

# Local reasoning for robust observational equivalence

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(U. Birmingham)

Logic and Semantics Seminar  
(Computer Laboratory, Cambridge), 12 September 2019  
~~SYCO V & STRINGS III (Birmingham), 6 September 2019~~

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MRG meeting (Imperial, London), 19 September 2019

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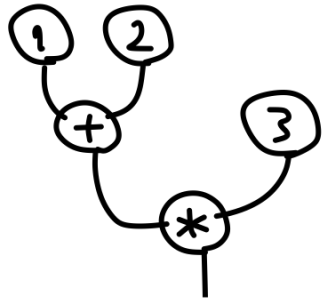
~~SYCO V & STRINGS III (Birmingham), 6 September 2019~~

# PART I

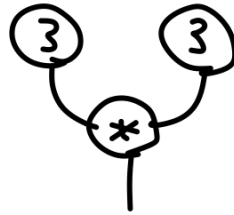
Diagrammatic modelling of  
program execution

# 2D representation of programs

$$(1 + 2) * 3$$



$$3 * 3$$



$$9$$



expected axioms

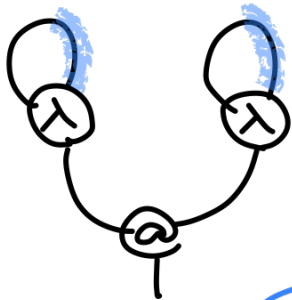


# 2D representation of programs

$(\lambda x.x) (\lambda y.y)$

$\lambda y.y$

$=_{\alpha} (\lambda z.z) (\lambda z.z)$



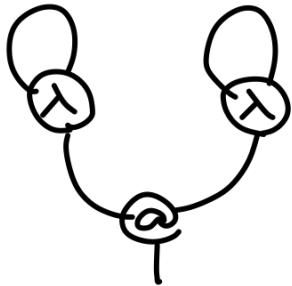
variables  
as wires

# 2D representation of programs

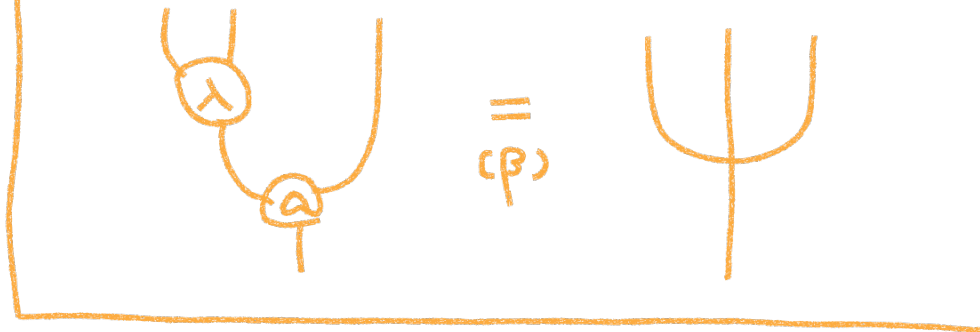
$(\lambda x.x) (\lambda y.y)$

$\lambda y.y$

$=_{\alpha} (\lambda z.z) (\lambda z.z)$



expected axiom



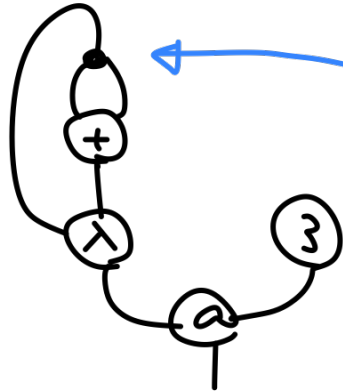
# 2D representation of programs

$(\lambda x. x + x) 3$

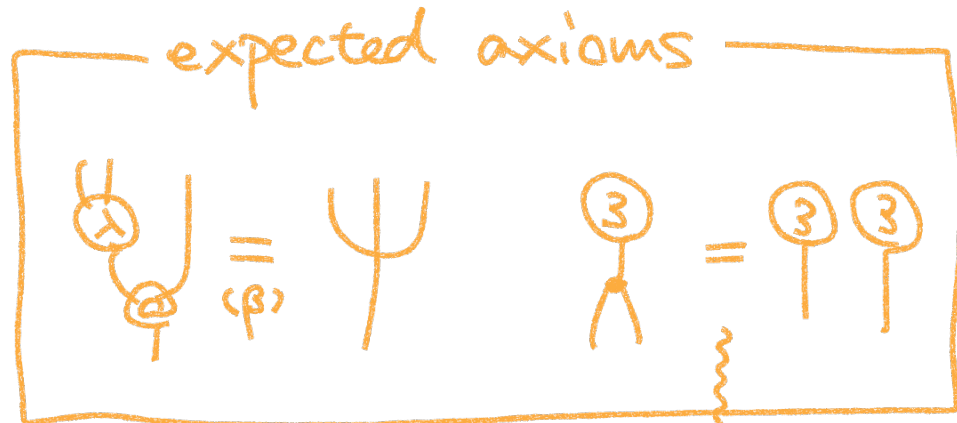
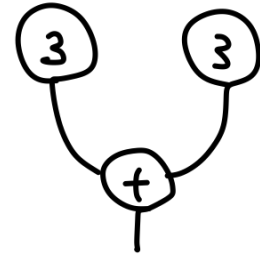
let  $x = 3$  in

$3 + 3$

$x + x$



multiple occurrences of a variable



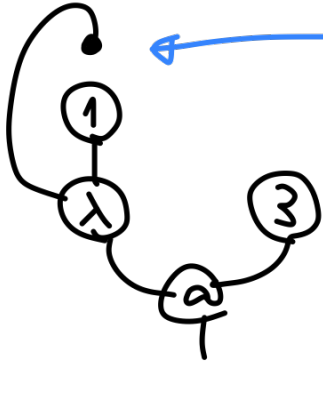
for copying

# 2D representation of programs

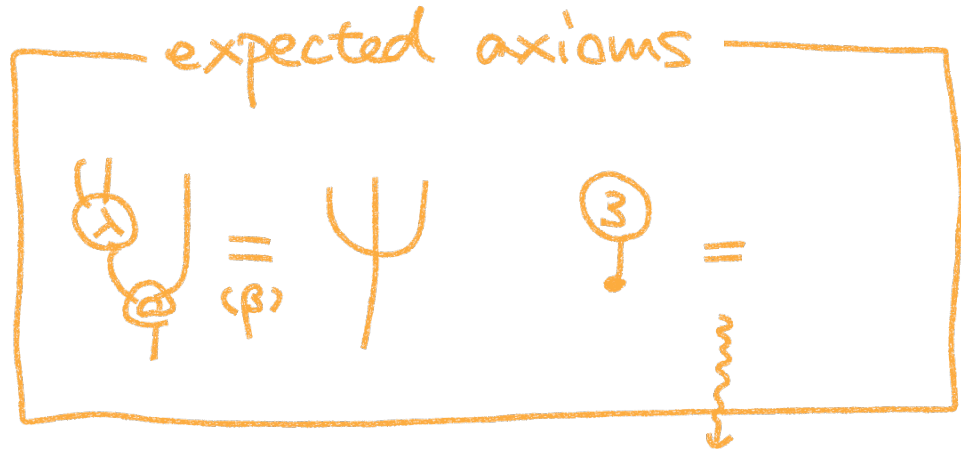
$(\lambda x. 1) 3$

let  $x = 3$  in 1

1



zero  
occurrence  
of a variable



↓ for discarding



# 2D representation of programs

new a = 1 in !a

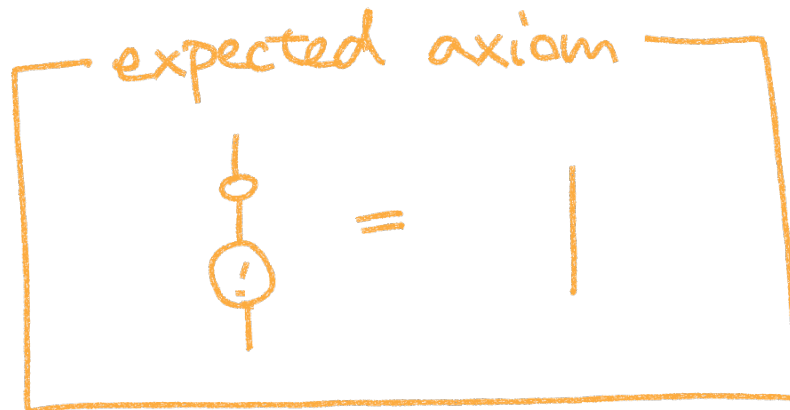
1

reference / location  
creation

dereference  
/ read



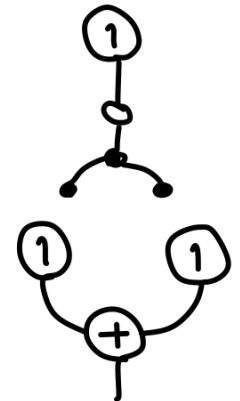
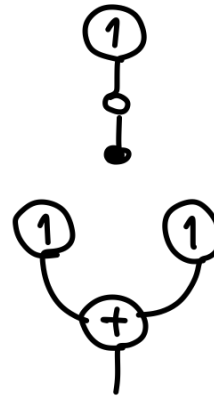
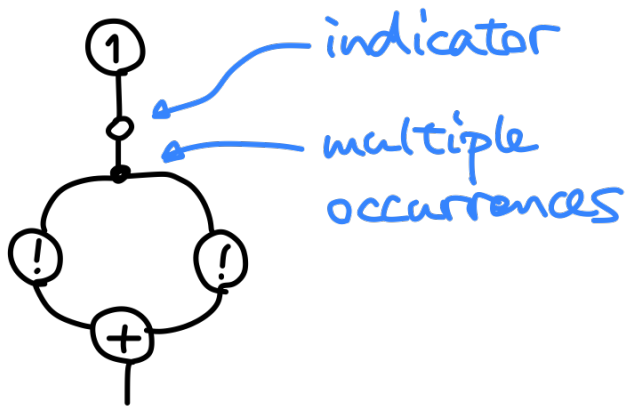
← reference / location  
indicator



