

KEY ASSERTIONS AND BACKWARD SUBSTITUTIONS. S.TAKASU (RIMS )

Definition: Let  $T$  be a mathematical theory and  $M$  a model of  $T$ . We assume that a correspondence between  $m \in M$  and a term  $\bar{m}$  in  $T$  is given (for example natural number  $m$  and numeral  $\bar{m} = 0^{(m)}$  where  $0^{(m)}$  is the result of  $m$  fold applications of successor function to  $0$ ). A function  $f(x_1, \dots, x_n)$  defined within  $M$  is said to be representable <sup>[8.1]</sup> in  $T$  if there exists a well-formed formula  $\Gamma(x_1, \dots, x_n, x_{n+1})$  with  $n+1$  free variables such that for any  $(m_1, \dots, m_n) \in M^n$ ,

- (1) if  $m_{n+1} = f(m_1, \dots, m_n)$  then  $\vdash_T \Gamma(\bar{m}_1, \dots, \bar{m}_{n+1})$ , and
- (2)  $\vdash_T (\exists! x_{n+1}) \Gamma(x_1, \dots, x_{n+1})$ .

Example . We consider the flowchart of Fig.2.3 which computes  $\text{gcd}(m,n)$ . To this flowchart we attach the formulas:

$$\begin{aligned} \varphi(m,n) &\equiv m > 0 \wedge n > 0, \\ p(m,n,c,d,r) &\equiv c = m \wedge d = n \wedge \varphi(m,n) \\ \psi(m,n,d) &\equiv d = \text{gcd}(m,n) \end{aligned}$$

and the predicate  $q(m,n,c,d,r)$  is to be determined. ( $s(m,n,c,d,r)$  will be used when we consider  $EB_p$ .) We further parametrize the predicates  $p$  and  $q$  to the predicates  $P$  and  $Q$  respectively, introducing a control variable  $i$  which expresses the number of visits to the loop.

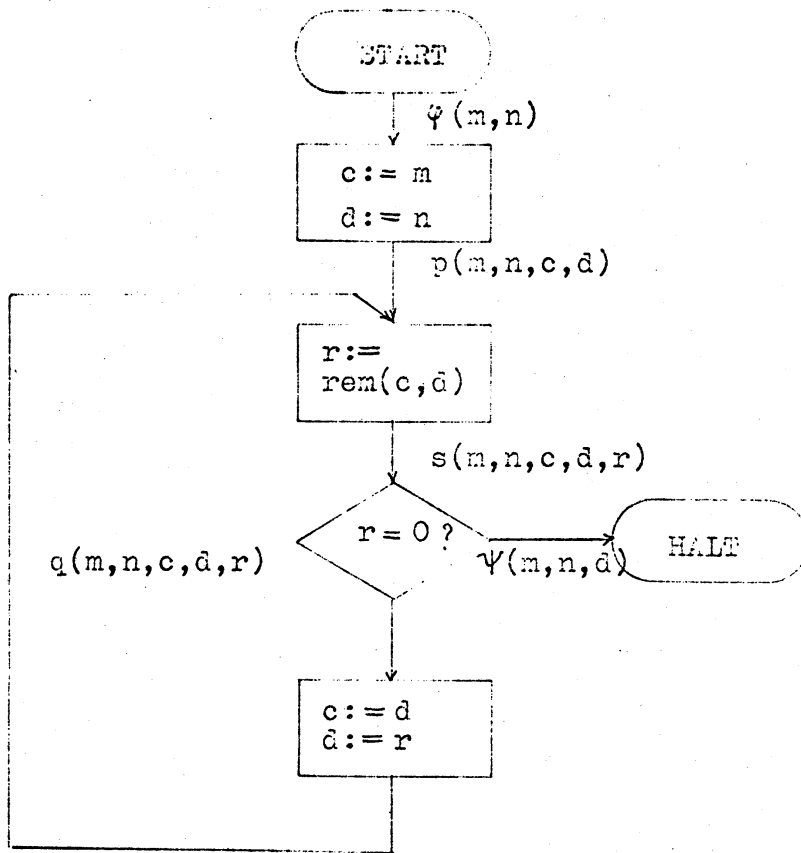


Fig.2.3. A flowchart to compute gcd(m,n)

We have the system  $EF_P$  as follows:

$$Q(x, y, 0) \equiv c = m \wedge d = n \wedge i = 0 \wedge \varphi(m, n) \quad ,$$

$$Q(x, y, \bar{i}) \equiv \exists c' \exists d' \exists r'. Q(x, c', d', r', \overline{i-1}) \wedge r = \text{rem}(c, d)$$

$$\wedge c = d' \wedge d = r \quad ,$$

$$(\exists r'. Q(m, n, c, d, r', \bar{i}) \wedge r = \text{rem}(c, d) \wedge r = 0) \supset d = \text{gcd}(m, n)$$

where  $x = (m, n)$  and  $y = (c, d, r)$ . For each numeral  $\bar{i}$ , we rewrite

