

# ON REGION UNKNOTTING NUMBERS

AYAKA SHIMIZU

ABSTRACT. A region crossing change at a region of a knot diagram is the crossing changes at all the crossing points on the boundary of the region. In this paper, we show that for any knot diagram and any region  $R$ , we can make any crossing change by a sequence of region crossing changes except at  $R$ . We also discuss about region unknotting numbers of 3-braids.

## 1. INTRODUCTION

A *region crossing change* at a region  $R$  of a link diagram  $D$  on  $S^2$  is the crossing changes at all the crossing points on the boundary of  $R$  [3]. For example, we obtain the diagram  $D'$  from the knot diagram  $D$  by the region crossing change at the region  $R$  in Figure 1.

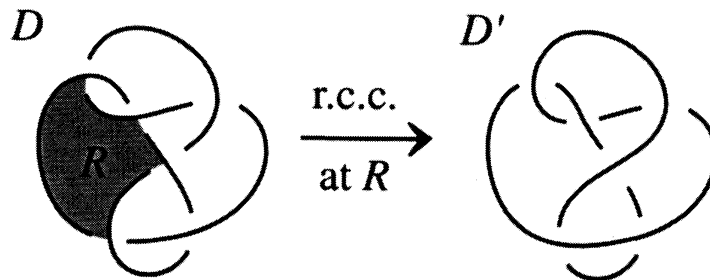


FIGURE 1

We remark that K. Kishimoto proposed a region crossing change at a seminar at Osaka City University, and asked whether a region crossing change is an unknotting operation. To give the positive answer to this question, the following theorem is shown in [3]:

**Theorem 1.1** ([3]). *For any knot diagram  $D$ , we can make any crossing change on  $D$  by a sequence of region crossing changes.*

Since a crossing change is an unknotting operation, a region crossing change on a knot diagram is also an unknotting operation. Moreover, we have the following theorems:

**Theorem 1.2.** *Let  $D$  be a knot diagram and let  $R$  be a region of  $D$ . We can make any crossing change on  $D$  by a sequence of region crossing changes at regions of  $D$  except  $R$ .*

**Theorem 1.3.** *Let  $D$  be a reduced knot diagram. For any region  $R$  of  $D$ , there exists a region  $S \neq R$  of  $D$  such that we can make any crossing change on  $D$  by a sequence of region crossing changes at regions of  $D$  except  $R$  and  $S$ .*

The proofs are given in Section 2. For example, for the diagram  $D$  and the region  $R$  in Figure 2, the region  $S$  satisfies the above condition: We can change the crossing at  $c_1$  (resp.  $c_2$ ) by region crossing changes at  $T_1$  and  $T_3$  (resp.  $T_1, T_2$  and  $T_3$ ).

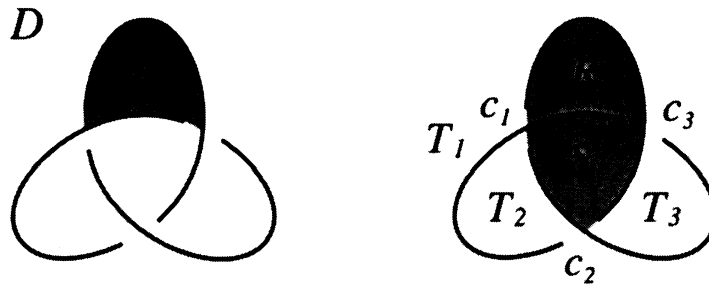


FIGURE 2

The *region unknotting number*  $u_R(D)$  of a knot diagram  $D$  is the minimal number of region crossing changes which are needed to obtain a diagram of the trivial knot (without Reidemeister moves) [3]. For example, the diagram  $D$  in Figure 1 has the region unknotting number one. The *region unknotting number*  $u_R(K)$  of a knot  $K$  is the minimal  $u_R(D)$  for all minimal crossing diagrams  $D$  of  $K$  [3]. We have  $u_R(D) \leq c(D)/2 + 1$  for any reduced knot diagram  $D$ , and hence we have  $u_R(K) \leq c(K)/2 + 1$  for any knot  $K$ , and we have  $u_R(K) = m$  for the  $(2, 4m \pm 1)$ -torus knot  $K$  ( $m = 1, 2, \dots$ ) [3].

We will discuss about region unknotting numbers of the standard diagrams of  $(3, n)$ -torus knots in Section 3.

The rest of this paper is organized as follows: In Section 2, we prove Theorem 1.2 and Theorem 1.3. In Section 3, we discuss about region unknotting numbers of closed 3-braid diagrams.

