# ON COMPACTNESS IN $L^1$

### HIROMICHI MIYAKE (三宅 啓道)

## 1. INTRODUCTION

Let  $(\Omega, \mathcal{A}, \mu)$  be a positive measure space with  $\sigma$ -algebra  $\mathcal{A}$  and measure  $\mu$  and let  $\mathcal{F}$  be the family of measurable subsets of  $\Omega$  with finite measure. Let  $L^1$  and  $L^{\infty}$  be the space of integrable functions defined on  $\Omega$  and the space of essentially-bounded measurable functions defined on  $\Omega$ , respectively. We denote by  $L_{loc}^{\infty}$  the vector subspace of  $L^{\infty}$ consisting of essentially-bounded measurable functions f defined on  $\Omega$ for which  $\mu\{w \in \Omega : f(w) \neq 0\} < \infty$ . In [5], we discussed a method of constructing a separated locally convex topology  $\tilde{\tau}$  on  $L^1$  generated by the semi-norms  $f \mapsto \int_E |f| d\mu$   $(E \in \mathcal{F})$  with the assumption that  $\mu$  is  $\sigma$ -finite. The topological dual of  $(L^1, \tilde{\tau})$  is algebraically isomorphic to  $L_{loc}^{\infty}$ . A notion of local uniform integrability for subsets of  $L^1$  was also discussed to obtain a necessary and sufficient condition for a bounded subset of  $L^1$  relative to  $L^1$ -norm to be relatively weakly compact in  $(L^1, \tilde{\tau})$ : Whenever C is a bounded subset of  $L^1$  relative to  $L^1$ -norm, C is locally uniformly integrable if and only if C is relatively weakly compact in  $(L^1, \tilde{\tau})$ . We applied it to show the existence of the mean values for commutative semigroups of Dunford-Schwartz operators on  $L^1$ . This result gives an identification of the limit function in almost everywhere convergence of the Cesàro means  $n^{-1} \sum_{k=0}^{n-1} T^k f$  of an  $f \in L^1$ ; see [6] for details.

In this paper, we summarize the arguments presented in [7] and [8] about a characterization of compactness for the weak topology of  $L^1$ associated with  $\tau$ , and then apply similar arguments to discuss some necessary and sufficient conditions of compactness for the topology on  $L^1$  generated by the metric  $(f,g) \mapsto \int_{\Omega} |f-g| d\mu$  and the weak topology  $\sigma(L^1, L^{\infty})$  on  $L^1$  generated by  $L^{\infty}$ , respectively. As their applications, (weak) almost periodicity of linear and non-linear operators in  $L^1$  is also discussed.

# 2. Preliminaries

Throughout the paper, let  $\mathbb{N}_+$  and  $\mathbb{R}$  denote the set of non-negative integers and the set of real numbers, respectively. Let  $\langle E, F \rangle$  be a duality between vector spaces E and F over  $\mathbb{R}$ . If A is a subset of E, then  $A^\circ = \{y \in F : \langle x, y \rangle \leq 1 \ (x \in A)\}$  is a subset of F, called the polar of A. For each  $y \in F$ , we define a linear form  $f_y$  on E by  $f_y(x) = \langle x, y \rangle \ (x \in E)$ . Then,  $\sigma(E, F)$  denotes the weak topology on E generated by the family  $\{f_y : y \in F\}$  and  $\tau(E, F)$  denotes the Mackey topology on E with respect to  $\langle E, F \rangle$ , that is, the topology of uniform convergence on the circled, convex,  $\sigma(F, E)$ -compact subsets of F. Let  $(E, \mathfrak{T})$  is a locally convex space. Then, the topological dual of E is denoted by E'. The bilinear form  $(x, f) \mapsto f(x)$  on  $E \times E'$ defines a duality  $\langle E, E' \rangle$  and the weak topology on E generated by E'is called the weak topology of E (associated with  $\mathfrak{T}$  if this distinction is necessary). If E is a Banach space, then the subset  $\{x \in E : ||x|| \leq r\}$ of E is called the closed ball with center at 0 and radius r, denoted by B(r). In particular, B(1) is called the closed unit ball in E.

