# On a Certain Subalgebra of $U_q(\mathfrak{sl}_2)$ Related to the Degenerate q-Onsager Algebra\*

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**Abstract.** In [Kyushu J. Math. **64** (2010), 81–144], it is discussed that a certain subalgebra of the quantum affine algebra  $U_q(\widehat{\mathfrak{sl}}_2)$  controls the second kind TD-algebra of type I (the degenerate q-Onsager algebra). The subalgebra, which we denote by  $U_q'(\widehat{\mathfrak{sl}}_2)$ , is generated by  $e_0^+$ ,  $e_1^\pm$ ,  $k_i^{\pm 1}$  (i=0,1) with  $e_0^-$  missing from the Chevalley generators  $e_i^\pm$ ,  $k_i^{\pm 1}$  (i=0,1) of  $U_q(\widehat{\mathfrak{sl}}_2)$ . In this paper, we determine the finite-dimensional irreducible representations of  $U_q'(\widehat{\mathfrak{sl}}_2)$ . Intertwiners are also determined.

 $Key\ words$ : degenerate q-Onsager algebra; quantum affine algebra; TD-algebra; augmented TD-algebra; TD-pair

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### 1 Introduction

Throughout this paper, the ground field is  $\mathbb{C}$  and q stands for a nonzero scalar that is not a root of unity. The symbols  $\varepsilon$ ,  $\varepsilon^*$  stand for an integer chosen from  $\{0,1\}$ . Let  $\mathcal{A}_q = \mathcal{A}_q^{(\varepsilon,\varepsilon^*)}$  denote the associative algebra with 1 generated by z,  $z^*$  subject to the defining relations [4]

(TD) 
$$\begin{cases} \left[z, [z, [z, z^*]_q]_{q^{-1}}\right] = -\varepsilon (q^2 - q^{-2})^2 [z, z^*], \\ \left[z^*, [z^*, [z^*, z]_q]_{q^{-1}}\right] = -\varepsilon^* (q^2 - q^{-2})^2 [z^*, z], \end{cases}$$

where [X,Y] = XY - YX,  $[X,Y]_q = qXY - q^{-1}YX$ . This paper deals with a subalgebra of the quantum affine algebra  $U_q(\widehat{\mathfrak{sl}}_2)$  that is closely related to  $\mathcal{A}_q$  in the case of  $(\varepsilon,\varepsilon^*) = (1,0)$ . If  $(\varepsilon,\varepsilon^*) = (0,0)$ ,  $\mathcal{A}_q$  is isomorphic to the positive part of  $U_q(\widehat{\mathfrak{sl}}_2)$ . If  $(\varepsilon,\varepsilon^*) = (1,1)$ ,  $\mathcal{A}_q$  is called the q-Onsager algebra. If  $(\varepsilon,\varepsilon^*) = (1,0)$ ,  $\mathcal{A}_q$  may well be called the degenerate q-Onsager algebra.

The algebra  $\mathcal{A}_q$  arises in the course of the classification of TD-pairs of type I, which is a critically important step in the study of representations of Terwilliger algebras for P- and Q-polynomial association schemes [3]. For this reason,  $\mathcal{A}_q$  is called the TD-algebra of type I. Precisely speaking, the TD-algebra of type I is standardized to be the algebra  $\mathcal{A}_q$ , where q is the main parameter for TD-pairs of type I; so  $q^2 \neq \pm 1$  and q is allowed to be a root of unity. In our case where we assume q is not a root of unity, the classification of the TD-pairs of type I is equivalent to determining the finite-dimensional irreducible representations  $\rho: \mathcal{A}_q \to \operatorname{End}(V)$  with the property that  $\rho(z)$ ,  $\rho(z^*)$  are both diagonalizable. Such irreducible representations

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of  $\mathcal{A}_q$  are determined in [4] via embeddings of  $\mathcal{A}_q$  into the augmented TD-algebra  $\mathcal{T}_q$ . (In the case of  $(\varepsilon, \varepsilon^*) = (1, 1)$ , the diagonalizability condition of  $\rho(z)$ ,  $\rho(z^*)$  can be dropped, because it turns out that this condition always holds for every finite-dimensional irreducible representation  $\rho$  of the q-Onsager algebra  $\mathcal{A}_q$ .)  $\mathcal{T}_q$  is easier than  $\mathcal{A}_q$  to study representations for, and each finite-dimensional irreducible representation  $\rho: \mathcal{A}_q \to \operatorname{End}(V)$  with  $\rho(z)$ ,  $\rho(z^*)$  diagonalizable can be extended to a finite-dimensional irreducible representation of  $\mathcal{T}_q$  via a certain embedding of  $\mathcal{A}_q$  into  $\mathcal{T}_q$ .

The augmented TD-algebra  $\mathcal{T}_q = \mathcal{T}_q^{(\varepsilon, \varepsilon^*)}$  is the associative algebra with 1 generated by  $x, y, k^{\pm 1}$  subject to the defining relations

$$(TD)_0 \begin{cases}
kk^{-1} = k^{-1}k = 1, \\
kxk^{-1} = q^2x, \\
kyk^{-1} = q^{-2}y,
\end{cases}$$
(1)

and

$$(TD)_1 \quad \begin{cases} \left[ x, [x, [x, y]_q]_{q^{-1}} \right] = \delta(\varepsilon^* x^2 k^2 - \varepsilon k^{-2} x^2), \\ \left[ y, [y, [y, x]_q]_{q^{-1}} \right] = \delta(-\varepsilon^* k^2 y^2 + \varepsilon y^2 k^{-2}), \end{cases}$$
 (2)

where  $\delta = -(q - q^{-1})(q^2 - q^{-2})(q^3 - q^{-3})q^4$ . The finite-dimensional irreducible representations of  $\mathcal{T}_q$  are determined in [4] via embeddings of  $\mathcal{T}_q$  into the  $U_q(\mathfrak{sl}_2)$ -loop algebra  $U_q(L(\mathfrak{sl}_2))$ .

of  $\mathcal{T}_q$  are determined in [4] via embeddings of  $\mathcal{T}_q$  into the  $U_q(\mathfrak{sl}_2)$ -loop algebra  $U_q(L(\mathfrak{sl}_2))$ . Let  $e_i^{\pm}$ ,  $k_i^{\pm 1}$  (i=0,1) be the Chevalley generators of  $U_q(L(\mathfrak{sl}_2))$ . So the defining relations of  $U_q(L(\mathfrak{sl}_2))$  are

$$k_{0}k_{1} = k_{1}k_{0} = 1, k_{i}k_{i}^{-1} = k_{i}^{-1}k_{i} = 1, k_{i}e_{i}^{\pm}k_{i}^{-1} = q^{\pm 2}e_{i}^{\pm},$$

$$k_{i}e_{j}^{\pm}k_{i}^{-1} = q^{\mp 2}e_{j}^{\pm}, i \neq j, [e_{i}^{+}, e_{i}^{-}] = \frac{k_{i} - k_{i}^{-1}}{q - q^{-1}}, [e_{i}^{+}, e_{j}^{-}] = 0, i \neq j,$$

$$[e_{i}^{\pm}, [e_{i}^{\pm}, [e_{i}^{\pm}, e_{i}^{\pm}]_{q}]_{q^{-1}}] = 0, i \neq j.$$

$$(3)$$

Note that if  $k_0k_1 = k_1k_0 = 1$  is replaced by  $k_0k_1 = k_1k_0$  in (3), we have the quantum affine algebra  $U_q(\widehat{\mathfrak{sl}}_2)$ :  $U_q(L(\mathfrak{sl}_2))$  is the quotient algebra of  $U_q(\widehat{\mathfrak{sl}}_2)$  by the two-sided ideal generated by  $k_0k_1 - 1$ . For a nonzero scalar s, define the elements x(s), y(s), k(s) of  $U_q(L(\mathfrak{sl}_2))$  by

$$x(s) = -q^{-1} (q - q^{-1})^{2} (se_{0}^{+} + \varepsilon s^{-1} e_{1}^{-} k_{1}),$$

$$y(s) = \varepsilon^{*} se_{0}^{-} k_{0} + s^{-1} e_{1}^{+},$$

$$k(s) = sk_{0}.$$
(4)

