

## 第3部 高次元一般剛性マトロイド

### Motivation

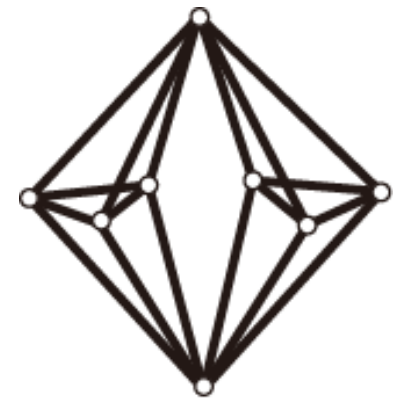
- **未解決問題:** グラフ上で組合せ的に定義されるマトロイドで  $\mathcal{R}_3(G)$  と同型なものがあるか??
- **未解決問題:**  $\mathcal{R}_3(G)$  のランクを多項式時間で決定的に計算できるか??

### ■ 3-dimensional Bar-joint Frameworks

- Inductive constructions
- 3次元のランク関数表現予想

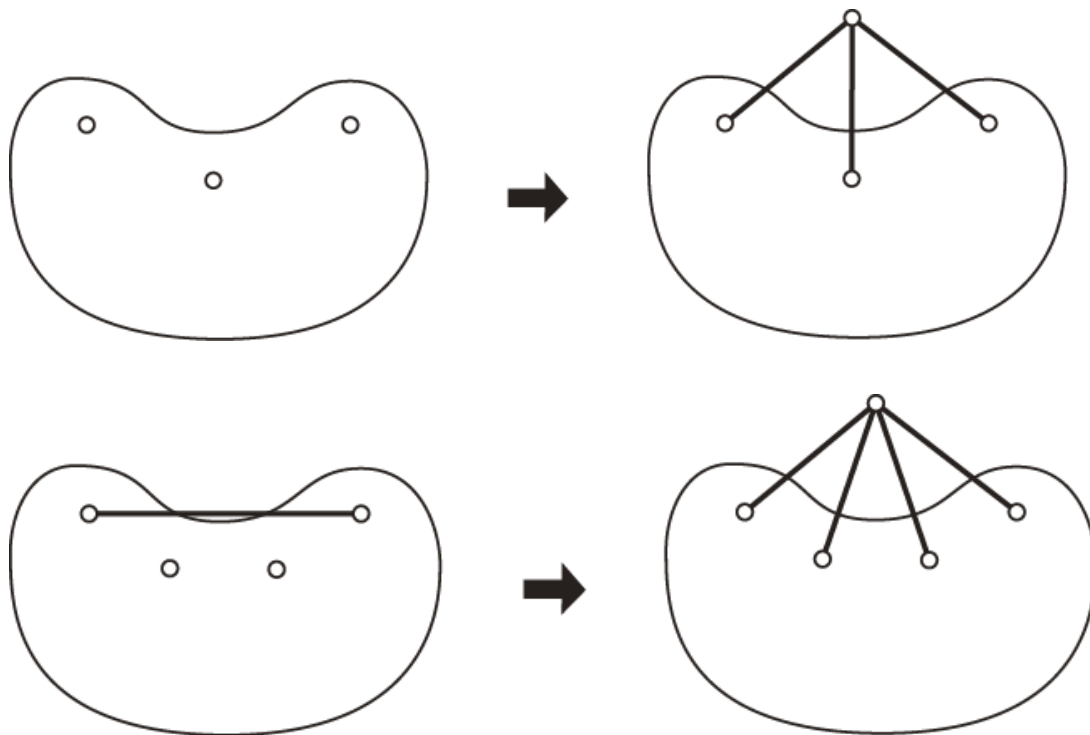
### ■ 特殊構造モデル

- より一般のモデル
- Body-bar Frameworks (Tayの定理)
- Body-hinge Frameworks (Tay-Whiteleyの定理)



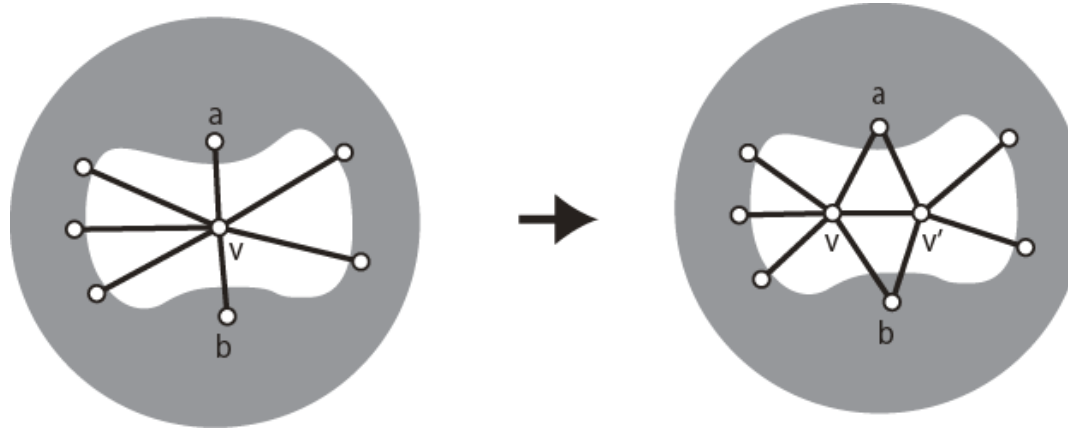
- 0-extension/1-extensionはd次元剛性を保持する

$d = 3$



- 3次元Maxwell条件( $m' \leq 3n' - 6$ )を満たすグラフの最小次数は5以下
  - 剛堅ならば最小次数は3以上

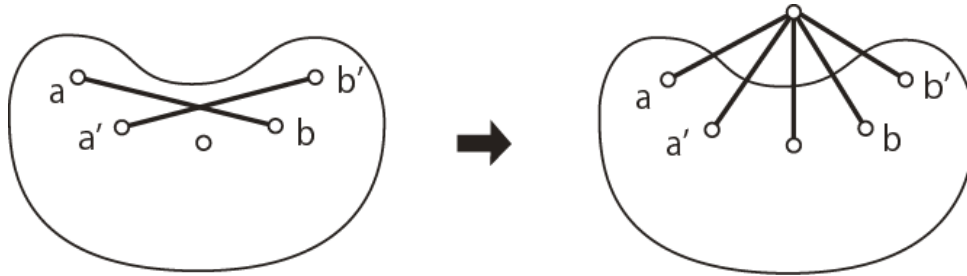
## ■ Vertex Splitting



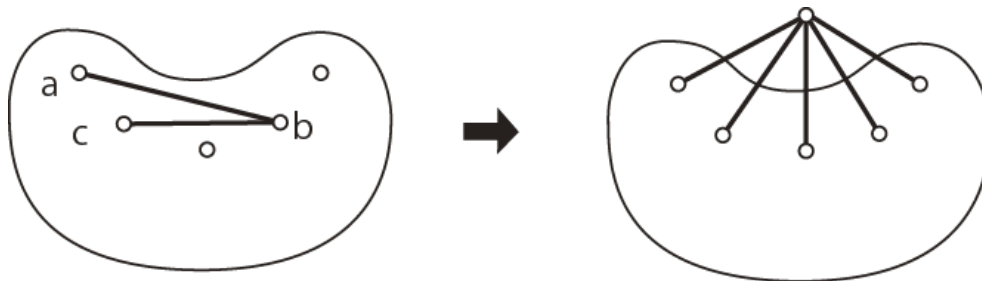
- **Theorem** (Whiteley1990) Vertex splitting は 3次元一般剛性を保持
- **Glückの定理**(Glück1975) 極大平面グラフは3次元極小剛堅
  - $m = 3n - 6$ であるから, 剛堅ならば極小剛堅
  - 極大平面グラフはvertex splittingの繰り返しによって構築可能

