

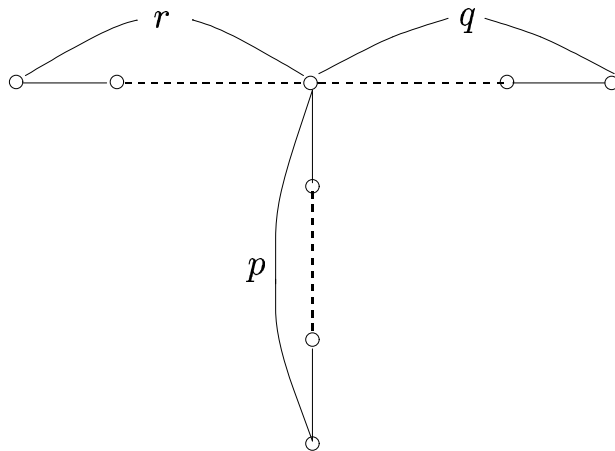
# Geometric realization of $T$ -shaped root systems and counterexamples to Hilbert's fourteenth problem

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**Abstract:** Generalizing a result of Dolgachev, we realize the root system  $T_{p,q,r}$  in the cohomology group of a certain rational variety of Picard number  $p + q + r - 1$ . As an application we show that the invariant ring of a tensor product of the actions of Nagata type is infinitely generated if the Weyl group of the corresponding root system  $T_{p,q,r}$  is infinite. In this sense this article is a continuation of [4].

## 1

The Dynkin diagram  $T_{p,q,r}$



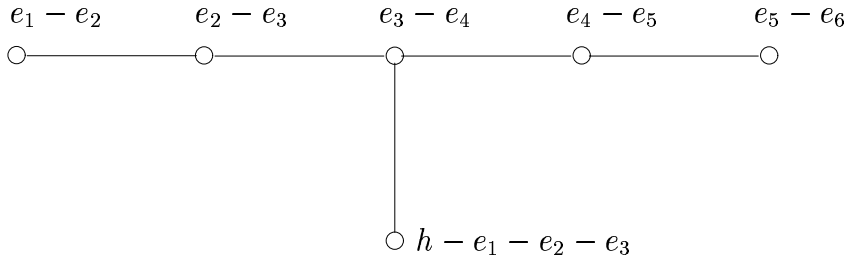
defines a lattice  $L_{p,q,r}$  of rank  $p + q + r - 2$ . The set of vertices  $\alpha_i$ 's is its basis as a free  $\mathbf{Z}$ -module. The bilinear form is defined to be  $(\alpha_i, \alpha_j) = -2, 0$  or  $1$  according as  $i = j$ ,  $\alpha_i$  and  $\alpha_j$  are disjoint or joined by an edge. It is known that the root system  $T_{p,q,r}$  is of finite type, affine or infinite according as  $1/p + 1/q + 1/r$  is  $> 1, = 1$  or  $< 1$  ([2] Ex. 4.2).

In the case  $p = 2$ , Dolgachev[1] realizes this root system  $T_{2,q,r}$  in the cohomology group of the blow-up  $Bl_{q+r \text{ pts}} \mathbf{P}^{r-1}$  of the  $(r - 1)$ -dimensional

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projective space  $\mathbf{P}^{r-1}$  at  $q + r$  points in general position. This is a generalization of the classical discovery that the configuration of the 27 lines on a nonsingular cubic surface  $S \subset \mathbf{P}^3$ , which is the blow-up of  $\mathbf{P}^2$  at six points, has a symmetry of the Weyl group of  $E_6 = T_{2,3,3}$  (cf. [3]). The moduli of cubic surfaces is a quotient of an open set of  $\mathbf{P}^2 \times \mathbf{P}^2$  by  $W(E_6)$  (cf. [1]) and the cohomology group  $H^2(S, \mathbf{Z})$  has a monodromy action of  $W(E_6)$ .