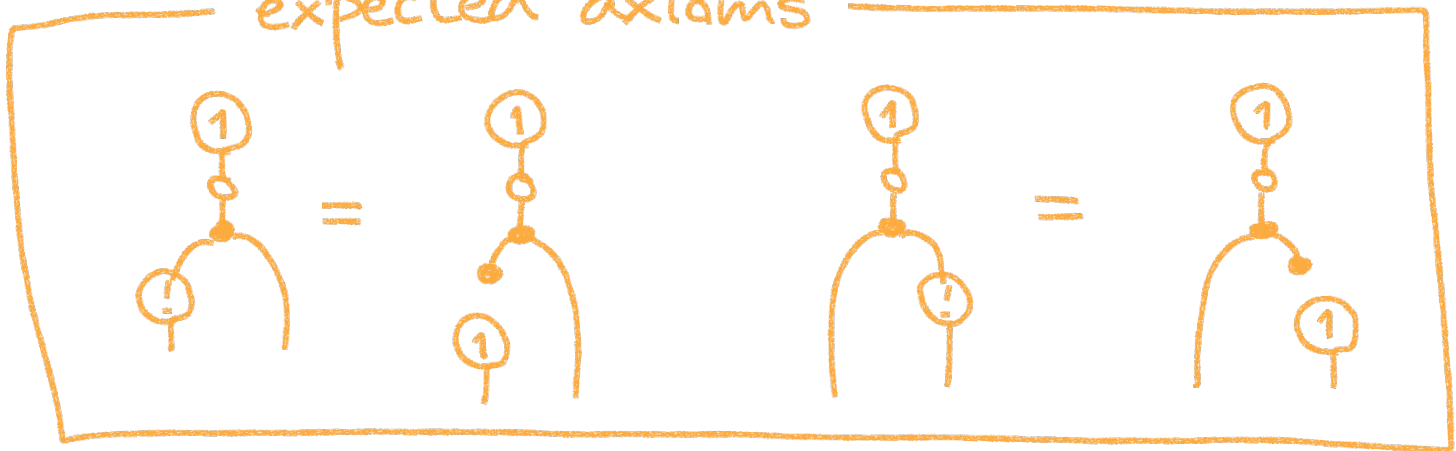
# 2D representation of programs

new  $a = 1$  in  $!a + !a$

new  $a = 1$  in  $1 + 1$

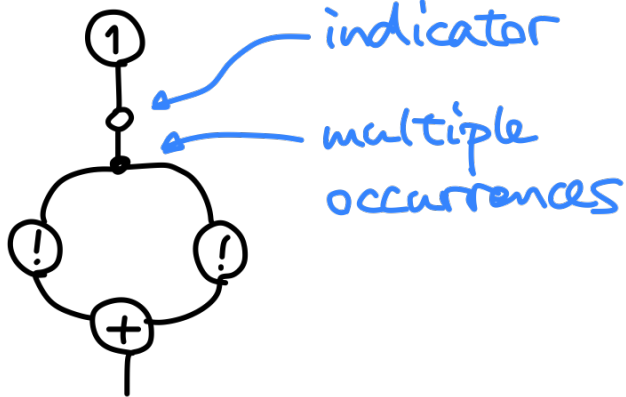


expected axioms

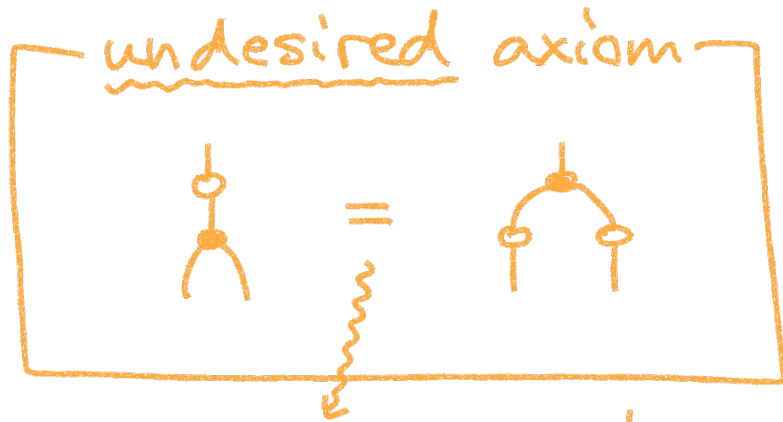
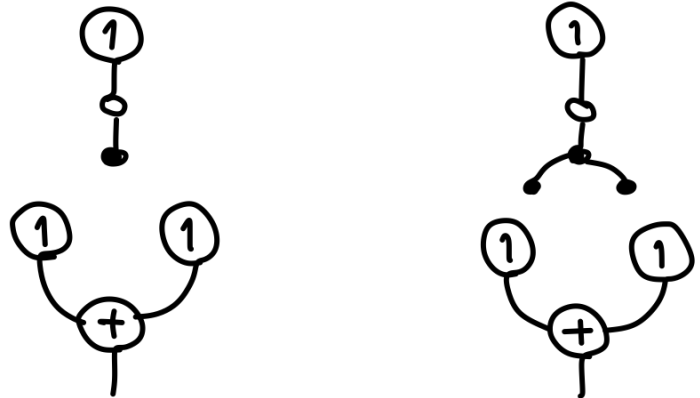




# 2D representation of programs

new  $a = 1$  in  $!a + !a$



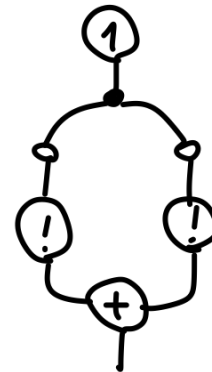
new  $a = 1$  in  $1 + 1$



location indicator   
 blocks copying 

let  $x = 1$  in

$(\text{new } a = x \text{ in } !a) + (\text{new } a = x \text{ in } !a)$




# 2D representation of programs

- name-free ( $\alpha$ -equivalence built in)

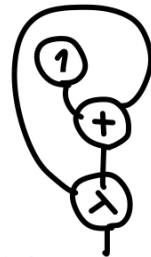
$\lambda x.x$   
 $=_{\alpha} \lambda y.y$



- more refined & less structured  
than 1D syntax

diagrams with  
no term counterpart  
e.g. 

$\lambda x. 1+x$



let  $w=1$  in  $\lambda x. w+x$



desired feature of a diagrammatic language

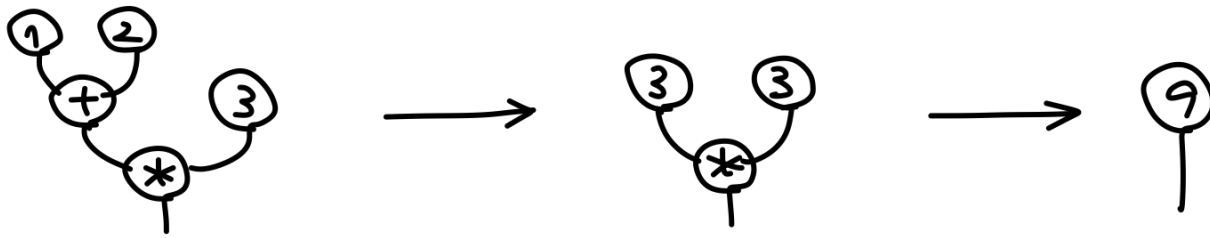
- copying vs. sharing



# 2D modelling of program execution

modelling dynamic (operational) behaviour  
with strategical diagram-rewriting

$$(1 + 2) * 3 \longrightarrow 3 * 3 \longrightarrow 9$$



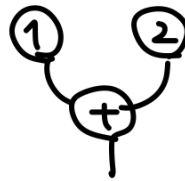
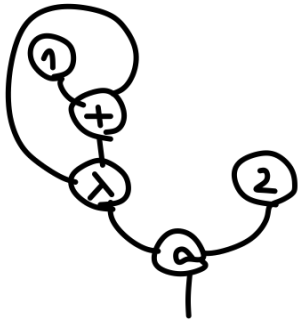
rewrite rules