# Tay-Whiteleyの構築法予想

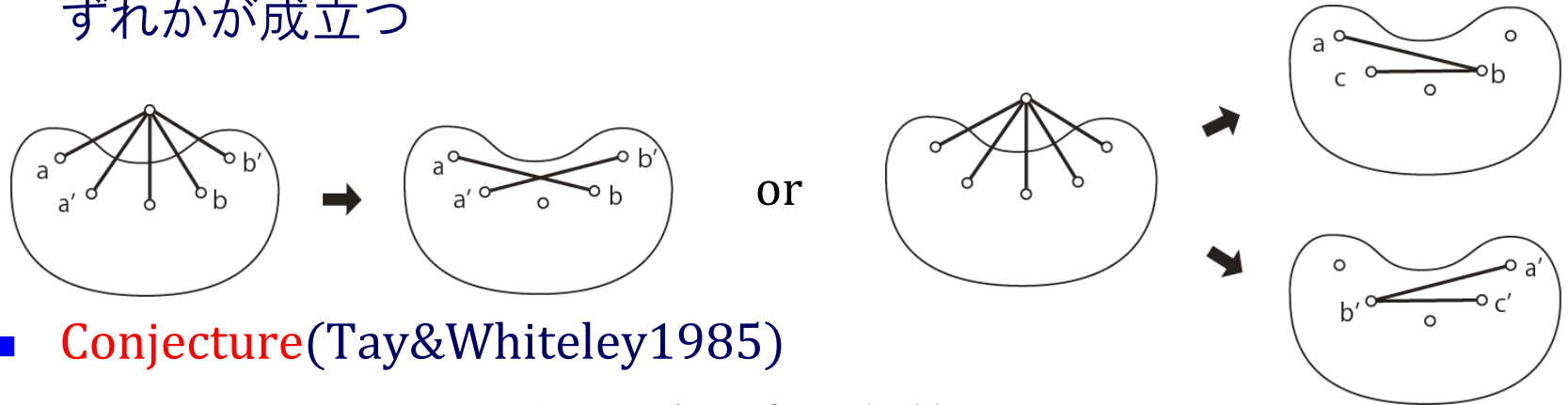
- Vertex splittingは必ず三角形を作ってしまう.
- X-replacement



- V-replacement



- **Theorem**(Tay&Whiteley1985). 次数5の点 $v$ において以下の2つのいずれかが成立つ

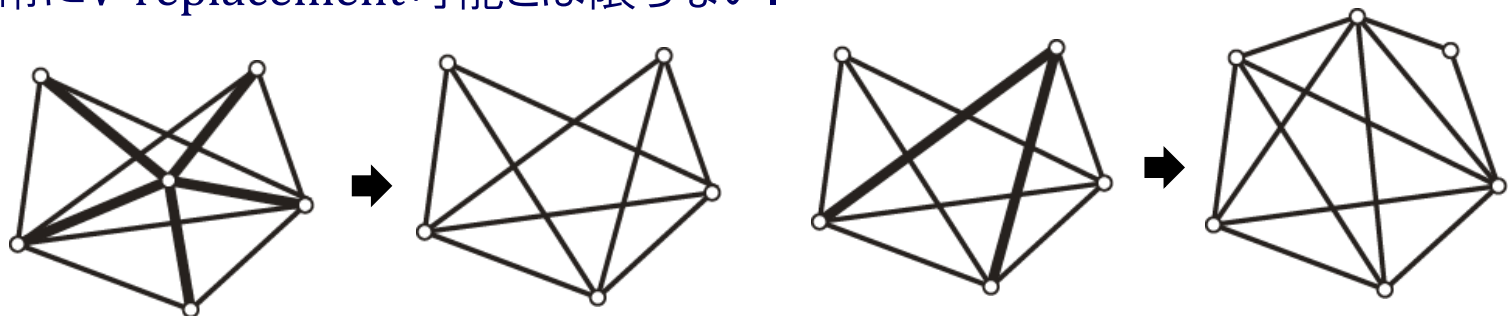


- **Conjecture**(Tay&Whiteley1985)

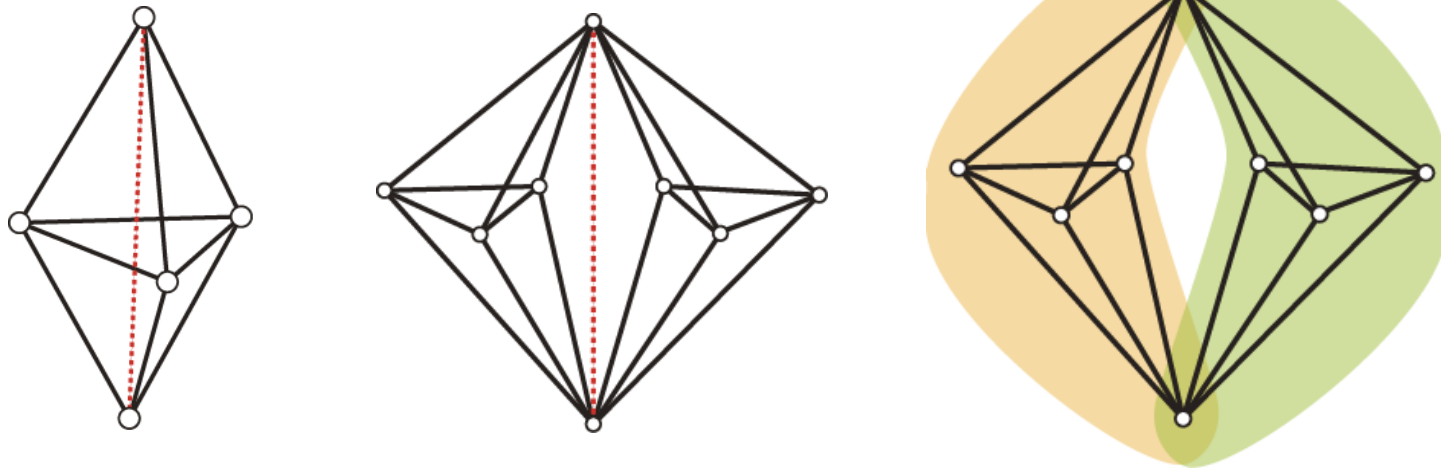
- X-replacementは3次元一般剛性を保持
- 上記右図のような2個の剛堅グラフが存在するならば, V-replacementは一般剛性を保持

- Remark.

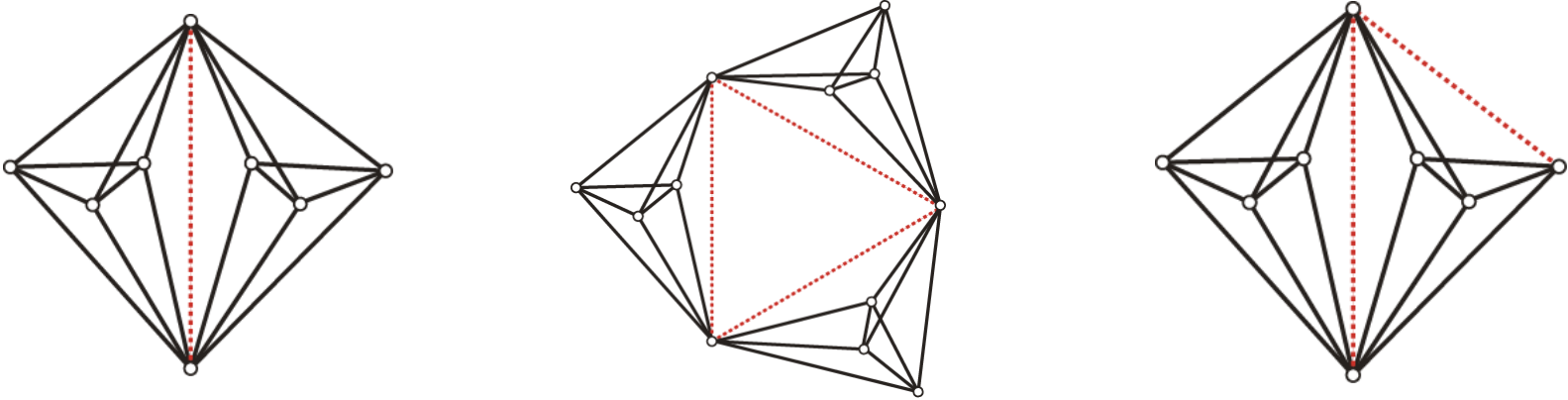
- X-replacementだけでは不十分.
- 常にV-replacement可能とは限らない.