Throughout the paper, let  $(\Omega, \mathcal{A}, \mu)$  denote a positive measure space with  $\sigma$ -algebra  $\mathcal{A}$  and measure  $\mu$ , and let  $\mathcal{F}$  denote the family of measurable subsets of  $\Omega$  with finite measure. Then,  $\mathcal{F}$  is ordered by set inclusion in the sense that E is less than F, or  $E \leq F$  if and only if  $E \subset F(E, F \in \mathcal{F})$ , so that each finite subset of  $\mathcal{F}$  has the least upper bound. Let  $E \in \mathcal{A}$ . If  $\mathcal{A}_E$  denotes the  $\sigma$ -algebra of all intersections of members of  $\mathcal{A}$  with E and  $\mu_E$  denotes the restriction of  $\mu$ to  $\mathcal{A}_E$ , then the triple  $(E, \mathcal{A}_E, \mu_E)$  is a positive measure space. For  $1 \leq p < \infty$ , let  $\mathcal{L}^{p}(E)$  be the vector space of measurable functions fdefined on E for which  $||f||_{E,p} = (\int_E |f|^p d\mu)^{\frac{1}{p}} < \infty$  and let  $\mathcal{L}^{\infty}(E)$ be the vector space of measurable functions f defined on E for which  $\|f\|_{E,\infty} = \inf_N \sup_{w \in E \setminus N} |f(w)| < \infty$ , where N ranges over the null subsets of E. If  $\mathcal{N}_E$  denotes the set of null functions defined on E and [f] denotes the equivalence class of an  $f \in \mathcal{L}^p(E) \mod \mathcal{N}_E$   $(1 \le p \le \infty)$ , then  $[f] \mapsto ||f||_{E,p}$  is a norm on the quotient space  $\mathcal{L}^p(E)/\mathcal{N}_E$ , which thus becomes a Banach space, denoted by  $L^{p}(E)$ . In particular, if  $\mu$ is the counting measure on  $\mathbb{N}$ , then we write  $l^1$  in place of  $L^1(\mathbb{N})$ . For each  $f \in L^{p}(\Omega)$ ,  $||f||_{\Omega,p}$  is called the  $L^{p}$ -norm of f, simply denoted by  $||f||_p$ . A measurable function f defined on  $\Omega$  is called essentiallybounded if  $||f||_{\infty} < \infty$ . Every element of  $L^{p}(E)$  is considered as a measurable function f defined on E with  $||f||_{E,p} < \infty$ , if no confusion will occur. For each  $E \in \mathcal{A}$ , the bilinear form  $(f,h) \mapsto \int_E fh \, d\mu$  on  $L^1(E) \times L^{\infty}(E)$  places  $L^1(E)$  and  $L^{\infty}(E)$  in duality. For  $E, F \in \mathcal{F}$ with  $E \leq F$ , let  $i_{EF}$  denote the mapping of  $L^1(F)$  onto  $L^1(E)$  that assigns to each  $f \in L^1(F)$  the restriction  $f|_E$  of f to E. Then, the canonical imbedding of  $L^{\infty}(E)$  into  $L^{\infty}(F)$  is the adjoint operator of  $i_{EF}$ , denoted by  $j_{FE}$ .