# 2D modelling of program execution

modelling dynamic (operational) behaviour  
with strategical diagram-rewriting

$$(\lambda x. 1 + x) 2 \longrightarrow 1 + 2 \longrightarrow 3$$



rewrite rules

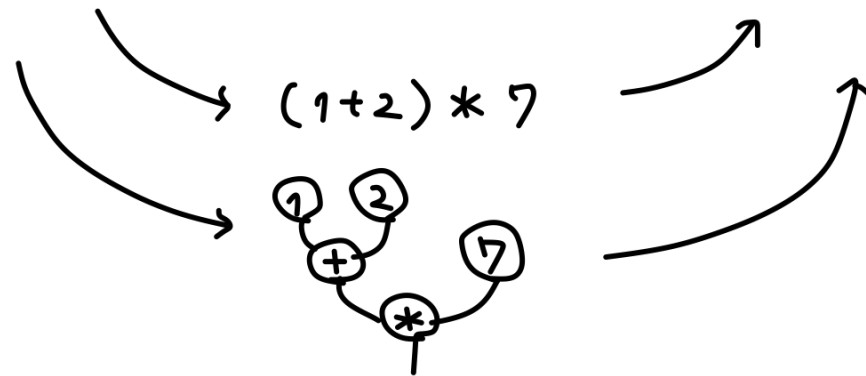
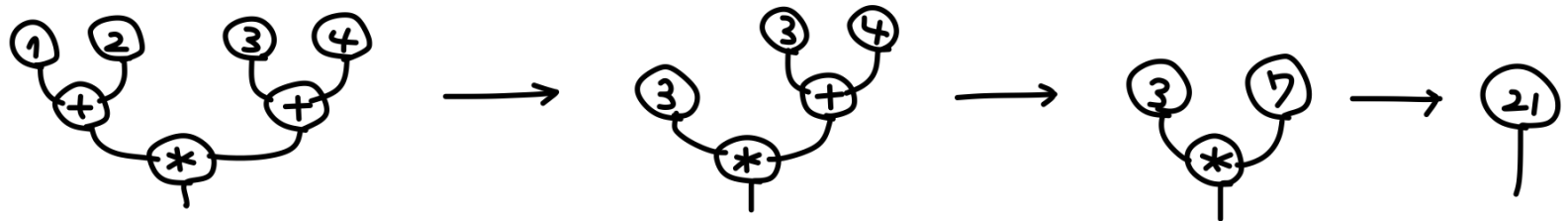


# 2D modelling of program execution

modelling dynamic (operational) behaviour  
with strategical diagram-rewriting

▷ strategy of redex search

$$(1+2) * (3+4) \longrightarrow 3 * (3+4) \longrightarrow 3 * 7 \longrightarrow 21$$

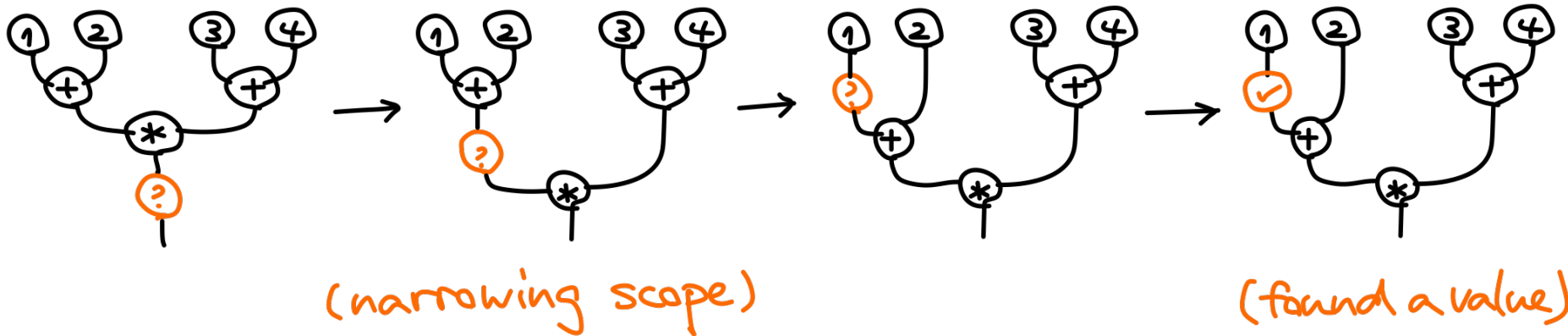


# 2D modelling of program execution

modelling dynamic (operational) behaviour  
with strategical diagram-rewriting

▷ strategy of redex search **specified by taken**

$$(1+2) * (3+4) \longrightarrow 3 * (3+4) \longrightarrow 3 * 7 \longrightarrow 21$$



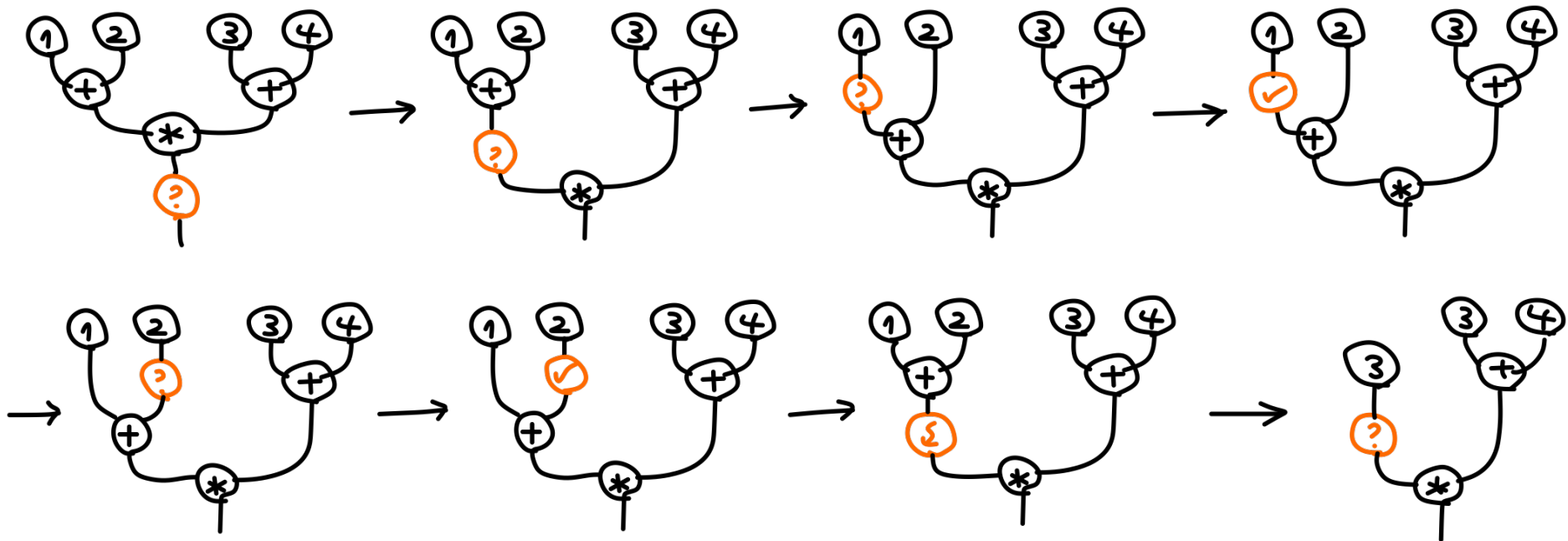


# 2D modelling of program execution

modelling dynamic (operational) behaviour  
with strategical diagram-rewriting

▷ strategy of redex search **specified by taken**

$$(1+2) * (3+4) \longrightarrow 3 * (3+4) \longrightarrow 3 * 7 \longrightarrow 21$$