- **Implied edges:**  $\text{cl}(E) \setminus E$
- **Rigid component:** a maximal  $X \subseteq V$  s.t.  $G[X]$  is rigid
- **Rigid cluster:** a maximal  $X \subseteq V$  s.t.  $\hat{G}[X]$  is a complete subgraph, where  $\hat{G} = (V, \text{cl}(E))$

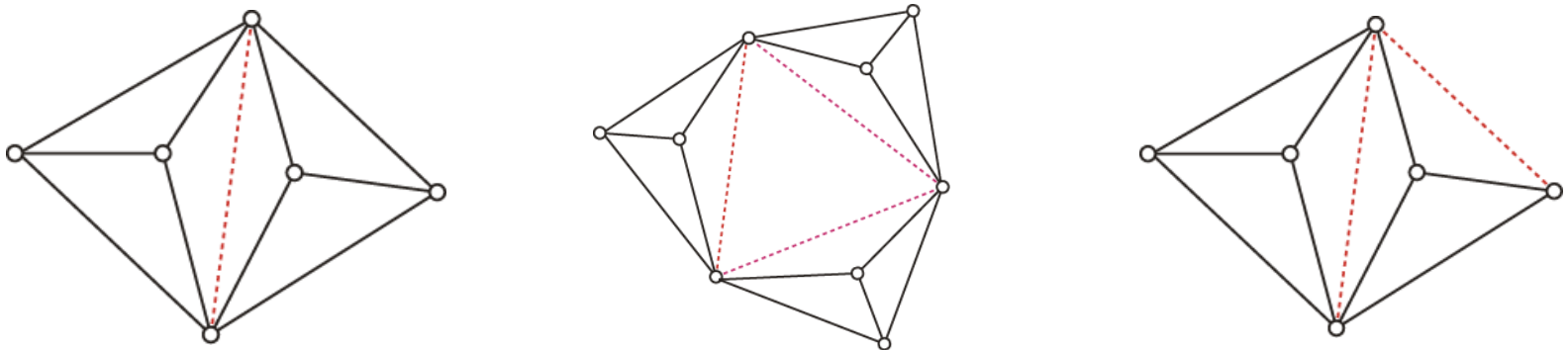


- 3次元(以上)の場合,
  - rigid componentとrigid clusterは一致しない!!

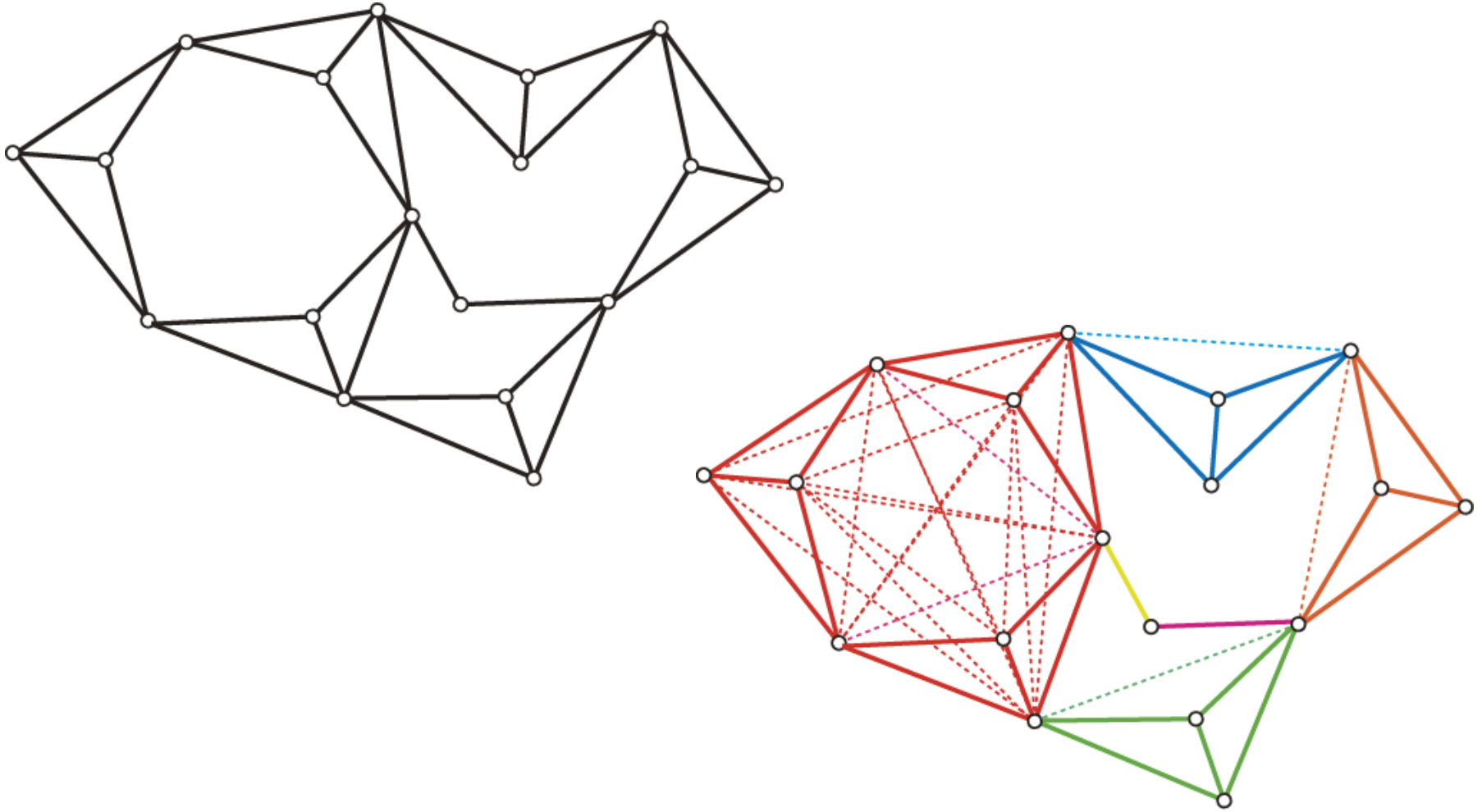


rigidな部分であっても, その部分に制限するとrigidとは限らない

- 2次元の場合
  - 2つのrigid clusterは2頂点以上共有しない
  - $\Rightarrow$  rigid clusterはrigid component



$d = 2$  におけるimplied edgesとrigid clusters (rigid components)





## 2次元のランク関数表現

- $E$  の **cover**:  $V$  の部分集合族  $\{X_1, \dots, X_k\}$  で  $E = \cup_i E(X_i)$
- **$t$ -thin cover**  $\{X_1, \dots, X_k\} : |X_i \cap X_j| \leq t$

- **定理**(Lovász&Yemini82) 2次元一般剛性マトロイド  $\mathcal{R}_2(G)$  のランクは

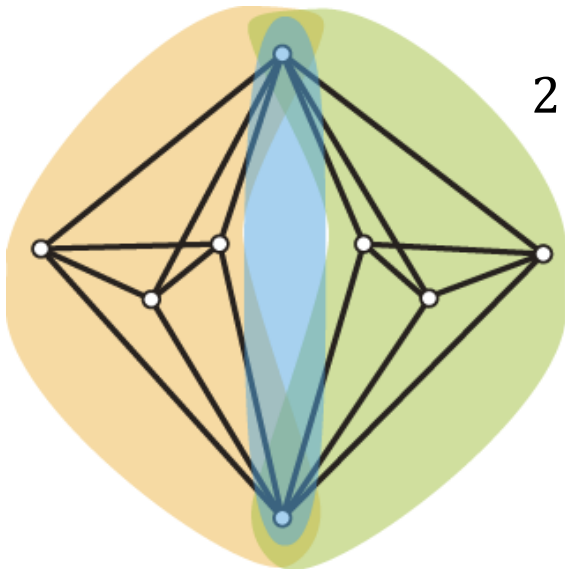
$$\min \left\{ \sum_i (2|X_i| - 3) \mid 1\text{-thin cover } \{X_1, \dots, X_k\} \right\}$$

- $\because \mathcal{R}_2(G)$  は  $f_{2,3}$  で誘導されるマトロイド. そのランクは  $\min\{\sum_i (2|V(F_i)| - 3) \mid \{F_1, \dots, F_k\}: \text{a partition of } V\}$
- minimizer の各  $F_i$  は,  $r(F_i) = 2|V(F_i)| - 3$  なので  $G[F_i]$  は剛堅
- $k$  を出来るだけ大きくとると, minimizer の各  $F_i$  は rigid cluster  $X_i$  に誘導される辺集合
- rigid cluster は2点以上共有しないので,  $\{X_1, \dots, X_k\}$  は1-thin

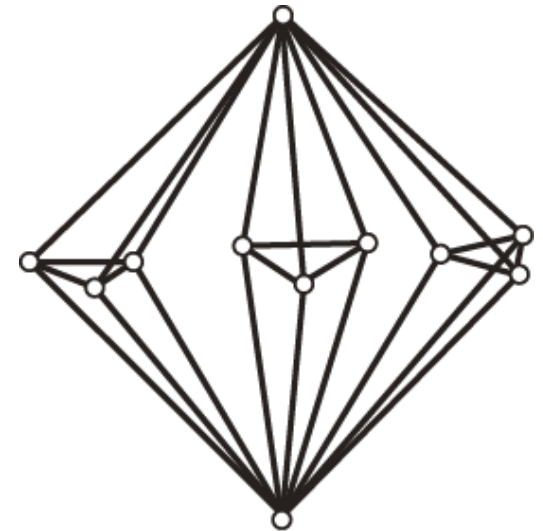
- 予想(Dress, Drieding & Haegi 1983)  $\mathcal{R}_3(G)$ のランクは

$$\min \left\{ \sum_i f'_{3,6}(X_i) - \sum_{uv \in V \times V} (d_{\mathcal{X}}(uv) - 1) \mid 2\text{-thin cover } \mathcal{X} = \{X_1, \dots, X_k\} \right\}$$

