

Invitation to Enumerative Combinatorics

Goal:

To count certain combinatorial
objects
and

Construct bijection(s) between
equinumerable sets

Literature

G. Andrews, Theory of partitions,
Cambridge Univ. Press, 1984

I. Macdonald, Symmetric functions
and Hall polynomials,
Oxford Science Publ., 1991

R. Stanley, Enumerative Combinatorics
vol 1, vol 2, Cambridge Univ.
Press, 2001.

R. Stanley, Catalan addendum

R. Stanley, Bijective proof problems
2002 ~

Tales of Catalan numbers

1, 1, 2, 5, 14, 42, 132, 429, 1430, 4862, ...

Definition. The n -th Catalan number

$$C_n = \frac{(2n)!}{n!(n+1)!}, \quad n=0, 1, 2, \dots$$

This is an integer, since

$$C_n = \binom{2n}{n} - \binom{2n}{n-1}$$

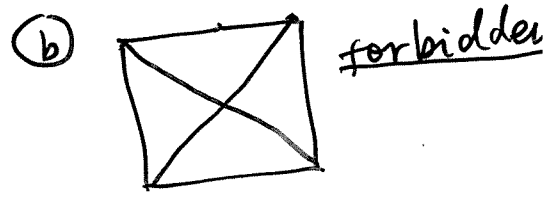
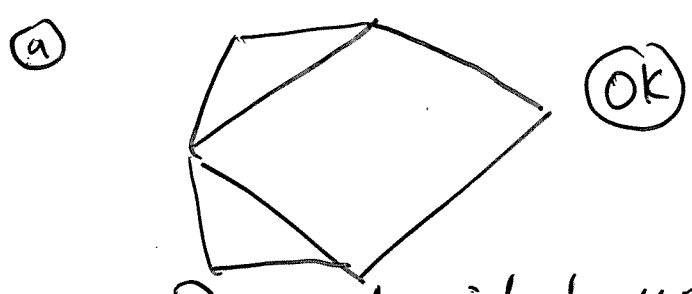
The Catalan numbers C_n are known from the ancient times for small n . It was L. Euler who had proved a theorem that the number of triangulations of a convex $(n+2)$ -gon is equal to C_n , (1758). Eugen Catalan (1814-1894) had published several papers about triangulations of $(n+2)$ -gon and its connections with parentheses. Related topics were studied by A. Cayley, H. Rothe, D. Andre, N. Fuss and many other mathematicians of the 19-th century.

Nowadays, there are more than 200 different combinatorial interpretations of Catalan numbers (see 198 in Catalan Addendum, by R. Stanley)

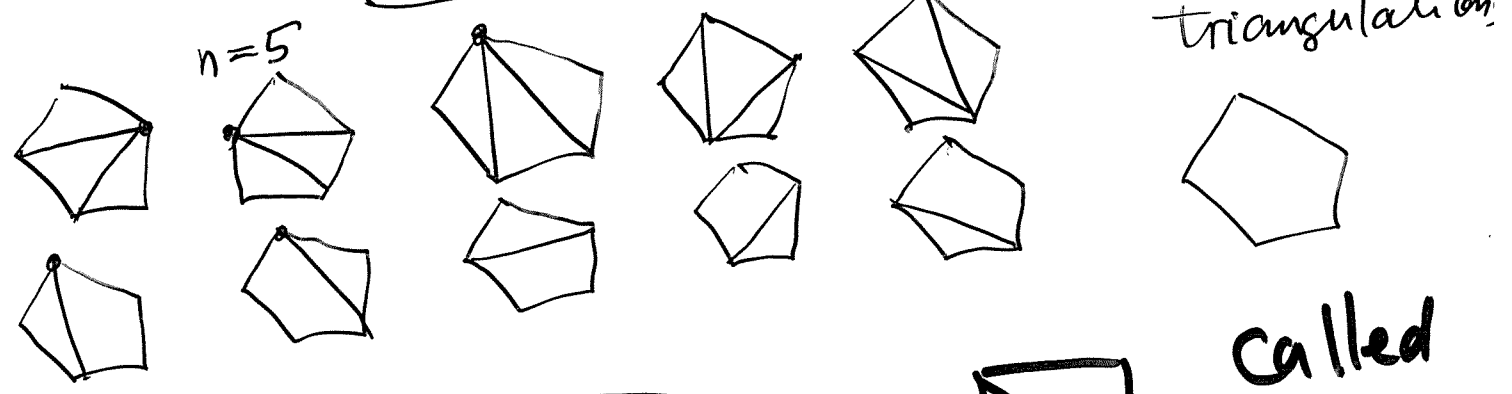
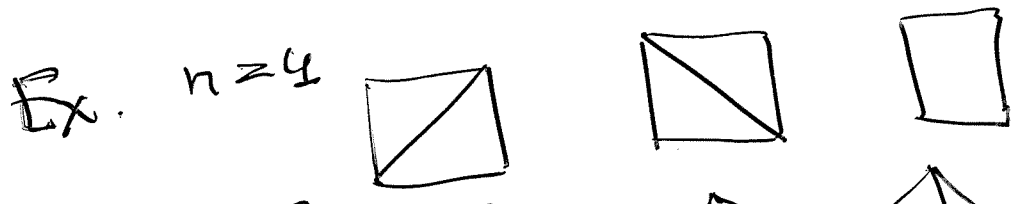
§1 Polygon dissections

Let P be a convex polygon.

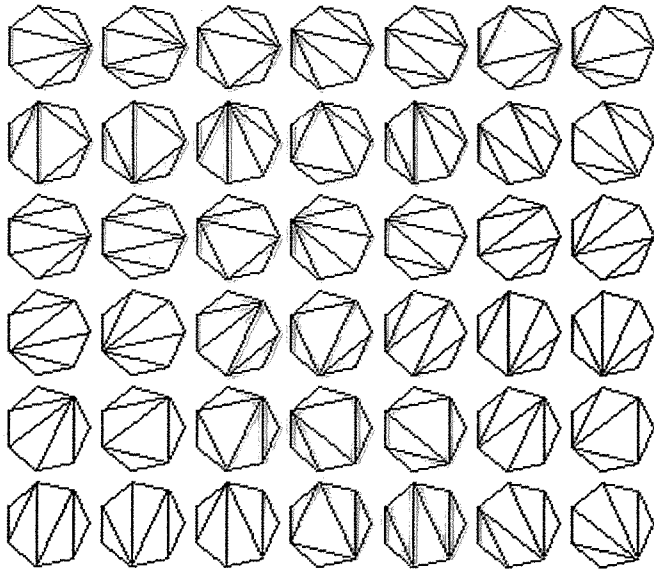
Definition. A dissection of P is obtained by drawing some diagonals that don't intersect in their interior.