The reflections by  $e_i - e_{i+1}$ ,  $1 \leq i \leq 5$ , generate all permutations of the 6 points which are the centers of blowing up and that by  $h - e_1 - e_2 - e_3$  corresponds to the quadratic Cremona transformation

$$\mathbf{P}^2 \cdots \rightarrow \mathbf{P}^2, \quad (x_1 : x_2 : x_3) \mapsto (1/x_1 : 1/x_2 : 1/x_3).$$

It is natural to extend the result of Dolgachev to all diagrams of  $T$ -shape. The answer is simple: just generalize  $\mathbf{P}^{r-1}$  to the product  $(\mathbf{P}^{r-1})^{p-1}$  of its  $p-1$  copies. Let  $X$  be a blow-up of the product  $(\mathbf{P}^{r-1})^{p-1}$  at  $q+r$  points in general position. The second cohomology group  $H^2(X, \mathbf{Z})$ , or equivalently  $\text{Pic } X$ , is a free  $\mathbf{Z}$ -module of rank  $p + q + r - 1$  and has a basis consisting of

$$h_i, \quad 1 \leq i \leq p-1, \quad \text{and} \quad e_j, \quad 1 \leq j \leq q+r, \quad (1)$$

where  $h_i$  is the pull-back of the hyperplane class on the  $i$ th factor of  $(\mathbf{P}^{r-1})^{p-1}$  and  $e_j$  the class of the exceptional divisor over the  $j$ th center of blowing up. We refer (1) as the *tautological basis*.

**Theorem 1** *The root system  $T_{p,q,r}$  is realized in the orthogonal complement  $L$  of the anti-canonical class  $c_1(X)$  in the second cohomology group  $H^2(X, \mathbf{Z})$  endowed with a certain symmetric bilinear form. (See §3.) Moreover, for each element  $w : H^2(X, \mathbf{Z}) \rightarrow H^2(X, \mathbf{Z})$  of the Weyl group  $W(T_{p,q,r})$ , there is a strong birational map  $\Psi_w : X_w \cdots \rightarrow X$  of a blow-up  $X_w$  of  $(\mathbf{P}^{r-1})^{p-1}$  at  $q+r$  points such that the pull-back of a tautological basis of  $X_w$  coincides with the transformation of that of  $X$  by  $w$ .*

A birational map is called *strong* if it is an isomorphism in codimension one.

In the special case  $q = 1$ ,  $X$  has a birational action of  $W(T_{p,1,r}) = W(A_{p+r-1})$ , which is the symmetric group of degree  $p + r$ . In fact  $X$  is a GIT quotient of the Grassmannian variety  $G(p, p + r)$  by the maximal torus  $T \simeq (\mathbf{C}^*)^{p+r-1}$  of its automorphism group  $G \simeq PGL(p + r)$ . Hence the Weyl group of  $G$  acts on  $X$  birationally.  $X$  is a compactification of the configuration space of ordered  $p + r$  points on  $\mathbf{P}^{r-1}$ .

**Remark** The isomorphism between  $G(p, p + r)$  and  $G(r, p + r)$  induces a strong birational map between  $Bl_{r+1\text{pts}}(\mathbf{P}^{r-1})^{p-1}$  and  $Bl_{p+1\text{pts}}(\mathbf{P}^{p-1})^{r-1}$  and hence that between  $Bl_{q+r\text{pts}}(\mathbf{P}^{r-1})^{p-1}$  and  $Bl_{p+q\text{pts}}(\mathbf{P}^{p-1})^{r-1}$ . For example  $Bl_{q+r\text{pts}}\mathbf{P}^{r-1}$  is strongly birationally equivalent to  $Bl_{2+q\text{pts}}(\mathbf{P}^1)^{r-1}$ .

