# On a compressible fluid model of Korteweg type in a maximal regularity class

Hirokazu Saito

Faculty of Industrial Science and Technology,
Tokyo University of Science

## 1 Introduction

This article shows local and global existence theorems in a maximal regularity class for a compressible fluid model of Korteweg type as follows:

where  $\Omega$  is a domain in  $\mathbb{R}^N$ ,  $N \geq 2$ , with boundary  $\Gamma$  and  $T_0$  is a positive number.

Here  $\rho = \rho(x,t)$  and  $\mathbf{u} = (u_1(x,t), \dots, u_N(x,t))^{\mathsf{T}1}$  are respectively the fluid density and the fluid velocity at  $x = (x_1, \dots, x_N) \in \Omega$  and  $t \in (0,T_0)$ ; P is a given function describing the pressure and  $\mathbf{I}$  is the  $N \times N$  identity matrix;  $\mathbf{S}(\mathbf{u})$  is the viscous stress tensor given by  $\mathbf{S}(\mathbf{u}) = \mu \mathbf{D}(\mathbf{u}) + (\nu - \mu) \operatorname{div} \mathbf{u} \mathbf{I}$ , where  $\mu, \nu > 0$  are viscosity coefficients and  $\mathbf{D}(\mathbf{u})$  is the doubled deformation tensor, i.e.  $\mathbf{D}(\mathbf{u})$  is an  $N \times N$  matrix whose (i, j) component is given by  $\partial_i u_j + \partial_j u_i$  for  $\partial_i = \partial/\partial x_i$ ;  $\mathbf{K}(\rho)$  is the so-called Korteweg tensor, i.e.

$$\mathbf{K}(\rho) = \frac{\kappa}{2} \left( \Delta \rho^2 - |\nabla \rho|^2 \right) \mathbf{I} - \kappa \nabla \rho \otimes \nabla \rho$$
$$= \kappa \left( \rho \Delta \rho + \frac{|\nabla \rho|^2}{2} \right) \mathbf{I} - \kappa \nabla \rho \otimes \nabla \rho, \tag{1.2}$$

where  $\kappa > 0$  is a capillarity coefficient and  $\nabla \rho \otimes \nabla \rho$  is an  $N \times N$  matrix whose (i, j) component is given by  $(\partial_i \rho)(\partial_j \rho)$ ; **n** is the unit outward normal vector to  $\Gamma$  and  $\mathbf{a} \cdot \mathbf{b} = \sum_{i=1}^N a_i b_i$  for N-vectors  $\mathbf{a} = (a_1, \dots, a_N)^{\mathsf{T}}$  and  $\mathbf{b} = (b_1, \dots, b_N)^{\mathsf{T}}$ ;  $\rho_0 = \rho_0(x)$  and  $\mathbf{u}_0 = (u_{01}(x), \dots, u_{0N}(x))^{\mathsf{T}}$  are given initial data, while  $\rho_\infty$  is a positive constant.

Throughout this article, we assume

 $<sup>{}^{1}\</sup>mathbf{M}^{\mathsf{T}}$  denotes the transpose of  $\mathbf{M}$ .

**Assumption 1.1.** (1) The coefficients  $\mu$ ,  $\nu$ , and  $\kappa$  are positive constants.

(2) The pressure  $P: (\rho_{\infty}/8, 8\rho_{\infty}) \to \mathbf{R}$  is smooth enough.

Korteweg formulated in 1901 some tensor that included gradients of density in order to model fluid capillarity effects, and Dunn and Serrin [2] derived (1.2) in view of rational mechanics by introducing the thermodynamics of interstitial working. Concerning the mathematical analysis of Korteweg-type model, we refer e.g. to [5, 1, 4, 15] for the whole space problem and to [6, 7, 8, 9] for boundary value problems. On the other hand, [10] employs the Korteweg-type model in order to analyze the structure of liquid-vapor phase transition in numerical analysis.

## 2 Preliminaries

Let  $p \in (1, \infty)$ , and let  $q \in (1, \infty)$  or  $q = \infty$ . We here introduce function spaces used throughout this article as follows:

- $L_q(G)$  and  $H_q^m(G)$ ,  $m \in \mathbb{N}$ , are respectively the usual Lebesgue spaces and the Sobolev spaces, where G is a domain in  $\mathbb{R}^N$ . The norm of  $L_q(G)$  is denoted by  $\|\cdot\|_{L_q(G)}$ , while the norm of  $H_q^m(G)$  is denoted by  $\|\cdot\|_{H_q^m(G)}$ .
- Let  $(\cdot,\cdot)_{\theta,p}$  be the real interpolation functor for  $\theta \in (0,1)$ . Then the Besov spaces  $B_{q,p}^{3-2/p}(G)$  and  $B_{q,p}^{2-2/p}(G)$  are defined as

$$B_{q,p}^{3-2/p}(G) = (H_q^1(G), H_q^3(G))_{1-1/p,p}, \quad B_{q,p}^{2-2/p}(G) = (L_q(G), H_q^2(G))_{1-1/p,p}.$$

- Let X be a Banach space and I be an interval in **R**. Then  $L_p(I,X)$  and  $H_p^1(I,X)$  are respectively the X-valued Lebesgue spaces and the X-valued Sobolev spaces. The norm of  $L_p(I,X)$  is denoted by  $\|\cdot\|_{L_p(I,X)}$ , while the norm of  $H_p^1(I,X)$  is denoted by  $\|\cdot\|_{H_p^1(I,X)}$ .
- Let  $T \in (0, \infty)$  or  $T = \infty$ . Then  ${}_{0}H^{1}_{p}((0, T), X)$  is given by

$${}_0H^1_p((0,T),X)=\{f\in H^1_p((0,T),X): f|_{t=0}=0 \text{ in } X\}.$$

Next, we introduce the definition of uniform  $C^3$  domains.