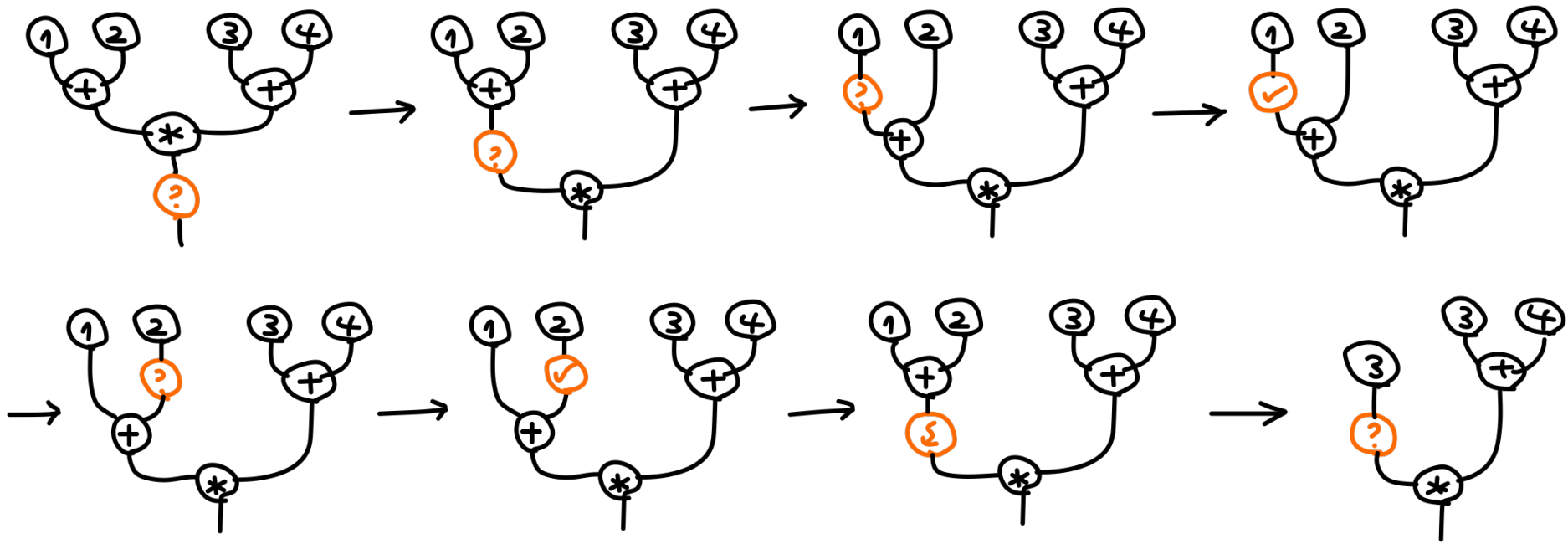
(found a redex)

# 2D modelling of program execution

modelling dynamic (operational) behaviour  
with strategical diagram-rewriting

▷ strategy of redex search specified by taken

redex search is also rewriting



(found a redex)

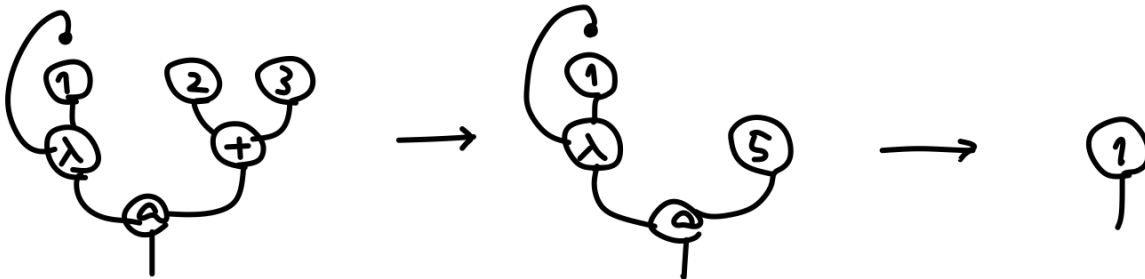
## 2D modelling of program execution

modelling dynamic (operational) behaviour  
with strategical diagram-rewriting

▷ strategy of redex search specified by taken

redex search is also rewriting

$(\lambda x. 1) (2+3) \rightarrow (\lambda x. 1) 5 \rightarrow 1$



(call-by-value)

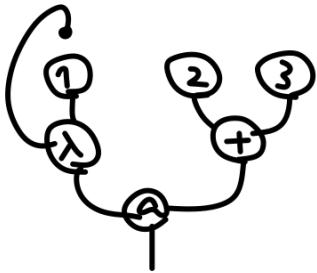
## 2D modelling of program execution

modelling dynamic (operational) behaviour  
with strategical diagram-rewriting

▷ strategy of redex search specified by taken

redex search is also rewriting

$(\lambda x. 1) (2+3) \longrightarrow 1$



$\longrightarrow$   $\textcircled{1}$

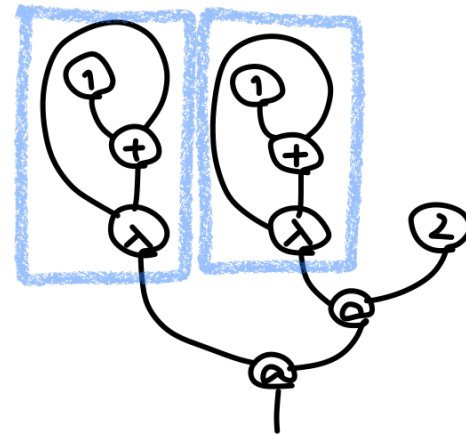
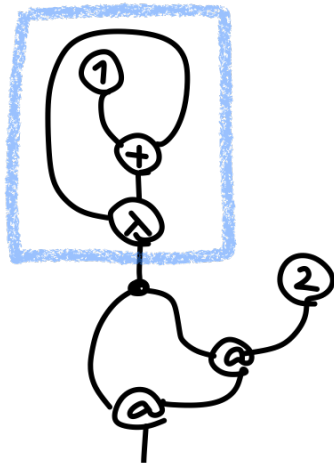
(call-by-name)

# 2D modelling of program execution

modelling dynamic (operational) behaviour  
with strategical diagram-rewriting

▷ strategy of duplication

let  $u = \lambda x. 1+x$  in  $u(u\ 2) \longrightarrow (\lambda x. 1+x) ((\lambda x. 1+x) 2)$

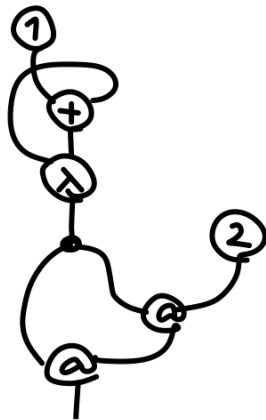


## 2D modelling of program execution

modelling dynamic (operational) behaviour  
with strategical diagram-rewriting

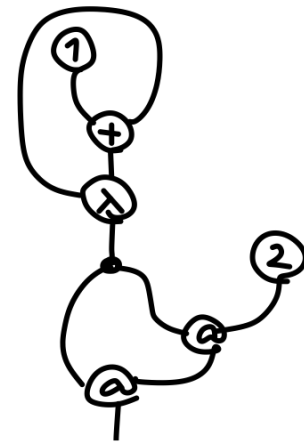
▷ strategy of duplication

let  $u = (\text{let } w = 1 \text{ in } \lambda x. w + x) \text{ in } u \text{ (} u \text{ 2)}$



cf.

let  $u = \lambda x. 1 + x \text{ in } u \text{ (} u \text{ 2)}$

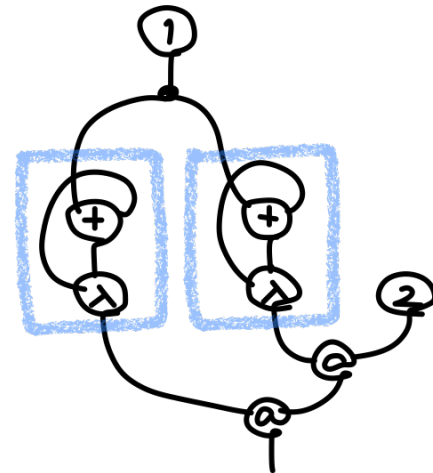
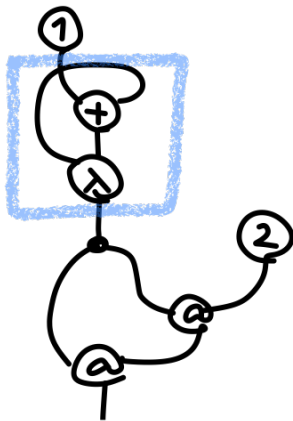


# 2D modelling of program execution

modelling dynamic (operational) behaviour  
with strategical diagram-rewriting

▷ strategy of duplication

let  $u = (\text{let } w = 1 \text{ in } \lambda x. w + x) \text{ in } u \text{ (} u \text{ 2)}$



let  $w = 1 \text{ in}$

let  $u = \lambda x. w + x \text{ in } u \text{ (} u \text{ 2)}$



let  $w = 1 \text{ in}$

$(\lambda x. w + x) ((\lambda x. w + x) \text{ 2})$

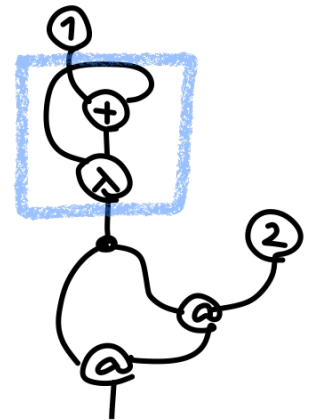
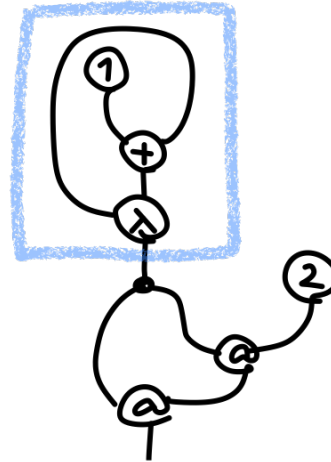
# 2D modelling of program execution