- 2-thin cover  $\mathcal{X} = \{X_1, \dots, X_k\} : |X_i \cap X_j| \leq 2$
- $f'_{3,6}(X) = \begin{cases} 1, & |X| = 2 \\ 3|X| - 6, & \text{otherwise} \end{cases} \quad (X \subseteq V)$
- $d_{\mathcal{X}}(uv) = |\{i \mid \{u, v\} \subseteq X_i\}|$



$$2(3 \cdot 5 - 6) - 1 = 17$$

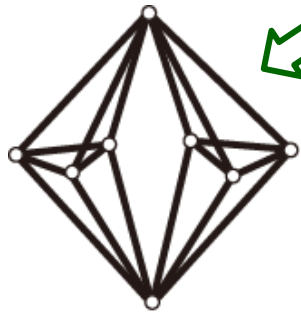


$$3(3 \cdot 5 - 6) - 2 = 25$$

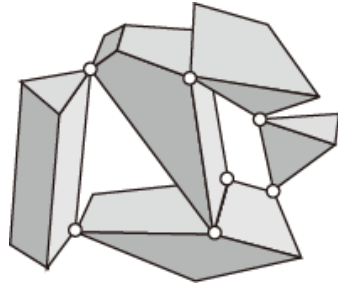
Jackson & Jordán (2005)によって反証

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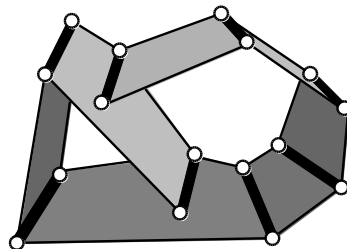
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Bar-joint  
Unsolved

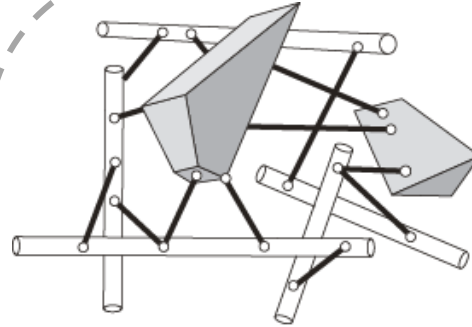


Body-pin  
Unsolved

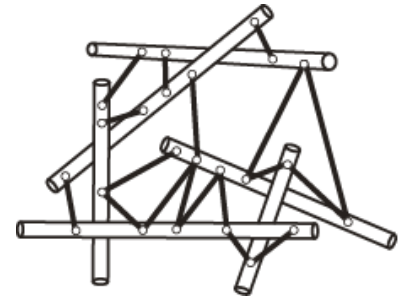


Panel-hinge  
Katoh & T 09

## Maxwell/Laman-type Characterizations

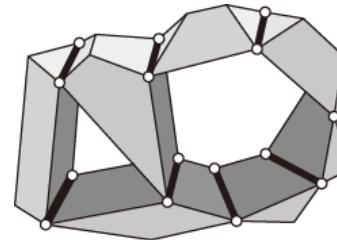


Body-rod-bar  
T 11

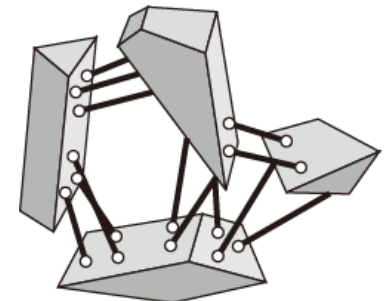


Rod-bar  
Tay 89,91

Body-bar-hinge  
Jackson & Jordán09



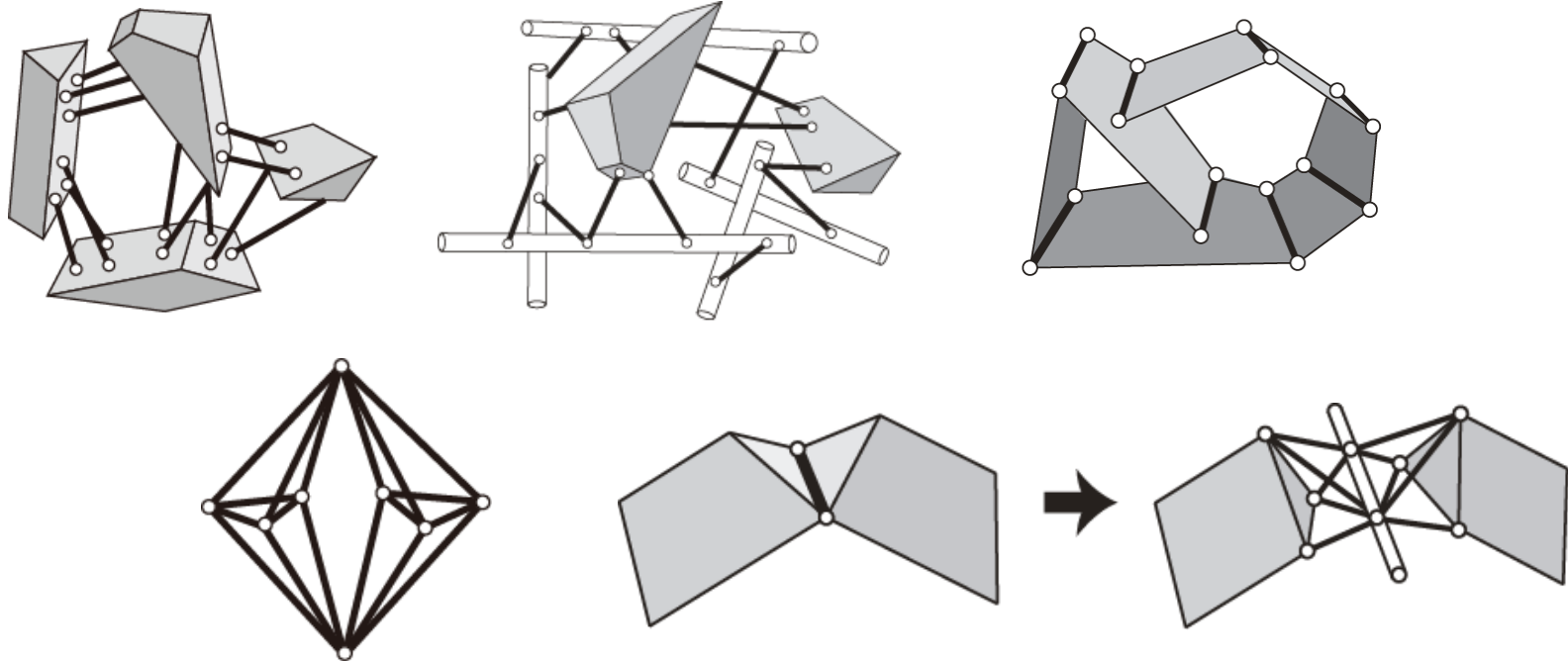
Body-hinge  
Tay 89,91, Whiteley 89



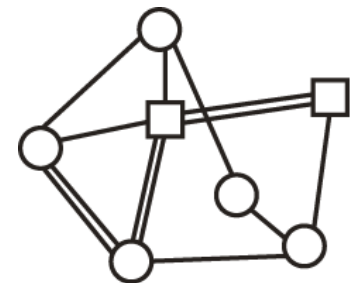
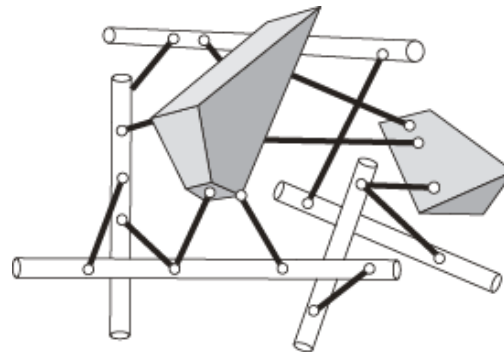
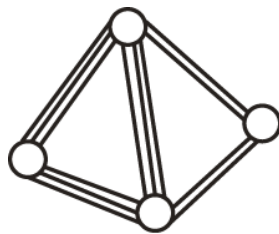
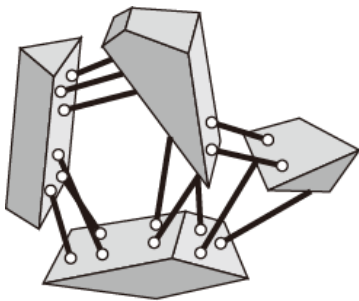
Body-bar  
Tay 84