Then the mapping

$$\varphi_s: \mathcal{T}_q \to U_q(L(\mathfrak{sl}_2)), \qquad x, y, k \mapsto x(s), y(s), k(s),$$
 (5)

gives an injective algebra homomorphism. If  $(\varepsilon, \varepsilon^*) = (0, 0)$ , the image  $\varphi_s(\mathcal{T}_q)$  coincides with the Borel subalgebra generated by  $e_i^+$ ,  $k_i^{\pm 1}$  (i = 0, 1). If  $(\varepsilon, \varepsilon^*) = (1, 0)$ , the image  $\varphi_s(\mathcal{T}_q)$  is properly contained in the subalgebra generated by  $e_i^+$ ,  $e_i^{\pm}$ ,  $k_i^{\pm 1}$  (i = 0, 1) with  $e_0^-$  missing from the generators; we denote this subalgebra by  $U_q'(L(\mathfrak{sl}_2))$ . Through the natural homomorphism  $U_q(\widehat{\mathfrak{sl}}_2) \to U_q(L(\mathfrak{sl}_2))$ , pull back the subalgebra  $U_q'(L(\mathfrak{sl}_2))$  and denote the pre-image by  $U_q'(\widehat{\mathfrak{sl}}_2)$ :

$$U_q'(\widehat{\mathfrak{sl}}_2) = \langle e_0^+, e_1^{\pm}, k_i^{\pm 1} | i = 0, 1 \rangle \subset U_q(\widehat{\mathfrak{sl}}_2).$$

In [4], it is shown that in the case of  $(\varepsilon, \varepsilon^*) = (1,0)$ , all the finite-dimensional irreducible representations of  $\mathcal{T}_q$  are produced by tensor products of evaluation modules for  $U_q'(L(\mathfrak{sl}_2))$ 

via the embedding  $\varphi_s$  of  $\mathcal{T}_q$  into  $U_q'(L(\mathfrak{sl}_2))$ . Using this fact and the Drinfel'd polynomials, we show in this paper that there are no other finite-dimensional irreducible representations of  $U_q'(L(\mathfrak{sl}_2))$  and hence of  $U_q'(\widehat{\mathfrak{sl}_2})$  than those afforded by tensor products of evaluation modules, if we apply suitable automorphisms of  $U_q'(L(\mathfrak{sl}_2))$ ,  $U_q'(\widehat{\mathfrak{sl}_2})$  to adjust the types of the representations to be (1,1). Here we note that the evaluation parameters are allowed to be zero for  $U_q'(L(\mathfrak{sl}_2))$ ,  $U_q'(\widehat{\mathfrak{sl}_2})$ . Details will be discussed in Sections 2 and 3, where the isomorphism classes of finite-dimensional irreducible representations of  $U_q'(\widehat{\mathfrak{sl}_2})$  are also determined. In Section 4, intertwiners will be determined for finite-dimensional irreducible  $U_q'(\widehat{\mathfrak{sl}_2})$ -modules.

In our approach, Drinfel'd polynomials are the key tool for the classification of finite-dimensional irreducible representations of  $U_q(\widehat{\mathfrak{sl}}_2)$ ,  $U_q'(\widehat{\mathfrak{sl}}_2)$ , although they are not the main subject of this paper. They are defined in [4], and the point is that they are directly attached to  $\mathcal{T}_q$ -modules, not to  $U_q(\widehat{\mathfrak{sl}}_2)$ - or  $U_q'(\widehat{\mathfrak{sl}}_2)$ -modules. (In the case of  $(\varepsilon, \varepsilon^*) = (0, 0)$ , they turn out to coincide with the original ones up to the reciprocal of the variable.) So in our approach to the case of  $(\varepsilon, \varepsilon^*) = (0, 0)$ , finite-dimensional irreducible representations are naturally classified firstly for the Borel subalgebra of  $U_q(\widehat{\mathfrak{sl}}_2)$  and then for  $U_q(\widehat{\mathfrak{sl}}_2)$  itself. This will be briefly demonstrated in Section 3 as a warm-up for the case of  $(\varepsilon, \varepsilon^*) = (1, 0)$ , thus giving another proof to the classical classification theorem of Chari–Pressley [2] and to the main theorems (Theorems 1.16 and 1.17) of [1].

We now review Drinfel'd polynomials for  $\mathcal{T}_q$ -modules [4, p. 119]. Let V be a finite-dimensional  $\mathcal{T}_q$ -module. We assume the following properties for V:

(D)<sub>0</sub>: k is diagonalizable on V with  $V = \bigoplus_{i=0}^{d} U_i$ ,  $k|_{U_i} = sq^{2i-d}$ ,  $0 \le i \le d$ , for some nonzero constant s;

(D)<sub>1</sub>: dim 
$$U_0 = 1$$
.

By the relations  $(TD)_0: kk^{-1} = k^{-1}k = 1$ ,  $kxk^{-1} = q^2x$ ,  $kyk^{-1} = q^{-2}y$ , it holds that  $xU_i \subseteq U_{i+1}, \ yU_i \subseteq U_{i-1} \ (0 \le i \le d)$ , where  $U_{-1} = U_{d+1} = 0$ . So the one-dimensional subspace  $U_0$  is invariant under  $y^ix^i$  and we have the sequence  $\{\sigma_i\}_{i=0}^{\infty}$  of eigenvalues  $\sigma_i$  of  $y^ix^i$  on  $U_0$ :  $\sigma_i = y^ix^i|_{U_0}$ . Notice that  $\sigma_0 = 1$  and  $\sigma_i = 0$ ,  $d+1 \le i$ . The Drinfel'd polynomial  $P_V(\lambda)$  of the  $\mathcal{T}_q$ -module V is defined by

$$P_V(\lambda) = \sum_{i=0}^d (-1)^i \frac{\sigma_i}{(q-q^{-1})^{2i}([i]!)^2} \prod_{j=i+1}^d \left(\lambda - \varepsilon s^{-2} q^{2(d-j)} - \varepsilon^* s^2 q^{-2(d-j)}\right),$$

where  $[i] = [i]_q = (q^i - q^{-i})/(q - q^{-1})$  and  $[i]! = [1][2] \cdots [i]$  with the understanding of [0]! = 1. Since  $\sigma_0 = 1$ ,  $P_V(\lambda)$  is a monic polynomial of degree d.

If V is an irreducible  $\mathcal{T}_q$ -module, it is known that V in fact satisfies the properties  $(D)_0$ ,  $(D)_1$  [4, Lemma 1.2, Theorem 1.8], and these properties provide a rather simple short proof for the 'injective' part of [4, Theorem 1.9], i.e., for the fact that the isomorphism class of the irreducible  $\mathcal{T}_q$ -module V is determined by the trio  $(\{\sigma_i\}_{i=0}^{\infty}, s, d)$ .

If V is a tensor product of evaluation modules for  $U_q(L(\mathfrak{sl}_2))$  in the case of  $(\varepsilon, \varepsilon^*) = (1, 1), (0, 0)$  or for  $U_q'(L(\mathfrak{sl}_2))$  in the case of  $(\varepsilon, \varepsilon^*) = (1, 0)$ , we regard V as a  $\mathcal{T}_q$ -module via the embedding  $\varphi_s$  of (5). Then it is apparent that the  $\mathcal{T}_q$ -module V satisfies the properties  $(D)_0$ ,  $(D)_1$ . Moreover it is known that a product formula holds for the Drinfel'd polynomial  $P_V(\lambda)$  and it turns out that  $P_V(\lambda)$  does not depend on the parameter s of the embedding  $\varphi_s$  [4, Theorem 5.2]. The 'surjective' part of [4, Theorem 1.9] follows from the structure of the zeros of the Drinfel'd polynomial for such a tensor product of evaluation modules regarded as a  $\mathcal{T}_q$ -module via the embedding  $\varphi_s$ .

# 2 Finite-dimensional irreducible representations of $U_q'(\widehat{\mathfrak{sl}}_2)$

The subalgebra  $U_q'(\widehat{\mathfrak{sl}}_2)$  of the quantum affine algebra  $U_q(\widehat{\mathfrak{sl}}_2)$  is generated by  $e_0^+$ ,  $e_1^\pm$ ,  $k_i^{\pm 1}$   $(i=0,1), e_0^-$  missing from the generators, and has by the triangular decomposition of  $U_q(\widehat{\mathfrak{sl}}_2)$  the defining relations

$$k_{0}k_{1} = k_{1}k_{0}, k_{i}k_{i}^{-1} = k_{i}^{-1}k_{i} = 1, k_{0}e_{0}^{+}k_{0}^{-1} = q^{2}e_{0}^{+}, k_{1}e_{1}^{\pm}k_{1}^{-1} = q^{\pm 2}e_{1}^{\pm},$$

$$k_{1}e_{0}^{+}k_{1}^{-1} = q^{-2}e_{0}^{+}, k_{0}e_{1}^{\pm}k_{0}^{-1} = q^{\mp 2}e_{1}^{\pm}, [e_{1}^{+}, e_{1}^{-}] = \frac{k_{1} - k_{1}^{-1}}{q - q^{-1}},$$

$$[e_{0}^{+}, e_{1}^{-}] = 0, [e_{i}^{+}, [e_{i}^{+}, [e_{i}^{+}, e_{i}^{+}]_{q}]_{q^{-1}}] = 0, i \neq j.$$

$$(6)$$

Note that if  $k_0k_1 = k_1k_0$  is replaced by  $k_0k_1 = k_1k_0 = 1$  in (6), we have the defining relations for  $U'_q(L(\mathfrak{sl}_2))$ .

