ . We have the Frobenius morphism  $F: W \rightarrow W$ .

**Definition 3.1.** *Let  $R$  be a  $p$ -nilpotent ring. A Cartier–Witt divisor over  $R$  is a morphism  $\alpha: I \rightarrow W(R)$  of  $W(R)$ -modules, where  $I$  is an invertible  $W(R)$ -module, such that the image of*

$$I \xrightarrow{\alpha} W(R) \xrightarrow{\gamma_0} R$$

*is a nilpotent ideal, where  $\gamma_0$  is the projection to the 0-th component, and the image of*

$$I \xrightarrow{\alpha} W(R) \xrightarrow{\delta_{W(R)}} W(R)$$

*generates the unit ideal.*

We assume that  $\mathfrak{X}$  is bounded in the sense that for any affine open  $U$  of  $\mathfrak{X}$  the ring  $\mathcal{O}_{\mathfrak{X}}(U)$  has bounded  $p^\infty$ -torsion.

**Definition 3.2.** *The prismaticization of  $\mathfrak{X}$  is a formal stack  $\mathfrak{X}^\Delta$  over  $\mathbb{Z}_p$  defined as follows: For a  $p$ -nilpotent ring  $R$ , the groupoid  $\mathfrak{X}^\Delta(R)$  consists of Cartier–Witt divisors  $I \rightarrow W(R)$  with a morphism  $\mathrm{Spec}(\mathrm{Cone}(I \rightarrow W(R))) \rightarrow \mathfrak{X}$  of derived formal schemes.*

For a  $p$ -nilpotent ring  $R$  and Cartier–Witt divisor  $I \xrightarrow{\alpha} W(R)$  over  $R$ , the induced map  $F^*I \xrightarrow{F^*\alpha} W(R)$  is also a Cartier–Witt divisor over  $R$  and we have the map

$$\mathrm{Cone}(I \xrightarrow{\alpha} W(R)) \rightarrow \mathrm{Cone}(F^*I \xrightarrow{F^*\alpha} W(R))$$

of animated rings induced from  $F$ . This gives a morphism  $\phi_{\mathfrak{X}^\Delta}: \mathfrak{X}^\Delta \rightarrow \mathfrak{X}^\Delta$ .

We define a group scheme  $\mathbb{G}_a^\sharp$  over  $\mathbb{Z}_{(p)}$  by

$$\mathbb{G}_a^\sharp = \mathrm{Ker}(F: W \rightarrow F_*W).$$

**Definition 3.3.** *Let  $R$  be a  $p$ -nilpotent ring.*

- (1) *A  $\sharp$ -invertible  $W$ -module over  $R$  is an affine  $W$ -module scheme over  $R$  that is fpqc locally isomorphic to  $\mathbb{G}_a^\sharp$  as  $W$ -modules.*
- (2) *An admissible  $W$ -module over  $R$  is an affine  $W$ -module scheme over  $R$  that can be realized as an extension of an invertible  $F_*W$ -module over  $R$  by a  $\sharp$ -invertible  $W$ -module over  $R$ .*

**Proposition 3.4** ([Bha23, Remark 5.2.5]). *Let  $R$  be a  $p$ -nilpotent ring. Let  $M$  and  $N$  be admissible  $W$ -modules over  $R$  which sit in short exact sequences*

$$\begin{aligned} 0 \rightarrow L_M \rightarrow M \rightarrow F_*I_M \rightarrow 0, & \quad (*_M) \\ 0 \rightarrow L_N \rightarrow N \rightarrow F_*I_N \rightarrow 0, & \quad (*_N) \end{aligned}$$

*where  $L_M, L_N$  are  $\sharp$ -invertible  $W$ -modules over  $R$ , and  $I_M$  and  $I_N$  are invertible  $W$ -module over  $R$ . Then any morphism  $M \rightarrow N$  of  $W$ -modules induces a morphism of short exact sequences from  $(*_M)$  to  $(*_N)$ .*

*In particular, the short exact sequence  $(*_M)$  is unique up to unique isomorphism.*

**Definition 3.5.** Let  $R$  be a  $p$ -nilpotent ring. A filtered Cartier–Witt divisor over  $R$  is a morphism  $d: M \rightarrow W$  of  $W$ -modules over  $R$ , where  $M$  is an admissible  $W$ -module over  $R$ , such that the induced morphism

$$F_*I_M \rightarrow F_*W \quad (3.1)$$

comes from a Cartier–Witt divisor over  $R$  via  $F_*$ , where (3.1) is induced by  $d$ ,  $(*_M)$  and the sequence

$$0 \rightarrow \mathbb{G}_a^\sharp \rightarrow W \xrightarrow{F} F_*W \rightarrow 0$$

using Proposition 3.4.