**Definition 2.1** ([3, 14]). Let D be a domain in  $\mathbb{R}^N$  with boundary  $\partial D$ . Then D is called a uniform  $C^3$  domain, if there exist positive constants  $\alpha$ ,  $\beta$ , and K such that the following assertion holds: for any  $x_0 = (x_{01}, \dots, x_{0N}) \in \partial D$ , there are coordinate

number j and a  $C^3$  function h(x')  $(x' = (x_1, \ldots, x_{j-1}, x_{j+1}, \ldots, x_N))$  on  $B'_{\alpha}(x'_0)$ , with  $x'_0 = (x_{01}, \ldots, x_{0j-1}, x_{0j+1}, \ldots, x_{0N})$ ,

$$B'_{\alpha}(x'_0) = \{ x \in \mathbf{R}^N \mid |x' - x'_0| < \alpha \}, \quad ||h||_{H^3_{\infty}(B'_{\alpha}(x'_0))} \le K,$$

such that

$$D \cap B_{\beta}(x_0) = \{ x \in \mathbf{R}^N : x_j > h(x'), x' \in B'_{\alpha}(x'_0) \} \cap B_{\beta}(x_0),$$
  
$$\partial D \cap B_{\beta}(x_0) = \{ x \in \mathbf{R}^N : x_j = h(x'), x' \in B'_{\alpha}(x'_0) \} \cap B_{\beta}(x_0).$$

Here  $B_{\beta}(x_0) = \{x \in \mathbf{R}^N : |x - x_0| < \beta\}.$ 

**Remark 2.2.** Typical examples of uniform  $C^3$  domains are as follows: bounded domains; exterior domains; half-spaces, layers, tubes, and their perturbed domains.

# 3 Local solvability

#### 3.1 Linearization

Let us start with the linearization of (1.1). Replace  $\rho$  by  $\rho + \rho_{\infty}$  in (1.1). Then the first equation becomes

$$\partial_t \rho + \rho_\infty \operatorname{div} \mathbf{u} = -\rho \operatorname{div} \mathbf{u} - \mathbf{u} \cdot \nabla \rho; \tag{3.1}$$

the second equation becomes

$$\rho_{\infty}\partial_{t}\mathbf{u} - \operatorname{Div}(\mathbf{S}(\mathbf{u}) + \kappa\rho_{\infty}\Delta\rho\mathbf{I}) = -\rho\partial_{t}\mathbf{u} - (\rho + \rho_{\infty})\mathbf{u} \cdot \nabla\mathbf{u} + \operatorname{Div}(\mathbf{K}(\rho + \rho_{\infty}) - \kappa\rho_{\infty}\Delta\rho\mathbf{I}) - P'(\rho + \rho_{\infty})\nabla\rho,$$
(3.2)

where P'(s) = (dP/ds)(s). To prove the local solvability, we further rewrite (3.1) and (3.2) as follows:

$$\partial_t \rho + (\rho_0 + \rho_\infty) \operatorname{div} \mathbf{u} = -(\rho - \rho_0) \operatorname{div} \mathbf{u} - \mathbf{u} \cdot \nabla \rho$$
  
=:  $F(\rho, \mathbf{u})$ ;

for  $\widetilde{\mu} = \mu/\kappa$  and  $\widetilde{\nu} = \nu/\kappa$ ,

$$(\rho_{0} + \rho_{\infty})\partial_{t}\mathbf{u} - \kappa \operatorname{Div}(\widetilde{\mu}\mathbf{D}(\mathbf{u}) + (\widetilde{\nu} - \widetilde{\mu}) \operatorname{div}\mathbf{u}\mathbf{I} + (\rho_{0} + \rho_{\infty})\Delta\rho\mathbf{I})$$

$$= -(\rho - \rho_{0})\partial_{t}\mathbf{u} - (\rho + \rho_{\infty})\mathbf{u} \cdot \nabla\mathbf{u}$$

$$+ \operatorname{Div}(\mathbf{K}(\rho + \rho_{\infty}) - \kappa(\rho_{0} + \rho_{\infty})\Delta\rho\mathbf{I}) - P'(\rho + \rho_{\infty})\nabla\rho$$

$$=: G(\rho, \mathbf{u}).$$

Setting  $\gamma = \rho_0 + \rho_\infty$ , we have achieved the following equivalent system of (1.1):

$$\begin{cases}
\partial_{t}\rho + \gamma \operatorname{div} \mathbf{u} = F(\rho, \mathbf{u}) & \text{in } \Omega \times (0, T_{0}), \\
\partial_{t}\mathbf{u} - \gamma^{-1}\kappa \operatorname{Div}(\widetilde{\mu}\mathbf{D}(\mathbf{u}) + (\widetilde{\nu} - \widetilde{\mu}) \operatorname{div} \mathbf{u}\mathbf{I} + \gamma\Delta\rho\mathbf{I}) = \gamma^{-1}G(\rho, \mathbf{u}) & \text{in } \Omega \times (0, T_{0}), \\
\mathbf{n} \cdot \nabla\rho = 0, \quad \mathbf{u} = 0 & \text{on } \Gamma \times (0, T_{0}), \\
(\rho, \mathbf{u})|_{t=0} = (\rho_{0}, \mathbf{u}_{0}) & \text{in } \Omega.
\end{cases} (3.3)$$

## 3.2 Linearized problem

For a positive number S, we consider a linearized problem associated with (3.3) as follows:

$$\begin{cases}
\partial_{t}\rho + \gamma_{1} \operatorname{div} \mathbf{u} = f & \text{in } \Omega \times (0, S), \\
\partial_{t}\mathbf{u} - \gamma_{4}^{-1} \operatorname{Div} (\gamma_{2}\mathbf{D}(\mathbf{u}) + (\gamma_{3} - \gamma_{2}) \operatorname{div} \mathbf{u}\mathbf{I} + \gamma_{1}\Delta\rho\mathbf{I}) = \mathbf{g} & \text{in } \Omega \times (0, S), \\
\mathbf{n} \cdot \nabla\rho = 0, \quad \mathbf{u} = 0 & \text{on } \Gamma \times (0, S), \\
(\rho, \mathbf{u})|_{t=0} = (\rho_{0}, \mathbf{u}_{0}) & \text{in } \Omega,
\end{cases} (3.4)$$

where  $\Omega$  and  $\gamma_i = \gamma_i(x)$  (i = 1, 2, 3, 4) satisfy the following assumption.

**Assumption 3.1.** (1) The domain  $\Omega$  is a uniform  $C^3$  domain in  $\mathbf{R}^N$ ,  $N \geq 2$ , and its boundary is denoted by  $\Gamma$ .