Let V be a finite-dimensional irreducible  $U_q'(\widehat{\mathfrak{sl}}_2)$ -module. Let us first observe that the  $U_q'(\widehat{\mathfrak{sl}}_2)$ -module V is obtained from a  $U_q'(L(\mathfrak{sl}_2))$ -module by applying an automorphism of  $U_q'(\widehat{\mathfrak{sl}}_2)$  as follows. Since the element  $k_0k_1$  belongs to the centre of  $U_q'(\widehat{\mathfrak{sl}}_2)$ ,  $k_0k_1$  acts on V as a scalar s by Schur's lemma. Since  $k_0k_1$  is invertible, the scalar s is nonzero:  $k_0k_1|_V = s \in \mathbb{C}^\times$ . Observe that  $U_q'(\widehat{\mathfrak{sl}}_2)$  admits an automorphism that sends  $k_0$  to  $s^{-1}k_0$  and preserves  $k_1$ . Hence we may assume  $k_0k_1|_V = 1$ . Then we can regard V as a  $U_q'(L(\mathfrak{sl}_2))$ -module.

Let V be a finite-dimensional irreducible  $U_q'(L(\mathfrak{sl}_2))$ -module. For a scalar  $\theta$ , set  $V(\theta) = \{v \in V \mid k_0 v = \theta v\}$ . So if  $V(\theta) \neq 0$ ,  $\theta$  is an eigenvalue of  $k_0$  and  $V(\theta)$  is the corresponding eigenspace of  $k_0$ . For an eigenvalue  $\theta$  and an eigenvector  $v \in V(\theta)$ , it holds that  $e_0^+ v \in V(q^2 \theta)$  by the relation  $k_0 e_0^+ = q^2 e_0^+ k_0$  and  $e_1^{\pm} v \in V(q^{\mp 2} \theta)$  by  $k_0 e_1^{\pm} = q^{\mp 2} e_1^{\pm} k_0$ . We have

$$e_0^+V(\theta) \subseteq V(q^2\theta), \qquad e_1^{\pm}V(\theta) \subseteq V(q^{\mp 2}\theta).$$
 (7)

If dim V=1, then  $e_0^+V=0$ ,  $e_1^\pm V=0$  by (7) and  $k_0|_V=\pm 1$  by  $[e_1^+,e_1^-]=(k_1-k_1^{-1})/(q-q^{-1})=(k_0^{-1}-k_0)/(q-q^{-1})$ . Such a  $U_q'(L(\mathfrak{sl}_2))$ -module V is said to be trivial. Assume dim  $V\geq 2$ . Choose an eigenvalue  $\theta$  of  $k_0$  on V. Then  $\sum_{i\in\mathbb{Z}}V(q^{\pm 2i}\theta)$  is invariant under the actions of the generators by (7), and so we have  $V=\sum_{i\in\mathbb{Z}}V(q^{\pm 2i}\theta)$  by the irreducibility of the  $U_q'(L(\mathfrak{sl}_2))$ -module V. Since V is finite-dimensional, there exists a positive integer d and a nonzero scalar  $s_0$  such that the eigenspace decomposition of  $k_0$  is

$$V = \bigoplus_{i=0}^{d} V(s_0 q^{2i-d}). \tag{8}$$

We want to show that  $s_0 = \pm 1$  holds in (8).

Consider the subalgebra of  $U_q'(L(\mathfrak{sl}_2))$  generated by  $e_1^{\pm}$ ,  $k_1^{\pm 1}$  and denote it by  $\mathcal{U}: \mathcal{U} = \langle e_1^{\pm}, k_1^{\pm 1} \rangle$ . Regard V as a  $\mathcal{U}$ -module. Since  $\mathcal{U}$  is isomorphic to the quantum algebra  $U_q(\mathfrak{sl}_2)$ , V is a direct sum of irreducible  $\mathcal{U}$ -modules, and for each irreducible  $\mathcal{U}$ -submodule W of V, the eigenvalues of  $k_1 = k_0^{-1}$  on W are either  $\{q^{2i-\ell} \mid 0 \leq i \leq \ell\}$  or  $\{-q^{2i-\ell} \mid 0 \leq i \leq \ell\}$  for some nonnegative integer  $\ell$ . This implies that (i)  $s_0 = \pm q^m$  for some  $m \in \mathbb{Z}$  and (ii) if  $\theta$  is an eigenvalue of  $k_0$ , so is  $\theta^{-1}$ . It follows from (i) that  $V = \bigoplus_{i=0}^d V(\pm q^{2i-d+m})$ , and so by (ii), we obtain m = 0, i.e.,  $s_0 = \pm 1$ .

Observe that  $U'_q(L(\mathfrak{sl}_2))$  admits an automorphism that sends  $k_i$  to  $-k_i$  (i=0,1) and  $e_1^+$  to  $-e_1^+$ . Hence we may assume  $s_0=1$  in (8). Note that in this case,  $k_1$  has the eigenvalues  $\{s_1q^{2i-d} \mid 0 \leq i \leq d\}$  with  $s_1=1$ . Such an irreducible module or the irreducible representation

afforded by such is said to be of type(1,1), indicating  $(s_0,s_1)=(1,1)$ . We conclude that the determination of finite-dimensional irreducible representations for  $U'_q(\widehat{\mathfrak{sl}}_2)$  is, via automorphisms, reduced to that of type (1,1) for  $U'_q(L(\mathfrak{sl}_2))$ .

In the rest of this section, we shall introduce evaluation modules for  $U'_q(L(\mathfrak{sl}_2))$  and state our main theorem that every finite-dimensional irreducible representation of type (1,1) of  $U'_q(L(\mathfrak{sl}_2))$  is afforded by a tensor product of them. For  $a \in \mathbb{C}$  and  $\ell \in \mathbb{Z}_{\geq 0}$ , let  $V(\ell, a)$  denote the  $(\ell + 1)$ -dimensional vector space with a basis  $v_0, v_1, \ldots, v_\ell$ . Using (6), it can be routinely verified that  $U'_q(L(\mathfrak{sl}_2))$  acts on  $V(\ell, a)$  by

$$k_0 v_i = q^{2i-\ell} v_i, k_1 v_i = q^{\ell-2i} v_i, e_0^+ v_i = aq[i+1] v_{i+1},$$

$$e_1^+ v_i = [\ell - i + 1] v_{i-1}, e_1^- v_i = [i+1] v_{i+1},$$

$$(9)$$

where  $v_{-1} = v_{\ell+1} = 0$  and  $[t] = [t]_q = (q^t - q^{-t})/(q - q^{-1})$ . This  $U_q'(L(\mathfrak{sl}_2))$ -module  $V(\ell, a)$  is irreducible and called an evaluation module. The basis  $v_0, v_1, \ldots, v_\ell$  of the  $U_q'(L(\mathfrak{sl}_2))$ -module  $V(\ell, a)$  is called a standard basis. The vector  $v_0$  is called the highest weight vector. Note that the evaluation parameter a is allowed to be zero. Also note that if  $\ell = 0$ ,  $V(\ell, a)$  is the trivial module. We denote the evaluation module  $V(\ell, 0)$  by  $V(\ell)$ , allowing  $\ell = 0$ , and use the notation  $V(\ell, a)$  only for an evaluation module with  $a \neq 0$  and  $\ell \geq 1$ .

The  $U_q(\mathfrak{sl}_2)$ -loop algebra  $U_q(L(\mathfrak{sl}_2))$  has the coproduct  $\Delta: U_q(L(\mathfrak{sl}_2)) \to U_q(L(\mathfrak{sl}_2)) \otimes U_q(L(\mathfrak{sl}_2))$  defined by

$$\Delta(k_i^{\pm 1}) = k_i^{\pm 1} \otimes k_i^{\pm 1}, \qquad \Delta(e_i^+) = k_i \otimes e_i^+ + e_i^+ \otimes 1,$$
  

$$\Delta(e_i^- k_i) = k_i \otimes e_i^- k_i + e_i^- k_i \otimes 1.$$
(10)

The subalgebra  $U'_q(L(\mathfrak{sl}_2))$  is closed under  $\Delta$ . Thus given a set of evaluation modules  $V(\ell)$ ,  $V(\ell_i, a_i)$   $(1 \le i \le n)$  for  $U'_q(L(\mathfrak{sl}_2))$ , the tensor product

$$V(\ell) \otimes V(\ell_1, a_1) \otimes \cdots \otimes V(\ell_n, a_n)$$
(11)

becomes a  $U_q'(L(\mathfrak{sl}_2))$ -module via  $\Delta$ . Note that by the coassociativity of  $\Delta$ , the way of putting parentheses in the tensor product of (11) does not affect the isomorphism class as a  $U_q'(L(\mathfrak{sl}_2))$ -module. Also note that if  $\ell = 0$  in (11), then V(0) is the trivial module and the tensor product of (11) is isomorphic to  $V(\ell_1, a_1) \otimes \cdots \otimes V(\ell_n, a_n)$  as  $U_q'(L(\mathfrak{sl}_2))$ -modules. Finally we allow n = 0, in which case we understand that the tensor product of (11) means  $V(\ell)$ .