- Special solvable cases for  $\mathcal{R}_3(G)$  :
  - [Gluck 75] Triangulated sphere
  - [Whiteley 84] Complete bipartite graphs
  - [Nevo 04]  $K_5$ -minor free graphs
  - [Jackson and Jordán 05] Sparse graphs ( $|F| \leq 5/2|V(F)| - 7/2$ )
  - [Katoh and T 11] The squares of graphs (molecular graphs)

- Every discrete structure consists of **objects** linked by **bars**
  - Objects
    - body (3-d-body)
    - panel (2-d-body)
    - rod (1-d-body)
    - joint (0-d-body)



- **framework**  $(G, \mathbf{p}, \mathbf{q})$ 
  - $G = (V, E)$ : graph
    - vertex  $\Leftrightarrow$  object
    - edge  $\Leftrightarrow$  bar
  - $\mathbf{p}$ : an object-configuration (a mapping on  $V$ )
  - $\mathbf{q}$ : a bar-configuration;  $e \in E \mapsto$  a line-segment in  $\mathbb{R}^3$



## Maxwell's condition: More generally

- The degree of freedoms of each object in  $\mathbb{R}^3$ 
  - Objects --- degree of freedoms
    - 3-d-body 6 d.o.f.
    - panel (2-d-body) 6 d.o.f.
    - rod (1-d-body) 5 d.o.f.
    - joint (0-d-body) 3 d.o.f.
- Let  $\text{dof}: V \rightarrow \mathbb{Z}$ ,
 
$$\text{dof}(v) = \begin{cases} 6 & \text{(if } v \text{ corresponds to a body or a panel)} \\ 5 & \text{(if } v \text{ corresponds to a rod)} \\ 3 & \text{(if } v \text{ corresponds to a joint)} \end{cases}$$
- Let  $f_{\text{dof}}: 2^E \rightarrow \mathbb{Z}$ ,
 
$$f_{\text{dof}}(F) := \sum_{v \in V(F)} \text{dof}(v) - 6 \quad (F \subseteq E)$$

- **Maxwell's condition.** If a framework  $(G, \mathbf{p}, \mathbf{q})$  is minimally rigid,
  - $|E| = f_{\text{dof}}(E)$
  - $\forall F \subseteq E$  with  $f_{\text{dof}}(F) > 0$ ,  $|F| \leq f_{\text{dof}}(F)$



## 準備: 外積

- $d$ 次元ベクトル空間  $V$
- $V$ の要素の外積  $a \wedge b$ とは形式的な積で以下を満たすもの
  - $a \wedge b = -b \wedge a$
  - $(a + b) \wedge c = a \wedge c + b \wedge c$
- $\Lambda^2 V$ :  $a \wedge b$  ( $a, b \in V$ )によって張られるベクトル空間
- 基底  $e_1, \dots, e_d$ に対し,
  - $\{e_i \wedge e_j \mid 1 \leq i < j \leq d\}$ は線形独立
  - $\Lambda^2 V = \text{span}\{e_i \wedge e_j \mid 1 \leq i < j \leq d\}$
  - $\dim \Lambda^2 V = \binom{d}{2}$
- $V = \mathbb{R}^d$ , 標準基底をとって座標化:  $a = (a_1, \dots, a_d), b = (b_1, \dots, b_d)$ 
  - $a \wedge b = \left( \begin{array}{c} |a_1 \quad a_2|, |a_1 \quad a_3|, \dots, |a_i \quad a_j|, \dots, |a_{d-1} \quad a_d| \\ |b_1 \quad b_2|, |b_1 \quad b_3|, \dots, |b_i \quad b_j|, \dots, |b_{d-1} \quad b_d| \end{array} \right) \in \mathbb{R}^{\binom{d}{2}}$

- $d$ 次元ベクトル空間 $V$
- $V$ の要素の $k$ 階外積 $a_1 \wedge a_2 \wedge \cdots \wedge a_k$ とは形式的な積で以下を満たすもの
  - $a_1 \wedge \cdots \wedge a_i \wedge a_{i+1} \wedge \cdots \wedge a_k = -a_1 \wedge \cdots \wedge a_{i+1} \wedge a_i \wedge \cdots \wedge a_k$
  - $a_1 \wedge \cdots \wedge (a_i + a_i') \wedge \cdots \wedge a_k$   
 $= a_1 \wedge \cdots \wedge a_i \wedge \cdots \wedge a_k + a_1 \wedge \cdots \wedge a_i' \wedge \cdots \wedge a_k$
- $\Lambda^k V$ :  $a_1 \wedge a_2 \wedge \cdots \wedge a_k$  ( $a_i \in V$ )によって張られるベクトル空間
- 基底 $e_1, \dots, e_d$ に対し,
  - $\{e_{i_1} \wedge e_{i_2} \wedge \cdots \wedge e_{i_k} \mid 1 \leq i_1 < \cdots < i_k \leq d\}$ は線形独立
  - $\Lambda^k V = \text{span}\{e_{i_1} \wedge e_{i_2} \wedge \cdots \wedge e_{i_k} \mid 1 \leq i_1 < \cdots < i_k \leq d\}$
  - $\dim \Lambda^k V = \binom{d}{k}$
- $V = \mathbb{R}^d$ , 標準基底をとって座標化:  $a_1 \wedge a_2 \wedge \cdots \wedge a_k$  は各ベクトルを行とする $k \times d$ 行列の $k \times k$ 小行列式を並べてできる $\binom{d}{k}$ 次元ベクトル

## 準備：プリュッカー座標

- グラスマン多様体  $Gr(k, \mathbb{R}^d)$ :  $\mathbb{R}^d$  内の  $k$  次元線形部分空間の集合
- プリュッカー埋込み:  $p^*: Gr(k, \mathbb{R}^d) \rightarrow \mathbb{P}(\wedge^k \mathbb{R}^d)$ 

$$X \mapsto [v_1 \wedge v_2 \wedge \cdots \wedge v_k]$$

( $\{v_1, \dots, v_k\}$  は  $X$  の基)
- $p^*(X)$ :  $X$  のプリュッカー座標
- 例:  $p = (p_1, p_2, p_3), q = (q_1, q_2, q_3)$
- $p^*(\text{span}\{p, q\}) = [p \wedge q] = \left[ \begin{array}{cc|cc} p_1 & p_2 & q_1 & q_2 \\ q_1 & q_2 & p_1 & p_2 \\ \hline p_1 & p_3 & q_1 & q_3 \\ q_1 & q_3 & p_2 & p_3 \\ \hline p_2 & p_3 & q_2 & q_3 \end{array} \right] \in \mathbb{P}^2$

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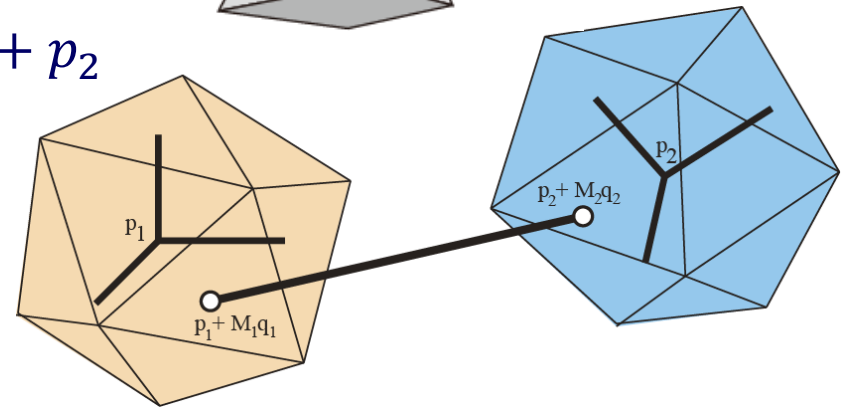
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$$X \mapsto [v_1 \wedge v_2 \wedge \cdots \wedge v_k]$$

$$(\{v_1, \dots, v_k\} \text{は } X \text{の基})$$
- $p^*(X)$ :  $X$ のプリュッカー座標
- $p^*$ は単射
- $\rightarrow Gr(k, \mathbb{R}^d)$ を  $\mathbb{P}^{\binom{d}{k}-1}$ 内の部分空間とみなすとき,  $Gr(k, \mathbb{R}^d)$ は  $\mathbb{P}^{\binom{d}{k}-1}$ 内の2次の射影多様体
  - $Gr(2, \mathbb{R}^4) = \{[p_1: \dots: p_6] \in \mathbb{P}^5 \mid p_1 p_4 - p_2 p_3 + p_3 p_6 = 0\}$