**Definition 3.6.** The filtered prismaticization of  $\mathfrak{X}$  is a formal stack  $\mathfrak{X}^{\mathcal{N}}$  over  $\mathbb{Z}_p$  defined as follows: For a  $p$ -nilpotent ring  $R$ , the groupoid  $\mathfrak{X}^{\mathcal{N}}(R)$  consists of filtered Cartier–Witt divisors  $M \rightarrow W$  with a morphism  $\mathrm{Spec}((W/M)(R)) \rightarrow \mathfrak{X}$  of derived formal schemes, where

$$(W/M)(R) = \mathrm{R}\Gamma(\mathrm{Spec}(R), \mathrm{Cone}(M \rightarrow W)).$$

**Definition 3.7.** Let  $R$  be a  $p$ -nilpotent ring.

Associating a filtered Cartier–Witt divisor  $d: M \rightarrow W$  over  $R$  with the Cartier–Witt divisor over  $R$  giving (3.1), we obtain a morphism  $\pi: \mathfrak{X}^{\mathcal{N}} \rightarrow \mathfrak{X}^\Delta$ .

Associating a Cartier–Witt divisor  $I \xrightarrow{\alpha} W(R)$  with the filtered Cartier–Witt divisor

$$I \otimes_{W(R)} W \xrightarrow{\alpha \otimes \mathrm{id}_W} W(R) \otimes_{W(R)} W \cong W,$$

we obtain a morphism  $j_{\mathrm{HT}}: \mathfrak{X}^\Delta \rightarrow \mathfrak{X}^{\mathcal{N}}$ .

Associating a Cartier–Witt divisor  $I \xrightarrow{\alpha} W(R)$  with the filtered Cartier–Witt divisor  $M$  given by the following pullback

$$\begin{array}{ccccccc} 0 & \longrightarrow & \mathbb{G}_a^\sharp & \longrightarrow & M & \longrightarrow & F_*(I \otimes_{W(R)} W) \longrightarrow 0 \\ & & \parallel & & \downarrow & & \downarrow F_*(\alpha \otimes \mathrm{id}_W) \\ 0 & \longrightarrow & \mathbb{G}_a^\sharp & \longrightarrow & W & \xrightarrow{F} & F_*W \longrightarrow 0, \end{array}$$

we obtain a morphism  $j_{\mathrm{dR}}: \mathfrak{X}^\Delta \rightarrow \mathfrak{X}^{\mathcal{N}}$ .

By the construction, we have  $\pi \circ j_{\mathrm{HT}} = \phi_{\mathfrak{X}^\Delta}$  and  $\pi \circ j_{\mathrm{dR}} = \mathrm{id}_{\mathfrak{X}^\Delta}$ . Further,  $j_{\mathrm{HT}}$  and  $j_{\mathrm{dR}}$  are open immersion with the disjoint images (cf. [Bha23, Remark 5.3.6]).

**Example 3.8** ([Bha23, §5.5.1]). Assume that  $\mathfrak{X} = \mathrm{Spf} R$  where  $R$  is a perfectoid ring in the sense of [BMS18, Definition 3.5]. Then the natural homomorphism

$$\theta: W(R^{\flat}) \rightarrow R$$

defined in [BMS18, §3.1] is surjective by [BMS18, Lemma 3.9]. We put  $(\Delta_R, I) = (W(R^{\flat}), \ker \theta)$ . Let  $\phi$  act on  $\Delta_R = W(R^{\flat})$  via the  $p$ -th power map on  $R^{\flat}$ . We define a filtration  $\mathrm{Fil}_{\mathcal{N}}^\bullet \Delta_R$  of  $\Delta_R$  by

$$\mathrm{Fil}_{\mathcal{N}}^i \Delta_R = \begin{cases} \phi^{-1}(I^i) & \text{if } i \geq 0, \\ \Delta_R & \text{if } i < 0. \end{cases}$$

We put

$$\mathrm{Rees}(\mathrm{Fil}_{\mathcal{N}}^{\bullet} \Delta_R) := \bigoplus_{i \in \mathbb{Z}} \mathrm{Fil}_{\mathcal{N}}^i \Delta_R t^{-i} \subset \Delta_R[t, t^{-1}].$$