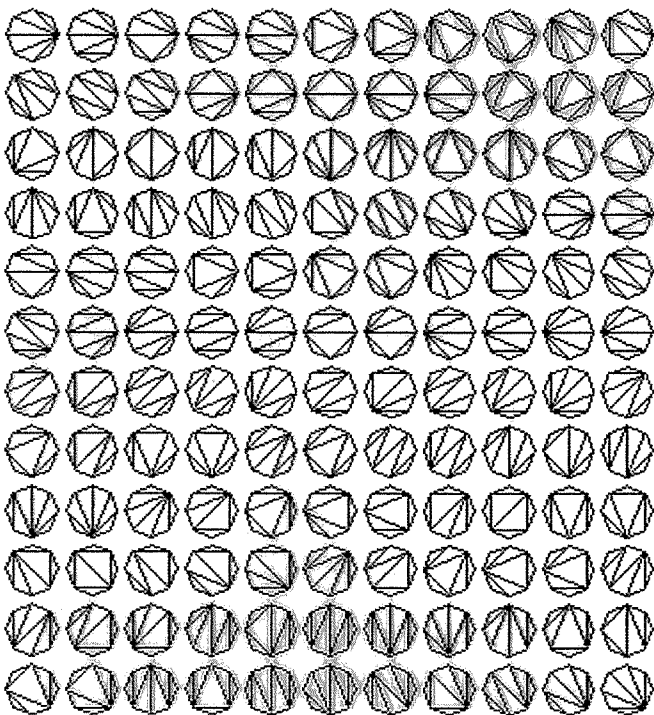
Thus, P is divided up into regions that are themselves convex polygons. In the case when all regions are triangles, dissections is called triangulation.



Theorem Any two triangulation of a convex n -gon can be connected by a sequence of flips.



8 sides, 132 ways:



9 sides, 429 ways:
 (Hidden in file [catalan9.gif](#); around 29K.)

Multiplication diagrams

3 numbers:

$(1 (2 3)) \quad ((1 2) 3)$

4 numbers:

$(1 (2 (3 4))) \quad (1 ((2 3) 4))$
 $((1 2) (3 4)) \quad ((1 (2 3)) 4)$
 $((1 2) 3) 4$

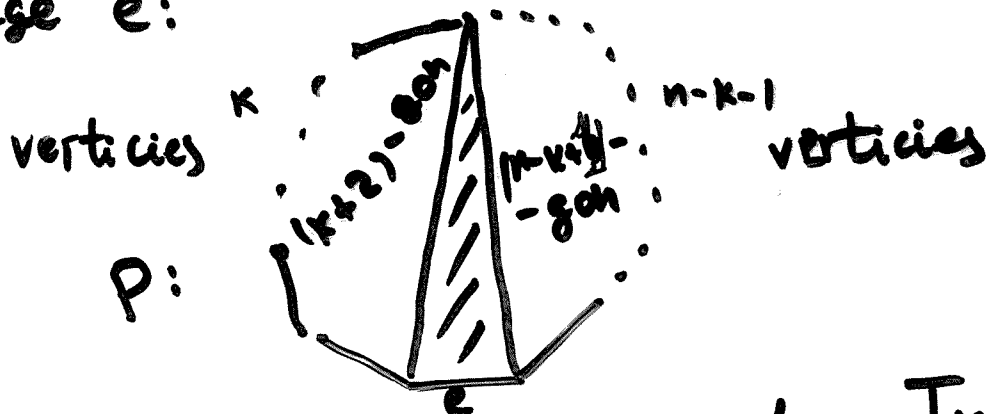
5 numbers:

$(1 (2 (3 (4 5)))) \quad (1 (2 ((3 4) 5)))$
 $(1 ((2 3) (4 5))) \quad (1 ((2 (3 4)) 5))$
 $(1 (((2 3) 4) 5)) \quad ((1 2) (3 (4 5)))$
 $((1 2) ((3 4) 5)) \quad ((1 (2 3)) (4 5))$
 $((1 (2 (3 4))) 5) \quad ((1 ((2 3) 4)) 5)$

Q: Find the number T_n of triangulations of a convex $(n+2)$ -gon.

It's clear that $T_0=1, T_1=1, T_2=2, T_3=5$;

Now take a $(n+2)$ -gon, and choose an edge e :



Therefore, there exist $T_k \cdot T_{n-k+1}$ triangulations of P with fixed triangle e . Clear that k can be $0, \dots, n-1$.

\triangleleft Thus, $T_n = \sum_{k=0}^{n-1} T_k T_{n-k-1}$.

Now, let $T(x) = \sum_{n=0}^{\infty} T_n x^n = \sum_{n=0}^{\infty} x^n \sum_{k=0}^{n-1} T_k x^k \cdot T_{n-k-1} x^{n-k-1}$

$= 1 + x T^2(x)$. So we find that

$x T^2(x) - T(x) + 1 = 0$, so that

$T(x) = \frac{1 - \sqrt{1-4x}}{2x} = \frac{1}{2x} \left(1 - \sum_{n \geq 0} \frac{1}{n!} \frac{1}{2} \left(\frac{1}{2}-1\right) \dots \left(\frac{1}{2}-n+1\right) (-4x)^n \right)$

Taylor series

$= \sum_{n \geq 1} \frac{1 \cdot 3 \dots (2n-3)}{n!} \cdot 2^{n-1} x^{n-1} = \sum_{n \geq 0} \frac{1 \cdot 3 \dots (2n-3) \cdot (n-1)! \cdot 2^{n-1}}{n! (n-1)!} x^n$

$= \sum_{n \geq 1} \frac{(2n-2)!}{n! (n-1)!} x^{n-1} \Rightarrow T_n = \frac{(2n)!}{n! (n+1)!} = C_n$.