## 2. PROOF OF THEOREM 1.2

In this section, we prove Theorem 1.2 after proving Theorem 1.3. The following lemmas are shown in [3]:

**Lemma 2.1** ([3]). *For a reduced knot diagram  $D$  and the set  $B$  of all the black-colored regions of  $D$  with a checkerboard coloring, we obtain  $D$  from  $D$  by region crossing changes at  $B$ .*

**Lemma 2.2** ([3]). *Let  $D$  be a reduced knot diagram, and let  $B$  be the set of all the black-colored regions of  $D$  with a checkerboard coloring. Let  $P$  be a subset of  $B$ . Then we obtain the same diagram from  $D$  by the region crossing changes at  $P$  and the region crossing changes at  $B - P$ .*

We prove Theorem 1.3.

*Proof of Theorem 1.3.* Let  $B$  (resp.  $W$ ) be the set of all the black-colored (resp. white-colored) regions of  $D$  with a checkerboard coloring. If  $R \in B$  (resp.  $R \in W$ ), we can take any white-colored (resp. black-colored) region as  $S$ . By Lemma 2.2, the region crossing change at  $R$  is equivalent to the region crossing changes at  $B - R$ , and the region crossing change at  $S$  is equivalent to the region crossing changes at  $W - S$ . By Theorem 1.1, we can make any crossing change on  $D$  by region crossing changes at regions of  $D$  except  $R$  and  $S$ .  $\square$

From Theorem 1.3, we have the following corollaries:

**Corollary 2.3.** *Let  $D$  be a reduced knot diagram. For any two regions  $R$  and  $S$  of  $D$  which are adjacent to each other, we can make any crossing change on  $D$  by a sequence of region crossing changes except at  $R$  and  $S$ .*

**Corollary 2.4.** *Let  $T$  be a one-string tangle diagram. We can make any crossing change by a sequence of region crossing changes at regions of  $T$  except the outer region.*

Now we prove Theorem 1.2.

*Proof of Theorem 1.2.* It is enough to show that for any knot diagram  $D$  on  $\mathbb{R}^2$  and any crossing point  $c$ , we can make the crossing change at  $c$  by region crossing changes at regions of  $D$  except the outer region of  $D$ . If  $D$  is a knot diagram which has only one reducible crossing as  $c$  as shown in Figure 3, we can change the crossing at  $c$  by region crossing changes as follows: We splice  $D$  at  $c$ , and apply the checkerboard coloring to the knot diagram corresponding to  $A$  in Figure 3 so that the outer region of the knot diagram is colored white. Then, if we apply region crossing changes at all the regions of  $D$  corresponding to the black-colored regions, the crossing of only  $c$  is changed. This theorem also holds for reduced knot diagrams

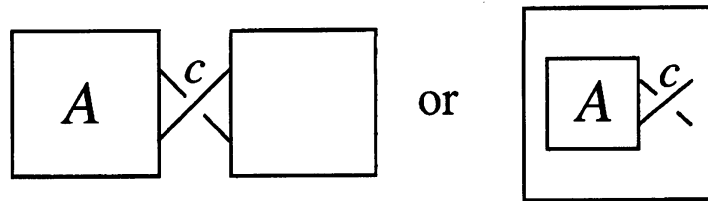


FIGURE 3

by Theorem 1.3. For other cases, we can prove by an induction on the number of reducible crossings as shown in Figure 4.  $\square$

### 3. REGION UNKNOTTING NUMBERS OF CLOSED 3-BRAID DIAGRAMS

In this section we discuss about region unknotting numbers of closed 3-braid diagrams. For standard diagrams of  $(3, m)$ -torus knots, we have the following proposition:

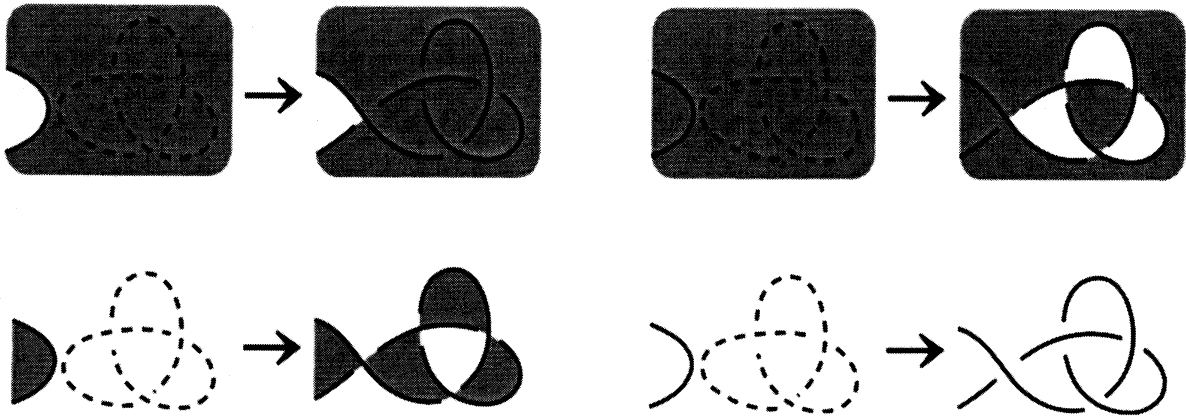


FIGURE 4

**Proposition 3.1.** *Let  $D_{3,m}$  be the standard diagram of the  $(3, m)$ -torus link ( $m = 1, 2, 3, \dots$ ). We have  $u_R(D_{3,3n+1}) \leq n$  and  $u_R(D_{3,3n+2}) \leq n + 1$  ( $n = 0, 1, 2, \dots$ ).*

*Proof.* We have  $u_R(D_{3,1}) = 0$  and  $u_R(D_{3,2}) = 1$ . Since we can deform the braid diagram of  $(\sigma_2\sigma_1)^3$  into a braid diagram which represents the trivial 3-braid by one region crossing change (see for example Figure 5), we have the inequalities.  $\square$

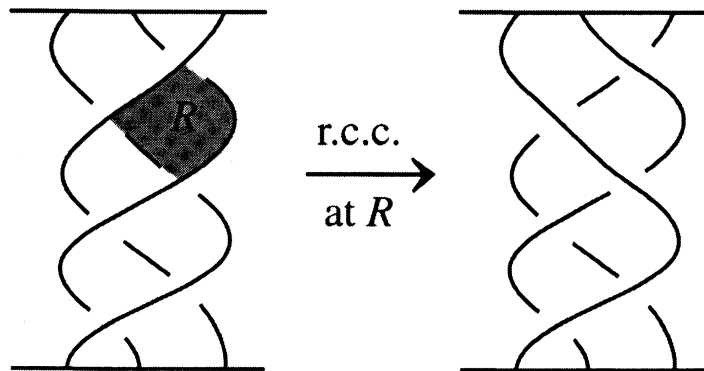


FIGURE 5

From Proposition 3.1, we have the following corollary:

**Corollary 3.2.** *The closed braid diagram of  $(\sigma_2^{-1}\sigma_1)^{3n+1}$  has the region unknotting number less than or equal to  $n+1$ , and the closed braid diagram of  $(\sigma_2^{-1}\sigma_1)^{3n+2}$  has the region unknotting number less than or equal to  $n+2$  ( $n = 0, 1, 2, \dots$ ).*

*Proof.* We can obtain  $D_{3,m}$  from the closed braid diagram of  $(\sigma_2^{-1}\sigma_1)^m$  by one region crossing change (Figure 6).  $\square$

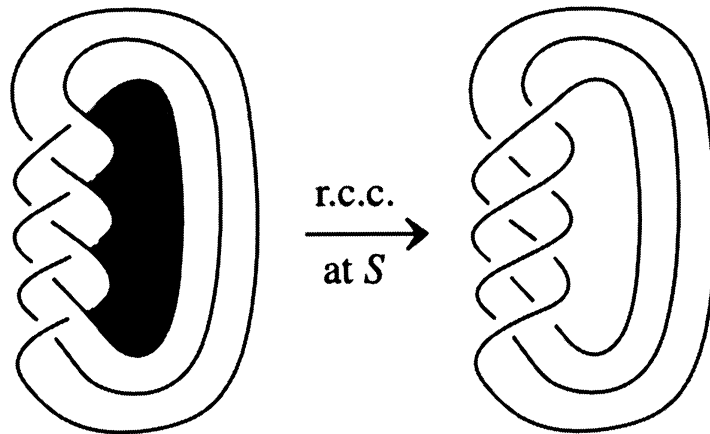


FIGURE 6

*Remark. 3.3.* Z. Cheng and H. Gao showed in [1] that a region crossing change on a diagram of a 3-component link such that the linking number of each two components is even is an unknotting operation. For example, a region crossing change on the closed braid diagram of  $(\sigma_2^{-1}\sigma_1)^{3n}$  is an unknotting operation. As shown in Figure 5, we can obtain a trivial link diagram from  $D_{3,3n}$  ( $n = 0, 1, 2, \dots$ ) by at most  $n$  region crossing changes, i.e., a region crossing change on  $D_{3,3n}$  is also an unknotting operation.