Let  $\mathcal{L}_{loc}^{1}(\Omega)$  be the vector space of measurable functions f defined on  $\Omega$  for which  $||f||_{E,1} < \infty$  for each  $E \in \mathcal{F}$  and let  $\mathcal{N}_{loc}$  be the vector subspace of  $\mathcal{L}_{loc}^{1}(\Omega)$  consisting of measurable functions f defined on  $\Omega$ for which  $||f||_{E,1} = 0$  for each  $E \in \mathcal{F}$ . If [f] denotes the equivalence class of an  $f \in \mathcal{L}_{loc}^{1}(\Omega) \mod \mathcal{N}_{loc}$ , then [f] = [g]  $(f, g \in \mathcal{L}_{loc}^{1}(\Omega))$ means that for each  $E \in \mathcal{F}$ , f(x) = g(x) almost everywhere on E. In particular, if  $\mu$  is  $\sigma$ -finite, then  $\mathcal{N}_{loc}$  equals the set  $\mathcal{N}_{\Omega}$  of null functions defined on  $\Omega$  and hence for  $f, g \in \mathcal{L}^{1}_{loc}(\Omega)$ , [f] = [g] if and only if f(x) = g(x) almost everywhere on  $\Omega$ . For each  $E \in \mathcal{F}$ ,  $[f] \mapsto ||f||_{E,1}$  is a semi-norm on the quotient space  $\mathcal{L}^{1}_{loc}(\Omega)/\mathcal{N}_{loc}$ , which becomes a locally convex space, denoted by  $L^{1}_{loc}(\Omega)$ , under the separated locally convex topology  $\tau$  generated by the semi-norms  $[f] \mapsto ||f||_{E,1}$  ( $E \in \mathcal{F}$ ). Every element of  $L^{1}_{loc}(\Omega)$  is considered as a measurable function f defined on  $\Omega$  for which  $||f||_{E,1} < \infty$  for each  $E \in \mathcal{F}$ , if no confusion will occur. If  $\mu$  is finite, then  $L^{1}_{loc}(\Omega)$  equals  $L^{1}(\Omega)$  and hence  $\tau$  is just the topology on  $L^{1}(\Omega)$  generated by the metric  $(f, g) \mapsto ||f - g||_{1}$ .

In the sequel, we shall assume that the measure space  $(\Omega, \mathcal{A}, \mu)$  is  $\sigma$ -finite. The product space  $\mathcal{L}$  is the Cartesian product  $L = \prod_{E \in \mathcal{F}} L^1(E)$  of the family  $\{(L^1(E), \|\cdot\|_{E,1}) : E \in \mathcal{F}\}$  with its product topology. Then,  $L^1_{loc}(\Omega)$  is identified as a closed (and hence complete) subspace of  $\mathcal{L}$  by the isomorphism  $f \mapsto (f|_E)_{E \in \mathcal{F}}$  of  $L^1_{loc}(\Omega)$  into  $\mathcal{L}$ , where  $f|_E$  is the restriction of f to E. Let  $D = \bigoplus_{E \in \mathcal{F}} L^{\infty}(E)$  be the direct sum of the family  $\{L^{\infty}(E) : E \in \mathcal{F}\}$ . The vector spaces L and D are placed in duality by the bilinear form  $(f,g) \mapsto \sum_E \langle f_E, g_E \rangle$  on  $L \times D$ , where  $f = (f_E) \in L, g = (g_E) \in D$  and the sum is taken over at most a finite number of non-zero terms of g. Then, the topological dual of  $\mathcal{L}$  is D and the topological dual of  $L^1_{loc}(\Omega)$  is the quotient space  $L^{\infty}_{loc}(\Omega)$  of  $L^{\infty}(\Omega)$  consisting of measurable, essentially-bounded functions f defined on  $\Omega$  for which  $\mu\{w \in \Omega : f(w) \neq 0\} < \infty$ .

**Proposition 1.**  $L^1_{loc}(\Omega)$  is a complete locally convex space. The topological dual of  $L^1_{loc}(\Omega)$  is algebraically isomorphic to  $L^{\infty}_{loc}(\Omega)$ .