(2) The coefficients  $\gamma_i = \gamma_i(x)$  (i = 1, 2, 3, 4) are uniformly Lipschitz continuous functions on  $\overline{\Omega}$ , i.e. there exists a positive constant  $\gamma_L$  such that  $|\gamma_i(x) - \gamma_i(y)| \leq \gamma_L |x - y|$  for any  $x, y \in \overline{\Omega}$  and for i = 1, 2, 3, 4. In addition, there exist positive constants  $\gamma_*$ ,  $\gamma^*$  such that  $\gamma_* \leq \gamma_i(x) \leq \gamma^*$  for any  $x \in \overline{\Omega}$  and for i = 1, 2, 3, 4.

Let  $q \in (1, \infty)$  and  $X_q = H_q^1(\Omega) \times L_q(\Omega)^N$ . We define an operator  $A_q$  by

$$A_q(\rho, \mathbf{u}) = (-\gamma_1 \operatorname{div} \mathbf{u}, \gamma_4^{-1} \operatorname{Div}(\gamma_2 \mathbf{D}(\mathbf{u}) + (\gamma_3 - \gamma_2) \operatorname{div} \mathbf{u} \mathbf{I} + \gamma_1 \Delta \rho \mathbf{I})),$$

with the domain  $D(A_q)$ :

$$D(A_q) = \{ (\rho, \mathbf{u}) \in \times H_q^3(\Omega) \times H_q^2(\Omega)^N \mid \mathbf{n} \cdot \nabla \rho = 0, \ \mathbf{u} = 0 \text{ on } \Gamma \}.$$

Note that  $D(A_q) \subset X_q$  and  $A_q : D(A_q) \to X_q$ . One then has

**Lemma 3.2** ([12]). Let  $q \in (1, \infty)$  and suppose that Assumption 3.1 holds. Then  $A_q$  generates an analytic  $C_0$ -semigroup  $\{e^{A_q t}\}_{t\geq 0}$  on  $X_q$ . In addition, there exist constants  $\delta_1 \geq 1$  and  $C_{N,q,\delta_1} > 0$  such that for any t > 0

$$||e^{A_q t}(\rho_0, \mathbf{u}_0)||_{X_q} \le C_{N,q,\delta_1} e^{(\delta_1/2)t} ||(\rho_0, \mathbf{u}_0)||_{X_q} \qquad ((\rho_0, \mathbf{u}_0) \in X_q),$$

$$\|\partial_t e^{A_q t}(\rho_0, \mathbf{u}_0)\|_{X_q} \le C_{N, q, \delta_1} e^{(\delta_1/2)t} t^{-1} \|(\rho_0, \mathbf{u}_0)\|_{X_q} \quad ((\rho_0, \mathbf{u}_0) \in X_q),$$

$$\|\partial_t e^{A_q t}(\rho_0, \mathbf{u}_0)\|_{X_q} \le C_{N, q, \delta_1} e^{(\delta_1/2)t} \|(\rho_0, \mathbf{u}_0)\|_{D(A_q)} \quad ((\rho_0, \mathbf{u}_0) \in D(A_q)),$$

where  $\|\cdot\|_{D(A_q)}$  denotes the graph norm of  $A_q$ .

Setting 
$$D_{q,p}(\Omega) = (X_q, D(A_q))_{1-1/p,p}$$
 for  $p, q \in (1, \infty)$ , we have

**Lemma 3.3** ([12]). Let  $(p,q) \in (1,\infty)$  and suppose that Assumption 3.1 holds. Then, for any  $(\rho_0, \mathbf{u}_0) \in D_{q,p}(\Omega)$ ,  $(\rho, \mathbf{u}) = e^{A_q t}(\rho_0, \mathbf{u}_0)$  is a unique solution to the system (3.4) under the condition of  $(f, \mathbf{g}) = (0,0)$ . In addition,

$$\|\partial_{t}\rho\|_{L_{p}((0,S),H_{q}^{1}(\Omega))} + \|\rho\|_{L_{p}((0,S),H_{q}^{3}(\Omega))} + \|\partial_{t}\mathbf{u}\|_{L_{p}((0,S),L_{q}(\Omega)^{N})} + \|\mathbf{u}\|_{L_{p}((0,S),H_{q}^{2}(\Omega)^{N})} \leq C_{N,p,q,\delta_{1}}e^{\delta_{1}S}\|(\rho_{0},\mathbf{u}_{0})\|_{D_{q,p}(\Omega)}$$

for some positive constant  $C_{N,p,q,\delta_1}$  independent of S, where  $\delta_1$  is the same constant as in Lemma 3.2.

The following lemma is also proved in [12].

**Lemma 3.4** (Maximal regularity). Let  $p, q \in (1, \infty)$  and suppose that Assumption 3.1 holds. Then, for  $(\rho_0, \mathbf{u}_0) = (0, 0)$  and for any f and  $\mathbf{g}$  with

$$f \in L_p((0, S), H_q^1(\Omega)), \quad \mathbf{g} \in L_p((0, S), L_q(\Omega)^N),$$

the system (3.4) admits a unique solution  $(\rho, \mathbf{u})$  with

$$\rho \in {}_{0}H^{1}_{p}((0,S), H^{1}_{q}(\Omega)) \cap L_{p}((0,S), H^{3}_{q}(\Omega)),$$
  
$$\mathbf{u} \in {}_{0}H^{1}_{p}((0,S), L_{q}(\Omega)^{N}) \cap L_{p}((0,S), H^{2}_{q}(\Omega)^{N}).$$

In addition, the solution  $(\rho, \mathbf{u})$  satisfies the estimate:

$$\begin{split} &\|\partial_{t}\rho\|_{L_{p}((0,S),H_{q}^{1}(\Omega))} + \|\rho\|_{L_{p}((0,S),H_{q}^{3}(\Omega))} \\ &+ \|\partial_{t}\mathbf{u}\|_{L_{p}((0,S),L_{q}(\Omega)^{N})} + \|\mathbf{u}\|_{L_{p}((0,S),H_{q}^{2}(\Omega)^{N})} \\ &\leq C_{N,p,q,\delta_{2}} e^{\delta_{2}S} \left( \|f\|_{L_{p}((0,S),H_{q}^{1}(\Omega))} + \|\mathbf{g}\|_{L_{p}((0,S),L_{q}(\Omega)^{N})} \right) \end{split}$$

for positive constants  $\delta_2$  and  $C_{N,p,q,\delta_2}$  independent of S.