## Body-bar Frameworks

- a body :  $(M, p), M \in O(d), p \in \mathbb{R}^d$
- a bar :  $(q_1, q_2) \in \mathbb{R}^d \times \mathbb{R}^d$ 
  - connecting  $M_1 q_1 + p_1$  and  $M_2 q_2 + p_2$



### ■ Bar-constraint

- $\langle p_2 + M_2 q_2 - p_1 - M_1 q_1, p_2 + M_2 q_2 - p_1 - M_1 q_1 \rangle = \ell^2$
- Differentiate it,
 
$$\langle p_2 + M_2 q_2 - p_1 - M_1 q_1, \dot{p}_2 + \dot{M}_2 q_2 - \dot{p}_1 - \dot{M}_1 q_1 \rangle = 0$$
- By setting  $M_i = I$  and  $p_i = 0$ ,
 
$$\langle q_2 - q_1, \dot{p}_2 + A_2 q_2 - \dot{p}_1 - A_1 q_1 \rangle = 0$$
 with skew-symmetric matrices  $A_i = \dot{M}_i$
- $\langle q_2 - q_1, \dot{p}_2 - \dot{p}_1 \rangle + \langle q_2 - q_1, A_2 q_2 - A_1 q_1 \rangle = 0$

$$\square \langle q_2 - q_1, \dot{p}_2 - \dot{p}_1 \rangle + \langle q_2 - q_1, A_2 q_2 - A_1 q_1 \rangle = 0$$

□ If we identify  $A_i$  with a vector  $\omega_i \in \mathbb{R}^{\binom{d}{2}}$ ,

$$\begin{aligned} \langle q_2 - q_1, A_2 q_2 - A_1 q_1 \rangle &= \langle (q_2 - q_1) \wedge q_2, \omega_2 \rangle - \langle (q_2 - q_1) \wedge q_1, \omega_1 \rangle \\ &= \langle q_2 \wedge q_1, \omega_2 - \omega_1 \rangle \end{aligned}$$

In general,  $\langle a, Ab \rangle = \langle a \wedge b, \omega \rangle$

$$\begin{aligned} \square \langle q_2 - q_1, \dot{p}_2 - \dot{p}_1 \rangle + \langle q_2 - q_1, A_2 q_2 - A_1 q_1 \rangle &= \\ \langle q_2 - q_1, \dot{p}_2 - \dot{p}_1 \rangle + \langle q_2 \wedge q_1, \omega_2 - \omega_1 \rangle &= \\ = \langle (q_2, 1) \wedge (q_1, 1), (\omega_2, \dot{p}_2) - (\omega_1, \dot{p}_1) \rangle = 0 \end{aligned}$$

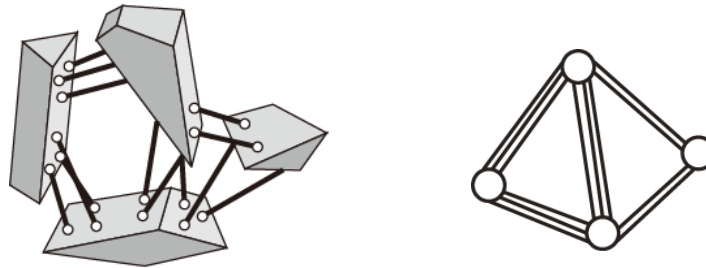
homogeneous  
coordinate

screw motion of the body i

Plucker coordinate of the line  
(in the corresponding projective space)

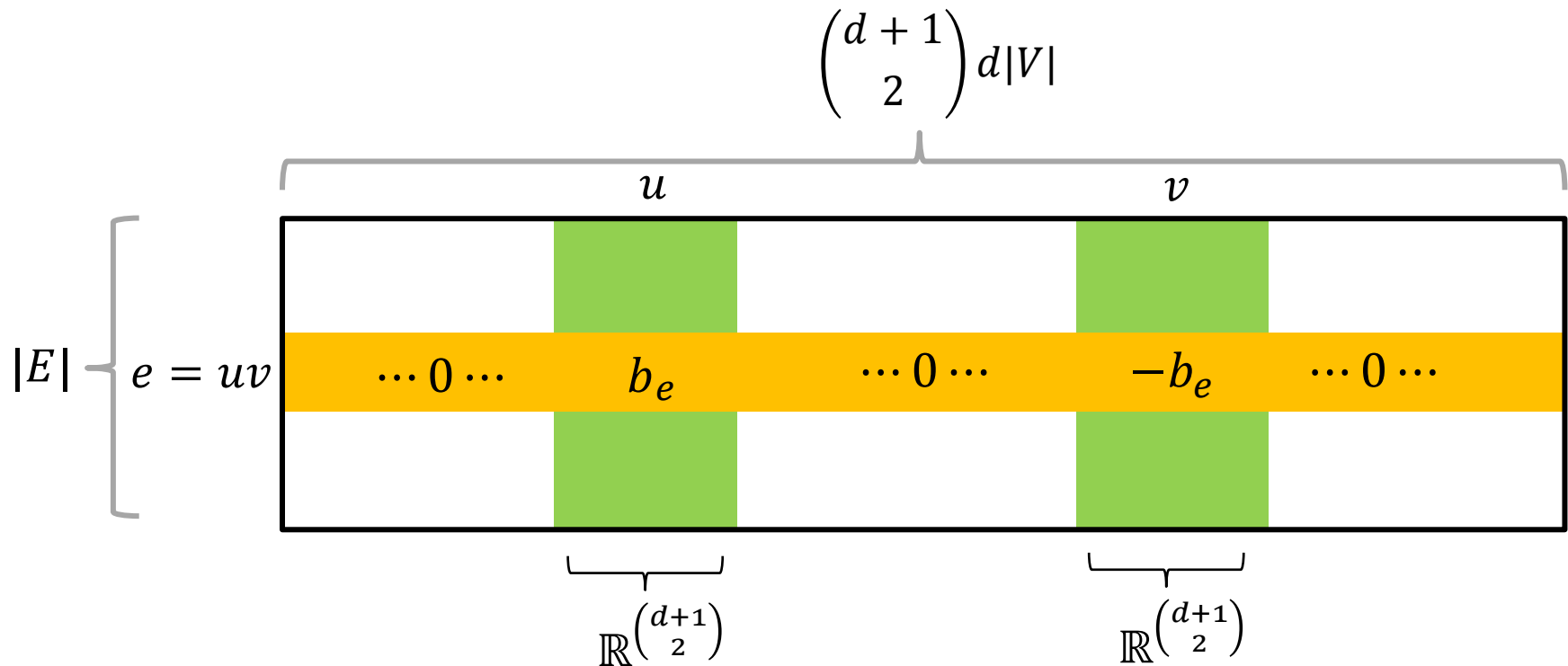
# Body-bar Frameworks

- **d-dimensional body-bar framework:**  $(G, b)$ 
  - $G = (V, E)$ : graph,
  - $b$ : bar-configuration,  $e \in E \mapsto [b_e] \in Gr(2, \mathbb{R}^{d+1}) \subseteq \mathbb{P}^{\binom{d+1}{2}-1}$ 
    - $e \in E \mapsto$  Plücker coordinate of a bar (a line) in  $\mathbb{P}^d$



- 
- **infinitesimal motion**,  $m: v \in V \mapsto m_v \in \mathbb{R}^{\binom{d+1}{2}} (= \wedge^{d-1} \mathbb{R}^{d+1})$  with
 
$$\langle b_{uv} m_u - m_v \rangle = 0 \quad \forall uv \in E$$
  - **trivial motion:**  $\forall u, v \in V, m_u = m_v$
  - $(G, b)$  is **infinitesimally rigid**  $\stackrel{\text{def}}{\iff}$  every motion is trivial