Let  $\mathbb{G}_m$  act on this ring by  $i$ -th power on  $\mathrm{Fil}_{\mathcal{N}}^i \Delta_R t^{-i}$ . Then

$$\mathfrak{X}^{\Delta} = \mathrm{Spf} \Delta_R, \quad \mathfrak{X}^{\mathcal{N}} = [\mathrm{Spec}(\mathrm{Rees}(\mathrm{Fil}_{\mathcal{N}}^{\bullet} \Delta_R)) / \mathbb{G}_m] \times_{\mathrm{Spec} \Delta_R} \mathrm{Spf} \Delta_R,$$

and  $\pi: \mathfrak{X}^{\mathcal{N}} \rightarrow \mathfrak{X}^{\Delta}$  is the natural projection. Further,  $j_{\mathrm{HT}}$  and  $j_{\mathrm{dR}}$  are induced from

$$\mathrm{Rees}(\mathrm{Fil}_{\mathcal{N}}^{\bullet} \Delta_R) \xrightarrow{\phi} \bigoplus_{i \in \mathbb{Z}} I^i t^{-i} \quad \text{and} \quad \mathrm{Rees}(\mathrm{Fil}_{\mathcal{N}}^{\bullet} \Delta_R) \hookrightarrow \Delta_R[t^{\pm 1}]$$

respectively.

**Definition 3.9.** We define the syntomification  $\mathfrak{X}^{\mathrm{syn}}$  of  $\mathfrak{X}$  by the cocartesian diagram

$$\begin{array}{ccc} \mathfrak{X}^{\Delta} \amalg \mathfrak{X}^{\Delta} & \xleftarrow{j_{\mathrm{HT}} \amalg j_{\mathrm{dR}}} & \mathfrak{X}^{\mathcal{N}} \\ \downarrow & & \downarrow \\ \mathfrak{X}^{\Delta} & \longrightarrow & \mathfrak{X}^{\mathrm{syn}}. \end{array}$$

The category of prismatic  $F$ -gauges in vector bundles is defined as the category of vector bundles on  $\mathfrak{X}^{\mathrm{syn}}$ , for which we write  $\mathrm{Vect}(\mathfrak{X}^{\mathrm{syn}})$ .

## 4 Tannakian framework

Let  $k$  be a perfect field of characteristic  $p$ . Assume that  $\mathfrak{X}$  is a smooth  $p$ -adic formal scheme over  $W(k)$ .

For an object  $(\mathcal{E}, \varphi_{\mathcal{E}})$  of  $\mathrm{Vect}^{\varphi}(\mathfrak{X}_{\Delta})$ , we define the filtration  $\mathrm{Fil}_{\mathrm{Nyg}}^{\bullet}(\phi^* \mathcal{E}) \subset \phi^* \mathcal{E}$  by  $\mathcal{O}_{\Delta}$ -submodules, which we call the Nygaard filtration, so that

$$\mathrm{Fil}_{\mathrm{Nyg}}^r(\phi^* \mathcal{E})(A, I) = \{x \in \phi^* \mathcal{E}(A, I) \mid \varphi_{\mathcal{E}}(x) \in I^r \mathcal{E}(A, I)\}$$

for an object  $(A, I)$  of  $\mathfrak{X}_{\Delta}$ .

Let  $\mathrm{Vect}^{\varphi, \mathrm{lff}}(\mathfrak{X}_{\Delta})$  be the category of prismatic  $F$ -crystal on  $\mathfrak{X}$  with locally filtered free Nygaard filtration.

Let  $\mathcal{G}$  be a smooth group scheme over  $\mathbb{Z}_p$ . For a  $\mathbb{Z}_p$ -linear tensor category  $\mathcal{C}$ , let  $\mathcal{G}\text{-}\mathcal{C}$  denote the category of  $\mathbb{Z}_p$ -linear exact tensor functors  $\mathrm{Rep}_{\mathbb{Z}_p}(\mathcal{G}) \rightarrow \mathcal{C}$ . Using [GL23, Theorem 2.31], we can show the following:

**Proposition 4.1** ([IKY23, §1.3]). *There is a bi-exact  $\mathbb{Z}_p$ -linear tensor-equivalence*

$$\mathrm{Vect}(\mathfrak{X}^{\mathrm{syn}}) \cong \mathrm{Vect}^{\varphi, \mathrm{lff}}(\mathfrak{X}_{\Delta}).$$