We note that  $L^1_{loc}(\Omega)$  is identified as the reduced projective limit  $\lim_{E_F} L^1(F)$  of the family  $\{(L^1(E), \|\cdot\|_{E,1}) : E \in \mathcal{F}\}$  with respect to the mappings  $i_{EF}$   $(E, F \in \mathcal{F} \text{ and } E \leq F)$ . If  $\mathcal{D} = \bigoplus_{E \in \mathcal{F}} L^{\infty}(E)$ denotes the locally convex direct sum of the family  $\{(L^{\infty}(E), \tau(L^{\infty}(E), L^1(E))) : E \in \mathcal{F}\}$ , then the quotient space  $\mathcal{D}/(L^1_{loc}(\Omega))^\circ$  is the inductive limit  $\lim_{E \to F} j_{FE} L^{\infty}(E)$  of the family  $\{(L^{\infty}(E), \tau(L^{\infty}(E), L^1(E))) : E \in \mathcal{F}\}$  with respect to the mappings  $j_{FE}$   $(E, F \in \mathcal{F} \text{ and } E \leq F)$ .

A subset A of  $L^1_{loc}(\Omega)$  is said to be locally uniformly integrable if for each  $E \in \mathcal{F}$ , the set  $\{f|_E : f \in A\}$  of the restrictions  $f|_E$  of the functions f in A to E is uniformly integrable in  $L^1(E)$ , that is, for each  $E \in \mathcal{F}$  and  $\epsilon > 0$ , there exists a  $\delta > 0$  such that for each  $F \in \mathcal{A}$ with  $F \subset E$  and  $\mu(F) < \delta$ ,  $\sup_{f \in A} \int_F |f| d\mu < \epsilon$ . It follows from the theorem of Tychonoff that if A is a locally uniformly integrable, bounded subset of  $L^1_{loc}(\Omega)$ , then A is relatively weakly compact, since  $L^1_{loc}(\Omega)$  is a complete subspace of  $\mathcal{L}$ . The converse holds.

**Proposition 2.** A subset C of  $L^1_{loc}(\Omega)$  is relatively weakly compact if and only if C is bounded and locally uniformly integrable.

Remark 1. The arguments discussed so far is applicable for  $\sigma$ -compact topological spaces X. In this case, we choose as  $\mathcal{A}$  the  $\sigma$ -algebra of

Borel sets of X and as  $\mu$  a Borel measure on X such that  $\mu(K) < \infty$ for each compact subset K of X. For example, let  $X = \mathbb{R}$ , let  $\mu$  be Lebesgue measure on  $\mathbb{R}$ , let  $\mathcal{K}$  be the family of compact subsets of  $\mathbb{R}$ and let  $L^1_{loc}(\mathbb{R})$  be the space of Borel measurable functions f defined on  $\mathbb{R}$  for which  $||f||_{K,1} = \int_K |f| d\mu < \infty$  ( $K \in \mathcal{K}$ ), endowed with the separated locally convex topology generated by the semi-norms  $f \mapsto ||f||_{K,1}$  ( $K \in \mathcal{K}$ ). Then,  $L^1_{loc}(\mathbb{R})$  contains the space  $C(\mathbb{R})$  of continuous (not necessarily bounded) functions defined on  $\mathbb{R}$ . If a subset  $\mathcal{C}$  of  $C(\mathbb{R})$  is uniformly bounded on the compact subsets of  $\mathbb{R}$ , that is,  $\sup_{f \in \mathcal{C}} \sup_{x \in \mathcal{K}} |f(x)| < \infty$  ( $K \in \mathcal{K}$ ), then  $\mathcal{C}$  is relatively weakly compact in  $L^1_{loc}(\mathbb{R})$ .

We recall that whenever E is a metrizable locally convex space, then a subset C of E is weakly compact if and only if C is sequentially weakly compact.

**Proposition 3.** A subset C of  $L^1_{loc}(\Omega)$  is weakly compact if and only if C is sequentially weakly compact.