$EF_P$  as follows:

$$Q(m, n, c^{(i)}, d^{(i)}, r^{(i)}, \bar{i})$$

$$\equiv \exists c^{(i-1)} \exists d^{(i-1)} \exists r^{(i-1)}. Q(m, n, c^{(i-1)}, d^{(i-1)}, r^{(i-1)}, \overline{i-1})$$

$$\wedge r^{(i)} = \text{rem}(c^{(i-1)}, d^{(i-1)}) \wedge r^{(i)} \neq 0$$

$$\wedge c^{(i)} = d^{(i-1)} \wedge d^{(i)} = r^{(i)},$$

.....

$$\begin{aligned}
Q(m,n,c^{(1)},d^{(1)},r^{(1)},1) \\
\equiv \exists c^{(0)} \exists d^{(0)}. c^{(0)} = m \wedge d^{(0)} = n \wedge m > 0 \wedge n > 0 \\
\wedge r^{(1)} = \text{rem}(c^{(0)}, d^{(0)}) \wedge r^{(1)} \neq 0 \\
\wedge c^{(1)} = d^{(0)} \wedge d^{(1)} = r^{(1)}.
\end{aligned}$$

If we set

$$a^{(0)} = 0,$$

$$b^{(0)} = 1,$$

$$\underline{a}^{(0)} = 1,$$

$$\underline{b}^{(0)} = 0,$$

and

$$\begin{aligned}
a^{(i)} &= \underline{a}^{(i-1)} \text{-quo}(c^{(i-1)}, d^{(i-1)}) \times a^{(i-1)}, \\
b^{(i)} &= \underline{b}^{(i-1)} \text{-quo}(c^{(i-1)}, d^{(i-1)}) \times b^{(i-1)}, \\
\underline{a}^{(i)} &= \underline{a}^{(i-1)}, \\
\underline{b}^{(i)} &= \underline{b}^{(i-1)},
\end{aligned}$$

then there hold

$$c^{(j)} = \underline{a}^{(j)} m + \underline{b}^{(j)} n, \text{ and}$$

$$d^{(j)} = a^{(j)} m + b^{(j)} n, \text{ where } j = 0, 1, 2, \dots$$

Since  $a^{(j)}$ ,  $b^{(j)}$ ,  $\underline{a}^{(j)}$  and  $\underline{b}^{(j)}$  are recursively defined functions of  $m$ ,  $n$ , and  $j$ , they are representable in the elementary number theory so that we have

$$\begin{aligned}
Q(m,n,c,d,r,i) \equiv c = \underline{a}^{(i)} m + \underline{b}^{(i)} n \wedge d = a^{(i)} m + b^{(i)} n \\
\wedge r = d \wedge r \neq 0 \wedge m > 0 \wedge n > 0
\end{aligned}$$

where  $i$  is a proper variable of the predicate  $Q$ . Therefore we have

$$\begin{aligned}
q(m,n,c,d,r) \equiv \bigvee_{i=1}^{\infty} Q(m,n,c,d,r,i) \\
\equiv \exists i. i \geq 1 \wedge Q(m,n,c,d,r,i).
\end{aligned}$$

Now it is clear that the implication formula of  $EF_P(c)$  or  $EF_P(Q)$  holds if one knows the theorem

$$\begin{aligned}
\exists a \exists b \exists \underline{a} \exists \underline{b}. c = \underline{a}m + \underline{b}n \wedge d = am + bn \wedge r = 0 \\
\supset d = \text{gcd}(m,n).
\end{aligned}$$

We consider the system  $EB_p$  for the flowchart of Fig.2.3 where we use  $s(m,n,c,d,r)$  instead of  $a(m,n,c,d,r)$ :

$$\begin{aligned} p(m,n,c,d,r) &\supset s(m,n,c,d,\text{rem}(c,d)), \\ s(m,n,c,d,r) \wedge r \neq 0 &\equiv s(m,n,c,d,\text{rem}(c,d)), \\ s(m,n,c,d,r) \wedge r = 0 &\equiv \psi(m,n,d). \end{aligned}$$

First we have the followings by the process of substitution:

$$\begin{aligned} s(m,n,c,d,r) &\equiv s(m,n,c,d,r) \wedge r = 0 \vee s(m,n,c,d,r) \wedge r \neq 0, \\ &\equiv \psi(m,n,d) \wedge r = 0 \vee s(m,n,f(c,d,r),g(c,d,r),h(c,d,r)), \end{aligned}$$

$$\begin{aligned} &\dots\dots\dots \\ &\equiv \bigvee_{i=0}^k \left\{ \psi(m,n,f^{(i)}(c,d,r)) \wedge h^{(i)}(c,d,r) = 0 \right. \\ &\quad \left. \wedge \left( \bigwedge_{j=0}^{i-1} h^{(j)}(c,d,r) \neq 0 \right) \right\} \\ &\quad \vee \left\{ s(m,n,f^{(k+1)}(c,d,r),g^{(k+1)}(c,d,r),h^{(k+1)}(c,d,r)) \right. \\ &\quad \left. \wedge \left( \bigwedge_{j=0}^k h^{(j)}(c,d,r) \neq 0 \right) \right\} \dots\dots (*) \end{aligned}$$