modelling dynamic (operational) behaviour  
with strategical diagram-rewriting

▷ strategy of duplication  
specified by unit blocks of duplication

equip diagrams with  
a block / box structure

(graph-theoretically :  
nodes labelled with  
a graph



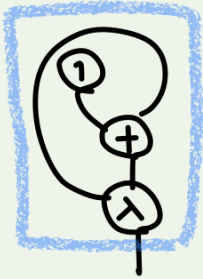


# 2D modelling of program execution

modelling dynamic (operational) behaviour  
with strategical diagram-rewriting

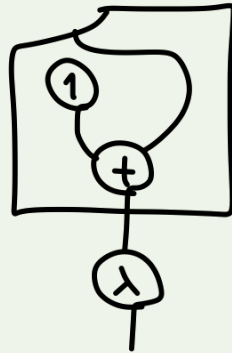
▷ strategy of duplication  
specified by unit blocks of deferral

unit of duplication



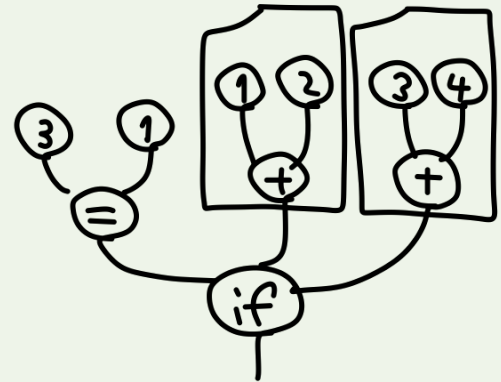
refinement

$\lambda x. 1 + x$



unit of deferral

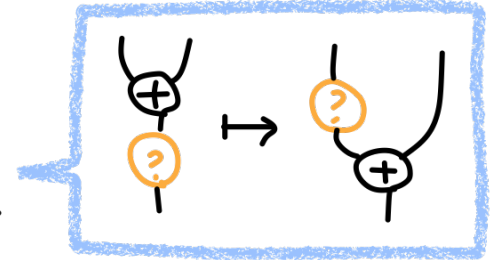
if  $3 = 1$  then  $1 + 2$  else  $3 + 4$



## 2D modelling of program execution

modelling dynamic (operational) behaviour  
with strategical diagram-rewriting

▷ strategy of redex search:  
specified by rewriting with token



▷ strategy of duplication:  
specified by unit blocks of duplication / deferral

desired feature of a diagrammatic language

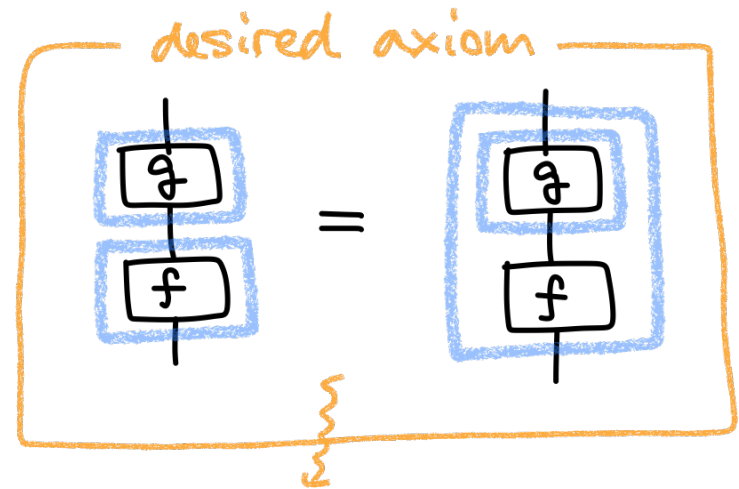
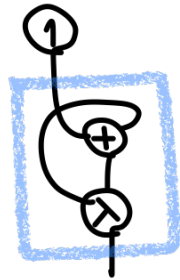
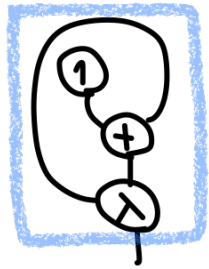
- block/box structure

# 2D modelling of program execution

modelling dynamic (operational) behaviour  
with strategical diagram-rewriting

desired feature of a diagrammatic language

- block/box structure



 not a functorial box  
[Mellies]

## 2D modelling of program execution

modelling dynamic (operational) behaviour  
with strategical diagram-rewriting

... but, modelling for what?

an answer: proving that two program fragments  
have the same behaviour

observational  
equivalence

## PART II

Local reasoning for  
robust observational equivalence

# Proving observational equivalence

exercise prove that 'new a = 1 in  $\lambda x. !a$ ' and ' $\lambda x. 1$ ' have the same (dynamic) behaviour in any possible programs

## trial with terms

let  $u = (\text{new } a = 1 \text{ in } \lambda x. !a)$  in  $(u \ 0) + (u \ 0)$

$\rightarrow$  new a = 1 in  $(\lambda x. !a \ 0) + (\lambda x. !a \ 0)$

let  $u = \lambda x. 1$  in  $(u \ 0) + (u \ 0)$

$\rightarrow$   $(\lambda x. 1 \ 0) + (\lambda x. 1 \ 0)$

tracing  
non sub-terms

# Proving observational equivalence

exercise prove that 'new a = 1 in  $\lambda x. !a$ ' and ' $\lambda x. 1$ ' have the same (dynamic) behaviour in any possible programs

## trial with diagrams

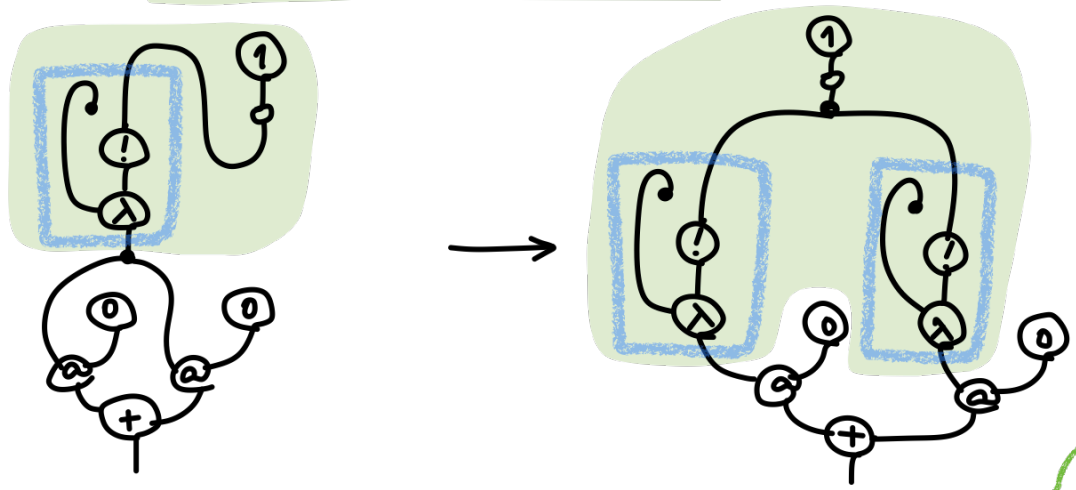
let  $u = (\text{new } a = 1 \text{ in } \lambda x. !a)$  in  $(u \ 0) + (u \ 0)$

let  $u = \lambda x. 1$  in  $(u \ 0) + (u \ 0)$

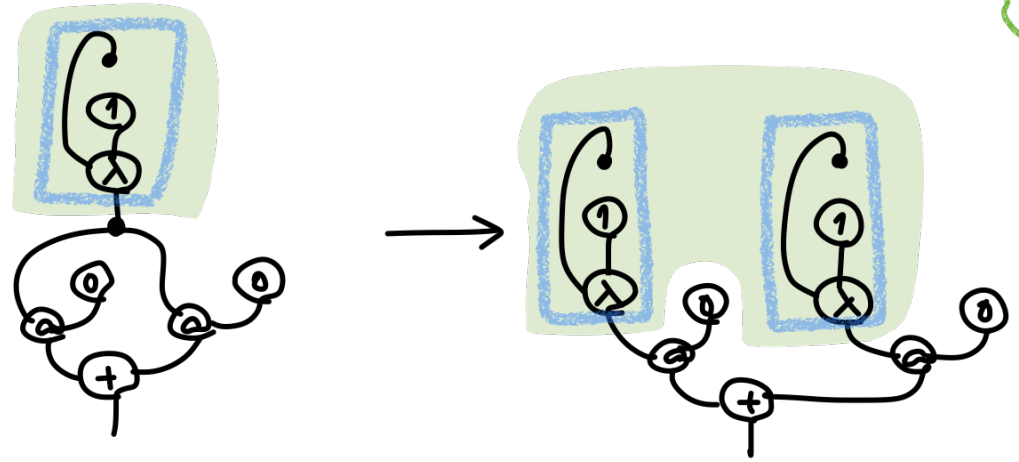
# Proving observational equivalence

## trial with diagrams

let  $u = (\text{new } a = 1 \text{ in } \lambda x. !a)$  in  $(u \ 0) + (u \ 0)$



let  $u = \lambda x. 1$  in  $(u \ 0) + (u \ 0)$



tracing  
sub-diagrams



modelling dynamic (operational) behaviour  
with strategical diagram-rewriting



proving observational equivalence

### observations

- ▷ proof possible by tracing sub-diagrams
- ▷ apparent generality of the proof methodology

modelling dynamic (operational) behaviour  
with strategical diagram-rewriting



proving observational equivalence

### observations

- ▷ proof possible by tracing sub-diagrams
- ▷ apparent generality of the proof methodology

case study: deterministic & sequential  
computation

SPARTAN, the target calculus

should accommodate  
as much language features as possible  
in a uniform way



as extrinsics!