# 3. On weak compactness in a separated locally convex topology on $L^1\,$

In this section,  $L^1(\Omega)$  shall be considered as a locally convex space under the separated locally convex topology  $\tilde{\tau}$  generated by the seminorms  $f \mapsto ||f||_{E,1}$   $(E \in \mathcal{F})$ , if  $L^1(\Omega)$  is not specified explicitly as a Banach space with the norm  $f \mapsto ||f||_1$ , and we show a necessary and sufficient condition for a subset of  $L^1(\Omega)$  to be relatively weakly compact. It is clear that  $\tilde{\tau}$  is the relative topology of  $\tau$  on  $L^1_{loc}(\Omega)$  to  $L^1(\Omega)$ , since  $L^1(\Omega)$  is a subspace of  $L^1_{loc}(\Omega)$ . The topological dual of  $L^1(\Omega)$  is algebraically isomorphic to  $L^\infty_{loc}(\Omega)$ . The result concerning completeness of  $L^1(\Omega)$  follows immediately from the separation theorem.

**Proposition 4.** The completion of  $(L^1(\Omega), \tilde{\tau})$  is  $L^1_{loc}(\Omega)$ .

We showed a sufficient condition for a subset of  $L^1(\Omega)$  to be relatively weakly compact to obtain the existence of the mean values for commutative semigroups of Dunford-Schwartz operators on  $L^1$ ; see [6].

**Proposition 5.** Let C be a bounded subset of  $L^1(\Omega)$  relative to  $L^1$ norm, that is,  $\sup_{f \in C} ||f||_1 < \infty$ . Then, C is relatively weakly compact in  $(L^1(\Omega), \tilde{\tau})$  if and only if C is locally uniformly integrable.

Example 1. Let  $\Omega = \mathbb{R}$ , let  $\mathcal{A}$  be the  $\sigma$ -algebra of Lebesgue measurable subsets of  $\mathbb{R}$ , let  $\mu$  be Lebesgue measure on  $\mathbb{R}$ , let  $\mathcal{F}$  be the family of Lebesgue measurable subsets of  $\mathbb{R}$  with finite measure. Let  $L^1(\mathbb{R})$ be endowed with the separated locally convex topology  $\tilde{\tau}$  generated by the semi-norms  $f \mapsto ||f||_{E,1}$   $(E \in \mathcal{F})$  and let  $f \in L^1(\mathbb{R})$ . For each  $y \in \mathbb{R}$ ,  $f_y$  is the translate of f, that is,  $f_y(x) = f(x-y)$   $(x \in \mathbb{R})$ . Then,  $\{f_y : y \in \mathbb{R}\}$  is relatively weakly compact in  $(L^1(\mathbb{R}), \tilde{\tau})$ . For example, for  $f(x) = e^{-|x|}$   $(x \in \mathbb{R})$ ,  $\{f_y : y \in \mathbb{R}\}$  is not relatively weakly compact in  $(L^1(\mathbb{R}), \|\cdot\|_1)$ , but relatively weak compact in  $(L^1(\mathbb{R}), \tilde{\tau})$ ; see also Remark 3.

*Example* 2. The closed unit ball in  $l^1$  is weakly compact in the topology of pointwise convergence, due to Fatou's lemma.

Example 3. Let  $\Omega, \mathcal{A}, \mu, \mathcal{F}$  and  $\tilde{\tau}$  be as in Example 1. Let  $f_n \ (n \in \mathbb{N})$  be a characteristic function on [n, 2n). Then,  $\{f_n\}$  is not bounded relative to  $L^1$ -norm, but it converges to a null function in the topology  $\tilde{\tau}$  on  $L^1(\mathbb{R})$ . Thus, it is relatively compact and hence relatively weakly compact in  $(L^1(\mathbb{R}), \tilde{\tau})$ .

A subset C of  $L^1(\Omega)$  is said to be *locally bounded* if C is a bounded subset of  $L^1(\Omega)$ , that is, for each  $E \in \mathcal{F}$ ,  $\sup_{f \in C} ||f||_{E,1} < \infty$ .

We show a necessary and sufficient condition for a subset of  $L^1(\Omega)$  to be relatively weakly compact in  $(L^1(\Omega), \tilde{\tau})$ .