With the evaluation module  $V(\ell, a)$ , we associate the set  $S(\ell, a)$  of scalars  $aq^{-\ell+1}$ ,  $aq^{-\ell+3}$ , ...,  $aq^{\ell-1}$ :

$$S(\ell, a) = \{ aq^{2i-\ell+1} \mid 0 \le i \le \ell - 1 \}.$$

The set  $S(\ell, a)$  is called a *q-string* of length  $\ell$ . Two *q*-strings  $S(\ell, a)$ ,  $S(\ell', a')$  are said to be in general position if either

- (i) the union  $S(\ell, a) \cup S(\ell', a')$  is not a q-string, or
- (ii) one of  $S(\ell, a)$ ,  $S(\ell', a')$  includes the other.

Below is the main theorem of this paper. It classifies the isomorphism classes of the finite-dimensional irreducible  $U'_q(L(\mathfrak{sl}_2))$ -modules of type (1,1).

**Theorem 1.** The following (i), (ii), (iii), (iv) hold.

(i) A tensor product  $V = V(\ell) \otimes V(\ell_1, a_1) \otimes \cdots \otimes V(\ell_n, a_n)$  of evaluation modules is irreducible as a  $U'_q(L(\mathfrak{sl}_2))$ -module if and only if  $S(\ell_i, a_i)$ ,  $S(\ell_j, a_j)$  are in general position for all  $i, j \in \{1, 2, \ldots, n\}$ . In this case, V is of type (1, 1).

(ii) Consider two tensor products  $V = V(\ell) \otimes V(\ell_1, a_1) \otimes \cdots \otimes V(\ell_n, a_n)$ ,  $V' = V(\ell') \otimes V(\ell'_1, a'_1) \otimes \cdots \otimes V(\ell'_m, a'_m)$  of evaluation modules and assume that they are both irreducible as a  $U'_q(L(\mathfrak{sl}_2))$ -module. Then V, V' are isomorphic as  $U'_q(L(\mathfrak{sl}_2))$ -modules if and only if  $\ell = \ell'$ , n = m and  $(\ell_i, a_i) = (\ell'_i, a'_i)$  for all  $i, 1 \leq i \leq n$ , with a suitable reordering of the evaluation modules  $V(\ell_1, a_1), \ldots, V(\ell_n, a_n)$ .

- (iii) Every non-trivial finite-dimensional irreducible  $U_q'(L(\mathfrak{sl}_2))$ -module of type (1,1) is isomorphic to some tensor product  $V(\ell) \otimes V(\ell_1, a_1) \otimes \cdots \otimes V(\ell_n, a_n)$  of evaluation modules.
- (iv) If a tensor product  $V = V(\ell) \otimes V(\ell_1, a_1) \otimes \cdots \otimes V(\ell_n, a_n)$  of evaluation modules is irreducible as a  $U'_q(L(\mathfrak{sl}_2))$ -module, then any change of the orderings of the evaluation modules  $V(\ell)$ ,  $V(\ell_1, a_1), \ldots, V(\ell_n, a_n)$  for the tensor product does not change the isomorphism class of the  $U'_q(L(\mathfrak{sl}_2))$ -module V.

## 3 Proof of Theorem 1(i), (ii), (iii)

Discard the evaluation module  $V(\ell)$  from the statement of Theorem 1 and replace  $U_q'(L(\mathfrak{sl}_2))$  by  $U_q(L(\mathfrak{sl}_2))$  or by  $\mathcal{B}$ , where  $\mathcal{B}$  is the Borel subalgebra of  $U_q(L(\mathfrak{sl}_2))$  generated by  $e_i^+$ ,  $k_i^{\pm 1}$  (i=0,1). Then it precisely describes the classification of the isomorphism classes of finite-dimensional irreducible modules of type (1,1) for  $U_q(L(\mathfrak{sl}_2))$  [2] or for  $\mathcal{B}$  [1]. There is a one-to-one correspondence of finite-dimensional irreducible modules of type (1,1) between  $U_q(L(\mathfrak{sl}_2))$  and  $\mathcal{B}$ : every finite-dimensional irreducible  $U_q(L(\mathfrak{sl}_2))$ -module of type (1,1) is irreducible as a  $\mathcal{B}$ -module and every finite-dimensional irreducible  $\mathcal{B}$ -module of type (1,1) is uniquely extended to a  $U_q(L(\mathfrak{sl}_2))$ -module. This sort of correspondence of finite-dimensional irreducible modules exists between  $U_q'(L(\mathfrak{sl}_2))$  and  $\mathcal{T}_q$  via the embedding  $\varphi_s$  of (5), where  $\mathcal{T}_q$  is the augmented TD-algebra with  $(\varepsilon, \varepsilon^*) = (1,0)$ . Namely, we shall show that (i) every finite-dimensional irreducible  $U_q'(L(\mathfrak{sl}_2))$ -module of type (1,1) is irreducible as a  $\mathcal{T}_q$ -module via certain embedding  $\varphi_s$  of (5), and (ii) every finite-dimensional irreducible  $\mathcal{T}_q$ -module is uniquely extended to a  $U_q'(L(\mathfrak{sl}_2))$ -module of type (1,1) via the embedding  $\varphi_s$  of (5) with s uniquely determined. Since finite-dimensional irreducible  $\mathcal{T}_q$ -modules are classified in [4], this gives a proof of Theorem 1.

Apart from the Drinfel'd polynomials, the key to our understanding of the correspondence is the following two lemmas about  $U_q(\mathfrak{sl}_2)$ -modules. Let  $\mathcal{U}$  denote the quantum algebra  $U_q(\mathfrak{sl}_2)$ :  $\mathcal{U}$  is the associative algebra with 1 generated by  $X^{\pm}$ ,  $K^{\pm 1}$  subject to the defining relations

$$KK^{-1} = K^{-1}K = 1, KX^{\pm}K^{-1} = q^{\pm 2}X^{\pm}, [X^{+}, X^{-}] = \frac{K - K^{-1}}{q - q^{-1}}.$$
 (12)

**Lemma 1** ([4, Lemma 7.5]). Let V be a finite-dimensional U-module that has the following weight-space (K-eigenspace) decomposition:

$$V = \bigoplus_{i=0}^{d} U_i, \qquad K|_{U_i} = q^{2i-d}, \qquad 0 \le i \le d.$$

Let W be a subspace of V as a vector space. Assume that W is invariant under the actions of  $X^+$  and K:

$$X^+W \subseteq W$$
,  $KW \subseteq W$ .

If it holds that

$$\dim(W \cap U_i) = \dim(W \cap U_{d-i}), \qquad 0 \le i \le d,$$

then  $X^-W \subseteq W$ , i.e., W is a  $\mathcal{U}$ -submodule.

**Lemma 2.** If V is a finite-dimensional  $\mathcal{U}$ -module, the action of  $X^-$  on V is uniquely determined by those of  $X^+$ ,  $K^{\pm 1}$  on V.

**Proof.** The claim holds if V is irreducible as a  $\mathcal{U}$ -module. By the semi-simplicity of  $\mathcal{U}$ , it holds generally.

As a warm-up for the proof of Theorem 1, we shall demonstrate how to use these lemmas in the case of the corresponding theorem [2] for  $U_q(L(\mathfrak{sl}_2))$ . We want, and it is enough, to show part (iii) of the theorem for  $U_q(L(\mathfrak{sl}_2))$  by using the classification of finite-dimensional irreducible  $\mathcal{B}$ -modules. This is because the parts (i), (ii), (iv) are well-known in advance of [2], while the finite-dimensional irreducible  $\mathcal{B}$ -modules are classified in [4] rather straightforward by the product formula of Drinfel'd polynomials without using the part (iii) in question.