Generalizations.

Let n and $0 \leq d \leq n-1$ be fixed, and S_n be the number of all dissections of ~~the~~ convex $(n+2)$ -gon, and $S_n(d)$ be the number of dissections by $(n-1-d)$ diagonals. It's clear that $S_n(0) = C_n$, $S_n(n-1) = 1$.

Theorem (T. Kirkman (1857))
A. Cayley (1890)

• $S_n(d) = \frac{1}{n+1} \binom{2n-d}{n} \binom{n-1}{d}$, $n \geq 1$.

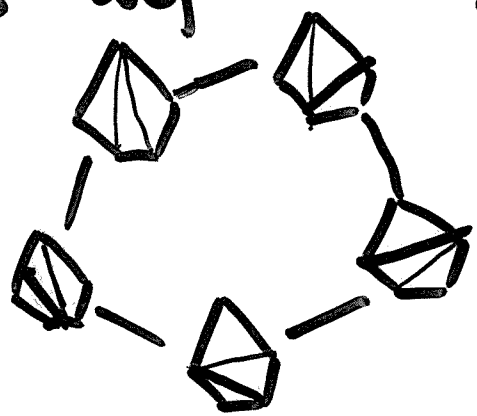
•• Generating function $S(x) = \sum_{n \geq 0} S_n x^n = \frac{1}{4} (1+x - \sqrt{1-6x+x^2})$.

Proof of (••) is based on observation that if we put $y = S(x)$, then $y = x + y^2 + y^3 + \dots = x + \frac{y^2}{1-y}$, and therefore, $2y^2 - (1+x)y + x = 0$. see Exercises

The numbers S_n is called little Schröder numbers

Now consider graph with vertices parametrized by triangulations of a convex $(n+2)$ -gon, and edges defined by flips.

Ex. $n=3$



We see that set of triangulation of pentagon FORMS a convex polytope (in our case just pentagon)

Important remark.

(3)

Let $f(x) = x - x^2$. Then

$$f(xT(x)) = xT(x) - x^2 T^2(x) = x.$$

Therefore, the generating function for Catalan numbers is the **composition inverse series** for $f(x) = x - x^2$:

$$f(xT(x)) = x.$$

Similarly, if we put $g(x) = x - \frac{x^2}{1-x}$, then $g(G(x)) = x$, and the generating function for the number of dissections of a convex $(n+2)$ -gon, is the **composition inverse series**

of $g(x) = x - \frac{x^2}{1-x}$.

such that $f'(0) = 1$

If series $f(x)$ is given, the composition inverse series $g(x)$, s.t. $f(g(x)) = x$, can be found by means of **Lagrange Inversion**

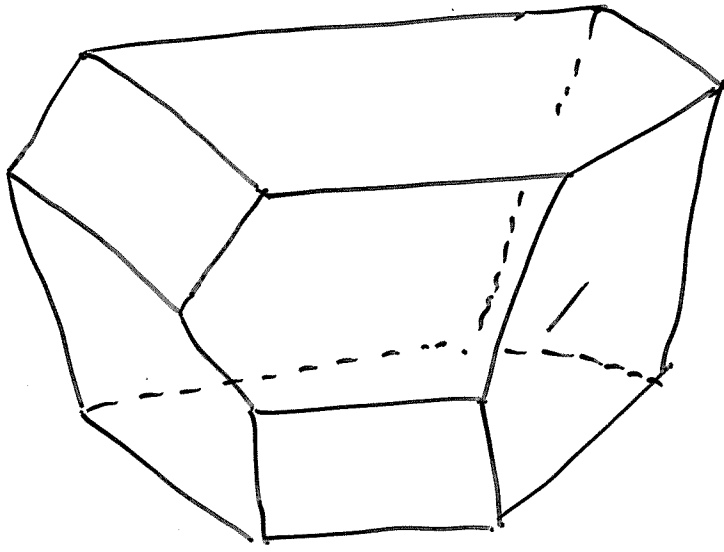
Formula

(≈ 1770)

Exercise. Let $g_n(x) = x - \frac{x^n}{1-x}$, define $T_n(x)$ such that $g_n(xT_n(x)) = x$.

Show that $T_n(x)$ has positive coefficients, and find their combinatorial interpretation for $n = 3, 4, 5$ polygon dissections.

Theorem (J. Stasheff (1963), M. Haiman (1981))
 The set of all triangulations of a convex $(n+2)$ -gon together with edges defined by flips, FORMS a 1-skeleton of a convex (integral) polytope of dimension $(n-1)$, the so-called associahedron, or Stasheff polytope, \mathcal{K}^n
 For $n=4$ it looks like



\mathcal{K}^3

$(1, 9, 21, 14)$
 $f_0 \quad f_1 \quad f_2 \quad f_3$

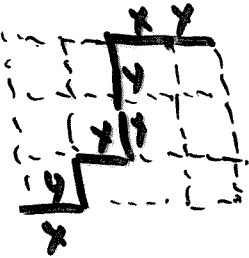
Exercise.
 To assign to each vertex corresponding triangulation of a convex hexagon, such that the edges correspond to flips.

Theorem. The number of cells of dimension $(n-k)$ in \mathcal{K}^n is equal to $\frac{1}{n+1} \binom{n + \sum_{i=1}^k n_i}{n, n_1, \dots, n_k}$, where $\sum_{i=1}^k n_i = n$.

f_k correspond to the number of all $(n-k)$ -dimensional cells.

(§2) Lattice paths

Definition A lattice path is a path starting from point $(0,0)$ with steps $(1,0)$ or $(0,1)$.



$\leadsto xyxyxyxy$

Monotonic, or Dyck, path is a lattice path from point $(0,0)$ to that (n,n) , which never cross the diagonal.