**Theorem 1.** A subset C of  $L^1(\Omega)$  is relatively weakly compact in  $(L^1(\Omega), \tilde{\tau})$  if and only if C is locally uniformly integrable, locally bounded and for each sequence  $\{f_n\}$  in C,

$$\sup_{E\in\mathcal{F}}\liminf_{n\to\infty}\left|\int_E f_n\,d\mu\right|<\infty.$$

# 4. On weak compactness in $L^1$

In the sequel,  $L^1(\Omega)$  shall be considered as a Banach space under the norm  $f \mapsto ||f||_1$ . From Theorem 1, it is natural to ask a question of under which conditions every locally uniformly integrable, locally bounded subset of  $L^1(\Omega)$  is relatively weakly compact in  $(L^1(\Omega), ||\cdot||_1)$ .

The following theorem is due to Grothendieck.

**Theorem 2.** A subset C of a Banach space E is relatively weakly compact if and only if for each  $\epsilon > 0$ , there exists a weakly compact subset D of E such that  $C \subset D + B(\epsilon)$ .

Motivated by his result, we introduce a notion of the type of uniform integrability to obtain a necessary and sufficient condition for a subset of  $L^1(\Omega)$  to be relatively weakly compact. We call a subset C of  $L^1(\Omega)$ uniformly integrable at infinity if for each  $\epsilon > 0$ , there exists an  $E \in \mathcal{F}$ such that

$$\sup_{f\in C}\int_{\Omega\setminus E} |f|\,d\mu<\epsilon\quad \left(\text{or } \limsup_{E\in\mathcal{F}}\sup_{f\in C}\int_{\Omega\setminus E} |f|\,d\mu=0\right).$$

**Theorem 3.** Let C be a subset of  $L^1(\Omega)$ . Then, the following are equivalent:

(1) C is relatively weakly compact;

- (2) for each  $\epsilon > 0$ , there exists an  $E \in \mathcal{F}$  such that  $C_E = \{f|_E : f \in C\}$  is uniformly integrable, bounded in  $L^1(E)$  and  $C \subset C_E + B(\epsilon)$ ;
- (3) C is locally bounded, locally uniformly integrable and uniformly integrable at infinity;
- (4) C is bounded, uniformly integrable and uniformly integrable at infinity;
- (5)  $|C| = \{|f| : f \in C\}$  is relatively weakly compact, where  $|f|(x) = |f(x)| \ (x \in \Omega);$
- (6) C is bounded and for each decreasing sequence  $\{E_n\}$  in  $\mathcal{A}$  with empty intersection,  $\int_{E_n} f d\mu$  converges to 0 uniformly in  $f \in C$ ;
- (7) C is bounded and there exists an  $f \in L^1(\Omega)$  such that for each  $\epsilon > 0$ , there exists a  $\delta > 0$  such that for each  $E \in \mathcal{A}$  with  $\int_E |f| d\mu < \delta$ ,  $\sup_{g \in C} |\int_E g d\mu| < \epsilon$ .

Remark 2. The equivalence  $(1) \Leftrightarrow (6)$  is due to Dunford and Pettis, according to [3] and  $(1) \Leftrightarrow (7)$  is obtained as in Bartle, Dunford and Schwartz [1]. The latter implies that Theorem 3, Theorem 4 and Corollary 1 hold without the assumption that  $\mu$  is  $\sigma$ -finite, since every function in a weakly compact subset of  $L^1(\Omega)$  vanishes on the complement of a  $\sigma$ -finite set.

Remark 3. Let  $\Omega, \mathcal{A}$  and  $\mu$  be as in Example 1. Then, the subset of  $L^1(\mathbb{R})$  consisting of the translates of  $f(x) = e^{-|x|}$  ( $x \in \mathbb{R}$ ) is not uniformly integrable at infinity and hence is not weakly compact in  $L^1(\mathbb{R})$ .