#### 3.3 Local existence theorem

Let  $(p,q) \in (2,\infty) \times (N,\infty)$  and  $(\rho_0, \mathbf{u}_0) \in B_{q,p}(\Omega)^{3-2/p}(\Omega) \times B_{q,p}^{2-2/p}(\Omega)^N$  with the following conditions:

$$\mathbf{n} \cdot \nabla \rho_0 = 0, \quad \mathbf{u}_0 = 0 \quad \text{on } \Gamma,$$
 (3.5)

$$\frac{\rho_{\infty}}{2} \le \rho_0(x) + \rho_{\infty} \le 2\rho_{\infty} \quad (x \in \overline{\Omega}), \tag{3.6}$$

and let  $(\rho_*, \mathbf{u}_*) = e^{A_q t}(\rho_0, \mathbf{u}_0)$  for  $\gamma_1 = \gamma$ ,  $\gamma_2 = \widetilde{\mu}$ ,  $\gamma_3 = \widetilde{\nu}$ , and  $\gamma_4 = \gamma \kappa^{-1}$ . Then, setting  $\rho = \sigma + \rho_*$  and  $\mathbf{u} = \mathbf{v} + \mathbf{u}_*$  in (3.3), we observe that

$$\begin{cases}
\partial_{t}\sigma + \gamma \operatorname{div} \mathbf{v} = F(\sigma + \rho_{*}, \mathbf{v} + \mathbf{u}_{*}) & \text{in } \Omega \times (0, T_{0}), \\
\partial_{t}\mathbf{v} - \gamma^{-1}\kappa \operatorname{Div}(\widetilde{\mu}\mathbf{D}(\mathbf{v}) + (\widetilde{\nu} - \widetilde{\mu}) \operatorname{div} \mathbf{v}\mathbf{I} + \gamma \Delta \sigma \mathbf{I}) \\
= \gamma^{-1}G(\sigma + \rho_{*}, \mathbf{v} + \mathbf{u}_{*}) & \text{in } \Omega \times (0, T_{0}), \\
\mathbf{n} \cdot \nabla \rho = 0, \quad \mathbf{u} = 0 & \text{on } \Gamma \times (0, T_{0}), \\
(\sigma, \mathbf{v})|_{t=0} = (0, 0) & \text{in } \Omega.
\end{cases} (3.7)$$

To use the contraction mapping principle, we introduce the following notation:

• For T > 0,  ${}_{0}Z_{T} := {}_{0}Z_{T}^{1} \times {}_{0}Z_{T}^{2}$  with

$${}_{0}Z_{T}^{1} = {}_{0}H_{p}^{1}((0,T), H_{q}^{1}(\Omega)) \cap L_{p}((0,T), H_{q}^{3}(\Omega)),$$
  
$${}_{0}Z_{T}^{2} = {}_{0}H_{p}^{1}((0,T), L_{q}(\Omega)^{N}) \cap L_{p}((0,T), H_{q}^{2}(\Omega)^{N}).$$

Here the norm  $\|\cdot\|_{{}_0Z_T}$  of  ${}_0Z_T$  is given by

$$\|(\rho, \mathbf{u})\|_{0Z_{T}} = \|\rho\|_{H_{p}^{1}((0,T), H_{q}^{1}(\Omega))} + \|\rho\|_{L_{p}((0,T), H_{q}^{3}(\Omega))} + \|\mathbf{u}\|_{H_{p}^{1}((0,T), L_{q}(\Omega)^{N})} + \|\mathbf{u}\|_{L_{p}((0,T), H_{q}^{2}(\Omega)^{N})}.$$

• For T > 0 and r > 0,

$${}_{0}Z_{T}(r) := \left\{ (\tau, \mathbf{w}) \in {}_{0}Z_{T} : \|(\tau, \mathbf{w})\|_{{}_{0}Z_{T}} \le r, \right.$$
$$\frac{\rho_{\infty}}{4} \le \tau(x, t) + \rho_{*}(x, t) + \rho_{\infty} \le 4\rho_{\infty} \text{ for any } (x, t) \in \overline{\Omega} \times [0, T] \right\}.$$

Let  $(\tau, \mathbf{w}) \in {}_{0}Z_{T}(L)$  for a suitable positive number L and for T > 0, and replace  $(\sigma, \mathbf{v})$  by  $(\tau, \mathbf{w})$  in the right-hand sides of (3.7). Then, by the maximal regularity stated in Lemma 3.4, we can define a contraction mapping  $\Phi : {}_{0}Z_{T}(L) \ni (\tau, \mathbf{w}) \mapsto (\sigma, \mathbf{v}) \in {}_{0}Z_{T}(L)$  for a sufficiently small  $T \in (0, T_{0})$ . We thus obtain by the contraction mapping principle a local existence theorem in the maximal regularity class as follows:

**Theorem 3.5.** Assume that  $\Omega$  is a uniform  $C^3$  domain in  $\mathbf{R}^N$ ,  $N \geq 2$ , with boundary  $\Gamma$ . Let  $(p,q) \in (2,\infty) \times (N,\infty)$ , and let R be an arbitrary positive number. Then there exist positive constants L and  $T \in (0,T_0)$  such that, for any  $(\rho_0, \mathbf{u}_0) \in B_{q,p}^{3-2/p}(\Omega) \times B_{q,p}^{2-2/p}(\Omega)^N$  satisfying  $\|(\rho_0,\mathbf{u}_0)\|_{B_{q,p}(\Omega)^{3-2/p}(\Omega) \times B_{q,p}^{2-2/p}(\Omega)^N} \leq R$  with (3.5) and (3.6), the system (3.7) admits a unique solution  $(\sigma,\mathbf{v})$  on (0,T) in  ${}_0Z_T(L)$ .

# 4 Global solvability

Throughout this section, we assume

**Assumption 4.1.** (1) The domain  $\Omega$  is a bounded domain in  $\mathbb{R}^N$ ,  $N \geq 2$ , with  $C^3$  boundary  $\Gamma$ .

(2) 
$$P'(\rho_{\infty}) > 0$$
.