Take $n=3$



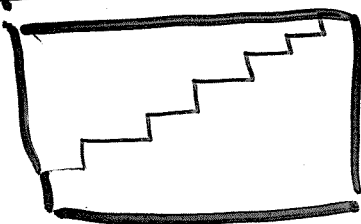
# of picks:	0	1	1	1	2	
volume:	0	1	2	2	3	
Generating function:						$\begin{matrix} x \\ y \end{matrix}$

$$\frac{1 + xy + 2xy^2 + x^2y^3}{}$$

Theorem. The number of lattice paths from point $(0,0)$ to that (n,m) is equal to the binomial coefficient

$$\binom{n+m}{n} = \frac{(n+m)!}{n! m!}$$

Proof:



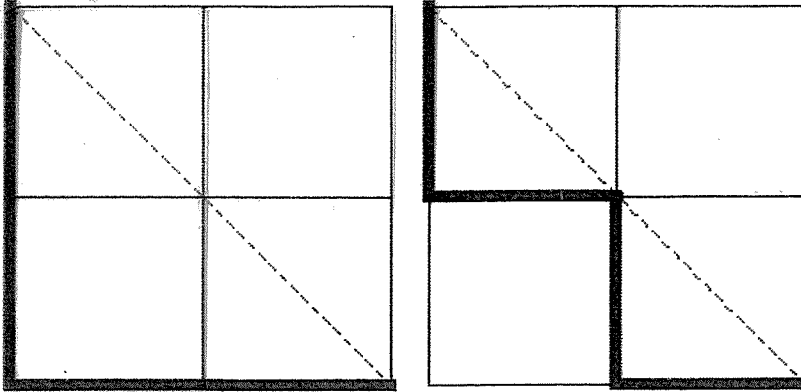
Let (i, a_i) be the (x, y) th coordinate of a point on the path.

Clearly, $0 \leq a_0 \leq \dots \leq a_{n-1} = a_n = m$

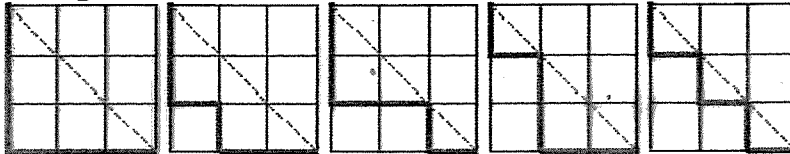
we obtain the sequence $1 \leq b_1 \leq b_2 \leq \dots \leq b_n \leq n+m$

Path diagrams

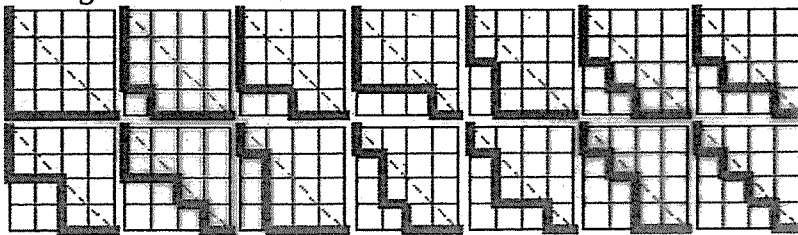
2 x 2 grid:



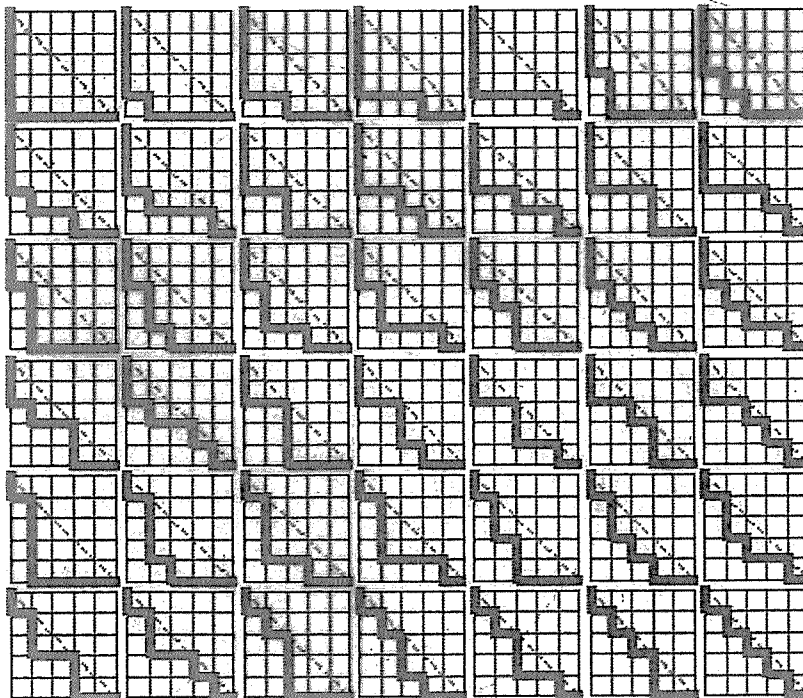
3 x 3 grid:



4 x 4 grid:



5 x 5 grid:



Correspondence

$$\text{path} \longrightarrow \{b_1 < b_2 < \dots < b_n\}$$

⑥

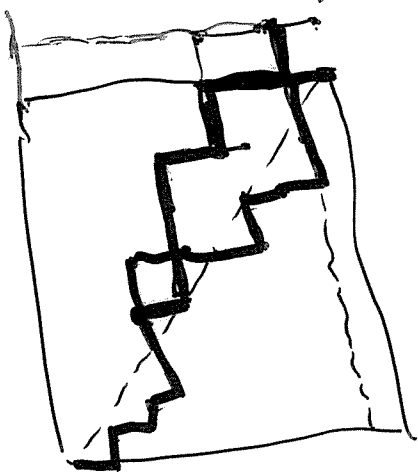
clearly is a bijection between the lattice paths in rectangular $n \times n$, and the set of subsets of cardinality n of the set consisting of $(n+m)$ elements. By definition, this number is $\binom{n+m}{n}$.

Q: How to compute the number of monotonic paths?

Theorem The number of monotonic paths between points $(0,0)$ and (n,n) is equal to the Catalan number C_n .

Proof (D. André, 1871).

$$\# \text{ | Monotonic paths |} = \underbrace{\# \text{ | all paths |}}_{\binom{2n}{n}} - \underbrace{\# \text{ | paths crossing diagonal |}}_{\# \text{ | paths from } (0,0) \text{ to } (n-1, n+1) \text{ |}}_{\binom{2n}{n-1}}$$



Therefore,

$$\# \text{ | Monotonic paths |} = \binom{2n}{n} - \binom{2n}{n-1} = C_n$$

§3) Parenthesis or bracketing. (3)

Definition. Recursively define a bracketing as follows:

First, x itself is considered as a bracketing. Now define a bracketing to be a sequence (B_1, \dots, B_k) , where $k \geq 1$ and each B_i is bracketing.

