Takahiro Matsushita

Introduction

# The topologies of box complexes and the chromatic numbers of graphs

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### Definition of graphs

Fundamental groups of graphs

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#### Definition 1

A graph is a pair (V, E) s.t.

V is a set.

• *E* is a subset of  $V \times V$  s.t.  $(x, y) \in E$  implies  $(y, x) \in E$ . For a graph G = (V, E), *V* is written by V(G), and *E* is written by E(G).

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### Definition of graph homomorphisms

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#### Definition 2

A graph homomorphism from G to H is a map  $f: V(G) \rightarrow V(H)$  s.t.  $(f \times f)(E(G)) \subset E(H)$ .

#### The following is a classical problem in graph theory.

Problem 1 (The existence problem of graph homomorphisms)

Given two graphs G and H. Consider an easy method to determine whether  $\exists f : G \rightarrow H$  or not.

# Odd girth $g_0(G)$

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For a positive integer *n*, the *n*-cycle graph  $C_n$  is defined by •  $V(C_n) = \mathbb{Z}/n\mathbb{Z}$ . •  $E(C_n) = \{(x, x \pm 1) \mid x \in \mathbb{Z}/n\mathbb{Z}\}.$ 



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# Odd girth $g_0(G)$

Definition 3

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Let G be a graph. The odd girth  $g_0(G)$  of G is defined by  $g_0(G) = \inf\{n \ge 1 \mid n \text{ is odd and } \exists C_n \to G.\}$ 

If  $g_0(G) = 1$ , then G has a loop. (Hence if G is non-looped, then  $g_0(G) \ge 3$ .)

#### Lemma 1

If  $\exists G \to H$ , then  $g_0(G) \ge g_0(H)$ .

#### Proof.

Put  $n = g_0(G)$ . Then  $\exists C_n \to G$ , hence  $\exists C_n \to H$ . Therefore  $g_0(H) \le n = g_0(G)$ .

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The existence problem of the graph homomorphism is related to the existence problems of the  $\mathbb{Z}_2$ -equivariant maps, via the box complex B(G).

### Definition of simplicial complex

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#### Definition 4

An (abstract) simplicial complex is a pair ( $V, \Delta$ ) satisfying the followings :

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V is a set.

•  $\Delta$  is a family of finite subsets of V.

• 
$$\forall v, v \in V \Rightarrow \{v\} \in \Delta.$$

•  $\forall \sigma \in \Delta, \ \forall \tau \in 2^V, \ \tau \subset \sigma \Rightarrow \tau \in \Delta.$ 

### Geometric realization

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$$V = \{0, 1, 2, 3\}$$
$$\Delta = \{\sigma \mid \sigma \subset \{0, 1, 2\}, \{1, 3\}, \text{ or } \{2, 3\}.\}$$



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### Definition of order complex

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#### Definition 5

A partially ordered set is often called a poset. A subset  $\sigma \subset P$  is called a chain if the restriction of the order of P to  $\sigma$  is totally ordered. The order complex  $\Delta(P)$  is the simplicial complex

• 
$$V(\Delta(P)) = P$$
.

$$\Delta(P) = \{ \sigma \subset P \mid \sigma \text{ is a finite chain of } P. \}.$$

### Definition of box complex

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#### Definition 6

The box complex B(G) of a graph G is a poset

$$B(G) = \{(\sigma, \tau) \mid \sigma, \tau \in 2^{V(G)} \setminus \{\emptyset\}, \sigma \times \tau \subset E(G).\}$$

with the order such that  $(\sigma, \tau) \leq (\sigma', \tau') \Leftrightarrow \sigma \subset \sigma'$  and  $\tau \subset \tau'$ .

Remark that B(G) has the  $\mathbb{Z}_2$ -action  $(\sigma, \tau) \leftrightarrow (\tau, \sigma)$ . For a graph homomorphism  $f : G \to H$ , the map  $B(G) \to B(H)$ ,  $(\sigma, \tau) \mapsto (f(\sigma), f(\tau))$  is  $\mathbb{Z}_2$ -equivariant. Hence if we can show that  $\nexists B(G) \xrightarrow{\mathbb{Z}_2} B(H)$ , then we have  $\nexists G \to H$ .