#### 4.1 Linearization

Let  $\mu_{\infty} = \mu/\rho_{\infty}$ ,  $\nu_{\infty} = \nu/\rho_{\infty}$ , and  $\gamma_{\infty} = \rho_{\infty}^{-1} P'(\rho_{\infty})$ . Then we rewrite (3.1) and (3.2) as follows:

$$\partial_t \rho + \rho_\infty \operatorname{div} \mathbf{u} = -\operatorname{div}(\rho \mathbf{u}) =: \mathsf{F}(\rho, \mathbf{u})$$

and

$$\partial_{t}\mathbf{u} - \operatorname{Div}(\mu_{\infty}\mathbf{D}(\mathbf{u}) + (\nu_{\infty} - \mu_{\infty})\operatorname{div}\mathbf{u}\mathbf{I} + \kappa\Delta\rho\mathbf{I}) + \gamma_{\infty}\nabla\rho$$

$$= \rho_{\infty}^{-1} \left\{ -\rho\partial_{t}\mathbf{u} - (\rho + \rho_{\infty})\mathbf{u} \cdot \nabla\mathbf{u} \right.$$

$$+ \operatorname{Div}(\mathbf{K}(\rho + \rho_{\infty}) - \kappa\rho_{\infty}\Delta\rho\mathbf{I}) - (P'(\rho + \rho_{\infty}) - P'(\rho_{\infty}))\nabla\rho \right\}$$

$$=: \mathsf{G}(\rho, \mathbf{u}).$$

Thus we have achieved the following equivalent system of (1.1) with  $T_0 = \infty$ :

$$\begin{cases}
\partial_{t}\rho + \rho_{\infty} \operatorname{div} \mathbf{u} = \mathsf{F}(\rho, \mathbf{u}) & \text{in } \Omega \times (0, \infty), \\
\partial_{t}\mathbf{u} - \operatorname{Div}(\mu_{\infty}\mathbf{D}(\mathbf{u}) + (\nu_{\infty} - \mu_{\infty}) \operatorname{div} \mathbf{u}\mathbf{I} + \kappa\Delta\rho\mathbf{I}) + \gamma_{\infty}\nabla\rho = \mathsf{G}(\rho, \mathbf{u}) & \text{in } \Omega \times (0, \infty), \\
\mathbf{n} \cdot \nabla\rho = 0, \quad \mathbf{u} = 0 & \text{on } \Gamma \times (0, \infty), \\
(\rho, \mathbf{u})|_{t=0} = (\rho_{0}, \mathbf{u}_{0}) & \text{in } \Omega.
\end{cases}$$
(4.1)

#### 4.2 Linearized problem

We consider a linearized problem associated with (4.1) as follows:

$$\begin{cases}
\partial_{t}\rho + \rho_{\infty} \operatorname{div} \mathbf{u} = f & \text{in } \Omega \times (0, \infty), \\
\partial_{t}\mathbf{u} - \operatorname{Div}(\mu_{\infty}\mathbf{D}(\mathbf{u}) + (\nu_{\infty} - \mu_{\infty}) \operatorname{div} \mathbf{u}\mathbf{I} + \kappa \Delta \rho \mathbf{I}) + \gamma_{\infty} \nabla \rho = \mathbf{g} & \text{in } \Omega \times (0, \infty), \\
\mathbf{n} \cdot \nabla \rho = 0, \quad \mathbf{u} = 0 & \text{on } \Gamma \times (0, \infty), \\
(\rho, \mathbf{u})|_{t=0} = (\rho_{0}, \mathbf{u}_{0}) & \text{in } \Omega.
\end{cases} (4.2)$$

To construct an analytic  $C_0$ -semigroups associated with (4.2), we set for  $q \in (1, \infty)$ 

$$\mathsf{H}^1_q(\Omega) = \left\{ \rho \in H^1_q(\Omega) : \int_{\Omega} \rho \, dx = 0 \right\}, \quad \mathsf{X}_q = \mathsf{H}^1_q(\Omega) \times L_q(\Omega)^N,$$

and also

$$\mathsf{H}_q^3(\Omega) = H_q^3(\Omega) \cap \mathsf{H}_q^1(\Omega).$$

Their norms are given by

$$\|\rho\|_{\mathsf{H}_{q}^{1}(\Omega)} = \|\rho\|_{H_{q}^{1}(\Omega)}, \quad \|(\rho, \mathbf{u})\|_{\mathsf{H}_{q}^{1}(\Omega) \times L_{q}(\Omega)^{N}} = \|\rho\|_{H_{q}^{1}(\Omega)} + \|\mathbf{u}\|_{L_{q}(\Omega)^{N}},$$
$$\|\rho\|_{\mathsf{H}_{q}^{3}(\Omega)} = \|\rho\|_{H_{q}^{3}(\Omega)}.$$

In addition, an operator  $A_q$  is defined by

$$\mathsf{A}_q(\rho, \mathbf{u}) = (-\rho_\infty \operatorname{div} \mathbf{u}, \operatorname{Div}(\mu_\infty \mathbf{D}(\mathbf{u}) + (\nu_\infty - \mu_\infty) \operatorname{div} \mathbf{u} \mathbf{I} + \kappa \Delta \rho \mathbf{I}) + \gamma_\infty \nabla \rho),$$

with the domain  $D(A_q)$ :

$$\mathsf{D}(\mathsf{A}_q) = \{ (\rho, \mathbf{u}) \in \mathsf{H}_q^3(\Omega) \times H_q^2(\Omega)^N : \mathbf{n} \cdot \nabla \rho = 0, \ \mathbf{u} = 0 \text{ on } \Gamma \}.$$

Note that  $D(A_q) \subset X_q$  and  $A_q : D(A_q) \to X_q$ .

Now we introduce the generation of an analytic  $C_0$ -semigroup  $\{e^{A_q t}\}_{t\geq 0}$  on  $X_q$  and its exponential stability. To this end, we consider the following resolvent problem:

$$\begin{cases} \lambda \rho + \rho_{\infty} \operatorname{div} \mathbf{u} = f & \text{in } \Omega, \\ \lambda \mathbf{u} - \operatorname{Div}(\mu_{\infty} \mathbf{D}(\mathbf{u}) + (\nu_{\infty} - \mu_{\infty}) \operatorname{div} \mathbf{u} \mathbf{I} + \kappa \Delta \rho \mathbf{I}) + \gamma_{\infty} \nabla \rho = \mathbf{g} & \text{in } \Omega, \\ \mathbf{n} \cdot \nabla \rho = 0, \quad \mathbf{u} = 0 & \text{on } \Gamma, \end{cases}$$
(4.3)

where  $\lambda$  is the resolvent parameter varying in  $\mathbf{C}_{+,\delta} = \{z \in \mathbf{C} : \Re z > \delta\}$  for  $\delta \in \mathbf{R}$ . By [12] and a small perturbation method, we have