where  $f^{(i)}$ ,  $g^{(i)}$  and  $h^{(i)}$  are defined by setting

$$f(c,d,r) = d, \quad g(c,d,r) = r, \quad h(c,d,r) = \text{rem}(d,r),$$

and

$$\begin{aligned} f^{(0)}(c,d,r) &= c, \quad g^{(0)}(c,d,r) = d, \quad h^{(0)}(c,d,r) = r, \\ f^{(i)}(c,d,r) &= f(f^{(i-1)}(c,d,r),g^{(i-1)}(c,d,r),h^{(i-1)}(c,d,r)), \\ g^{(i)}(c,d,r) &= g(f^{(i-1)}(c,d,r),g^{(i-1)}(c,d,r),h^{(i-1)}(c,d,r)), \\ h^{(i)}(c,d,r) &= h(f^{(i-1)}(c,d,r),g^{(i-1)}(c,d,r),h^{(i-1)}(c,d,r)). \end{aligned}$$

If we make explicit the operations, then the previously defined functions  $a^{(j)}$ ,  $b^{(j)}$ ,  $\underline{a}^{(j)}$  and  $\underline{b}^{(j)}$  where their arguments are  $c$  and  $d$  this time instead of  $m$  and  $n$  respectively, define the above functions, namely we have

$$\begin{aligned} f^{(j)}(c,d,r) &= \underline{a}^{(j)} c + \underline{b}^{(j)} d, \\ g^{(j)}(c,d,r) &= a^{(j)} c + b^{(j)} d, \\ h^{(j)}(c,d,r) &= a^{(j+1)} c + b^{(j+1)} d. \end{aligned}$$

Therefore the suffix  $j$  can be considered as a proper variable of predicates.

Now we set

$$\begin{aligned} K(m,n,c,d,r) \equiv & \bigvee_{i=0}^{\infty} \left\{ \psi(m,n,g^{(i)}(c,d,r)) \right. \\ & \left. \wedge h^{(i)}(c,d,r) = 0 \wedge \left( \bigwedge_{j=0}^{i-1} h^{(j)}(c,d,r) \neq 0 \right) \right\}. \end{aligned}$$

Then from (\*) we have  $K(m,n,c,d,r) \supset s(m,n,c,d,r)$ . On the other hand

$$\begin{aligned} g^{(1)} &= \text{rem}(c,d) < g^{(0)} = d, \\ g^{(j+1)} &= a^{(j+1)} c + b^{(j+1)} d \\ &= (\underline{a}^{(j)} - \text{quo}(f^{(j)}, g^{(j)}) a^{(j)}) c \\ &\quad + (\underline{b}^{(j)} - \text{quo}(f^{(j)}, g^{(j)}) b^{(j)}) d \\ &= \underline{a}^{(j)} c + \underline{b}^{(j)} d - \text{quo}(f^{(j)}, g^{(j)}) (a^{(j)} c + b^{(j)} d) \\ &= f^{(j)} - \text{quo}(f^{(j)}, g^{(j)}) g^{(j)} \\ &= \text{rem}(f^{(j)}, g^{(j)}) < g^{(j)}, \end{aligned}$$

namely,

$$d = g^{(0)} > g^{(1)} > \dots > g^{(j)} > \dots \geq 0$$

and therefore

$$s(m,n,c,d,r) \supset \exists j. h^{(j)}(c,d,r) = 0$$

so that there holds

$$s(m,n,c,d,r) \supset K(m,n,c,d,r).$$

Hence we have

$$s(m,n,c,d,r) \equiv K(m,n,c,d,r).$$

Using the properties of gcd we have

$$\begin{aligned}
 & \Psi(m, n, g^{(i)}(c, d, r)) \wedge h^{(i)}(c, d, r) = 0 \\
 & \equiv \text{gcd}(f^{(i)}(c, d, r), g^{(i)}(c, d, r)) = g^{(i)}(c, d, r) \\
 & \quad \wedge g^{(i)}(c, d, r) = \text{gcd}(m, n) \wedge h^{(i)}(c, d, r) = 0 \\
 & \equiv \text{gcd}(c, d) = \text{gcd}(m, n) \wedge g^{(i)}(c, d, r) = \text{gcd}(m, n) \\
 & \quad \wedge h^{(i)}(c, d, r) = 0
 \end{aligned}$$

and

$$\begin{aligned}
 & \bigwedge_{j=0}^{i-1} h^{(j)}(c, d, r) \neq 0 \\
 & \equiv \forall j. j < i \supset h^{(j)}(c, d, r) \neq 0
 \end{aligned}$$

so that we conclude

$$\begin{aligned}
 & s(m, n, c, d, r) \equiv \text{gcd}(m, n) = \text{gcd}(c, d) \\
 & \quad \wedge \exists i. g^{(i)}(c, d, r) = \text{gcd}(m, n) \wedge h^{(i)}(c, d, r) = 0 \\
 & \quad \wedge \forall j. j < i \supset h^{(j)}(c, d, r) \neq 0 .
 \end{aligned}$$

Here we note that the implication formula of  $EB_P$  clearly describes the termination of P.

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