**Corollary 1.** Every order interval in  $L^1(\Omega)$  is weakly compact, where an order interval is a subset of the form  $\{h \in L^1(\Omega) : f(x) \le h(x) \le g(x) \text{ almost everywhere on } \Omega\}$   $(f, g \in L^1(\Omega)).$ 

The following theorem is due to Theorem 3 and the convergence theorem of Vitali.

**Theorem 4.** Let C be a weakly compact subset of  $L^1(\Omega)$ , let  $\{f_n\}$  be a sequence in C and let  $f \in C$ . If  $f_n(x)$  converges to f(x) almost everywhere on  $\Omega$ , then  $||f_n - f||_1 \to 0$  as  $n \to \infty$ .

**Corollary 2.** Every weakly convergent sequence in  $l^1$  is strongly convergent.

# 5. On strong compactness in $L^1$

Note that in the sequel,  $L^1(\Omega)$  shall be considered as a Banach space under the norm  $f \mapsto ||f||_1$ . As in the arguments in the previous sections,  $L^{\infty}(\Omega)$  is considered as a locally convex space under the separated locally convex topology  $\hat{\tau}$  generated by the semi-norms  $f \mapsto ||f||_{E,1}$  $(E \in \mathcal{F})$ . It is clear that  $\hat{\tau}$  is the relative topology of  $\tau$  on  $L^1_{loc}(\Omega)$ to  $L^{\infty}(\Omega)$ , since  $L^{\infty}(\Omega)$  is a subspace of  $L^1_{loc}(\Omega)$ . The topological dual of  $(L^{\infty}(\Omega), \hat{\tau})$  is algebraically isomorphic to  $L^{\infty}_{loc}(\Omega)$ . The result concerning completeness of  $(L^{\infty}(\Omega), \hat{\tau})$  also follows immediately from the separation theorem.

## **Proposition 6.** The completion of $(L^{\infty}(\Omega), \hat{\tau})$ is $L^{1}_{loc}(\Omega)$ .

The weak topology  $\sigma(L^{\infty}(\Omega), L^{1}(\Omega))$ , simply denoted by  $\sigma(L^{\infty}, L^{1})$ , is finer than the weak topology of  $L^{\infty}(\Omega)$  associated with  $\hat{\tau}$ , from which we directly deduce the following result concerning sequential compactness in  $\sigma(L^{\infty}, L^{1})$  on  $L^{\infty}(\Omega)$ .

**Proposition 7.** The closed unit ball in  $L^{\infty}(\Omega)$  is sequentially compact relative to the weak topology  $\sigma(L^{\infty}, L^1)$ .

Remark 4. If E is a reflexive or smooth Banach space, then every closed unit ball in E' is sequentially compact relative to the weak topology  $\sigma(E', E)$ ; see [2] for more details.

A subset C of a Banach space E is said to be *limited* if for each sequence  $\{x'_n\}$  in E' converging to 0 in the weak topology  $\sigma(E', E)$ ,  $\lim_{n\to\infty} |\langle x, x'_n \rangle|$  converges to 0 uniformly in  $x \in C$ .

Using similar arguments to [9], we obtain a characterization of strong compactness in Banach spaces E for which the closed unit ball in E' is sequentially compact relative to the weak topology  $\sigma(E', E)$ .

**Proposition 8.** Let E be a Banach space. Whenever the closed unit ball in E' is sequentially compact relative to the weak topology  $\sigma(E', E)$ , a subset C of E is relatively compact if and only if C is bounded and limited.

Remark 5. According to [2], Proposition 8 is due to Gelfand.

**Corollary 3.** Whenever E is a reflexive or smooth Banach space, a subset C of E is relatively compact if and only if C is bounded and limited.

The following theorem is due to Proposition 7 and Proposition 8.

**Theorem 5.** A subset C of  $L^1(\Omega)$  is relatively compact if and only if C is bounded and limited.

Remark 6. It is clear that Theorem 5 holds without the assumption that  $\mu$  is  $\sigma$ -finite.