## 2

Our interest in Theorem 1 comes from Nagata's counterexample to Hilbert's fourteenth problem also. Let

$$(t_1, \dots, t_n) \in \mathbf{C}^n \downarrow \mathbf{C}[x_1, \dots, x_n, y_1, \dots, y_n] =: S \quad (2)$$

$$\begin{cases} x_i \mapsto x_i \\ y_i \mapsto y_i + t_i x_i \end{cases}, \quad 1 \leq i \leq n,$$

be the standard unipotent action of  $\mathbf{C}^n$ , or the additive algebraic group  $\mathbf{G}_a^n$  more precisely, on the polynomial ring  $S$  of  $2n$  variables and  $G \subset \mathbf{C}^n$  a general linear subspace. In [5], Nagata studied the invariant ring  $S^G$  of the subaction of  $G$ . The key fact is that the ring  $S^G$  is isomorphic to the total coordinate ring

$$\mathcal{TC}(X) := \bigoplus_{a, b_1, \dots, b_n \in \mathbf{Z}} H^0(X, \mathcal{O}_X(ah - b_1 e_1 - \dots - b_n e_n)) \simeq \bigoplus_{L \in \text{Pic } X} H^0(X, L) \quad (3)$$

of the variety  $X = Bl_{n\text{pts}}\mathbf{P}^{r-1}$ , where  $r$  is the codimension of  $G \subset \mathbf{C}^n$ .

In [4], we pay attention to the support of this graded ring  $\mathcal{TC}(X)$ , which is the semi-group  $\text{Eff } X \subset \text{Pic } X$  of effective divisor classes on  $X$ . A divisor  $D \subset X$  is called a  $(-1)$ -divisor if there is a strong birational map  $X \cdots \rightarrow X'$  such that the image of  $D$  can be contracted to a smooth point. Obviously the linear equivalence class of a  $(-1)$ -divisor is indispensable as generator of  $\text{Eff } X$ .

Assume that the inequality

$$\frac{1}{2} + \frac{1}{n-r} + \frac{1}{r} \leq 1. \quad (4)$$

holds. Then the Weyl group  $W(T_{2,n-r,r})$  of  $X$  is infinite and infinitely many  $(-1)$ -divisors on  $X$  are obtained as its orbit. Hence  $\text{Eff } X$  and  $\mathcal{TC}(X)$  are not finitely generated. This is an outline of the main argument of [4].

In order to obtain more examples, we take  $p-1$  actions

$$G_i \downarrow \mathbf{C}[x_1, \dots, x_n, y_1, \dots, y_n] =: S, \quad G_i \subset \mathbf{C}^n, \quad 1 \leq i \leq p-1$$

of Nagata type on the same polynomial ring  $S$  and take their tensor product

$$G = \bigoplus_{i=1}^{p-1} G_i \downarrow S \otimes_{\mathbf{C}[x]} \cdots \otimes_{\mathbf{C}[x]} S =: \tilde{S} \quad (5)$$

over  $\mathbf{C}[x_1, \dots, x_n]$ .  $\tilde{S}$  is a polynomial ring of  $pn$  variables.

**Theorem 2** *The invariant ring  $\tilde{S}^G$  of the above action (5) is isomorphic to the total coordinate ring*

$$\bigoplus_{a_1, \dots, a_{p-1}, b_1, \dots, b_n \in \mathbf{Z}} H^0(X, \mathcal{O}_X(a_1 h_1 + \cdots + a_{p-1} h_{p-1} - b_1 e_1 - \cdots - b_n e_n))$$

of the blow-up  $X$  of the product  $\mathbf{P}^{r_1-1} \times \cdots \times \mathbf{P}^{r_{p-1}-1}$  of  $p-1$  projective spaces at  $n$  points, where  $h_i$  is the pull-back of the hyperplane class of  $\mathbf{P}^{r_i-1}$ .

We can localize the action (5) by  $x_1, \dots, x_n$  since they are  $G$ -invariant. Then the additive group  $G$  acts on

$$\begin{aligned} \tilde{S}[x_1^{-1}, \dots, x_n^{-1}] &= S[x_1^{-1}, \dots, x_n^{-1}] \otimes_{\mathbf{C}[x, x^{-1}]} \cdots \otimes_{\mathbf{C}[x, x^{-1}]} S[x_1^{-1}, \dots, x_n^{-1}] \\ &= \mathbf{C}[x_1^{\pm 1}, \dots, x_n^{\pm 1}, \frac{y_1}{x_1}, \dots, \frac{y_n}{x_n}] \otimes_{\mathbf{C}[x, x^{-1}]} \cdots \otimes_{\mathbf{C}[x, x^{-1}]} \mathbf{C}[x_1^{\pm 1}, \dots, x_n^{\pm 1}, \frac{y_1}{x_1}, \dots, \frac{y_n}{x_n}]. \end{aligned}$$