Binary bracketing is one without fragments of a form $(\dots \boxed{XXX} \dots)$ In other words, $k=1$

Example There are exactly eleven bracketings of four letters:

$xxxx, (xx)xx, x(xx)x, xx(xx), (xxx)x, x(xxx), ((xx)x)x, ((x(xx))x), ((xx)(xx)), (x((xx)x)), (x(x(xx)))$.

Note that the last five of these are binary bracketings.

Another interpretation: multiplication diagrams:

associativity rule

How many ways to multiply the numbers $1, 2, \dots, n$ (without reordering).

$n=3: ((12)3), (1(23))$

$n=4: (1(2(34))), (1(23)4), ((12)(34))$

$((1(23))4), (((12)3)4)$

Clearly, this is a bijection with binary bracketings.

A Bijection between pairings and bracketings. (7)

Rules:

- $(ab) \mapsto xy$
- $a(\dots) \mapsto x(\dots)y$
- $(\dots)b \mapsto (\dots)xy$
- $(\dots)(\dots) \mapsto (\dots)x(\dots)y$.

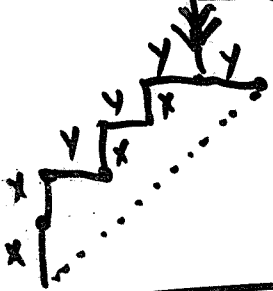
As a result, we will have a sequence $a_1 a_2 \dots a_{2n}$ such that $a_i \in \{x, y\}$, which satisfies the Yamanuchi condition: in any subsequence $a_1 \dots a_p$, $\#x \geq \#y$.

Construction of a path:

- replace x by \uparrow , and y by \rightarrow .

Example Take $w = ((bc)d)e := w$.

$$w \mapsto x(((bc)d)e)y \rightarrow x((bc)d)xy \rightarrow x \cdot xy \cdot xy \cdot xy \cdot y$$



$$(ab)((cd)e) \rightarrow (ab)x((cd)e)y \\ \rightarrow xyxxyxy$$



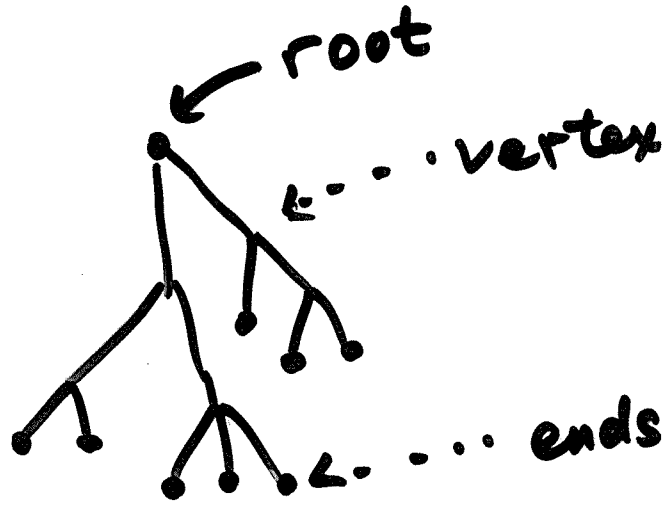
Note $(xy)x(xy)xy \rightsquigarrow ()(())()$

$(xxyxyxyy) \rightsquigarrow (())(())()$.

In other words, C_n is equal to the number of expressions containing n pairs of parentheses which are correctly matched.

34) Trees

A plane tree is



A plane tree for which every non-end point vertex has exactly two successors, is called plane binary tree

Examples: Binary trees with n ends.

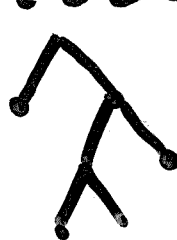
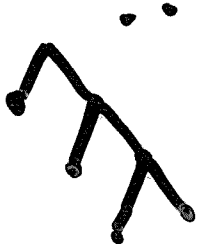
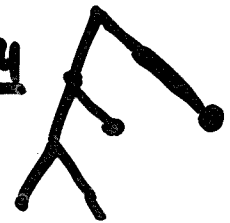
$n=2$



$n=3$



$n=4$



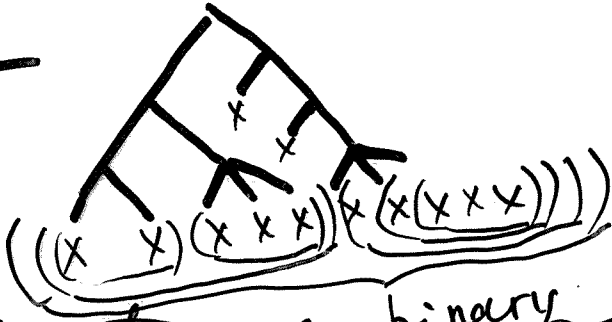
Theorem # | Bracketing of n letters |
 with k blocks |
 = # | planar trees with k |
 vertex and n ends |

Proof: Let B be a bracketings, define the plane tree $\tau(B)$ recursively. If B consists of a single letter, then $\tau(B)$ is a single root vertex. If $B = (B_1, \dots, B_k)$, then

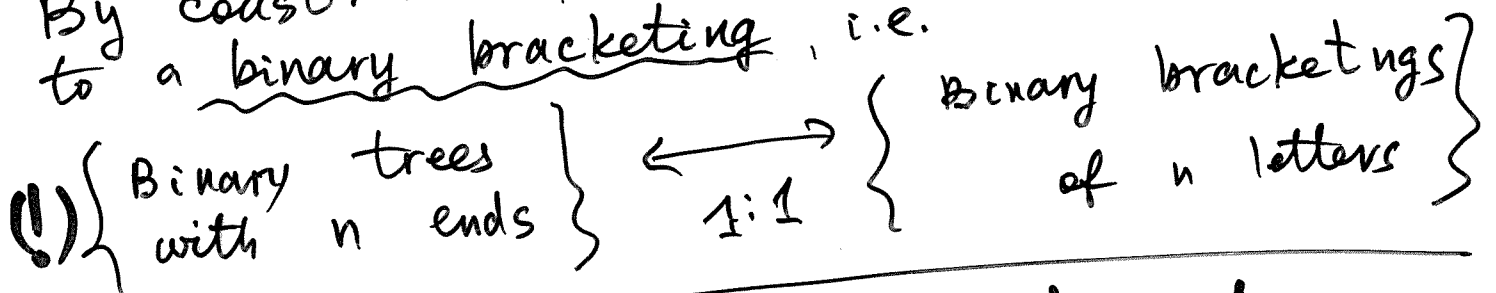
$\tau(B)$ consists of a root vertex drawn at the top, with subtrees $\tau(B_1), \dots, \tau(B_k)$, drawn in that order from the left to right.