#### 6. MISCELLANEOUS APPLICATIONS

In this section, we apply the results about weak and strong compactness in  $L^1(\Omega)$  to obtain some characterizations of (weak) almost periodicity for linear and non-linear operators in  $L^1(\Omega)$ .

Let T be a linear contraction on  $L^1(\Omega)$ , that is, T is a linear operator on  $L^1(\Omega)$  such that  $||Tf||_1 \leq ||f||_1$   $(f \in L^1(\Omega))$ . In addition, if  $||Tf||_{\infty} \leq ||f||_{\infty}$   $(f \in L^1(\Omega) \cap L^{\infty}(\Omega))$ , then T is said to be a Dunford-Schwartz operator on  $L^1(\Omega)$ . If for each  $f \in L^1(\Omega)$ , the orbit  $\{T^n f : n = 0, 1, 2, \dots\}$  of f under T is relatively (weakly) compact, then T is said to be (weakly) almost periodic.

**Proposition 9.** Let T be a Dunford-Schwartz operator on  $L^1(\Omega)$ . Then, T is weakly almost periodic if and only if for each  $f \in L^1(\Omega)$ , the orbit of f under T is uniformly integrable at infinity.

**Proposition 10.** Let T be a linear contraction on  $L^1(\Omega)$ . Then, T is almost periodic if and only if for each  $f \in L^1(\Omega)$ , the orbit of f under T is limited.

Let C be a closed convex subset of  $L^1(\Omega)$  and let T be a nonexpansive operator on C, that is, T is a mapping of C into itself such that  $||Tf - Tg||_1 \leq ||f - g||_1$   $(f, g \in C)$ . Then, T is said to be almost periodic if for each  $f \in C$ , the orbit  $\{T^n f : n = 0, 1, 2, \dots\}$  of f under T is relatively compact. It is known that if a nonexpansive operator T on C is almost periodic, then T has the mean values on C; see also [4] for more details.

**Proposition 11.** Let C be a closed convex subset of  $L^1(\Omega)$  and let T be a nonexpansive operator on C. Whenever T has a fixed point in C, T is almost periodic if and only if for each  $f \in C$ , the orbit of f under T is limited.

#### References

- R. G. Bartle, N. Dunford and J. T. Schwartz, Weak compactness and vector measures, Canad. J. Math., 7 (1955), 289-305.
- [2] J. Diestel, Sequences and Series in Banach Spaces, Springer-Verlag, New York, 1984.
- [3] N. Dunford and J. T. Schwartz, Linear Operators, Part I, Interscience, New York, 1958.
- [4] H. Miyake and W. Takahashi, Vector-valued weakly almost periodic functions and mean ergodic theorems in Banach spaces, J. Nonlinear Convex Anal., 9 (2008), 255-272.
- [5] H. Miyake, On the existence of the mean values for commutative semigroups of Dunford-Schwartz operators on L<sup>1</sup>, Annual Meeting of the Mathematical Society of Japan, Kyoto, Japan, Mar. 20-23, 2013.
- [6] H. Miyake, On the existence of the mean values for certain order-preserving operators in L<sup>1</sup>, in Nonlinear Analysis and Convex Analysis (T. Tanaka ed.), RIMS Kôkyûroku 1923, 2014, pp. 90–98.
- [7] H. Miyake, On compactness in L<sup>1</sup> and its application, RIMS Workshop: Nonlinear Analysis and Convex Analysis, Kyoto, Japan, Aug. 19-21, 2014.
- [8] H. Miyake, On compactness in L<sup>1</sup>, Annual Meeting of the Mathematical Society of Japan, Hiroshima, Japan, Sep. 25–28, 2014.
- [9] R. S. Phillips, On linear transformations, Trans. Amer. Math. Soc., 48 (1940), 516-541.
- [10] W. Rudin, Real and Complex Analysis, McGraw-Hill, New York, 1987.
- [11] H. H. Schaefer, Topological Vector Spaces, Springer-Verlag, New York, 1971.