**Lemma 4.2.** Let  $q \in (1, \infty)$  and suppose that Assumption 4.1 holds. Then there exists a positive number  $\delta_3$  such that, for any  $\lambda \in \mathbf{C}_{+,\delta_3}$  and  $(f, \mathbf{g}) \in \mathsf{X}_q$ , the system (4.3) admits a unique solution  $(\rho, \mathbf{u}) \in \mathsf{H}_q^3(\Omega) \times H_q^2(\Omega)^N$ . In addition, the solution  $(\rho, \mathbf{u})$  satisfies the estimate:

$$\|\lambda\|\|(\rho, \mathbf{u})\|_{\mathsf{X}_q} + \|(\rho, \mathbf{u})\|_{\mathsf{H}^3_q(\Omega) \times H^2_q(\Omega)^N} \le C_{N, q, \delta_3} \|(f, \mathbf{g})\|_{\mathsf{X}_q}$$

for some positive constant  $C_{N,q,\delta_3}$  independent of  $\lambda \in \mathbf{C}_{+,\delta_3}$ .

Let  $\overline{\mathbf{C}_{+}} = \overline{\mathbf{C}_{+,0}} = \{z \in \mathbf{C} : \Re z \geq 0\}$ . Combining Lemma 4.2 with a homotopic argument<sup>2</sup> and the closed graph theorem then yields

<sup>&</sup>lt;sup>2</sup>We refer e.g. to [3, Section 7].

**Lemma 4.3.** Let  $q \in (1, \infty)$  and suppose that Assumption 4.1 holds. Then, for any  $\lambda \in \overline{\mathbf{C}_+}$  and  $(f, \mathbf{g}) \in \mathsf{X}_q$ , the system (4.3) admits a unique solution  $(\rho, \mathbf{u}) \in \mathsf{H}_q^3(\Omega) \times H_q^2(\Omega)^N$ . In addition, the solution satisfies the estimate:

$$\|\lambda\|\|(\rho,\mathbf{u})\|_{X_q} + \|(\rho,\mathbf{u})\|_{H^3_q(\Omega)\times H^2_q(\Omega)^N} \le C_{N,q}\|(f,\mathbf{g})\|_{X_q}$$

for some positive constant  $C_{N,q}$  independent of  $\lambda \in \overline{\mathbf{C}_+}$ .

By Lemma 4.3 and the standard theory of analytic  $C_0$ -semigroups, we have

**Lemma 4.4.** Let  $q \in (1, \infty)$  and suppose that Assumption 4.1 holds. Then  $A_q$  generates an analytic  $C_0$ -semigroup  $\{e^{A_q t}\}_{t\geq 0}$  on  $X_q$ . In addition, there there exist constants  $\delta_4 \in (0,1)$  and  $C_{N,q,\delta_4} > 0$  such that for any t > 0

$$\begin{aligned} &\|e^{\mathsf{A}_{q}t}(\rho_{0},\mathbf{u}_{0})\|_{\mathsf{X}_{q}} \leq C_{N,q,\delta_{4}}e^{-2\delta_{4}t}\|(\rho_{0},\mathbf{u}_{0})\|_{\mathsf{X}_{q}} & ((\rho_{0},\mathbf{u}_{0})\in\mathsf{X}_{q}),\\ &\|\partial_{t}e^{\mathsf{A}_{q}t}(\rho_{0},\mathbf{u}_{0})\|_{\mathsf{X}_{q}} \leq C_{N,q,\delta_{4}}e^{-2\delta_{4}t}t^{-1}\|(\rho_{0},\mathbf{u}_{0})\|_{\mathsf{X}_{q}} & ((\rho_{0},\mathbf{u}_{0})\in\mathsf{X}_{q}),\\ &\|\partial_{t}e^{\mathsf{A}_{q}t}(\rho_{0},\mathbf{u}_{0})\|_{\mathsf{X}_{q}} \leq C_{N,q,\delta_{4}}e^{-2\delta_{4}t}\|(\rho_{0},\mathbf{u}_{0})\|_{\mathsf{D}(\mathsf{A}_{q})} & ((\rho_{0},\mathbf{u}_{0})\in\mathsf{D}(\mathsf{A}_{q})), \end{aligned}$$

where  $\|\cdot\|_{\mathsf{D}(\mathsf{A}_q)}$  denotes the graph norm of  $\mathsf{A}_q$ .

Similarly to [13], we have by setting  $D_{q,p}(\Omega) = (X_q, D(A_q))_{1-1/p,p}$ 

**Lemma 4.5.** Let  $p, q \in (1, \infty)$  and suppose that Assumption 4.1 holds. Then, for any  $(\rho, \mathbf{u}) \in \mathsf{D}_{q,p}(\Omega)$ ,  $(\rho, \mathbf{u}) = e^{\mathsf{A}_q t}(\rho_0, \mathbf{u}_0)$  is a unique solution to the system (4.2) under the condition of  $(f, \mathbf{g}) = (0, 0)$ . In addition,

$$||e^{\delta_4 t} \partial_t \rho||_{L_p((0,\infty),\mathsf{H}_q^1(\Omega))} + ||e^{\delta_4 t} \rho||_{L_p((0,\infty),\mathsf{H}_q^3(\Omega))} + ||e^{\delta_4 t} \partial_t \mathbf{u}||_{L_p((0,\infty),L_q(\Omega)^N)} + ||e^{\delta_4 t} \mathbf{u}||_{L_p((0,\infty),H_q^2(\Omega)^N)} \leq C_{N,p,q,\delta_4} ||(\rho_0,\mathbf{u}_0)||_{\mathsf{D}_{q,p}(\Omega)}$$

for some positive constant  $C_{N,p,q,\delta_4}$ , where  $\delta_4$  is the same constant as in Lemma 4.4.