Since  $(t_1, \dots, t_n) \in G_i$  acts by the translation  $y_j/x_j \mapsto y_j/x_j + t_j$ ,  $1 \leq j \leq n$ , the invariant ring  $\tilde{S}[x_1^{-1}, \dots, x_n^{-1}]^G$  is a polynomial ring of  $r_1 + \cdots + r_{p-1}$  variables. The rest of the proof is similar to that of the case  $p=2$  in [4] and we omit it.

If  $r_i$ 's are all the same, then we can apply Theorem 1 and obtain the following by the same reason, that is,  $X$  has infinitely many  $(-1)$ -divisors.

**Theorem 3** *The invariant ring  $S^G$  of (5) is not finitely generated if  $G_i \subset \mathbf{C}^n$  are general subspaces of codimension  $r$  and if the inequality*

$$\frac{1}{p} + \frac{1}{n-r} + \frac{1}{r} \leq 1$$

*holds.*

In the case  $p = 2$  there are three cases where the diagram is of affine type:

$r$	$q$	$X$	diagram	$\dim G = q$
3	6	$Bl_{9 \text{ pts}} \mathbf{P}^2$	$T_{2,[6],3}$	6
4	4	$Bl_{8 \text{ pts}} \mathbf{P}^3$	$T_{2,[4],4}$	4
6	3	$Bl_{9 \text{ pts}} \mathbf{P}^5$	$T_{2,[3],6}$	3

Allowing  $p \geq 3$ , we obtain three new ones with  $p \leq r$ . (See Remark at the end of §1.)

$p$	$r$	$q$	$X$	diagram	$\dim G = (p-1)q$
3	3	3	$Bl_{6 \text{ pts}} \mathbf{P}^2 \times \mathbf{P}^2$	$T_{3,[3],3}$	6
3	6	2	$Bl_{8 \text{ pts}} \mathbf{P}^5 \times \mathbf{P}^5$	$T_{3,[2],6}$	4
4	4	2	$Bl_{6 \text{ pts}} \mathbf{P}^3 \times \mathbf{P}^3 \times \mathbf{P}^3$	$T_{4,[2],4}$	6

$T_{p,[q],r}$  is the diagram  $T_{p,q,r}$  plus an extra vertex, which is defined in the next section.

### 3 Proof of Theorem 1

Let  $X$  be as in the theorem. The anti-canonical class  $c_1(X)$  is equal to

$$r(h_1 + \cdots + h_{p-1}) - (r-2)(e_1 + \cdots + e_{q+r}).$$

We define an integral symmetric bilinear form on  $H^2(X, \mathbf{Z})$  as follows:

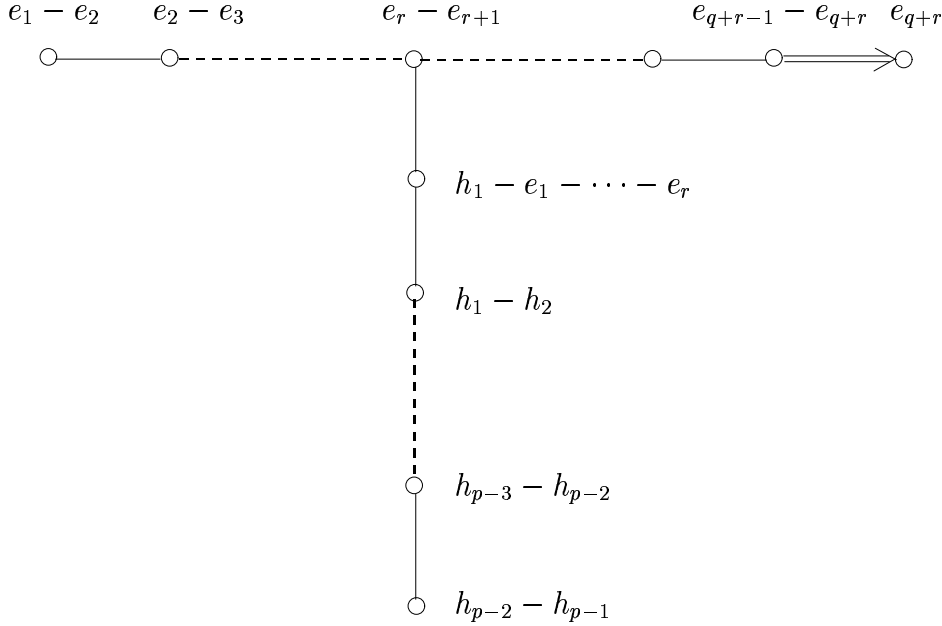
1.  $h_i$  and  $e_j$  are orthogonal for every  $1 \leq i \leq p-1$  and  $1 \leq j \leq q+r$ ,
- 2.