- **Rigidity matrix**  $R(G, b): |E| \times \binom{d+1}{2}|V|$ -matrix

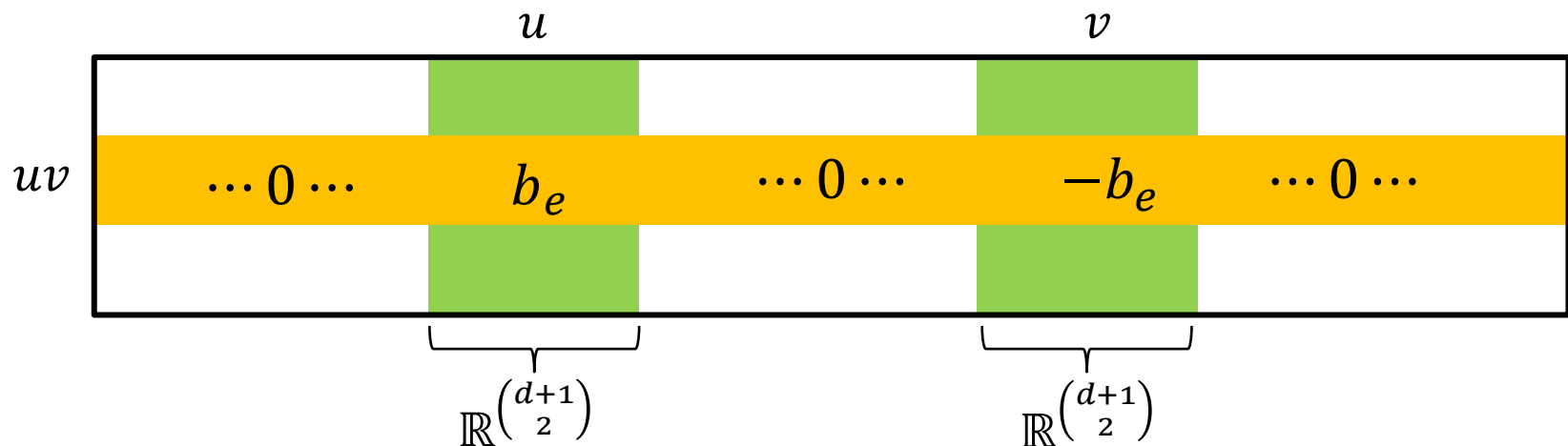


- $[b_e]$  is restricted to  $Gr(2, \mathbb{R}^{d+1})$
- Generic rigidity matroid in the body-bar model
- $(G, b)$  is infinitesimally rigid  $\Leftrightarrow$  the rank is  $\binom{d+1}{2}|V| - \binom{d+1}{2}$

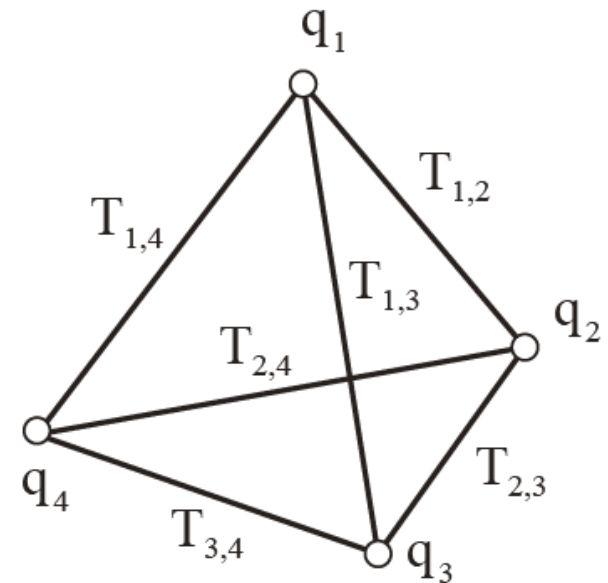


# Tay's Theorem (1984)

- **Theorem.** The generic rigidity matroid in the body-bar model is  $\binom{d+1}{2}\mathcal{G}(G)$
- Namely, for generic  $b$ , the followings are equivalent:
  - $\text{rank } R(G, b) = \binom{d+1}{2}|V| - \binom{d+1}{2}$
  - $|E| = \binom{d+1}{2}|V| - \binom{d+1}{2}$  and  $\forall F \subseteq E, |F| \leq \binom{d+1}{2}|V(F)| - \binom{d+1}{2}$
  - $E$  can be partitioned into  $\binom{d+1}{2}$  spanning trees



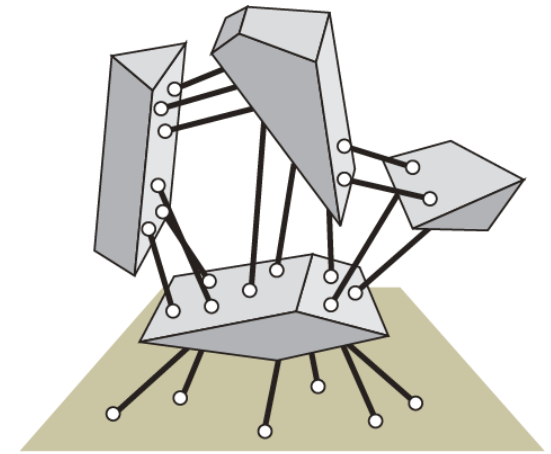
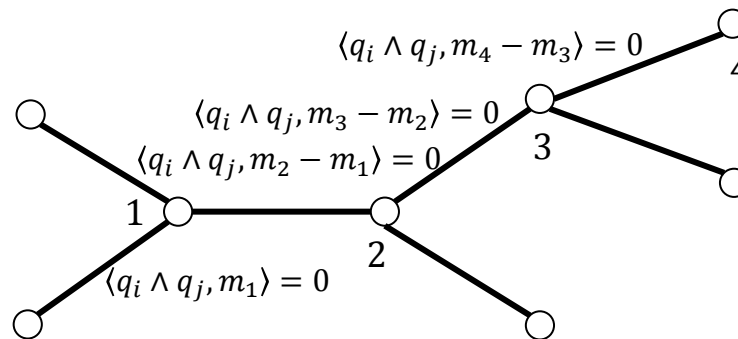
- (Necessity) Maxwell's condition
- (Sufficiency)
  - $E$  can be partitioned into  $\binom{d+1}{2}$  spanning trees  $T_{1,2}, T_{1,3}, \dots, T_{d,d+1}$
  - Take any  $d + 1$  points  $[q_1], \dots, [q_{d+1}]$  s.t.  $\{q_1, \dots, q_{d+1}\}$  is linearly independent
  - Then,  $\{q_i \wedge q_j \mid 1 \leq i < j \leq d + 1\}$  is linearly independent.
  - Define a realization  $b: E \rightarrow Gr(2, \mathbb{R}^{d+1})$  by
 
$$b(e) = [q_i \wedge q_j] \quad \text{if } e \in T_{i,j}$$
  - Then,  $(G, b)$  is infinitesimally rigid !!



- Define a realization  $b: E \rightarrow Gr(2, \mathbb{R}^{d+1})$  by

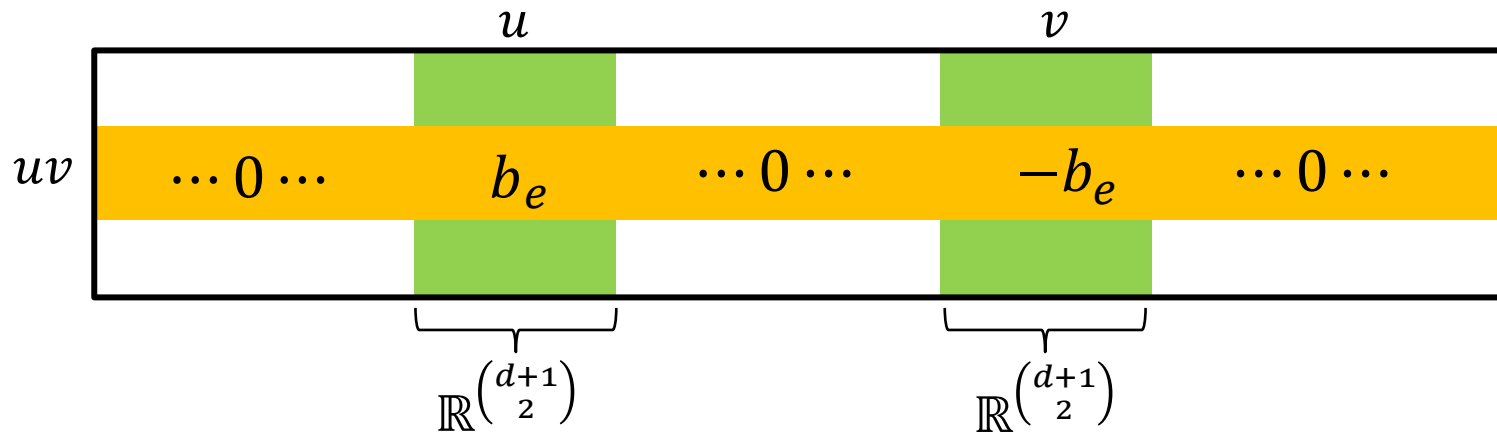
$$b(e) = [q_i \wedge q_j] \quad \text{if } e \in T_{i,j}$$

- We may assume that the body of  $v_1$  is anchored by  $\binom{d+1}{2}$  bars to eliminate trivial motions.
- We choose the bars  $\{[q_i \wedge q_j] \mid 1 \leq i < j \leq d + 1\}$  for this purpose.
- Namely,  $\forall i, j, \langle q_i \wedge q_j, m_{v_1} \rangle = 0$ .
- Consider any motion  $m: v \mapsto m_v$
- For each  $v_k \in V, T_{i,j}$  has a unique path.