In particular, we have an equivalence

$$\mathcal{G}\text{-}\mathrm{Vect}(\mathfrak{X}^{\mathrm{syn}}) \cong \mathcal{G}\text{-}\mathrm{Vect}^{\varphi, \mathrm{lff}}(\mathfrak{X}_{\Delta}).$$

**Definition 4.2.** *An analytic prismatic  $F$ -crystal on  $\mathfrak{X}$  is a compatible system of pairs  $(\mathcal{E}_{(A,I)}, \varphi_{(A,I)})$  of a vector bundle  $\mathcal{E}_{(A,I)}$  on  $\mathrm{Spec}(A) \setminus V(p, I)$  and  $\varphi_{(A,I)}: (\phi^* \mathcal{E}_{(A,I)})[\frac{1}{I}] \xrightarrow{\sim} \mathcal{E}_{(A,I)}[\frac{1}{I}]$  for  $(A, I) \in \mathfrak{X}_\Delta$ , where  $V(p, I)$  is the closed subscheme of  $\mathrm{Spec} A$  defined by the ideal  $(p, I)$ .*

Let  $\mathrm{Vect}^{\mathrm{an}, \varphi}(\mathfrak{X}_\Delta)$  be the category of analytic prismatic  $F$ -crystals on  $\mathfrak{X}$ . We write  $\mathfrak{X}_\eta$  for the generic fiber of  $\mathfrak{X}$ . Let  $\mathrm{Loc}_{\mathbb{Z}_p}^{\mathrm{crys}}(\mathfrak{X}_\eta)$  be the category of crystalline  $\mathbb{Z}_p$ -local systems on  $\mathfrak{X}_\eta$ .

**Theorem 4.3** ([DLMS24, Theorem 3.46], [GR24, Theorem A]). *There is an equivalence*

$$\mathrm{Vect}^{\mathrm{an}, \varphi}(\mathfrak{X}_\Delta) \xrightarrow{\sim} \mathrm{Loc}_{\mathbb{Z}_p}^{\mathrm{crys}}(\mathfrak{X}_\eta)$$

of categories.

The restriction functor  $\mathrm{Vect}^\varphi(\mathfrak{X}_\Delta) \rightarrow \mathrm{Vect}^{\mathrm{an}, \varphi}(\mathfrak{X}_\Delta)$  is fully faithful by [GR24, Proposition 3.7].

We say that an object of  $\mathrm{Loc}_{\mathbb{Z}_p}^{\mathrm{crys}}(\mathfrak{X}_\eta)$  is prismatically good reduction if it comes from  $\mathrm{Vect}^\varphi(\mathfrak{X}_\Delta)$  under the equivalence in Theorem 4.3. Let  $\mathrm{Loc}_{\mathbb{Z}_p}^{\Delta\text{-gr}}(\mathfrak{X}_\eta) \subset \mathrm{Loc}_{\mathbb{Z}_p}^{\mathrm{crys}}(\mathfrak{X}_\eta)$  be the exact full subcategory of prismatically good reduction objects. By the definition, we have an equivalence

$$\mathrm{Vect}^\varphi(\mathfrak{X}_\Delta) \xrightarrow{\sim} \mathrm{Loc}_{\mathbb{Z}_p}^{\Delta\text{-gr}}(\mathfrak{X}_\eta) \quad (4.1)$$

of categories.

**Remark 4.4.** *The equivalence in Theorem 4.3 is bi-exact, but the equivalence (4.1) may not be bi-exact. More precisely, a quasi-inverse of (4.1) may not be exact. The problem is that there is a non-exact sequence in  $\mathrm{Vect}^\varphi(\mathfrak{X}_\Delta)$  whose image in  $\mathrm{Vect}^{\mathrm{an}, \varphi}(\mathfrak{X}_\Delta)$  is exact.*

In spite of Remark 4.4, we still can show the following:

**Theorem 4.5** ([IKY24, §2.4]). *Assume that  $\mathcal{G}$  is a reductive group scheme over  $\mathbb{Z}_p$ . Then there is an equivalence*

$$\mathcal{G}\text{-Vect}^\varphi(\mathfrak{X}_\Delta) \xrightarrow{\sim} \mathcal{G}\text{-Loc}_{\mathbb{Z}_p}^{\Delta\text{-gr}}(\mathfrak{X}_\eta)$$

of categories.