Next, we introduce a maximal regularity with exponential stability for (4.2). To this end, we start with the standard maximal regularity following from [12] with a small perturbation method as follows:

**Lemma 4.6.** Let  $p, q \in (1, \infty)$  and suppose that Assumption 4.1 holds. Then there exists a positive number  $\delta_5$  such that, for  $(\rho_0, \mathbf{u}_0) = (0, 0)$  and for any f and  $\mathbf{g}$  with

$$e^{-\delta_5 t} f \in L_p((0,\infty), \mathsf{H}_q^1(\Omega)), \quad e^{-\delta_5 t} \mathbf{g} \in L_p((0,\infty), L_q(\Omega)^N),$$

the system (4.2) admits a unique solution  $(\rho, \mathbf{u})$  with

$$\rho \in H^1_{p,\mathrm{loc}}((0,\infty),\mathsf{H}^1_q(\Omega)) \cap L_{p,\mathrm{loc}}((0,\infty),\mathsf{H}^3_q(\Omega)),$$

$$\mathbf{u} \in H^1_{p,\mathrm{loc}}((0,\infty), L_q(\Omega)^N) \cap L_{p,\mathrm{loc}}((0,\infty), H^2_q(\Omega)^N).$$

In addition, the solution  $(\rho, \mathbf{u})$  satisfies the estimate:

$$\begin{aligned} &\|e^{-\delta_5 t} \partial_t \rho\|_{L_p((0,\infty),\mathsf{H}_q^1(\Omega))} + \|e^{-\delta_5 t} \rho\|_{L_p((0,\infty),\mathsf{H}_q^3(\Omega))} \\ &+ \|e^{-\delta_5 t} \partial_t \mathbf{u}\|_{L_p((0,\infty),L_q(\Omega)^N)} + \|e^{-\delta_5 t} \mathbf{u}\|_{L_p((0,\infty),H_q^2(\Omega)^N)} \\ &\leq C_{N,p,q,\delta_5} \left( \|e^{-\delta_5 t} f\|_{L_p((0,\infty),\mathsf{H}_q^1(\Omega))} + \|e^{-\delta_5 t} \mathbf{g}\|_{L_p((0,\infty),L_q(\Omega)^N)} \right) \end{aligned}$$

for some positive constant  $C_{N,p,q,\delta_5}$ .

Similarly to [11], we can prove by Lemmas 4.4 and 4.6

**Lemma 4.7** (Maximal regularity with exponential stability). Let  $p, q \in (1, \infty)$  and suppose that Assumption 4.1 holds. Then there exists a positive number  $\delta_6 \in (0, 1)$  such that, for  $(\rho_0, \mathbf{u}_0) = (0, 0)$  and for any f and  $\mathbf{g}$  with

$$e^{\delta_6 t} f \in L_p((0,\infty), \mathsf{H}_q^1(\Omega)), \quad e^{\delta_6 t} \mathbf{g} \in L_p((0,\infty), L_q(\Omega)^N),$$

the system (4.2) admits a unique solution  $(\rho, \mathbf{u})$  with

$$\rho \in {}_{0}H^{1}_{p}((0,\infty),\mathsf{H}^{1}_{q}(\Omega)) \cap L_{p}((0,\infty),\mathsf{H}^{3}_{q}(\Omega)),$$
  
$$\mathbf{u} \in {}_{0}H^{1}_{p}((0,\infty),L_{q}(\Omega)^{N}) \cap L_{p}((0,\infty),H^{2}_{q}(\Omega)^{N}).$$

In addition, the solution  $(\rho, \mathbf{u})$  satisfies the estimate:

$$\begin{split} & \|e^{\delta_{6}t}\partial_{t}\rho\|_{L_{p}((0,\infty),\mathsf{H}_{q}^{1}(\Omega))} + \|e^{\delta_{6}t}\rho\|_{L_{p}((0,\infty),\mathsf{H}_{q}^{3}(\Omega))} \\ & + \|e^{\delta_{6}t}\partial_{t}\mathbf{u}\|_{L_{p}((0,\infty),L_{q}(\Omega)^{N})} + \|e^{\delta_{6}t}\mathbf{u}\|_{L_{p}((0,\infty),H_{q}^{2}(\Omega)^{N})} \\ & \leq C_{N,p,q,\delta_{6}} \left( \|e^{\delta_{6}t}f\|_{L_{p}((0,\infty),\mathsf{H}_{q}^{1}(\Omega))} + \|e^{\delta_{6}t}\mathbf{g}\|_{L_{p}((0,\infty),L_{q}(\Omega)^{N})} \right) \end{split}$$

for some positive constant  $C_{N,p,q,\delta_6}$ .

#### 4.3 Global existence theorem

Let  $p, q \in (2, \infty) \times (N, \infty)$  and  $(\rho_0, \mathbf{u}_0) \in \mathsf{D}_{q,p}(\Omega)$  with  $\|(\rho_0, \mathbf{u}_0)\|_{\mathsf{D}_{q,p}(\Omega)} \leq \varepsilon_1$  for some positive number  $\varepsilon_1 \in (0,1)$  determined below, and let  $(\rho_*, \mathbf{u}_*) = e^{\mathsf{A}_t t}(\rho_0, \mathbf{u}_0)$ . Then, setting  $\rho = \sigma + \rho_*$  and  $\mathbf{u} = \mathbf{v} + \mathbf{u}_*$  in (4.1), we observe that

ting 
$$\rho = \sigma + \rho_*$$
 and  $\mathbf{u} = \mathbf{v} + \mathbf{u}_*$  in (4.1), we observe that
$$\begin{cases}
\partial_t \sigma + \rho_\infty \operatorname{div} \mathbf{v} = \mathsf{F}(\sigma + \rho_*, \mathbf{v} + \mathbf{u}_*) & \text{in } \Omega \times (0, \infty), \\
\partial_t \mathbf{v} - \operatorname{Div}(\mu_\infty \mathbf{D}(\mathbf{v}) + (\nu_\infty - \mu_\infty) \operatorname{div} \mathbf{v} \mathbf{I} + \kappa \Delta \sigma \mathbf{I}) + \gamma_\infty \nabla \sigma \\
&= \mathsf{G}(\sigma + \rho_*, \mathbf{v} + \mathbf{u}_*) & \text{in } \Omega \times (0, \infty), \\
\mathbf{n} \cdot \nabla \sigma = 0, \quad \mathbf{v} = 0 & \text{on } \Gamma \times (0, \infty), \\
(\sigma, \mathbf{v})|_{t=0} = (0, 0) & \text{in } \Omega.
\end{cases}$$
(4.4)

To use the contraction mapping principle, we introduce the following notation:

•  $_0Z_{\infty} := {_0Z_{\infty}^1} \times {_0Z_{\infty}^2}$  with

$${}_{0}Z_{\infty}^{1} = {}_{0}H_{p}^{1}((0, \infty), H_{p}^{1}(\Omega)) \cap L_{p}((0, \infty), H_{q}^{3}(\Omega)),$$
  
$${}_{0}Z_{\infty}^{2} = {}_{0}H_{p}^{1}((0, \infty), L_{q}(\Omega)^{N}) \cap L_{p}((0, \infty), H_{q}^{2}(\Omega)^{N}).$$

In addition, for  $\delta > 0$ ,

$$\|(\rho, \mathbf{u})\|_{0Z_{\infty}^{\delta}} := \|e^{\delta t} \partial_{t} \rho\|_{L_{p}((0, \infty), H_{q}^{1}(\Omega))} + \|e^{\delta t} \rho\|_{L_{p}((0, \infty), H_{q}^{3}(\Omega))} + \|e^{\delta t} \partial_{t} \mathbf{u}\|_{L_{p}((0, \infty), L_{q}(\Omega)^{N})} + \|e^{\delta t} \rho\|_{L_{p}((0, \infty), H_{q}^{2}(\Omega)^{N})}.$$

• For  $\delta > 0$  and r > 0,

$${}_{0}Z_{\infty}^{\delta}(r) := \left\{ (\tau, \mathbf{w}) \in {}_{0}Z_{\infty} : \|(\tau, \mathbf{w})\|_{{}_{0}Z_{\infty}^{\delta}} \le r, \ \mathbf{w} = 0 \text{ on } \Gamma, \right.$$
$$\frac{\rho_{\infty}}{4} \le \tau(x, t) + \rho_{*}(x, t) + \rho_{\infty} \le 4\rho_{\infty} \text{ for any } (x, t) \in \overline{\Omega} \times [0, \infty) \right\}.$$

Let  $(\tau, \mathbf{w}) \in {}_{0}Z_{\infty}^{\delta}(\varepsilon_{2})$  for a suitable positive number  $\delta \in (0, 1)$  and for  $\varepsilon_{2} \in (0, 1)$ , and replace  $(\sigma, \mathbf{v})$  by  $(\tau, \mathbf{w})$  in the right-hand sides of (4.4). Then, by the maximal regularity with exponential stability stated in Lemma 4.7, we can define a contraction mapping  $\Phi : {}_{0}Z_{\infty}^{\delta}(\varepsilon_{2}) \ni (\tau, \mathbf{w}) \mapsto (\sigma, \mathbf{v}) \in {}_{0}Z_{\infty}^{\delta}(\varepsilon_{2})$  for sufficiently small positive numbers  $\varepsilon_{1}$  and  $\varepsilon_{2}$ . We thus obtain by the contraction mapping principle a global existence theorem in the maximal regularity class as follows:

**Theorem 4.8.** Let  $(p,q) \in (2,\infty) \times (N,\infty)$  and suppose that Assumption 4.1 holds. Then there exist positive numbers  $\delta$ ,  $\varepsilon_1$ , and  $\varepsilon_2$  such that, for any  $(\rho_0, \mathbf{u}_0) \in \mathsf{D}_{q,p}(\Omega)$  with  $\|(\rho_0, \mathbf{u}_0)\|_{\mathsf{D}_{q,p}(\Omega)} \leq \varepsilon_1$ , the system (4.4) admits a unique global solution  $(\sigma, \mathbf{v})$  in  ${}_0Z_{\infty}^{\delta}(\varepsilon_2)$ .

#### References

- [1] R. Danchin and B. Desjardins, Existence of solutions for compressible fluid models of Korteweg type, Ann. Inst. H. Poincaré Anal. Non Linéaire, 18 (2001), 97–133.
- [2] J. E. Dunn and J. Serrin, On the thermomechanics of interstitial working, Arch. Rational Mech. Anal., 88 (1985), 95–133.
- [3] Y. Enomoto and Y. Shibata, On the  $\mathcal{R}$ -sectoriality and the initial boundary value problem for the viscous compressible fluid flow, Funkcial. Ekvac., **56** (2013), 441–505.
- [4] B. Haspot, Existence of global weak solution for compressible fluid models of Korteweg type, J. Math. Fluid Mech., **13** (2011), 223–249.
- [5] H. Hattori and D. Li, Solutions for two-dimensional system for materials of Korteweg type, SIAM J. Math. Anal., **25** (1994), 85–98.

- [6] M. Kotschote, Strong solutions for a compressible fluid model of Korteweg type, Ann. Inst. H. Poincaré Anal. Non Linéaire, 25 (2008), 679–696.
- [7] M. Kotschote, Strong well-posedness for a Korteweg-type model for the dynamics of a compressible non-isothermal fluid, J. Math. Fluid Mech., **12** (2010), 473–484.
- [8] M. Kotschote, Dynamics of compressible non-isothermal fluids of non-Newtonian Korteweg type, SIAM J. Math. Anal., 44 (2012), 74–101.
- [9] M. Kotschote, Existence and time-asymptotics of global strong solutions to dynamic Korteweg models, Indiana Univ. Math. J., **63** (2014), 21–51.
- [10] J. Liu, C. M. Landis, H. Gomez, and T. J. R. Hughes, Liquid-vapor phase transition: thermomechanical theory, entropy stable numerical formulation, and boiling simulations, Comput. Methods Appl. Mech. Engrg., 297 (2015), 476–553.
- [11] H. Saito, Global solvability of the Navier-Stokes equations with a free surface in the maximal  $L_p$ - $L_q$  regularity class, J. Differential Equations, **264** (2018), 1475–1520.
- [12] H. Saito, Maximal regularity for a compressible fluid model of Korteweg type on general domains, submitted.
- [13] Y. Shibata and S. Shimizu, On the  $L_p$ - $L_q$  maximal regularity of the Neumann problem for the Stokes equations in a bounded domain, J. Reine Angew. Math., **615** (2008), 157–209.
- [14] H. Tanabe, Funactional Analytic Methods for Partial Differential Equations, Monographs and Textbooks in Pure and Applied Mathematics, 204, Marchel Dekker, Inc., New York, 1997.
- [15] Y. Wang and Z. Tan, Optimal decay rates for the compressible fluid models of Korteweg type, J. Math. Anal. Appl., **379** (2011), 256–271.

Faculty of Industrial Science and Technology Tokyo University of Science Hokkaido 049-3514 JAPAN

E-mail address: hsaito@rs.tus.ac.jp