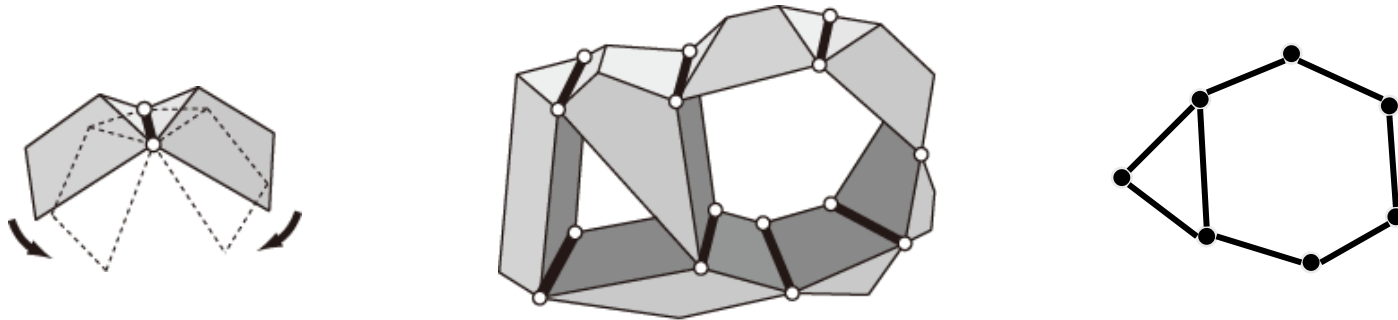
- Summing up the equations for the edges along this path,  $\langle q_i \wedge q_j, m_{v_k} \rangle = 0$  for every  $i, j$ .
- Thus,  $m_{v_k} = 0$ . ■

- **Theorem.** The generic rigidity matroid in the body-bar model is  $\binom{d+1}{2} \mathcal{G}(G)$

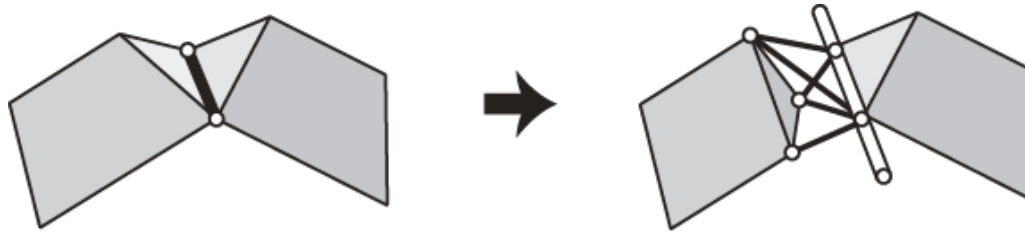


- $[b_e]$  が  $Gr(2, \mathbb{R}^{d+1})$  に制限されている
- $\binom{d+1}{2} \mathcal{G}$  は各  $e \in E$  に対し,  $W_e := A_e^1 \oplus A_e^2 \oplus \dots \oplus A_e^{\binom{d+1}{2}}$  から一般的に代表ベクトルを選んで得られる線形マトロイド
- 各代表ベクトルを  $Gr(2, \mathbb{R}^{d+1})$  からとってこれれば良い
- $Gr(2, \mathbb{R}^{d+1})$  は2次の多様体. 一方、非一般性の条件は線形

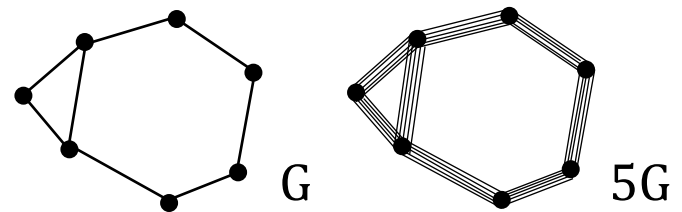
- $d$ -dimensional body-hinge framework:  $(G, h)$ 
  - $G = (V, E)$ : graph,
  - $h$ : hinge-configuration,  $e \in E \mapsto [h_e] \in Gr(d-1, \mathbb{R}^{d+1}) \subseteq \mathbb{P}^{\binom{d+1}{2}-1}$ 
    - $e \in E \mapsto$  Plücker coordinate of a hinge (a line) in  $\mathbb{P}^d$



- Each hinge can be replaced with five bars incident to the hinge !!

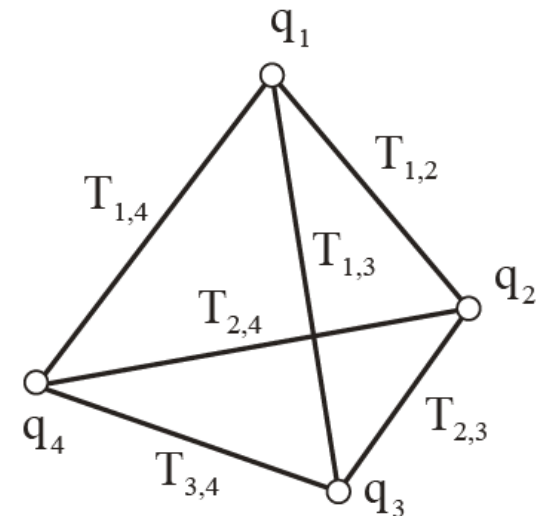


- A body-hinge framework  $(G, h)$  is equivalent to the body-bar framework  $((D - 1)G, b)$ , where  $D = \binom{d+1}{2}$  and  $b$  satisfies
  - for the  $D - 1$  copies  $e_1, \dots, e_{D-1}$  of  $e$ ,  $\{b_{e_1}, \dots, b_{e_{D-1}}\}$  is a basis of the orthogonal complement of  $h_e \mathbb{R}$



- The infinitesimal rigidity of  $(G, h)$  can be defined in terms of  $((D - 1)G, b)$
- The rigidity matrix has the size  $(D - 1)|E| \times D|V|$

- **Tay-Whiteley's theorem** (Whiteley 88, Tay 89, 91)
  - For any generic hinge-configuration  $h$ ,  $(G, h)$  is infinitesimally rigid if and only if  $(D - 1)G$  contains  $D$  edge-disjoint spanning trees.
  
- (Necessity): by Maxwell's rule
  
- (Sufficiency):
  - $(D - 1)G$  contains  $D$  edge-disjoint spanning trees  $T_{i,j}$ , based on which we got a rigid realization  $((D - 1)G, b)$
  - For each  $e \in E$ , there is an index  $i, j$  for which  $T_{i,j}$  does not contain a copy of  $e$
  - Define  $h(e) = [q_i \wedge q_j]$
  - Notice  $\langle b_{e_i}, h_e \rangle = 0$  for all copies  $e_i$  of  $e$
  - Thus  $h$  is indeed a hinge-configuration ■



## Identified Body-hinge Frameworks

- 各ヒンジが複数個の剛体を連結する事を許すモデル
  - Identified body-hinge framework  $(G, h)$ 
    - $G = (B, H; E)$ 
      - $B$ : 剛体の集合,  $H$ : ヒンジ集合,  $E$ : 接続関係
    - $h: H \rightarrow Gr(d-1, \mathbb{R}^{d+1})$
- 定理 (Tay 89,91, T10) 一般的 $h$ に対し,  $(G, h)$  が無限小剛堅  $\Leftrightarrow$   
 $\exists I \subseteq \left( \binom{d+1}{2} - 1 \right) G$  s.t.
    - $|I| = \binom{d+1}{2} |B(I)| + \left( \binom{d+1}{2} - 1 \right) |H(I)| - \binom{d+1}{2}$
    - $\emptyset \neq \forall X \subseteq I, |X| \leq \binom{d+1}{2} |B(X)| + \left( \binom{d+1}{2} - 1 \right) |H(X)| - \binom{d+1}{2}$
- 未解決問題 (Whiteley89, Jackson&Jordán09). 同じ条件で identified panel-hinge framework の無限小剛性が特徴付け可能か？