# SPARTAN, the target calculus

programming

= copying

+ sharing

+ thunking

+ algebra

$t ::=$

$| x |$  bind  $x \rightarrow u$  in  $t$

$| a |$  new  $a \rightarrow u$  in  $t$

$| x. t$

$| \varphi(\vec{t}; \vec{t})$

# SPARTAN, the target calculus

programming

= copying

+ sharing

+ thunking

+ algebra

$t ::=$

$| x |$  bind  $x \rightarrow u$  in  $t$

variables

referenced computation

$| a |$  new  $a \rightarrow u$  in  $t$

names/atoms/  
locations

stored computation

$| x. t$

$| \varphi(\vec{t}; \vec{t})$

# SPARTAN, the target calculus

programming

= copying

+ sharing

+ thunking

+ algebra

$t ::=$

$| x |$  bind  $x \rightarrow u$  in  $t$

$| a |$  new  $a \rightarrow u$  in  $t$

$| x. t$

deferred computation  
with a bound variable

$| \varphi(\vec{t}; \vec{t})$

# SPARTAN, the target calculus

programming  
= copying  
+ sharing  
+ thunking  
+ algebra

$t ::=$

$| x |$  bind  $x \rightarrow u$  in  $t$

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$| x. t$

extrinsic operation  
with eager arguments  
& deferred arguments

$| \varphi(\vec{t}; \vec{t})$

# SPARTAN, the target calculus

programming

= copying

+ sharing

+ thunking

+ algebra

$0(-;-), 1(-;-), \dots$

PLUS( $t, u; -$ )

IF( $t; u_1, u_2$ )

LAMBDA( $-; x.t$ )

APP( $t, u; -$ )

LOOKUP( $t; x.u$ )

DEREF( $t$ )

ASSIGN( $t, u$ )

examples

extrinsic operation  
with eager arguments  
& deferred arguments

$\mid \varphi(\vec{t}; \vec{t})$



# SPARTAN, the target calculus

programming  
= copying  
+ sharing  
+ thunking  
+ algebra

$t ::=$

$| x |$  bind  $x \rightarrow u$  in  $t$

$| a |$  new  $a \rightarrow u$  in  $t$

$| x. t$

extrinsic operation  
with eager arguments  
& deferred arguments

$| \varphi(\vec{t}; \vec{t})$

Proving observational / contextual equivalence



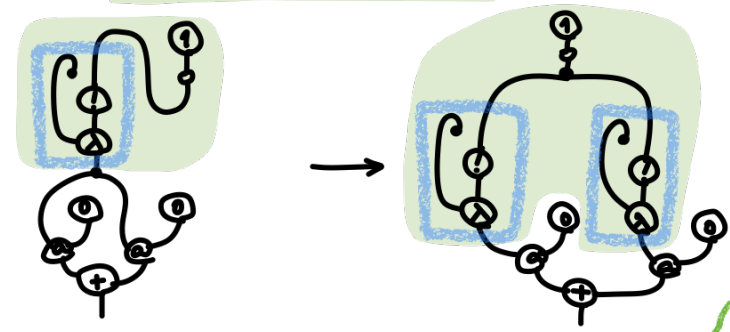
# Proving observational / contextual equivalence

recall...

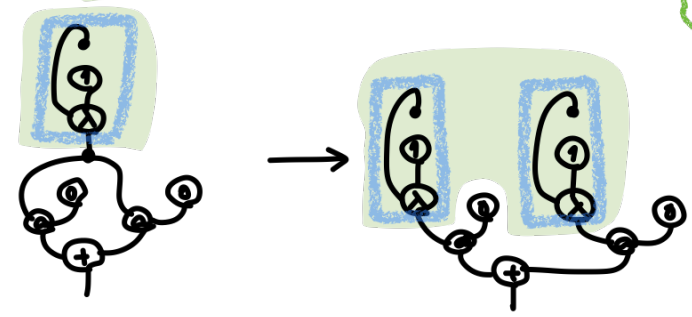
exercise prove that 'new a = 1 in  $\lambda x. !a$ ' and ' $\lambda x. 1$ ' have the same (dynamic) behaviour in any possible programs

trial with diagrams

let  $u = (\text{new } a = 1 \text{ in } \lambda x. !a)$  in  $(u\ 0) + (u\ 0)$



let  $u = \lambda x. 1$  in  $(u\ 0) + (u\ 0)$



tracing sub-diagrams

# Proving observational / contextual equivalence

goal prove (generalised) contextual refinement  $G \leq_{\mathcal{C}}^{\varepsilon} H$   
on diagrams  $G, H$

a class of  
(diagrammatic) contexts

$$G \leq_{\mathcal{C}}^{\varepsilon} H \iff \forall C \in \mathcal{C}. \forall k \in \mathbb{N}.$$

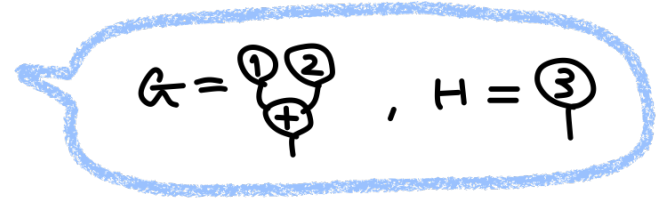
$$C[G] \Downarrow_k \Rightarrow \left( \begin{array}{l} \exists l \in \mathbb{N}. \\ C[H] \Downarrow_l \wedge k \leq l \end{array} \right)$$

a preorder on nat. numbers

$\mathbb{N} \times \mathbb{N}, =, \geq, \dots$

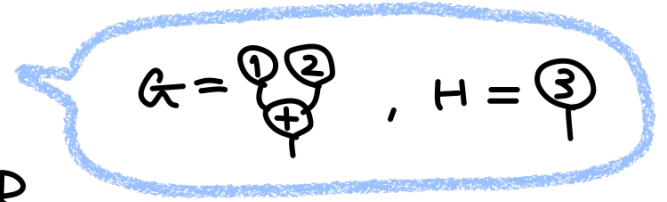
# Proving observational / contextual equivalence

goal prove (generalised) contextual refinement  $G \stackrel{\varepsilon}{\leq} H$   
on diagrams  $G, H$

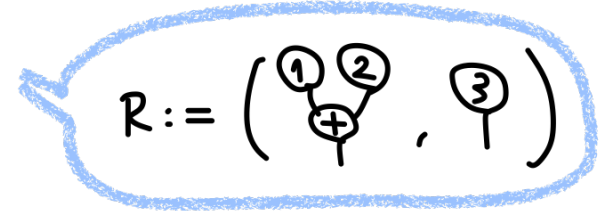


# Proving observational / contextual equivalence

goal prove (generalised) contextual refinement  $G \leq_{\mathcal{Q}}^{\varepsilon} H$   
on diagrams  $G, H$

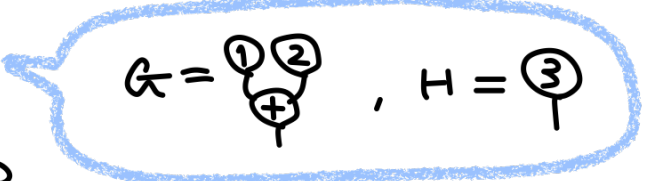


step 1 construct a binary relation  $R$   
on diagrams, s.t.  $G R H$

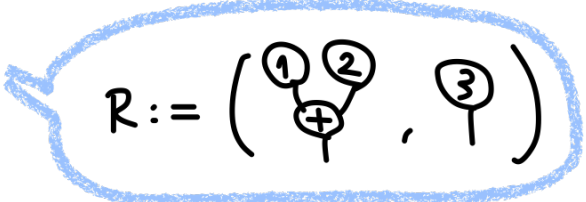


# Proving observational / contextual equivalence

goal prove (generalised) contextual refinement  $G \leq_{\mathcal{C}}^e H$   
 on diagrams  $G, H$