### Definition of $K_n$

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#### Definition 7

Let  $n \ge 0$ . The graph  $K_n$  is defined by

• 
$$V(K_n) = \{1, \dots, n\}.$$
  
•  $E(K_n) = \{(x, y) \mid x \neq y\}.$ 

A graph homomorphism  $G \to K_n$  is called an *n*-coloring of *G*. The chromatic number  $\chi(G)$  of the graph *G* is defined by

$$\chi(G) = \inf\{n \ge 0 \mid \exists G \to K_n.\}.$$

To compute  $\chi(G)$  is called the graph coloring problem. Since  $g_0(K_n) = 3$  for  $n \ge 3$ , the odd girth is not useful to this problem.

 $K_n$ 



### An example of coloring



### An example of coloring



### An example of coloring



### Neighborhood complex

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For a graph G and for  $v \in V(G)$ , the neighborhood N(v) of v is defined by  $N(v) = \{w \in V(G) \mid (v, w) \in E(G)\}.$ 

#### Definition 8

The neighborhood complex N(G) of a graph G is the simplicial complex

• 
$$V(N(G)) = \{v \in V(G) \mid N(v) \neq \emptyset\}.$$
  
•  $N(G) = \{\sigma \subset V(G) \mid \#\sigma < \infty, \text{ and } \exists v \in V(G) \text{ s.t.} \sigma \subset N(v).\}.$ 

#### Theorem 2 (Babson-Kozlov '06)

$$N(G) \simeq B(G).$$

 $C_5$  and  $C_6$ 



# $N(C_5)$ and $N(C_6)$



 $N(C_5)\simeq S^1$ 

 $N(C_6) \simeq S^1 \sqcup S^1$ 

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### Lovász's theorem

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#### Theorem 3 (Lovász)

Let  $n \ge -1$ . If N(G) is n-connected, then  $\chi(G) \ge n+3$ .

#### Proof.

Since  $B(G) \simeq N(G)$ , B(G) is *n*-connected. By the Gysin sequence, we have  $w_1(B(G))^{n+1} \neq 0$ . On the other hand, suppose  $\exists G \to K_m$ . Then  $B(G) \xrightarrow{\mathbb{Z}_2} B(K_m) \simeq S^{m-2}$ , we have  $w_1(B(G))^{m-1} = 0$ . Hence we have

$$n + 1 < m - 1$$
.

### Kneser graphs

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#### Definition 9

Let  $k \ge 0$  and  $n \ge 2k$ . The Kneser graph  $KG_{n,k}$  is defined by •  $V(KG_{n,k}) = \{ \sigma \subset \{1, \dots, n\} \mid \#\sigma = k \}.$ •  $E(KG_{n,k}) = \{ (\sigma, \tau) \mid \sigma \cap \tau = \emptyset. \}.$ 

It is easy to see  $\chi(KG_{n,k}) \leq n-2k+2$ , and Kneser conjectured  $\chi(KG_{n,k}) = n-2k+2$  in 1955 (Kneser's conjecture). Lovász proved that  $N(KG_{n,k})$  is (n-2k-1)-connected, and show that  $\chi(KG_{n,k}) = n-2k+2$  in 1978.

 $KG_{5,2}$ 



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# $(\mathbb{Z}_2)$ -topologies of B(G) and N(G) and $\chi(G)$

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 (Lovász) For a connected graph G, N(G) (or B(G)) is connected iff χ(G) ≥ 3.

Lovász expected that there is a topological invariant of N(G) which is equivalent to  $\chi(G)$ .

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- (Walker '83) There is no homotopy invariant of N(G) (hence of B(G)) which is equivalent to χ(G).
- (M) There is no topological invariant of N(G) and B(G) which is equivalent to  $\chi(G)$ .
- (M) There is no  $\mathbb{Z}_2$ -homotopy invariant of B(G) which is equivalent to  $\chi(G)$ .
- Whether there is a Z<sub>2</sub>-topological invariant of B(G) which is equivalent to χ(G) is still open.

### r-fundamental groups

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From now on, we fix a positive integer r. A based graph is a pair (G, v) where G is a graph and  $v \in V(G)$ . The r-fundamental group  $\pi_1^r(G, v)$  is a group whose definition is similar to the fundamental group of topological spaces. Especially, the 2-fundamental group is similar to the fundamental group of N(G). But  $\pi_1^r(G, v)$  can be directly used to the existence problem of the graph homomorphisms.