Clearly, this construction can be inverted.

Example



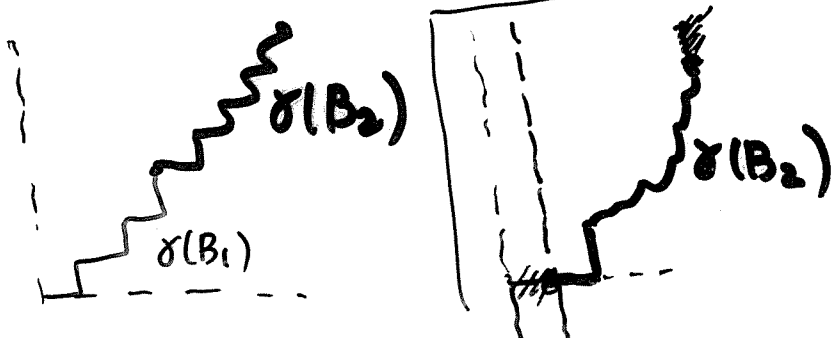
By construction, a binary plane tree corresponds to a binary bracketing, i.e.



Now let us construct a bijection between binary bracketings and monotonic lattice paths.

Each bracketing is either a letter x or an ordered pair of binary bracketings. If $B = (B_1, B_2)$. If $B_i \neq x, i=1,2$, define a monotonic lattice path $\gamma(B)$ to be the concatenation of those $\gamma(B_1)$ and $\gamma(B_2)$:

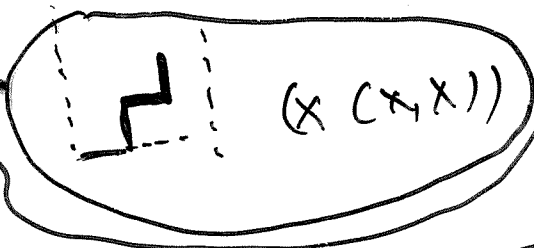
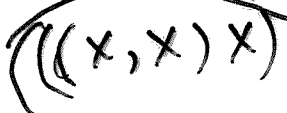
$\gamma(B) = \gamma(B_1) * \gamma(B_2)$. Define $\gamma(x, B_2) =$



Define $\tau(B_1, x)$

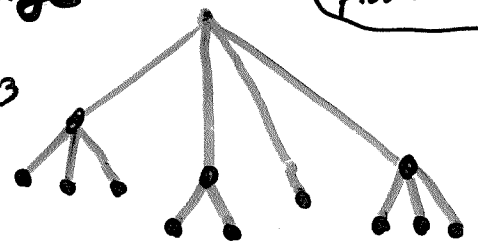
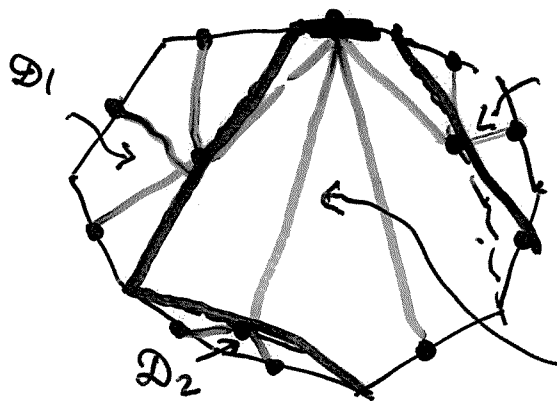
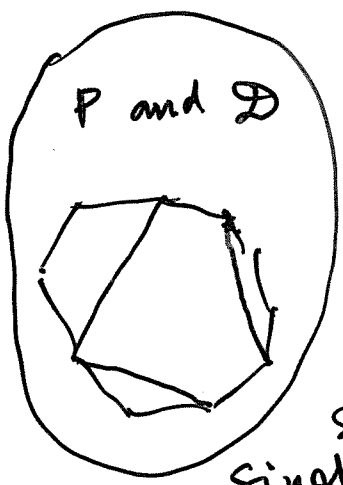


Examples



Now let us define a bijection between polygon dissections of a convex n -gon, and the plane trees with $(n-1)$ ends and d vertices by d diagonals

To start, fix once and for all an edge e of the polygon P , called the root edge. In a given dissection \mathcal{D} , the edge e is contained in a unique polygon Q which is a region of \mathcal{D} . Let $(k+1)$ be the number of edges of Q . If we move the edge e and the interior of Q from \mathcal{D} , we are left with dissections $\mathcal{D}_1, \mathcal{D}_2, \dots, \mathcal{D}_k$ of k polygons, reading counterclockwise from e along the boundary of Q .

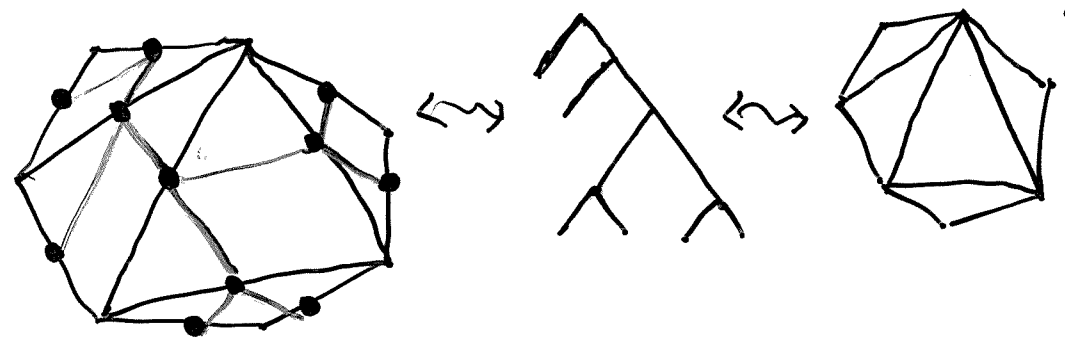


such that \mathcal{D}_i and \mathcal{D}_j intersect in a single point, $1 \leq i < j \leq k$. The tree $\tau(\mathcal{D})$

is the plane tree whose subtrees of the root are $\tau(\mathcal{D}_1), \dots, \tau(\mathcal{D}_k)$