Let V be a finite-dimensional irreducible  $U_q(L(\mathfrak{sl}_2))$ -module of type (1,1). Then V has the weight-space decomposition

$$V = \bigoplus_{i=0}^{d} U_i, \qquad k_0|_{U_i} = q^{2i-d}, \qquad 0 \le i \le d.$$

Regard V as a  $\mathcal{B}$ -module. Let W be a minimal  $\mathcal{B}$ -submodule of V. Note that W is irreducible as a  $\mathcal{B}$ -module. We want to show W = V, i.e., V is irreducible as a  $\mathcal{B}$ -module. Since the mapping  $(e_0^+)^{d-2i}$ :  $U_i \to U_{d-i}$  is a bijection and  $W \cap U_i$  is mapped into  $W \cap U_{d-i}$  by  $(e_0^+)^{d-2i}$ , we have  $\dim(W \cap U_i) \le \dim(W \cap U_{d-i})$ ,  $0 \le i \le [d/2]$ . Similarly from the bijection  $(e_1^+)^{d-2i}$ :  $U_{d-i} \to U_i$ , we get  $\dim(W \cap U_{d-i}) \le \dim(W \cap U_i)$ . Thus it holds that

$$\dim(W \cap U_i) = \dim(W \cap U_{d-i}), \qquad 0 \le i \le d.$$

Consider the algebra homomorphism from  $\mathcal{U}$  to  $U_q(L(\mathfrak{sl}_2))$  that sends  $X^+, X^-, K^{\pm 1}$  to  $e_0^+, e_0^-, k_0^{\pm 1}$ , respectively. Regard V as a  $\mathcal{U}$ -module via this algebra homomorphism. Then  $X^+W\subseteq W$ ,  $KW\subseteq W$ . Since  $\dim(W\cap U_i)=\dim(W\cap U_{d-i}),\ 0\leq i\leq d$ , we have by Lemma 1 that  $X^-W\subseteq W$ , i.e.,  $e_0^-W\subseteq W$ . Similarly, Lemma 1 can be applied to the  $\mathcal{U}$ -module V via the algebra homomorphism from  $\mathcal{U}$  to  $U_q(L(\mathfrak{sl}_2))$  that sends  $X^+, X^-, K^{\pm 1}$  to  $e_1^+, e_1^-, k_1^{\pm 1}, e_1^-$  respectively, in which case the weight-space decomposition of the  $\mathcal{U}$ -module V is  $V=\bigoplus_{i=0}^d U_{d-i}, K|_{U_{d-i}}=q^{2i-d},\ 0\leq i\leq d$ . Consequently, we get  $X^-W\subseteq W$ , i.e.,  $e_1^-W\subseteq W$ . Thus W is  $U_q(L(\mathfrak{sl}_2))$ -invariant and we have W=V by the irreducibility of the  $U_q(L(\mathfrak{sl}_2))$ -module V. We conclude that every finite-dimensional irreducible  $U_q(L(\mathfrak{sl}_2))$ -module of type (1,1) is irreducible as a  $\mathcal{B}$ -module.

Now consider the class of finite-dimensional irreducible  $\mathcal{B}$ -modules V, where V runs through all tensor products of evaluation modules that are irreducible as a  $U_q(L(\mathfrak{sl}_2))$ -module:

$$V = V(\ell_1, a_1) \otimes \cdots \otimes V(\ell_n, a_n).$$

Then it turns out that the Drinfel'd polynomials  $P_V(\lambda)$  of the irreducible  $\mathcal{B}$ -modules V exhaust all that are possible for finite-dimensional irreducible  $\mathcal{B}$ -modules of type (1,1), as shown in [4, Theorem 5.2] by the product formula

$$P_V(\lambda) = \prod_{i=1}^n P_{V(\ell_i, a_i)}(\lambda), \qquad P_{V(\ell_i, a_i)}(\lambda) = \prod_{\zeta \in S(\ell_i, a_i)} (\lambda + \zeta).$$

Since the Drinfel'd polynomial  $P_V(\lambda)$  determines the isomorphism class of the  $\mathcal{B}$ -module V of type (1,1) [4, the injectivity part of Theorem 1.9'], there are no other finite-dimensional

irreducible  $\mathcal{B}$ -modules of type (1,1). This means that every finite-dimensional irreducible  $\mathcal{B}$ module of type (1,1) comes from some tensor product of evaluation modules for  $U_q(L(\mathfrak{sl}_2))$ .

Let V be a finite-dimensional irreducible  $U_q(L(\mathfrak{sl}_2))$ -module of type (1,1). Then V is irreducible as a  $\mathcal{B}$ -module and so there exists an irreducible  $U_q(L(\mathfrak{sl}_2))$ -module  $V' = V(\ell_1, a_1) \otimes \cdots \otimes V(\ell_n, a_n)$  such that V, V' are isomorphic as  $\mathcal{B}$ -modules. By Lemma 2, V, V' are isomorphic as  $U_q(L(\mathfrak{sl}_2))$ -modules. This completes the proof of part (iii) of the theorem for  $U_q(L(\mathfrak{sl}_2))$ .

The proof of Theorem 1 can be given by an argument very similar to the one we have seen above for the case of  $U_q(L(\mathfrak{sl}_2))$ . We prepare two more lemmas for the case of  $U'_q(L(\mathfrak{sl}_2))$  to make the point clearer. Set  $(\varepsilon, \varepsilon^*) = (1,0)$  and let  $\mathcal{T}_q$  be the augmented TD-algebra defined by  $(TD)_0$ ,  $(TD)_1$  in (1), (2). For  $s \in \mathbb{C}^{\times}$ , let  $\varphi_s$  be the embedding of  $\mathcal{T}_q$  into  $U'_q(L(\mathfrak{sl}_2))$  given by (4), (5).

**Lemma 3.** Let  $V_1$ ,  $V_2$  be finite-dimensional irreducible  $U'_q(L(\mathfrak{sl}_2))$ -modules. If  $V_1$ ,  $V_2$  are isomorphic as  $\varphi_s(\mathcal{T}_q)$ -modules for some  $s \in \mathbb{C}^{\times}$ , then  $V_1$ ,  $V_2$  are isomorphic as  $U'_q(L(\mathfrak{sl}_2))$ -modules.

**Proof.** By (4),  $\varphi_s(\mathcal{T}_q)$  is generated by  $se_0^+ + s^{-1}e_1^-k_1$ ,  $e_1^+$  and  $k_i^{\pm 1}$  (i = 0, 1). Since  $\langle e_1^{\pm}, k_1^{\pm 1} \rangle$  is isomorphic to the quantum algebra  $U_q(\mathfrak{sl}_2)$ , the action of  $e_1^-$  on  $V_i$ , i = 1, 2, is uniquely determined by those of  $e_1^+$ ,  $k_1^{\pm 1} \in \varphi_s(\mathcal{T}_q)$  by Lemma 2. Apparently the action of  $e_0^+$  on  $V_i$ , i = 1, 2, is uniquely determined by those of  $se_0^+ + s^{-1}e_1^-k_1$ ,  $e_1^-$ ,  $k_1$ , and hence by that of  $\varphi_s(\mathcal{T}_q)$ . So the action of  $U'_q(L(\mathfrak{sl}_2))$  on  $V_i$ , i = 1, 2, is uniquely determined by that of  $\varphi_s(\mathcal{T}_q)$ .

**Lemma 4.** Let V be a finite-dimensional irreducible  $U'_q(L(\mathfrak{sl}_2))$ -module of type (1,1). Then there exists a finite set  $\Lambda$  of nonzero scalars such that V is irreducible as a  $\varphi_s(\mathcal{T}_q)$ -module for each  $s \in \mathbb{C}^{\times} \setminus \Lambda$ .

**Proof.** For  $s \in \mathbb{C}^{\times}$ , regard V as a  $\varphi_s(\mathcal{T}_q)$ -module. Let W be a minimal  $\varphi_s(\mathcal{T}_q)$ -submodule of V. It is enough to show that W = V holds if s avoids finitely many scalars. By (8) with  $s_0 = 1$ ,

the eigenspace decomposition of  $k_1 = k_0^{-1}$  on V is  $V = \bigoplus_{i=0}^d U_{d-i}$ ,  $k_1|_{U_{d-i}} = q^{2i-d}$ ,  $0 \le i \le d$ . The

subalgebra  $\langle e_1^{\pm}, k_1^{\pm 1} \rangle$  of  $U_q'(L(\mathfrak{sl}_2))$  is isomorphic to the quantum algebra  $\mathcal{U} = U_q(\mathfrak{sl}_2)$  in (12) via the correspondence of  $e_1^{\pm}, k_1^{\pm 1}$  to  $X^{\pm}, K^{\pm 1}$ . The element  $(e_1^+)^{d-2i}$  maps  $U_{d-i}$  onto  $U_i$  bijectively,  $0 \le i \le [d/2]$ . Also  $(e_1^-k_1)^{d-2i}$  maps  $U_i$  onto  $U_{d-i}$  bijectively,  $0 \le i \le [d/2]$ .

The element  $(e_1^+)^{d-2i}$  belongs to  $\varphi_s(\mathcal{T}_q)$ . So  $(e_1^+)^{d-2i}W \subseteq W$ . Since the mapping  $(e_1^+)^{d-2i}$ :  $U_{d-i} \to U_i$  is a bijection, we have  $\dim(W \cap U_{d-i}) \le \dim(W \cap U_i)$ ,  $0 \le i \le [d/2]$ .