### r-fundamental groups

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Let  $L_n$  denote the graph defined by  $V(L_n) = \{0, 1, \dots, n\}$  and  $E(L_n) = \{(x, y) \mid |x - y| = 1\}.$ 



Let (G, v) be a based graph. A graph homomorphism  $L_n \to G$ s.t.  $0, n \mapsto v$  is called a loop of (G, v) with length n.

### r-fundamental groups

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Let L(G, v) denote the set of loops of (G, v). For  $\varphi \in L(G, v)$ , we write  $I(\varphi)$  for the length of  $\varphi$ .

Fix a positive integer r, consider the following two conditions (I) and (II)<sub>r</sub> for loops  $\varphi, \psi$ .

(1) 
$$l(\psi) = l(\varphi) + 2$$
 and  $\exists x \in \{0, 1, \dots, n\}$  s.t.  $\varphi(i) = \psi(i)$   
for  $i \le x$  and  $\varphi(i) = \psi(i+2)$  for  $i \ge x$ .

(II)<sub>r</sub>  $l(\varphi) = l(\psi)$  and # $\{i \in \{0, 1, \cdots, l(\varphi) \mid \varphi(i) = \psi(i)\}\} < r.$ 





# Condition $(II)_r$

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The case r = 3.



# *r*-fundamental group $\pi_1^r(G, v)$

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#### Definition 10

Let  $\simeq_r$  denote the equivalence relation generated by the conditions (I) and (II)<sub>r</sub>. Put

$$\pi_1^r(G,v) = L(G,v)/\simeq_r$$

and call this the *r*-fundamental group of the based graph (G, v).

 $\pi_1^r(G, v)$  become a group with compositions of loops.

### Parities

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#### The map

$$\pi_1^r(G, v) \to \mathbb{Z}_2, [\varphi]_r \mapsto (I(\varphi) \mod 2)$$

is a well-defined group homomorphism, and the kernel is written by  $\pi_1^r(G, v)_{ev}$ , and is called the even part of  $\pi_1^r(G, v)$ . Let  $G_0$  denote the connected component of G containing v. Then  $\pi_1^r(G, v) = \pi_1^r(G, v)_{ev}$  iff  $\chi(G_0) \leq 2$ .

### r-neighborhood complex

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Let G be a graph and  $v \in V(G)$ . The s-neighborhood  $N_s(v)$  is defined as follows.

• 
$$N_1(v) = N(v).$$
  
•  $N_{s+1}(v) = \bigcup_{w \in N_s(v)} N(w).$ 

#### Definition 11

The *r*-neighborhood complex  $N_r(G)$  is the simplicial complex

■ 
$$V(N_r(G)) = \{v \in V(G) \mid N(v) \neq \emptyset\}.$$
  
■  $N_r(G) = \{\sigma \subset V(G) \mid \#\sigma < \infty, \exists v \in V(G) \text{ s.t.} \sigma \subset N_r(v).\}.$ 

In particular,  $N_1(G) = N(G)$ .



Especially  $\pi_1(N(G), v) \cong \pi_1^2(G, v)_{ev}$ .

### Length and stable length

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Let  $\alpha \in \pi_1^r(G, v)$ . Put

$$I(\alpha) = \inf\{I(\varphi) \mid \varphi \in \alpha\}$$

and call this the length of  $\alpha$ .

### Length and stable length

**Proposition 5** 

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# Let $(a_n)_{n \in \mathbb{N}}$ be a sequence of non-negative real numbers s.t.

$$a_{n+m} \leq a_n + a_m \ (\forall n, m \in \mathbb{N}).$$

Then  $\lim_{n\to\infty} a_n/n$  exists and

$$\lim_{n\to\infty}\frac{a_n}{n}=\inf_{n\in\mathbb{N}}\frac{a_n}{n}.$$

For  $\alpha \in \pi_1^r(G, v)$ , the sequence  $(I(\alpha^n))_{n \in \mathbb{N}}$  satisfies the above hypothesis, and we define the stable length of  $\alpha$  by

$$l_{s}(\alpha) := \lim_{n \to \infty} \frac{l(\alpha^{n})}{n}.$$

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# Application of $\pi_1^r$

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*r*-fundamental groups can be applied to the existence problem of graph homomorphisms.