## 5 Integral crystalline functor

In this section, we construct the integral crystalline functor

$$\mathbb{D}_{\mathrm{crys}}: \mathrm{Vect}^\varphi(\mathfrak{X}_\Delta) \rightarrow \mathrm{VectNF}^\varphi(\mathfrak{X}_{\mathrm{crys}}),$$

where  $\mathrm{VectNF}^\varphi(\mathfrak{X}_{\mathrm{crys}})$  is the category of naive filtered  $F$ -crystals on  $\mathfrak{X}$  in the sense of [IKY25, §2.1.1]. In [BS23, Construction 4.12], Bhatt–Scholze constructed

$$\mathrm{Vect}^\varphi(\mathfrak{X}_\Delta) \rightarrow \mathrm{Vect}^\varphi(\mathfrak{X}_{\mathrm{crys}}); \mathcal{E} \mapsto \mathcal{E}^{\mathrm{crys}}.$$

Therefore it is enough to define a filtration on  $\mathcal{E}^{\mathrm{crys}}$ . Let

$$\mathbb{D}_{\mathrm{dR}}^+: \mathrm{Vect}^\varphi(\mathfrak{X}_\Delta) \rightarrow \mathrm{FilVect}(\mathfrak{X})$$

be the de Rham realization functor defined in [IKY25, §1.2]. As explained there, the filtration of  $\mathbb{D}_{\mathrm{dR}}^+(\mathcal{E})$  can be described using the Nygaard filtration of  $\phi^* \mathcal{E}$ .

**Theorem 5.1** ([IKY25, §1.3]). *For  $\mathcal{E} \in \text{Vect}^\varphi(\mathfrak{X}_\Delta)$ , there is a canonical crystalline-de Rham comparison isomorphism  $\mathcal{E}^{\text{crys}} \cong \mathbb{D}_{\text{dR}}^+(\mathcal{E})$ .*

For  $\mathcal{E} \in \text{Vect}^\varphi(\mathfrak{X}_\Delta)$ , we put  $\mathbb{D}_{\text{crys}}(\mathcal{E}) = \mathcal{E}^{\text{crys}}$  and define the filtration on  $\mathbb{D}_{\text{crys}}(\mathcal{E})$  by the filtration on  $\mathbb{D}_{\text{dR}}^+(\mathcal{E})$  using the crystalline-de Rham comparison isomorphism in Theorem 5.1.

The functor  $\mathbb{D}_{\text{crys}}$  induces an equivalence between the category of prismatic  $F$ -crystals on  $\mathfrak{X}$  with Hodge–Tate weights in  $[0, p-2]$  and the category of Fontaine–Laffaille modules on  $\mathfrak{X}$ . See [IKY25, §3] for details.

## 6 Syntomic realization

Let  $\mu_h: \mathbb{G}_{m, \mathcal{O}_{E_v}} \rightarrow \mathcal{G}_{\mathcal{O}_{E_v}}$  be the extension of a Hodge cocharacter of  $(G, X)$ . Let  $\mu_h^c: \mathbb{G}_{m, \mathcal{O}_{E_v}} \rightarrow \mathcal{G}_{\mathcal{O}_{E_v}}^c$  be the cocharacter induced by  $\mu_h$ . We assume that  $p$  is odd.

**Theorem 6.1** ([IKY23, §2.4 and §2.6]). *There is a tensor functor*

$$\omega_{\text{syn}}: \text{Rep}_{\mathbb{Z}_p}(\mathcal{G}^c) \rightarrow \text{Vect}(\widehat{\mathcal{S}}_K^{\text{syn}})$$

*of type  $\mu_h^c$  that is compatible with  $\omega_{\text{crys}}$  and  $\omega_{\text{et}}$ .*

For construction of  $\omega_{\text{syn}}$ , first we show that  $\omega_{\text{et}}$  belongs to  $\mathcal{G}^c\text{-Loc}_{\mathbb{Z}_p}^{\Delta\text{-gr}}(\widehat{\mathcal{S}}_{K, \eta})$ . Then we obtain an object of  $\mathcal{G}^c\text{-Vect}^\varphi(\widehat{\mathcal{S}}_{K, \Delta})$  by Theorem 4.5. Next we show that the obtained object belongs to  $\mathcal{G}^c\text{-Vect}^{\varphi, \text{lf}}(\widehat{\mathcal{S}}_{K, \Delta})$ . Then we can obtain  $\omega_{\text{syn}}$  using Proposition 4.1.