The element  $(e_1^-k_1)^{d-2i}$  does not belong to  $\varphi_s(\mathcal{T}_q)$ , but  $(e_0^+ + s^{-2}e_1^-k_1)^{d-2i}$  does. By (7),  $(e_0^+ + s^{-2}e_1^-k_1)^{d-2i}$  maps  $U_i$  to  $U_{d-i}$ ,  $0 \le i \le [d/2]$ . We want to show it is a bijection if s avoids finitely many scalars. Identify  $U_{d-i}$  with  $U_i$  as vector spaces by the bijection  $(e_1^+)^{d-2i}$  between them. Then it makes sense to consider the determinant of a linear map from  $U_i$  to  $U_{d-i}$ . Set  $t = s^{-2}$  and expand  $(e_0^+ + te_1^-k_1)^{d-2i}$  as

$$t^{d-2i}(e_1^-k_1)^{d-2i}$$
 + lower terms in  $t$ .

Each term of the expansion gives a linear map from  $U_i$  to  $U_{d-i}$ . So the determinant of  $(e_0^+ + te_1^- k_1)^{d-2i}|_{U_i}$  equals

$$t^{(d-2i)\dim U_i} \det(e_1^- k_1)^{d-2i} |_{U_i} + \text{lower terms in } t,$$
 (13)

and this is a polynomial in t of degree  $(d-2i)\dim U_i$ , since  $\det(e_1^-k_1)^{d-2i}\big|_{U_i}\neq 0$ . Let  $\Lambda_i$  be the set of nonzero s such that  $t=s^{-2}$  is a root of the polynomial in (13). Then if  $s\in\mathbb{C}^\times\setminus\Lambda_i$ ,  $(e_0^++s^{-2}e_1^-k_1)^{d-2i}$  maps  $U_i$  to  $U_{d-i}$  bijectively.

Set  $\Lambda = \bigcup_{i=0}^{\lfloor d/2 \rfloor} \Lambda_i$ . Choose  $s \in \mathbb{C}^{\times} \setminus \Lambda$ . Then the mapping  $(e_0^+ + s^{-2}e_1^-k_1)^{d-2i} : U_i \to U_{d-i}$  is a bijection for  $0 \le i \le \lfloor d/2 \rfloor$ . Since  $e_0^+ + s^{-2}e_1^-k_1$  belongs to  $\varphi_s(\mathcal{T}_q)$ , we have  $(e_0^+ + s^{-2}e_1^-k_1)^{d-2i} : U_i \to U_{d-i}$ 

 $s^{-2}e_1^-k_1)^{d-2i}W\subseteq W$  and so  $\dim(W\cap U_i)\leq \dim(W\cap U_{d-i})$ . Since we have already shown  $\dim(W\cap U_{d-i})\leq \dim(W\cap U_i)$ , we obtain  $\dim(W\cap U_i)=\dim(W\cap U_{d-i})$ ,  $0\leq i\leq [d/2]$ . Therefore by Lemma 1, we have  $e_1^-W\subseteq W$ . Since  $(e_0^++s^{-2}e_1^-k_1)W\subseteq W$ , the inclusion  $e_0^+W\subseteq W$  follows from  $e_1^-W\subseteq W$  and so W is  $U_q'(L(\mathfrak{sl}_2))$ -invariant. Thus W=V holds by the irreducibility of V as a  $U_q'(L(\mathfrak{sl}_2))$ -module.

**Proof of Theorem 1.** We use the classification of finite-dimensional irreducible  $\mathcal{T}_q$ -modules in the case of  $(\varepsilon, \varepsilon^*) = (1, 0)$  [4, Theorem 1.18]:

- (i) A tensor product  $V = V(\ell) \otimes V(\ell_1, a_1) \otimes \cdots \otimes V(\ell_n, a_n)$  of evaluation modules is irreducible as a  $\varphi_s(\mathcal{T}_q)$ -module if and only if  $-s^{-2} \notin S(\ell_i, a_i)$  for all  $i \in \{1, \dots, n\}$  and  $S(\ell_i, a_i)$ ,  $S(\ell_j, a_j)$  are in general position for all  $i, j \in \{1, \dots, n\}$ .
- (ii) Consider two tensor products  $V = V(\ell) \otimes V(\ell_1, a_1) \otimes \cdots \otimes V(\ell_n, a_n)$ ,  $V' = V(\ell') \otimes V(\ell'_1, a'_1) \otimes \cdots \otimes V(\ell'_m, a'_m)$  of evaluation modules and assume that they are both irreducible as a  $\varphi_s(\mathcal{T}_q)$ -module. Then V, V' are isomorphic as  $\varphi_s(\mathcal{T}_q)$ -modules if and only if  $\ell = \ell'$ , n = m and  $(\ell_i, a_i) = (\ell'_i, a'_i)$  for all  $i \in \{1, \ldots, n\}$  with a suitable reordering of the evaluation modules  $V(\ell_1, a_1), \ldots, V(\ell_n, a_n)$ .
- (iii) Every finite-dimensional irreducible  $\mathcal{T}_q$ -module V, dim  $V \geq 2$ , is isomorphic to a  $\mathcal{T}_q$ -module  $V' = V(\ell) \otimes V(\ell_1, a_1) \otimes \cdots \otimes V(\ell_n, a_n)$  on which  $\mathcal{T}_q$  acts via some embedding  $\varphi_s : \mathcal{T}_q \to U'_q(L(\mathfrak{sl}_2))$ .

Part (i) of Theorem 1 follows immediately from the part (i) above, due to Lemma 4. Part (ii) of Theorem 1 follows immediately from the part (ii) above, the 'if' part due to Lemma 3 (and Lemma 4) and the 'only if' part due to Lemma 4.

We want to show part (iii) of Theorem 1. Let V be a finite-dimensional irreducible  $U'_q(L(\mathfrak{sl}_2))$ module of type (1,1). By Lemma 4, there exists a nonzero scalar s such that V is irreducible
as a  $\varphi_s(\mathcal{T}_q)$ -module. By the part (iii) above, for the proof of which Drinfel'd polynomials play
the key role, the  $\mathcal{T}_q$ -module V via  $\varphi_s$  is isomorphic to some  $\mathcal{T}_q$ -module  $V' = V(\ell) \otimes V(\ell_1, a_1) \otimes$   $\cdots \otimes V(\ell_n, a_n)$  via some embedding  $\varphi_{s'}$  of  $\mathcal{T}_q$  into  $U'_q(L(\mathfrak{sl}_2))$ . Since  $k_0$  has the same eigenvalues
on V, V', we have s = s' and so V, V' are isomorphic as  $\varphi_s(\mathcal{T}_q)$ -modules. By Lemma 3, V, V'are isomorphic as  $U'_q(L(\mathfrak{sl}_2))$ -modules. Part (iv) will be shown in the next section.

## 4 Intertwiners: Proof of Theorem 1(iv)

In this section, we show that for  $\ell$ ,  $m \in \mathbb{Z}_{>0}$ ,  $a \in \mathbb{C}^{\times}$ , there exists an intertwiner between the  $U'_q(L(\mathfrak{sl}_2))$ -modules  $V(\ell,a) \otimes V(m)$ ,  $V(m) \otimes V(\ell,a)$ , i.e., a nonzero linear map R from  $V(\ell,a) \otimes V(m)$  to  $V(m) \otimes V(\ell,a)$  such that

$$R\Delta(\xi) = \Delta(\xi)R, \qquad \forall \, \xi \in U_q'(L(\mathfrak{sl}_2)),$$
 (14)

where  $\Delta$  is the coproduct from (10). If such an intertwiner R exists, then it is routinely concluded that  $V(\ell,a) \otimes V(m)$  is isomorphic to  $V(m) \otimes V(\ell,a)$  as  $U'_q(L(\mathfrak{sl}_2))$ -modules and any other intertwiner is a scalar multiple of R, since  $V(m) \otimes V(\ell,a)$  is irreducible as a  $U'_q(L(\mathfrak{sl}_2))$ -module by Theorem 1.

Using the theory of Drinfel'd polynomials [4] for the augmented TD-algebra  $\mathcal{T}_q = \mathcal{T}_q^{(\varepsilon,\varepsilon^*)}$  with  $(\varepsilon,\varepsilon^*)=(1,0)$ , we shall firstly show that  $V(\ell,a)\otimes V(m)$  is isomorphic to  $V(m)\otimes V(\ell,a)$  as  $U_q'(L(\mathfrak{sl}_2))$ -modules. This proves Theorem 1(iv), since it is well-known [2, Theorem 4.11] that  $V(\ell_i,a_i)\otimes V(\ell_j,a_j)$  and  $V(\ell_j,a_j)\otimes V(\ell_i,a_i)$  are isomorphic as  $U_q(\widehat{\mathfrak{sl}}_2)$ -modules, if  $S(\ell_i,a_i)$  and  $S(\ell_j,a_j)$  are in general position. Finally we shall construct an intertwiner explicitly.