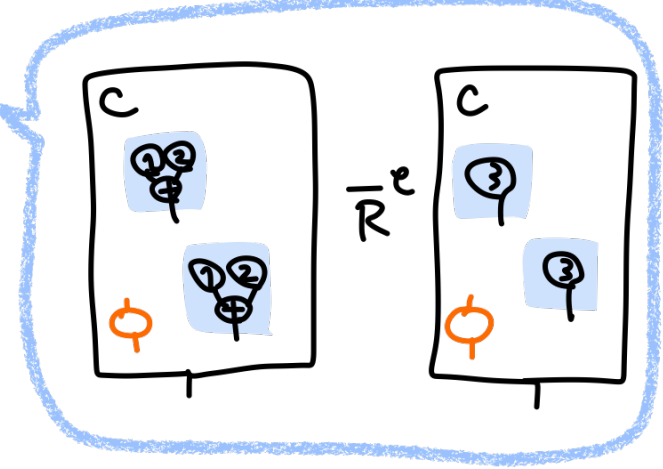
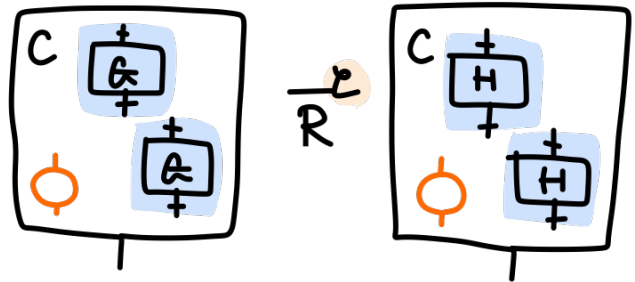


step 1 construct a binary relation  $R$   
 on diagrams, s.t.  $G R H$



step 2 take the contextual & focussed  
 closure  $\bar{R}^e$  of  $R$ ,

namely:  $\frac{G R H \quad C \in \mathcal{C}}{\quad}$

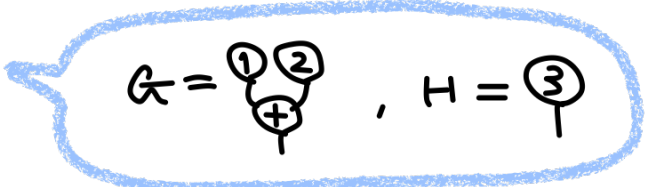


where  $\phi \in \{?, \checkmark, \ominus\}$

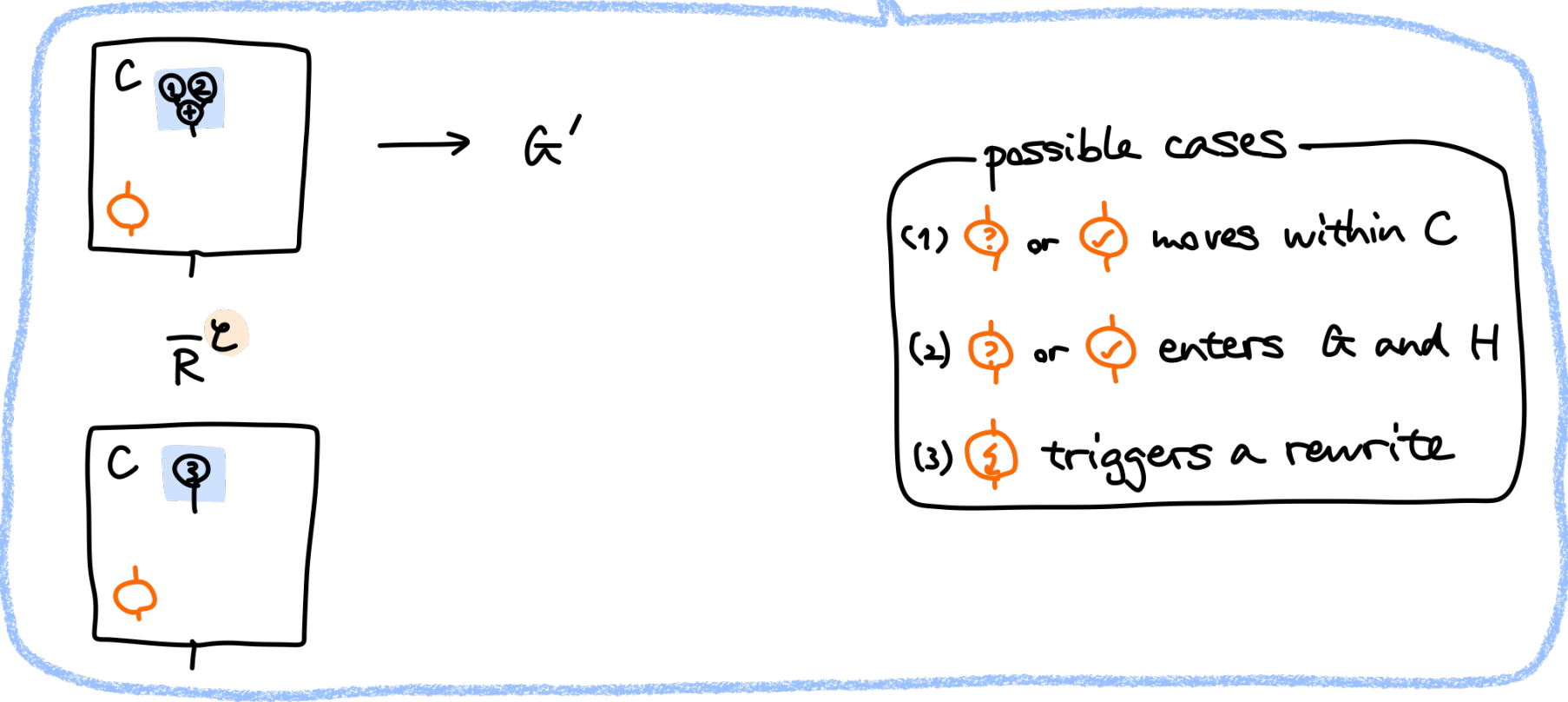


# Proving observational / contextual equivalence

goal prove (generalised) contextual refinement  $G \stackrel{\epsilon}{\leq}_Q H$   
on diagrams  $G, H$



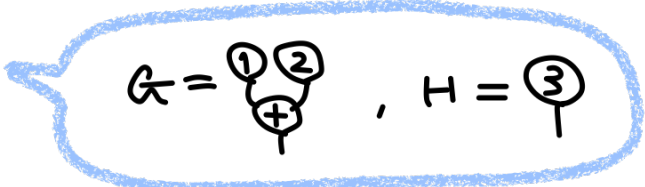
step 3 prove that  $\bar{R}^\epsilon$  is a "Q-weak" simulation



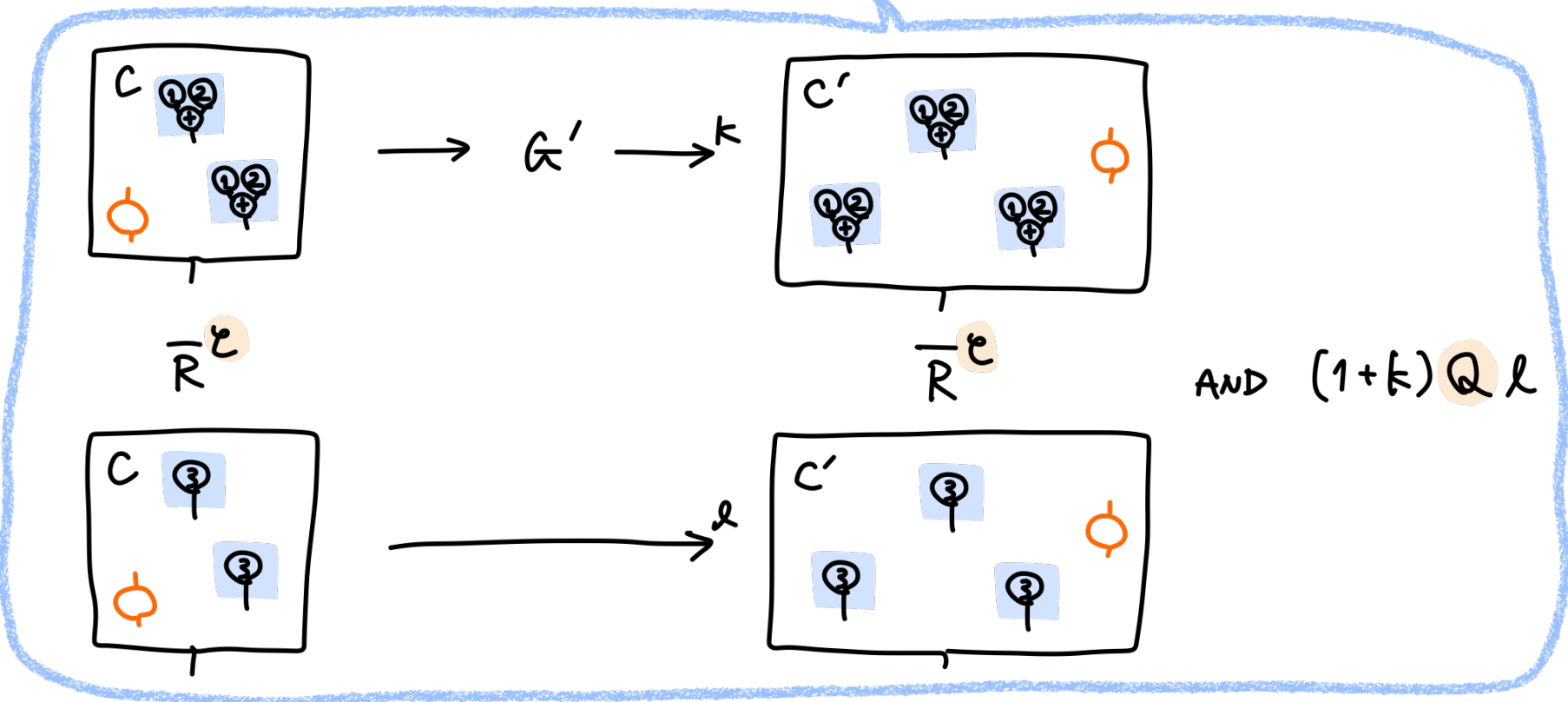
- possible cases
- (1)  $\text{?}$  or  $\text{✓}$  moves within C
  - (2)  $\text{?}$  or  $\text{✓}$  enters G and H
  - (3)  $\text{✓}$  triggers a rewrite