Let  $f : (G, v) \to (H, w)$  be a based graph homomorphism. Then the map  $f_* : \pi_1^r(G, v) \to \pi_1^r(H, w)$ ,  $[\varphi]_r \mapsto [f \circ \varphi]_r$  is well-defined, and satisfies the followings:

- (0)  $f_*$  is a group homomorphism.
- (1)  $f_*$  preserves parities.
- (2)  $l(f_*(\alpha)) \le l(\alpha)$ .
- (3)  $I_s(f_*(\alpha)) \leq I_s(\alpha)$ .

For example, let us consider the existence of graph homomorphisms to odd cycles.

# $\pi_1^r(C_n)$

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#### Proposition 6

The followings hold. (1) For odd  $n \ge 3$ , we have

$$\pi_1^r(C_n) = \begin{cases} \mathbb{Z}\alpha & (r < n) \\ \mathbb{Z}/2 & (r \ge n), \end{cases}$$

and the generator  $\alpha$  is odd and  $l_s(\alpha) = n$  if r < n. (2) For even  $n \ge 4$ , we have

$$\pi_1^r(C_n) = \begin{cases} \mathbb{Z}\alpha & (r < n/2) \\ 1 & (r \ge n/2). \end{cases}$$

and the generator  $\alpha$  is even and  $l_s(\alpha) = n$  if r < n/2.

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#### Theorem 7 (M)

Let n be an odd integer s.t.  $n \ge 3$ , and G a connected graph. If  $\exists G \to C_n$ , then  $l_s(\beta) \ge n$  for any r < n and any odd element  $\beta$  of  $\pi_1^r(G, v)$ .

#### Proof.

Suppose there is a graph homomorphism  $f : G \to C_n$ . Since  $f_*(\beta)$  is odd,  $\exists k \in \mathbb{Z}$  s.t.  $f_*(\beta) = \alpha^{2k+1}$ . Hence

$$I_{\mathfrak{s}}(\beta) \geq I_{\mathfrak{s}}(f_{\ast}(\beta)) = I_{\mathfrak{s}}(\alpha^{2k+1}) = |2k+1|I_{\mathfrak{s}}(\alpha) \geq I_{\mathfrak{s}}(\alpha) = n.$$

#### Examples

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Recall that  $\chi(KG_{n,k}) = n - 2k + 2$ . Hence  $\exists KG_{2k+1,k} \to K_3 \cong C_3$ . For a positive integer  $k \ge 1$ ,  $\pi_1^3(KG_{2k+1,k}) \cong \mathbb{Z}/2$ . Hence by the previous theorem, we have  $\exists KG_{2k+1,k} \to C_5$ . On the other hand, it is known that the odd girth  $g_0(KG_{2k+1,k})$  is equal to 2k + 1.



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Let  $\beta$  denote the generator of  $\pi_1^2(X) \cong \mathbb{Z}$ .

Then we have  $l_s(\beta) = \frac{7}{3}$ .



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Then we have  $l_s(\beta) = \frac{7}{3}$ .



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From the following, we have  $I(\beta^{3n}) \approx 7n$ .

Hence we have 
$$l_s(\beta) = \lim_{n \to \infty} \frac{l(\beta^{3n})}{3n} = \lim_{n \to \infty} \frac{7n}{3n} = \frac{7}{3}$$



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Hence the stable length of the generator  $\beta$  of  $\pi_1^2(X) \cong \mathbb{Z}$  is smaller than 3. Since  $\beta$  is odd, we have  $\not\exists X \to C_3 \cong K_3$ . This implies that  $\chi(X) > 3$ . Since  $\pi_1^2(G)_{ev} \cong \pi_1(N(G)), \pi_1(N(K_3)) \to \pi_1(N(X))$  is an isomorphism. Indeed, this  $N(G) \hookrightarrow N(X)$  is homotopy equivalence (hence  $B(G) \hookrightarrow B(X)$  is  $\mathbb{Z}_2$ -homotopy equivalence) s.t.  $\chi(G) \neq \chi(X)$ .