Let us denote the  $U'_q(L(\mathfrak{sl}_2))$ -modules  $V(\ell,a) \otimes V(m), V(m) \otimes V(\ell,a)$  by V, V':

$$V = V(\ell, a) \otimes V(m), \qquad V' = V(m) \otimes V(\ell, a).$$

Recall we assume that the integers  $\ell$ , m and the scalar a are nonzero. Let us denote a standard basis of the  $U'_q(L(\mathfrak{sl}_2))$ -module  $V(\ell,a)$  (resp. V(m)) by  $v_0, v_1, \ldots, v_\ell$  (resp.  $v'_0, v'_1, \ldots, v'_m$ ) in the sense of (9). Recall V(m) is an abbreviation of V(m,0) and the action of  $U'_q(L(\mathfrak{sl}_2))$  on V, V' are via the coproduct  $\Delta$  of (10).

Let  $\mathcal{U}$  denote the subalgebra of  $U'_q(L(\mathfrak{sl}_2))$  generated by  $e_1^{\pm}$ ,  $k_1^{\pm}$ . The subalgebra  $\mathcal{U}$  is isomorphic to the quantum algebra  $U_q(\mathfrak{sl}_2)$ . Let V(n) denote the irreducible  $\mathcal{U}$ -module of dimension n+1: V(n) has a standard basis  $x_0, x_1, \ldots, x_n$  on which  $\mathcal{U}$  acts as

$$k_1 x_i = q^{n-2i} x_i, e_1^+ x_i = [n-i+1] x_{i-1}, e_1^- x_i = [i+1] x_{i+1},$$

where  $[t] = [t]_q = (q^t - q^{-t})/(q - q^{-1})$ ,  $x_{-1} = x_{n+1} = 0$ . We call  $x_n$  (resp.  $x_0$ ) the lowest (highest) weight vector:  $k_1x_n = q^{-n}x_n$ ,  $e_1^-x_n = 0$  ( $k_1x_0 = q^nx_0$ ,  $e_1^+x_0 = 0$ ). Note that  $V(\ell, a)$  is isomorphic to  $V(\ell)$  as  $\mathcal{U}$ -modules.

By the Clebsch–Gordan formula,  $V = V(\ell, a) \otimes V(m)$  is decomposed into the direct sum of  $\mathcal{U}$ -submodules  $\widetilde{V}(n)$ ,  $|\ell-m| \leq n \leq \ell+m$ ,  $n \equiv \ell+m \mod 2$ , where  $\widetilde{V}(n)$  is the unique irreducible  $\mathcal{U}$ -submodule of V isomorphic to V(n). With  $n = \ell + m - 2\nu$ , we have

$$V = V(\ell, a) \otimes V(m) = \bigoplus_{\nu=0}^{\min\{\ell, m\}} \widetilde{V}(\ell + m - 2\nu).$$

$$(15)$$

Let  $\widetilde{x}_n$  denote a lowest weight vector of the  $\mathcal{U}$ -module  $\widetilde{V}(n)$ . So

$$\Delta(k_1)\widetilde{x}_n = q^{-n}\widetilde{x}_n, \qquad \Delta(e_1^-)\widetilde{x}_n = 0.$$

Since V has a basis  $\{v_{\ell-i}\otimes v'_{m-j}\mid 0\leq i\leq \ell, 0\leq j\leq m\}$  and  $k_1$  acts on it by  $\Delta(k_1)(v_{\ell-i}\otimes v'_{m-j})=q^{-(\ell+m)+2(i+j)}v_{\ell-i}\otimes v'_{m-i}$ , the lowest weight vector  $\widetilde{x}_n$  of  $\widetilde{V}(n)$  can be expressed as

$$\widetilde{x}_n = \sum_{i+i-\nu} c_j v_{\ell-i} \otimes v'_{m-j}, \qquad n = \ell + m - 2\nu.$$

Solving  $\Delta(e_1^-)\widetilde{x}_n = 0$  for the coefficients  $c_j$ , we obtain

$$\frac{c_j}{c_{j-1}} = -q^{m-2j+2} \frac{[\ell - \nu + j]}{[m-j+1]}$$

and so with a suitable choice of  $c_0$ 

$$\widetilde{x}_n = \sum_{j=0}^{\nu} (-1)^j q^{j(m-j+1)} [\ell - \nu + j]! [m-j]! v_{\ell-\nu+j} \otimes v'_{m-j}, \tag{16}$$

where  $n = \ell + m - 2\nu$  and  $[t]! = [t][t-1] \cdots [1]$ .

Lemma 5.  $\Delta(e_0^+)\widetilde{x}_n = aq\widetilde{x}_{n+2}$ .

**Proof.** By (10), we have  $\Delta(e_0^+) = e_0^+ \otimes 1 + k_0 \otimes e_0^+$ . By (9), the element  $e_0^+$  vanishes on V(m) and acts on  $V(\ell,a)$  as  $aqe_1^-$ . Since  $e_1^-v_{\ell-\nu+j} = [\ell-(\nu-1)+j]v_{\ell-(\nu-1)+j}$ , the result follows from (16), using  $v_{\ell+1} = 0$ .

Corollary 1. Any nonzero  $U'_q(L(\mathfrak{sl}_2))$ -submodule of  $V(\ell, a) \otimes V(m)$  contains  $\widetilde{x}_{\ell+m}$ , the lowest weight vector of the  $\mathcal{U}$ -module  $V(\ell, a) \otimes V(m)$ .

We are ready to prove the following

**Theorem 2.** The  $U'_q(L(\mathfrak{sl}_2))$ -modules  $V(\ell, a) \otimes V(m)$ ,  $V(m) \otimes V(\ell, a)$  are isomorphic for every  $\ell, m \in \mathbb{Z}_{>0}$ ,  $a \in \mathbb{C}^{\times}$ .

**Proof.** Let  $\mathcal{T}_q = \mathcal{T}_q^{(\varepsilon,\varepsilon^*)}$  be the augmented TD-algebra with  $(\varepsilon,\varepsilon^*) = (1,0)$ . Let  $\varphi_s : \mathcal{T}_q \to U'_q(L(\mathfrak{sl}_2))$  denote the embedding of  $\mathcal{T}_q$  into  $U'_q(L(\mathfrak{sl}_2))$  given in (5). By [4, Theorem 5.2], the Drinfel'd polynomial  $P_V(\lambda)$  of the  $\varphi_s(\mathcal{T}_q)$ -module  $V = V(\ell,a) \otimes V(m)$  turns out to be

$$P_V(\lambda) = \lambda^m \prod_{i=0}^{\ell-1} (\lambda + aq^{2i-\ell+1}).$$

(Note that the parameter s of the embedding  $\varphi_s$  does not appear in  $P_V(\lambda)$ . So the polynomial  $P_V(\lambda)$  can be called the Drinfel'd polynomial attached to the  $U'_q(L(\mathfrak{sl}_2))$ -module V.)

Let W be a minimal  $U'_q(L(\mathfrak{sl}_2))$ -submodule of  $V = V(\ell, a) \otimes V(m)$ ; notice that we have shown the irreducibility of the  $U'_q(L(\mathfrak{sl}_2))$ -module  $V' = V(m) \otimes V(\ell, a)$  in Theorem 1 but not yet of  $V = V(\ell, a) \otimes V(m)$ . By Corollary 1, W contains the lowest and hence highest weight vectors of V. In particular, the irreducible  $U'_q(L(\mathfrak{sl}_2))$ -module W is of type (1,1). By Lemma 4, there exists a finite set  $\Lambda$  of nonzero scalars such that W is irreducible as a  $\varphi_s(\mathcal{T}_q)$ -module for any  $s \in \mathbb{C}^{\times} \setminus \Lambda$ . By the definition, the Drinfel'd polynomial  $P_W(\lambda)$  of the irreducible  $\varphi_s(\mathcal{T}_q)$ -module W coincides with  $P_V(\lambda)$ :

$$P_W(\lambda) = P_V(\lambda).$$

By Theorem 1,  $V' = V(m) \otimes V(\ell, a)$  is irreducible as a  $U'_q(L(\mathfrak{sl}_2))$ -module. So by Lemma 4, there exists a finite set  $\Lambda'$  of nonzero scalars such that V' is irreducible as a  $\varphi_s(\mathcal{T}_q)$ -module for any  $s \in \mathbb{C}^{\times} \setminus \Lambda'$ . By [4, Theorem 5.2], the Drinfel'd polynomial  $P_{V'}(\lambda)$  of the irreducible  $\varphi_s(\mathcal{T}_q)$ -module V' coincides with  $P_V(\lambda)$ :

$$P_{V'}(\lambda) = P_V(\lambda).$$

Both of the irreducible  $\varphi_s(\mathcal{T}_q)$ -modules W, V' have type s, diameter  $d = \ell + m$  and the Drinfel'd polynomial  $P_V(\lambda)$ . By [4, Theorem 1.9'], W and V' are isomorphic as  $\varphi_s(\mathcal{T}_q)$ -modules. By Lemma 3, W and V' are isomorphic as  $U'_q(L(\mathfrak{sl}_2))$ -modules. In particular, dim  $W = \dim V'$ . Since dim  $V' = \dim V$ , we have W = V, i.e., V and V' are isomorphic as  $U'_q(L(\mathfrak{sl}_2))$ -modules.