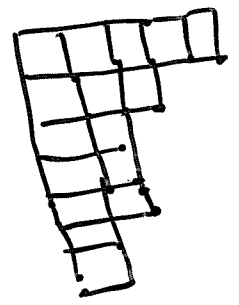
It is clear from our construction that $\left\{ \begin{array}{l} \text{triangulations} \\ \text{of a convex} \\ (n+2)\text{-gon} \end{array} \right\} \longleftrightarrow \left\{ \begin{array}{l} \text{binary plane trees} \\ \text{with } (n+1) \text{ ends} \end{array} \right\}$

Example



65 Young diagrams and tableaux.

Def. Young diagram



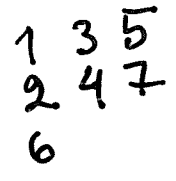
$\lambda = (\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_k)$, where λ_k is the length of k -th row.

let $n = \sum_{j=1}^k \lambda_j := |\lambda|$.

A Young tableau is a filling of boxes of of shape λ

the diagram λ by the numbers $1, 2, \dots, n$ ($=|\lambda|$) in a such way that they are strictly increasing along the rows and columns.

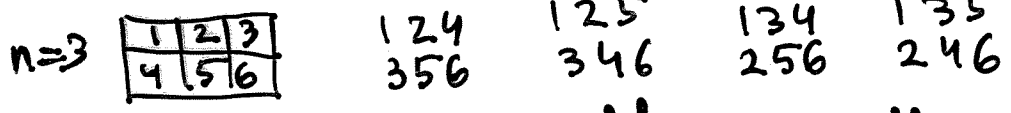
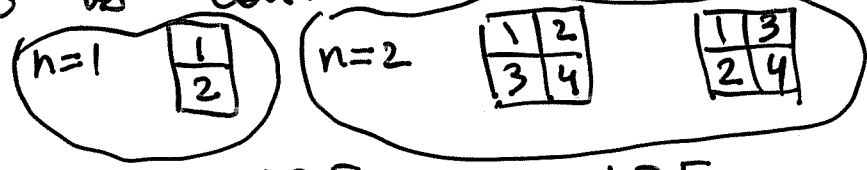
Example



Here $\lambda = (3, 3, 1)$, $n = 7$.

Now let us consider the case $\lambda = (n, n)$

Example

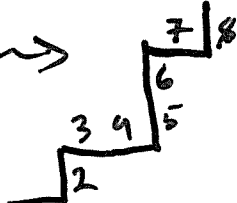


Exactly 5 Young tableaux!
why?

We constructed a bijection between the set of monotonic paths from $(0,0)$ to (n,n) , and the set of Young tableaux of shape (n,n) .

Namely, the numbers in the first row correspond to step $(1,0)$, and those in the second ones, to step $(0,1)$.

Example $\begin{matrix} 1347 \\ 2568 \end{matrix} \rightsquigarrow$



It is clearly seen that¹ this is a bijection.

Noncrossing partitions

Noncrossing partition is a partition of the set $[n] = [1, 2, \dots, n]$ into blocks (B_1, \dots, B_k) such that

$$\bigcup_i B_i = [n], \quad B_i \cap B_j = \emptyset, \text{ if } i \neq j, \text{ and}$$

if $a < b < c < d$, and $a, c \in B_i, b, d \in B_j \Rightarrow i = j$.

Denote by NC_n the set of noncrossing partitions of $[n]$.

Example $12|34, 14|23$, but $13|24 \notin NC_4$.

Now let's list the set NC_3 :

$123, 1|23, 12|3, 13|2, 1|2|3$, so that

$$|NC_3| = 5 = C_3 \quad !!!$$

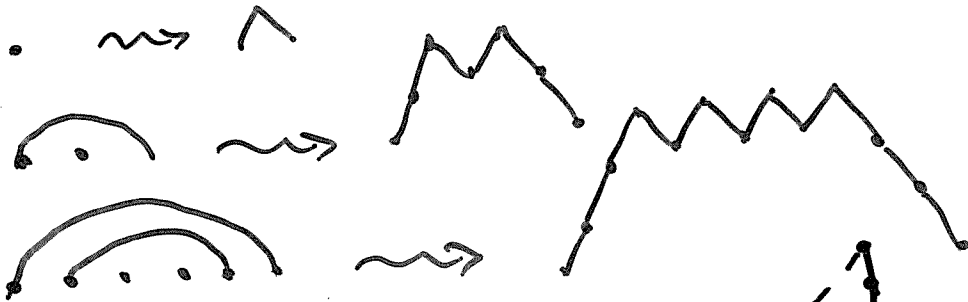
Theorem $|NC_n| = C_n$ the n -th Catalan number.

Noncrossing partitions can be displayed as monotonic paths. First of all, let's present a noncrossing partition by the following picture:

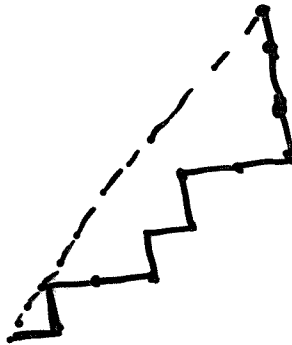
1|24|3|567 \rightsquigarrow . . .

13|2|6|7|49|58 \rightsquigarrow . . .

Bijection $NC_n \rightarrow \{ \text{monotonic paths} \}$
looks like;



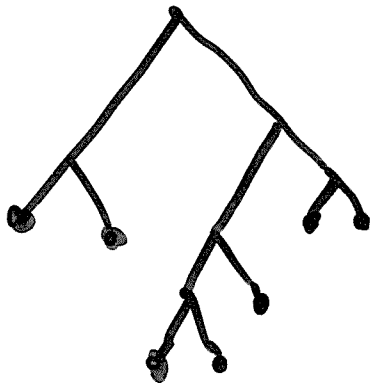
For example



\rightsquigarrow xyxxxyxyxyyy



(ab)(cd)(e)(fg)



xyxxxyxyxyyy

Jacobi game.