We note that to make sense of the compatibility with  $\omega_{\text{crys}}$  in Theorem 6.1, we need the functor  $\mathbb{D}_{\text{crys}}$  constructed in §5.

See [IKY23, §2.6.2] for cohomological consequences of Theorem 6.1.

## 7 Characterization of the integral model

**Definition 7.1.** *A potentially crystalline locus of  $\text{Sh}_{K, E_v}^{\text{an}}$  is a quasi-compact open subspace  $U$  of  $\text{Sh}_{K, E_v}^{\text{an}}$  uniquely determined by the following condition:*

*For any classical point  $x$  of  $\text{Sh}_{K, E_v}^{\text{an}}$ , the point  $x$  is in  $U$  if and only if  $\omega_{\text{et}}(V)_x$  is potentially crystalline for any  $V \in \text{Rep}_{\mathbb{Z}_p}(\mathcal{G}^c)$ .*

Let  $\text{Sh}_{K, E_v}^{\text{pc}} \subset \text{Sh}_{K, E_v}^{\text{an}}$  be the potentially crystalline locus constructed in [IM20, Theorem 5.17] (cf. [IM20, Remark 2.12] and [LZ17, Theorem 1.2]). We write  $\omega_{\text{pc}}$  for the restriction of  $\omega_{\text{et}}$  to  $\text{Sh}_{K, E_v}^{\text{pc}}$ .

We write  $\text{BT}^{\mathcal{G}^c, -\mu_h^c}$  for the moduli stack of prismatic  $F$ -gauges with  $\mathcal{G}^c$ -structure of type  $-\mu_h^c$  constructed by [GM24], confirming a conjecture in [Dri23]. Then we have  $\widehat{\mathcal{S}}_K \rightarrow \text{BT}^{\mathcal{G}^c, -\mu_h^c}$  given by  $\omega_{\text{syn}}$ .

**Definition 7.2.** *A syntomic integral canonical model of  $\text{Sh}_{K, E_v}$  is a smooth, separated integral model  $\mathcal{X}$  of  $\text{Sh}_{K, E_v}$  over  $\mathcal{O}_{E_v}$  satisfying the following conditions:*

- (1)  $\widehat{\mathcal{X}}_\eta = \text{Sh}_{K, E_v}^{\text{pc}}$ .

(2) *There is a tensor functor*

$$\mathrm{Rep}_{\mathbb{Z}_p}(\mathcal{G}^c) \rightarrow \mathrm{Vect}(\widehat{\mathcal{X}}^{\mathrm{syn}})$$

*of type  $-\mu_h^c$  whose étale realization is equal to  $\omega_{\mathrm{pc}}$  such that the resulting morphism  $\widehat{\mathcal{X}} \rightarrow \mathrm{BT}^{\mathcal{G}^c, -\mu_h^c}$  is formally étale.*

**Remark 7.3.** *To make sense of a condition like Definition 7.2 (2), the moduli of shtukas is not enough because the theory of  $v$ -sheaves can not distinguish nilpotent thickenings.*

**Theorem 7.4** ([IKY23, §3.4]). *The scheme  $\mathcal{S}_K$  over  $\mathcal{O}_{E_v}$  is the unique syntomic integral canonical model.*

In the proof of Theorem 7.4, confirming the condition (1) in Definition 7.2 generalizes a result in [IM13, §7] for the PEL type case, and confirming the condition (2) in Definition 7.2 amounts to proving a Serre–Tate theorem for Shimura varieties of abelian type.

**Remark 7.5.** *The characterization in Theorem 7.4 works for each level  $K$  contrary to previously known characterization in [Kis10].*

We write  $\overline{\mathcal{S}}_K$  and  $\overline{\mathcal{G}}^c$  for the special fibers of  $\mathcal{S}_K$  and  $\mathcal{G}^c$  respectively. Let  $\overline{\mathcal{G}}^c\text{-Zip}^{-\mu_h^c}$  be the moduli stack of  $\overline{\mathcal{G}}^c$ -zips of type  $-\mu_h^c$ . As an application of the syntomic realization functor, we can obtain the following theorem:

**Theorem 7.6** ([IKY23, §3.5]). *There is a natural smooth morphism*

$$\overline{\mathcal{S}}_K \rightarrow \overline{\mathcal{G}}^c\text{-Zip}^{-\mu_h^c}.$$

Theorem 7.6 generalizes [Zha18, Theorem 4.12] in the Hodge type case to the abelian type case. This allows us to apply results in [IK24] to Shimura varieties of abelian type as well.

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