Finally we want to construct an intertwiner R between the irreducible  $U_q'(L(\mathfrak{sl}_2))$ -modules V, V'. Regard  $V' = V(m) \otimes V(\ell, a)$  as a  $\mathcal{U}$ -module. By the Clebsch–Gordan formula, we have the direct sum decomposition

$$V' = V(m) \otimes V(\ell, a) = \bigoplus_{\nu=0}^{\min\{\ell, m\}} \widetilde{V}'(\ell + m - 2\nu), \tag{17}$$

where  $\widetilde{V}'(n)$  is the unique irreducible  $\mathcal{U}$ -submodule of V' isomorphic to V(n),  $n=\ell+m-2\nu$ . Let  $\widetilde{x}'_n$  be a lowest weight vector of the  $\mathcal{U}$ -module  $\widetilde{V}'(n)$ . By (16), we have

$$\widetilde{x}'_{n} = \sum_{j=0}^{\nu} (-1)^{j} q^{j(\ell-j+1)} [m-\nu+j]! [\ell-j]! v'_{m-\nu+j} \otimes v_{\ell-j}$$
(18)

up to a scalar multiple, where  $n = \ell + m - 2\nu$ . It can be easily checked as in Lemma 5 that the lowest weight vectors  $\widetilde{x}'_n$ ,  $n = \ell + m - 2\nu$ ,  $0 \le \nu \le \min\{\ell, m\}$ , are related by

$$(e_1^- \otimes 1)\widetilde{x}_n' = \widetilde{x}_{n+2}', \tag{19}$$

where  $V' = V(m) \otimes V(\ell, a)$  is regarded as a  $(\mathcal{U} \otimes \mathcal{U})$ -module in the natural way.

Lemma 6.  $\Delta(e_0^+)\widetilde{x}'_n = -aq \cdot q^{n+2}\widetilde{x}'_{n+2}$ .

**Proof.** We have  $\Delta(e_0^+)\widetilde{x}_n' = aq(k_1^{-1} \otimes e_1^-)\widetilde{x}_n'$ , since  $\Delta(e_0^+) = e_0^+ \otimes 1 + k_0 \otimes e_0^+$ , and  $e_0^+$  vanishes on V(m) and acts on  $V(\ell,a)$  as  $aqe_1^-$ . Express  $k_1^{-1} \otimes e_1^-$  as  $k_1^{-1} \otimes e_1^- = (k_1^{-1} \otimes 1)(1 \otimes e_1^-) = (k_1^{-1} \otimes 1)(\Delta(e_1^-) - e_1^- \otimes k_1^{-1}) = (k_1^{-1} \otimes 1)\Delta(e_1^-) - k_1^{-1}e_1^- \otimes k_1^{-1} = (k_1^{-1} \otimes 1)\Delta(e_1^-) - q^2(e_1^- \otimes 1)\Delta(k_1^{-1})$ . Since  $\Delta(e_1^-)\widetilde{x}_n' = 0$ ,  $\Delta(k_1^{-1})\widetilde{x}_n' = q^n\widetilde{x}_n'$ , the result follows from (19).

There exists a unique linear map

$$R_n: V = V(\ell, a) \otimes V(m) \to \widetilde{V}'(n)$$

that commutes with the action of  $\mathcal{U}$  and sends  $\widetilde{x}_n$  to  $\widetilde{x}'_n$ . The linear map  $R_n$  vanishes on  $\widetilde{V}(t)$  for  $t \neq n$  and affords an isomorphism between  $\widetilde{V}(n)$  and  $\widetilde{V}'(n)$  as  $\mathcal{U}$ -modules. If R is an intertwiner in the sense of (14), then R can be expressed as

$$R = \sum_{\nu=0}^{\min\{\ell,m\}} \alpha_{\nu} R_{\ell+m-2\nu},\tag{20}$$

regarding R as an intertwiner for the  $\mathcal{U}$ -modules V, V'. By (14), we have

$$R\Delta(e_0^+) = \Delta(e_0^+)R. \tag{21}$$

Apply (21) to the lowest weight vector  $\widetilde{x}_n$  in (16). By Lemma 5,  $\Delta(e_0^+)\widetilde{x}_n = aq\widetilde{x}_{n+2}$  and so with  $n = \ell + m - 2\nu$ , we have

$$R\Delta(e_0^+)\widetilde{x}_n = aq\alpha_{\nu-1}\widetilde{x}'_{n+2}. (22)$$

On the other hand,  $R\widetilde{x}_n = \alpha_{\nu}\widetilde{x}'_n$ ,  $n = \ell + m - 2\nu$ , and so by Lemma 6, we have

$$\Delta(e_0^+)R\widetilde{x}_n = -aq\alpha_\nu q^{n+2}\widetilde{x}'_{n+2}.$$
(23)

By (22), (23), we have  $\alpha_{\nu}/\alpha_{\nu-1} = -q^{-n-2} = -q^{-\ell-m+2(\nu-1)}$  and so

$$\alpha_{\nu} = (-1)^{\nu} q^{-\nu(\ell+m-\nu+1)} \tag{24}$$

by choosing  $\alpha_0 = 1$ . An intertwiner exists by Theorem 2. If it exists, it has to be in the form of (20), (24). Thus we obtain the following.

**Theorem 3.** The linear map

$$R = \sum_{\nu=0}^{\min\{\ell,m\}} (-1)^{\nu} q^{-\nu(\ell+m-\nu+1)} R_{\ell+m-2\nu}$$

is an intertwiner between the  $U_q'(L(\mathfrak{sl}_2))$ -modules  $V(\ell,a) \otimes V(m)$ ,  $V(m) \otimes V(\ell,a)$ . Any other intertwiner is a scalar multiple of R.

**Remark 1.** Let R(a,b) be an intertwiner between the irreducible  $U'_q(L(\mathfrak{sl}_2))$ -modules  $V = V(\ell,a) \otimes V(m,b), V' = V(m,b) \otimes V(\ell,a)$ , where  $a \neq 0, b \neq 0$ :

$$R(a,b): V = V(\ell,a) \otimes V(m,b) \rightarrow V' = V(m,b) \otimes V(\ell,a).$$

As in (20), we write

$$R(a,b) = \sum_{\nu=0}^{\min\{\ell,m\}} \alpha_{\nu} R_{\ell+m-2\nu}.$$

Recall  $R_n$  is the linear map from V to V' that commutes with the action of  $\mathcal{U} = \langle e_1^{\pm}, k_1^{\pm} \rangle$  and sends  $\widetilde{x}_n$  to  $\widetilde{x}'_n$ , where  $\widetilde{x}_n$ ,  $\widetilde{x}'_n$  are the lowest weight vectors of  $\widetilde{V}(n)$ ,  $\widetilde{V}'(n)$  from (15), (17) that are explicitly given by (16), (18) and satisfy  $(e_1^- \otimes 1)\widetilde{x}_n = \widetilde{x}_{n+2}$ ,  $(e_1^- \otimes 1)\widetilde{x}'_n = \widetilde{x}'_{n+2}$  as in (19). Since the  $U'_q(L(\mathfrak{sl}_2))$ -modules V, V' can be extended to  $U_q(\widehat{\mathfrak{sl}}_2)$ -modules, we have by [2, Theorem 5.4]

$$\alpha_{\nu} = \prod_{j=0}^{\nu-1} \frac{a - bq^{\ell+m-2j}}{b - aq^{\ell+m-2j}},\tag{25}$$

where we choose  $\alpha_0 = 1$ . Note that the denominator and the numerator of (25) are non-zero, since V, V' are assumed to be irreducible and so  $S(\ell, a), S(m, b)$  are in general position.

The intertwiner R(a,b) with  $a \neq 0$ ,  $b \neq 0$  is derived from the universal R-matrix for the quantum affine algebra  $U_q(\widehat{\mathfrak{sl}}_2)$  [5]. If we put b=0 in (25), then the spectral parameter u disappears, where  $q^{2u}=a/b$ , and we get (24). In this sense, the intertwiner R of Theorem 3 is related to the universal R-matrix for  $U_q(\widehat{\mathfrak{sl}}_2)$ , but we cannot expect that R comes from it directly, because the  $U'_q(L(\mathfrak{sl}_2))$ -modules  $V=V(\ell,a)\otimes V(m),\ V'=V(m)\otimes V(\ell,a)$  cannot be extended to  $U_q(\widehat{\mathfrak{sl}}_2)$ -modules. In order to derive both of the intertwiners R(a,b), R from a universal R-matrix directly, we need to construct it for the subalgebra  $U'_q(\widehat{\mathfrak{sl}}_2)$  of  $U_q(\widehat{\mathfrak{sl}}_2)$ .

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