### r-covering maps

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Introduction

#### Recall that the s-neighborhood $N_s(v)$ is defined by

$$N_1(v) = N(v)$$
 and  $N_{s+1}(v) = \bigcup_{w \in N_s(v)} N(w).$ 

#### Definition 12

A graph homomorphism  $p: G \to H$  is said to be an *r*-covering map if for any  $v \in V(G)$ ,

$$p|_{N_s(v)}: N_s(v) \rightarrow N_s(p(v))$$

is bijective for  $1 \leq s \leq r$ .

#### *r*-covering maps

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Introduction

There is similar relations between  $\pi_1^r(G, v)$  and *r*-covering maps, as is the case of covering space theory.

- (1) If  $p: (G, v) \to (H, w)$  is an *r*-covering map, then  $p_*: \pi_1^r(G, v) \to \pi_1^r(H, w)$  is injective.
- (2) For each  $\Gamma \leq \pi_1^r(G, \nu)$ , there is an *r*-covering map  $(G_{\Gamma}, \nu_{\Gamma}) \rightarrow (G, \nu)$  s.t.  $G_{\Gamma}$  is connected, and  $p_*(\pi_1^r(G_{\Gamma}, \nu_{\Gamma})) = \Gamma$ , and this is unique up to isomorphisms.
- (3) Suppose  $f : (T, x) \to (H, w)$  is a graph homomorphism and  $p : (G, v) \to (H, w)$  an *r*-covering map. If *T* is connected and  $f_*\pi_1^r(T, x) \subset p_*\pi_1^r(G, v)$ , then  $\exists g : (T, x) \to (G, v)$  s.t.  $p \circ g = f$ .

#### r-covering maps

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The 2nd projection  $K_2 \times G \to G$  is an *r*-covering map for any  $r \geq 1$ . If G is connected and  $\chi(G) \geq 3$ , then  $K_2 \times G$  is connected, and the associated subgroup of  $\pi_1^r(G)$  is the even part  $\pi_1^r(G)_{ev}$ .



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Introduction



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### Examples

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- π<sub>1</sub><sup>2</sup>(K<sub>n</sub>) ≃ ℤ/2 for n ≥ 4, connected 2-covering over K<sub>n</sub> (n ≥ 4) is G or K<sub>2</sub> × G.
- Since  $\pi_1^3(KG_{2k+1,k}) \cong \mathbb{Z}/2$ , connected 3-covering over  $KG_{2k+1,k}$  is  $KG_{2k+1,k}$  or  $K_2 \times KG_{2k+1,k}$ . But since  $\pi_1^2(KG_{2k+1,k})$  is a free group, and hence there are many connected 2-covering maps over  $KG_{2k+1,k}$ .

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Hence if  $K_2 \times G \cong K_2 \times H$ , we have

$$\pi_1^r(G)_{ev}\cong\pi_1^r(K_2 imes G)\cong\pi_1^r(K_2 imes H)\cong\pi_1^r(H)_{ev}.$$

Since  $\pi_1^2(N(G))_{ev} \cong \pi_1^2(G)_{ev}$ , we have that if  $K_2 \times G \cong K_2 \times H$ , then  $\pi_1(N(G)) \cong \pi_1(N(H))$ . Indeed, we can say that if  $K_2 \times G \cong K_2 \times H$ , then  $N(G) \cong N(H)$  and  $B(G) \cong B(H)$  as poset.



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### For further researches

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Introduction

Let n be a positive integer, and G a connected graph s.t.
#N(v) = n for v ∈ V(G). Consider the following property.
(\*) For v, w ∈ V(G) with N(v) ∩ N(w) ≠ 0, then #(N(v) ∩ N(w)) > n/2.
Then the diameter of G is smaller than 4. (Especially G is

Then the diameter of G is smaller than 4. (Especially, G is finite.)

### For further researches

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#### Definition 13

A graph property (P) is said to be *r*-local if for a surjective *r*-covering map  $p: G \rightarrow H$ , *G* satisfies (P) if and only if *H* satisfies (P).

Then the condition (\*) is a 2-local property. Suppose a 2-local property (P) implies the finiteness of connected graphs. Suppose a connected graph G satisfies (P). Then the universal 2-covering of G satisfies it and is finite. Hence  $\pi_1^2(G)$  is finite. This implies that  $\chi(G) \neq 3$ .

### For further researches

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#### Problem 2

Find the *r*-local property s.t. a connected graph satisfying such a property is finite.

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