For a 3-braid  $\beta = \sigma_1^{n_1}\sigma_2^{n_2}\sigma_1^{n_3}\dots\sigma_2^{n_m}$ , let  $\beta_1$  and  $\beta_2$  be the 3-braids defined to be  $\beta_1 = \sigma_2^{-n_m}\dots\sigma_1^{-n_3}\sigma_2^{-n_2}\sigma_1^{-n_1}$  and  $\beta_2 = \sigma_1^{-n_m}\dots\sigma_2^{-n_3}\sigma_1^{-n_2}\sigma_2^{-n_1}$  ( $n_1, n_2, \dots, n_m \in \mathbb{Z}$ ). K. Kishimoto pointed out that each closed 3-braid diagram of the following  $A_1, A_2, \dots$  or  $B_3$  can be deformed into a diagram

of a trivial link by one region crossing change:

$$\begin{aligned} A_1 &= \beta(\sigma_1^{-1}\sigma_2)^3\beta_1(\sigma_2^{-1}\sigma_1)^3, \\ A_2 &= \beta(\sigma_1^{-1}\sigma_2)^3\beta_1(\sigma_2^{-1}\sigma_1)^3\sigma_2^{-1}, \\ A_3 &= \beta(\sigma_1^{-1}\sigma_2)^3\beta_1(\sigma_2^{-1}\sigma_1)^4, \\ B_1 &= \beta\sigma_2\sigma_1^{-1}\sigma_2\beta_2\sigma_2^{-1}\sigma_1\sigma_2^{-1}, \\ B_2 &= \beta\sigma_2\sigma_1^{-1}\sigma_2\beta_2(\sigma_2^{-1}\sigma_1)^2, \\ B_3 &= \beta\sigma_2\sigma_1^{-1}\sigma_2\beta_2(\sigma_2^{-1}\sigma_1)^2\sigma_2^{-1}, \end{aligned}$$

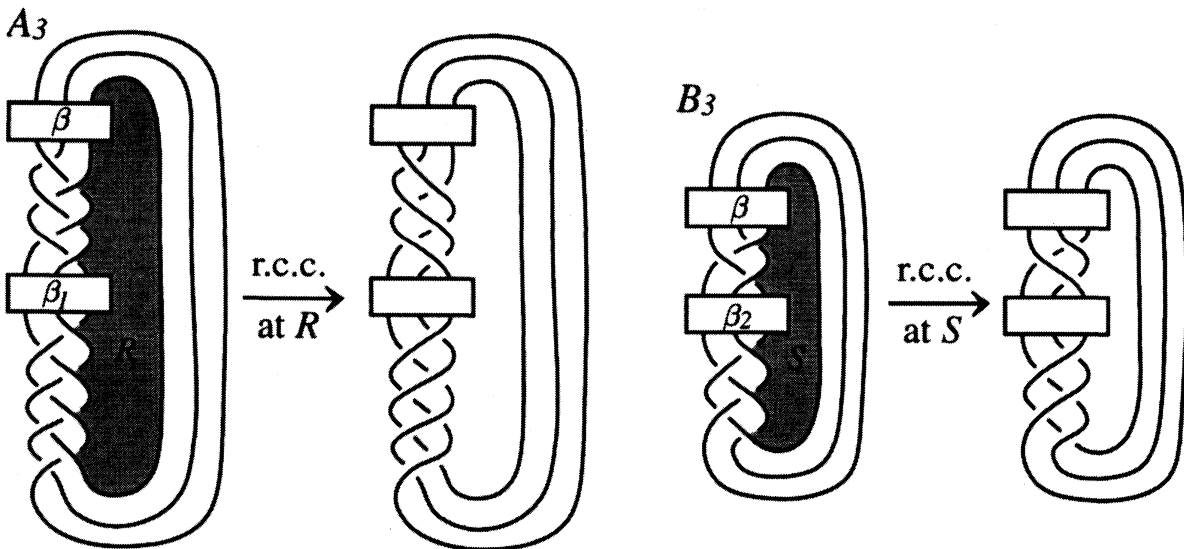


FIGURE 7

where  $\beta$  is a 3-braid, and  $A_3$  and  $B_3$  are illustrated in Figure 7.

#### ACKNOWLEDGMENTS

The author thanks Professor Akio Kawauchi and Kengo Kishimoto for their helpful advice and discussions. She also thanks participants in Intelligence of Low-dimensional Topology at RIMS for valuable comments and discussions. She is partly supported by JSPS Research Fellowships for Young Scientists.

## REFERENCES

- [1] Z. Cheng and H. Gao: *On region crossing change and incidence matrix*, arXiv:1101.1129v2 (2011).
- [2] A. Kawauchi: *A survey of knot theory*, Birkhauser, (1996).
- [3] A. Shimizu: *Region crossing change is an unknotting operation*, preprint.

OSAKA CITY UNIVERSITY ADVANCED MATHEMATICAL INSTITUTE, 3-3-138 SUGIMOTO SUMIYOSHI-KU OSAKA 558-8585, JAPAN

*E-mail address:* shimizu1984@gmail.com