$$(e_i \cdot e_j) = \begin{cases} -1 & i = j \\ 0 & \text{otherwise} \end{cases} \quad \text{and} \quad (h_i \cdot h_j) = \begin{cases} r-2 & i = j \\ r-1 & \text{otherwise.} \end{cases}$$

We take a new  $\mathbf{Z}$ -basis of  $H^2(X, \mathbf{Z})$  consisting of

1.  $h_1 - e_1 - \cdots - e_r$ ,
2.  $h_i - h_{i+1}$ ,  $1 \leq i \leq p - 2$ ,
3.  $e_j - e_{j+1}$ ,  $1 \leq j \leq q + r - 1$ , and
4.  $e_{q+r}$ .

Then  $H^2(X, \mathbf{Z})$  becomes a root system with the following diagram, which is denoted by  $T_{p,[q],r}$ . (See [2] §5.11 also for  $T_{2,[q],3}$ .)



The  $p + q + r - 2$  classes except for  $e_n$  are of length  $-2$  and form a basis of the orthogonal complement  $L$  of  $c_1(X)$  in  $H^2(X, \mathbf{Z})$ . Hence  $L$  is isomorphic to the root lattice  $L_{p,q,r}$ .

In order to show the latter half of Theorem 1, it is enough to check it for the simple reflections. This is obvious for  $e_j - e_{j+1}$ 's and  $h_i - h_{i+1}$ 's since they correspond to transpositions of a pair of centers and a pair of factors. The reflection with respect to  $h_1 - e_1 - \cdots - e_r$  transforms the tautological basis (1) of  $H^2(X, \mathbf{Z})$  as follows:

$$\begin{cases} h_1 \mapsto (r-1)h_1 - (r-2)\sum_{j=1}^r e_j \\ h_i \mapsto (r-1)h_1 + h_i - (r-1)\sum_{j=1}^r e_j, & 2 \leq i \leq p-1, \\ e_j \mapsto h_1 - e_1 - \cdots - \check{e}_j - \cdots - e_r, & 1 \leq j \leq r, \\ e_j \mapsto e_j, & r+1 \leq j \leq q+r. \end{cases} \quad (6)$$

Let  $P = \{p_1, \dots, p_r\}$  be a set of  $r$  distinct points on  $(\mathbf{P}^{r-1})^{p-1}$ .  $P$  is *non-degenerate* if  $i$ th components  $p_1^{(i)}, \dots, p_r^{(i)}$  spans  $\mathbf{P}^{r-1}$  for every  $1 \leq i \leq p-1$ . If  $P$  is non-degenerate we can choose homogeneous coordinates of  $\mathbf{P}^{r-1}$ 's such that  $P$  is the image of the  $r$  coordinate points by the diagonal morphism  $\Delta : \mathbf{P}^{r-1} \hookrightarrow (\mathbf{P}^{r-1})^{p-1}$ .