# Proving observational / contextual equivalence

goal prove (generalised) contextual refinement  $G \leq_Q^c H$   
 on diagrams  $G, H$

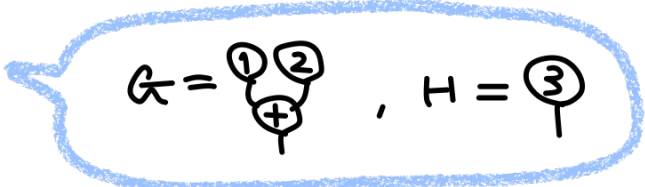


step 3 prove that  $\bar{R}^c$  is a "Q-weak" simulation

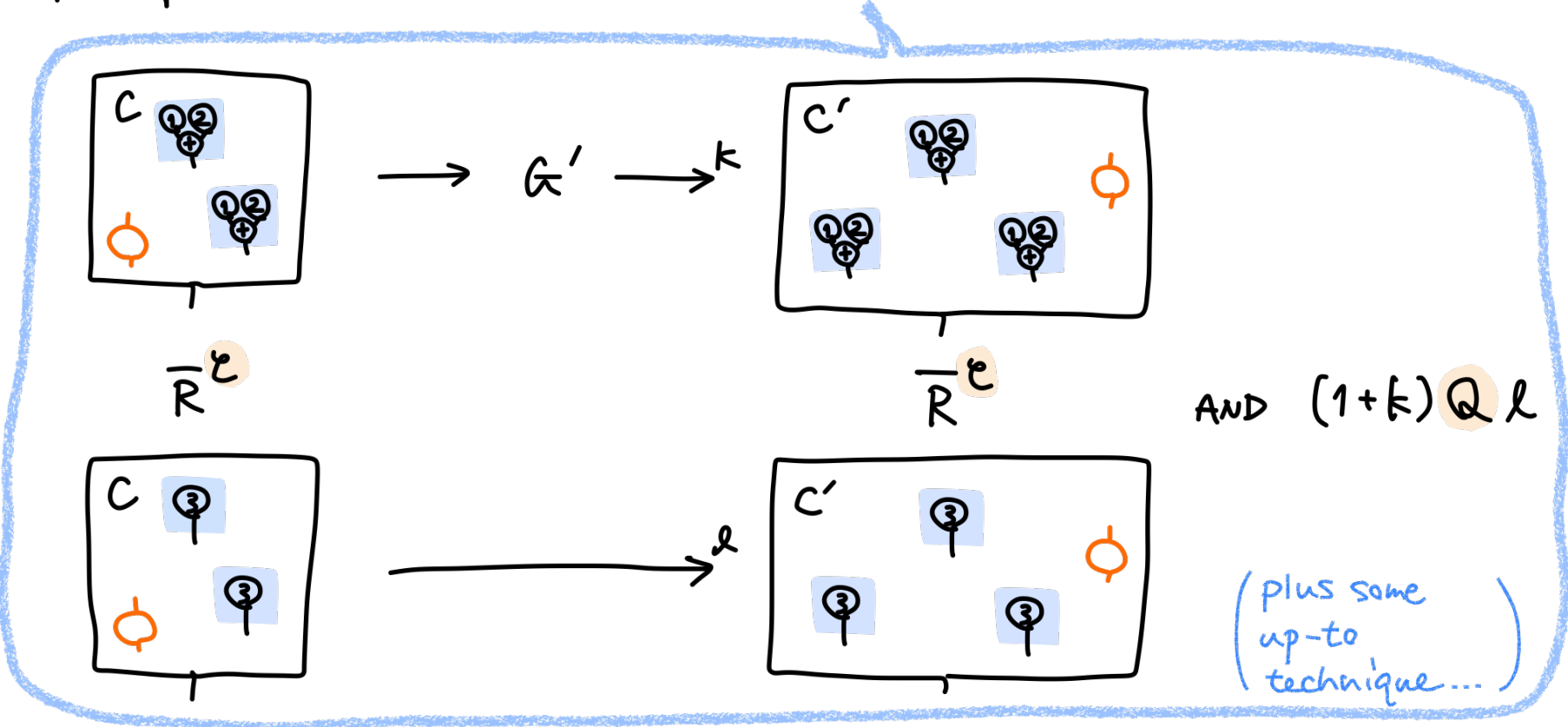


# Proving observational / contextual equivalence

goal prove (generalised) contextual refinement  $G \leq_Q^c H$   
 on diagrams  $G, H$

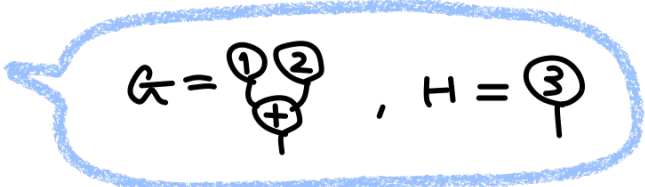


step 3 prove that  $\bar{R}^c$  is a "Q-weak" simulation



# Proving observational / contextual equivalence

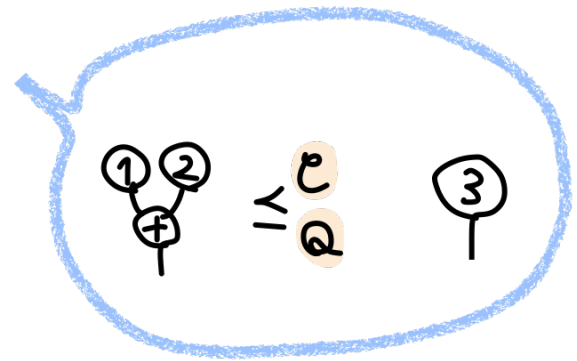
goal prove (generalised) contextual refinement  $G \leq_Q^c H$   
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step 3 prove that  $\bar{R}^c$  is a "Q-weak" simulation

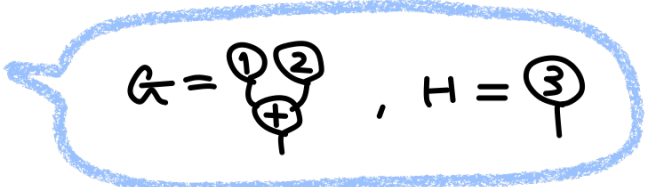
## Theorem

$\bar{R}^c$  is a "Q-weak" simulation  
 $\Rightarrow R$  implies  $\leq_Q^c$ .



# Proving observational / contextual equivalence

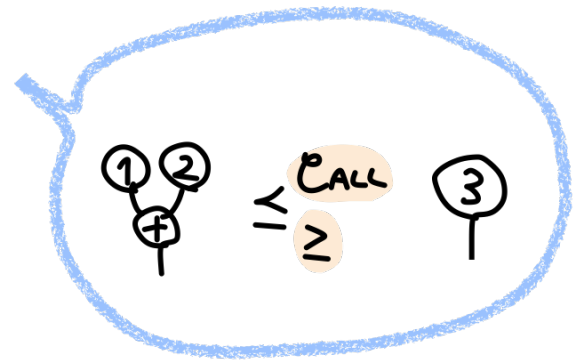
goal prove (generalised) contextual refinement  $G \leq_Q^c H$   
on diagrams  $G, H$



step 3 prove that  $\bar{R}^c$  is a "Q-weak" simulation

## Theorem

$\bar{R}^c$  is a "Q-weak" simulation  
 $\Rightarrow R$  implies  $\leq_Q^c$ .



modelling dynamic (operational) behaviour  
with strategical diagram-rewriting

↓  
proving observational equivalence

(generalised)  
contextual  
equivalence

## observations

- ▷ proof possible by tracing sub-diagrams
- ▷ apparent generality of the proof methodology

case study: deterministic & sequential  
computation

SPARTAN  
calculus

modelling dynamic (operational) behaviour  
with strategical diagram-rewriting



proving observational equivalence

### observations

- ▷ proof possible by tracing sub-diagrams
- ▷ apparent generality of the proof methodology
  - ⇒ analysis of robustness of observational equivalences?

# Materials

working draft

<https://arxiv.org/abs/1907.01257>

on-line visualiser of diagrammatic execution

<https://tnttodda.github.io/Spartan-Visualiser/>