Consider an alphabet $\{x_{ij} \mid 1 \leq i < j \leq n\}$ together with the following rules:

- ① $x_{ij} \cdot x_{kl} = x_{kl} x_{ij}$, if i, j, k, l are distinct;
- ② $x_{ij} x_{jk} = x_{ik} x_{ij} + x_{jk} x_{ik} + x_{ik}$, if $1 \leq i < j < k \leq n$.

Examples $n=3$, $\{x_{12}, x_{13}, x_{23} \parallel x_{12} x_{23} = x_{13} x_{12} + x_{23} x_{13} + x_{13}$

$n=4$, $\{x_{12}, x_{13}, x_{23}, x_{14}, x_{24}, x_{34} \parallel x_{12} x_{34} = x_{34} x_{12}, x_{13} x_{24} = x_{24} x_{13}, x_{14} x_{23} = x_{23} x_{14}\}$

$$x_{12} x_{23} = x_{13} x_{12} + x_{23} x_{13} + x_{13}$$

$$x_{12} x_{24} = x_{14} x_{12} + x_{24} x_{14} + x_{14}$$

$$x_{13} x_{34} = x_{14} x_{13} + x_{34} x_{14} + x_{14}$$

$$x_{23} x_{34} = x_{24} x_{23} + x_{34} x_{24} + x_{24}$$

Jacobi game:

Consider word $w = x_{12} \cdot x_{23} \cdot x_{34} \dots x_{n-1}$,

and let us consecutively apply the above rules to the element w in any order until unable to do so. Denote the resulting polynomial by $P(x)$.

In principal, the polynomial itself can depend on the order in which the rules ① & ② are applied.

Examples $n=3$, $x_{12} x_{23} = \underbrace{x_{13} x_{12} + x_{23} x_{13}}_2 + \underbrace{x_{13}}_1$;

$$\begin{aligned}
 n=4 \quad x_{12} x_{23} x_{34} &= (x_{13} x_{12} + x_{23} x_{13} + x_{13}) \cdot x_{34} = \\
 &= \underbrace{(x_{13} x_{34})}_{1} x_{12} + x_{23} \underbrace{(x_{13} x_{34})}_{2} + \underbrace{(x_{13} x_{34})}_{1} = x_{14} x_{13} x_{12} + x_{34} x_{14} x_{12} + x_{13} x_{12} + \\
 &+ \underbrace{(x_{23} x_{34})}_{1} x_{14} + x_{23} x_{14} x_{13} + x_{23} x_{14} = \underbrace{x_{14} x_{13} x_{12} + x_{34} x_{14} x_{12} + x_{13} x_{12} + x_{23} x_{14} x_{13} + x_{34} x_{24} x_{14}}_5 + \underbrace{x_{13} x_{12} + x_{34} x_{14} + x_{14} x_{13} + x_{23} x_{14} + x_{24} x_{14}}_5
 \end{aligned}$$

Show that the number of terms in the polynomial $P(x_{ij})$ is equal to the number of dissection of a convex $(n+1)$ -gon by some diagonals that don't intersect in their interior. Give a bijective proof!

38) Inversion of power series.

Let $f(x) = x + a_1 x^2 + a_2 x^3 + \dots + a_n x^{n+1} + \dots$

$g(x) = x + B_1 x + B_2 x^2 + B_3 x^3 + \dots + B_n x^n + \dots$

be two formal series, such that

$$f(g(x)) = x.$$

In this case the series $g(x)$ is called inverse series of $f(x)$.

Example $f(x) = \log(1+x) = x - \frac{x^2}{2} + \frac{x^3}{3} - \dots$

$$g(x) = e^x - 1 = x + \frac{x^2}{2} + \frac{x^3}{6} + \dots$$

$$\text{Clearly, } f(g(x)) = \log(e^x) = x.$$

For example, $x = f(g(x)) = g(x) + a_1 g(x)^2 + a_2 g(x)^3 + \dots$

$$= x + b_1 x^2 + b_2 x^3 + \dots + a_1 x^2 + 2a_1 b_1 x^3 + a_2 x^3 + \dots$$

$$\Rightarrow a_1 + b_1 = 0, \quad b_2 + a_2 + 2a_1 b_1 = 0 \Rightarrow b_1 = -a_1, \quad b_2 = \frac{2a_1 b_1}{-a_2}$$

It is clear that B_n is a polynomial in a_1, \dots, a_n with integer coefficients.

Theorem

$$B_n(a_1, \dots, a_n) =$$

$$= \sum_{\substack{p_1, \dots, p_n \geq 0 \\ \sum p_i = n}} (-1)^{\sum p_i} \frac{1}{n!} \binom{n + \sum p_i}{n, p_1, \dots, p_n} a_1^{p_1} a_2^{p_2} \dots a_n^{p_n}$$

where $\sum i p_i = n$ $\binom{N}{k_1, \dots, k_n} = \frac{N!}{k_1! \dots k_n!}$ if $k_1 + \dots + k_n = N$.

Examples

Take $n=3$. Possibilities

$$\begin{cases}
 p_1=3 \\
 p_1=1 \\
 p_2=1 \\
 p_3=1
 \end{cases}$$

and $B_3(a_1, a_2, a_3) =$

$$= -5a_1^3 + 5a_1a_2 - a_3.$$

Now take $p_1=n, p_2=\dots=0$, then

$$B_n(a_1, \dots, a_n) = \frac{1}{n+1} \binom{2n}{n} a_1^n = C_n a_1^n.$$

Theorem.

$$\textcircled{1} B_n(-t, \dots, -t) = \sum_{d=0}^{n-1} S_n(d) t^{n-d},$$

therefore $B_n(-1, \dots, -1) = \#$ Dissections of a convex $(n+2)$ -gon

$$\textcircled{2} B_n\left(1, \frac{1}{2!}, \frac{1}{3!}, \dots, \frac{1}{n!}\right) = (n+1)^{n-1}.$$

$(-1)^{n-1} n!$

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to
CATALANIA!