**Lemma** *Let  $P = \{p_1, \dots, p_r\}$  and  $Q = \{q_1, \dots, q_r\}$  be non-degenerate sets of  $r$  points of  $(\mathbf{P}^{r-1})^{p-1}$  and  $X_P$  and  $X_Q$  be the blow-ups with center  $P$  and  $Q$ , respectively. Then there exists a strong birational map*

$$\Psi = \Psi_{P,Q} : X_P \cdots \longrightarrow X_Q$$

such that

$$\begin{cases} \Psi^* h'_1 = (r-1)h_1 - (r-2)\sum_{j=1}^r e_j \\ \Psi^* h'_i = (r-1)h_1 + h_i - (r-1)\sum_{j=1}^r e_j, & 2 \leq i \leq p-1, \\ \Psi^* e'_j = h_1 - e_1 - \cdots - \check{e}_j - \cdots - e_r, & 1 \leq j \leq r, \end{cases} \quad (7)$$

where  $\{h_i, e_j\}$  and  $\{h'_i, e'_j\}$  are tautological bases of  $\text{Pic } X_P$  and  $\text{Pic } X_Q$ , respectively.

*Proof.* We may assume that both  $P$  and  $Q$  are the image of the coordinate points by the diagonal morphism  $\Delta$ . Consider the (toric) Cremona transformation

$$\begin{aligned} \bar{\Psi} : \mathbf{P}^{r-1} \times \mathbf{P}^{r-1} \times \cdots \times \mathbf{P}^{r-1} \cdots &\rightarrow \mathbf{P}^{r-1} \times \mathbf{P}^{r-1} \times \cdots \times \mathbf{P}^{r-1} \\ ((x_1 : x_2 : \cdots : x_r), (y_1 : y_2 : \cdots : y_r), \dots, (z_1 : z_2 : \cdots : z_r)) &\mapsto \\ ((\frac{1}{x_1} : \frac{1}{x_2} : \cdots : \frac{1}{x_r}), (\frac{y_1}{x_1} : \frac{y_2}{x_2} : \cdots : \frac{y_r}{x_r}), \dots, (\frac{z_1}{x_1} : \frac{z_2}{x_2} : \cdots : \frac{z_r}{x_r})) & \end{aligned}$$

Its indeterminacy locus is the union  $\cup_{1 \leq i < j \leq r} H_i \cap H_j$  of the intersection of all pairs of  $H_i$ 's, where  $H_1, \dots, H_r$  are the pull-backs of coordinate hyperplanes of the first factor. The map  $\bar{\Psi}$  is an isomorphism off the union  $\cup_{1 \leq i \leq r} H_i$  and  $\bar{\Psi}^2$  is the identity. By blowing-up, we obtain the commutative diagram:

$$\begin{array}{ccc} & \Psi & \\ X_P & \cdots \longrightarrow & X_Q \\ \downarrow & & \downarrow \\ \mathbf{P}^{r-1} & \cdots \longrightarrow & \mathbf{P}^{r-1} \\ & \bar{\Psi} & \end{array}$$

Let  $(X_2, \dots, X_r), (Y_2, \dots, Y_r), \dots, (Z_2, \dots, Z_r)$  be the standard inhomogeneous coordinate of  $(\mathbf{P}^{r-1})^{p-1}$  around  $p_1 = \Delta(1 : 0 : \dots : 0)$ . Then the rational map  $X_P \cdots \rightarrow (\mathbf{P}^{r-1})^{p-1}$  is given by

$$E_1 \ni (X_2 : \dots : X_r : Y_2 : \dots : Y_r : \dots : Z_2 : \dots : Z_r) \mapsto \left( (0 : \frac{1}{X_2} : \dots : \frac{1}{X_r}), (1 : \frac{Y_2}{X_2} : \dots : \frac{Y_r}{X_r}), \dots, (1 : \frac{Z_2}{X_2} : \dots : \frac{Z_r}{X_r}) \right).$$

over the exceptional divisor  $E_1$  over  $p_1$ . Hence  $\Psi$  restricted to  $E_1$  is a birational map onto (the strict transform of) the divisor  $H_1$ . Therefore,  $\Psi$  is an isomorphism in codimension one. (7) is obvious.  $\square$

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