

# On the Structure of Hrushovski's Pseudoplanes Associated to Irrational Numbers

Hiroataka Kikyo  
Graduate School of System Informatics  
Kobe University

## Abstract

Let  $\alpha$  be an irrational number, and  $a/b$  a reduced fraction. Suppose  $2/3 < \alpha < a/b < 3/4$  and  $b$  is sufficiently large. Let  $B$  be a canonical twig for  $a/b$  and  $A$  the set of all leaves in  $B$ . Let  $p \in B$  be a good vertex of  $B$  over  $A$ . Let  $M$  be the generic structure for  $(\mathbf{K}_f, <)$  where  $f$  is the Hrushovski's log-like function associated to  $\alpha$ . Assume that  $B$  is a closed subset of  $M$ . Let  $D$  be the orbit of  $p$  over  $A$  in  $M$ . Then  $M = \text{cl}(D)$ . Actually, we can prove this only assuming  $0 < \alpha < a/b < 1$ .

## 1 Introduction

We show that Hrushovski's pseudoplanes associated irrational numbers introduced in his 1988 preprint [6] is a closure of an orbit of some point  $p$  over some finite set  $A$ . The "rank" of the type of  $p$  over  $A$  can be arbitrarily small positive real number. This statement is a weaker version of the monodimensionality introduced by D. Evans, Z. Ghadernezhad, and K. Tent [4].

In this paper, we assume that the irrational number  $\alpha$  satisfies  $2/3 < \alpha < 3/4$  instead of  $1/2 < \alpha < 2/3$  assumed in Hrushovski's preprint [6]. With little modification, we can prove the same statement assuming  $1/2 < \alpha < 2/3$ , or even  $0 < \alpha < 1$ . We essentially use notation and terminology from Baldwin-Shi [2] and Wagner [15]. We also use some terminology from graph theory [3].

For a set  $X$ ,  $[X]^n$  denotes the set of all subsets of  $X$  of size  $n$ , and  $|X|$  the cardinality of  $X$ .

We recall some of the basic notions in graph theory we use in this paper. These appear in [3]. Let  $G$  be a graph.  $V(G)$  denotes the set of vertices of  $G$ . Vertices will be also called *points*.  $E(G)$  is the set of edges of  $G$ .  $E(G)$  is a subset of  $[V(G)]^2$ .  $|G|$  denotes  $|V(G)|$  and  $e(G)$  denotes  $|E(G)|$ . The *degree* of a vertex  $v$  is the number of edges at  $v$ . A vertex of degree 1 is a *leaf*.  $G$  is a *path*  $x_0x_1\dots x_k$  if  $V(G) = \{x_0, x_1, \dots, x_k\}$  and  $E(G) = \{x_0x_1, x_1x_2, \dots, x_{k-1}x_k\}$  where the  $x_i$  are all distinct.  $x_0$  and  $x_k$  are *ends* of  $G$ . The number of edges of a path is its *length*. A path of length 0 is a single vertex.  $G$  is a *cycle*  $x_0x_1\dots x_{k-1}x_0$  if  $k \geq 3$ ,  $V(G) = \{x_0, x_1, \dots, x_{k-1}\}$  and  $E(G) = \{x_0x_1, x_1x_2, \dots, x_{k-2}x_{k-1}, x_{k-1}x_0\}$  where the  $x_i$  are all distinct. The number of edges of a cycle is its *length*. A non-empty graph  $G$  is *connected* if any two of its vertices are linked by a path in  $G$ . A *connected component* of a graph  $G$  is a maximal connected subgraph of  $G$ . A *forest* is a graph not containing any cycles. A *tree* is a connected forest.

To see a graph  $G$  as a structure in the model theoretic sense, it is a structure in language  $\{E\}$  where  $E$  is a binary relation symbol.  $V(G)$  will be the universe, and  $E(G)$  will be the interpretation of  $E$ . The language  $\{E\}$  will be called *the graph language*.

Suppose  $A$  is a graph. If  $X \subseteq V(A)$ ,  $A|X$  denotes the substructure  $B$  of  $A$  such that  $V(B) = X$ . If there is no ambiguity,  $X$  denotes  $A|X$ . We usually follow this convention.  $B \subseteq A$  means that  $B$  is a substructure of  $A$ . A substructure of a graph is an induced subgraph in graph theory.  $A|X$  is the same as  $A[X]$  in Diestel's book [3].

Let  $A, B, C$  be graphs such that  $A \subseteq C$  and  $B \subseteq C$ .  $AB$  denotes  $C|(V(A) \cup V(B))$ ,  $A \cap B$  denotes  $C|(V(A) \cap V(B))$ , and  $A - B$  denotes  $C|(V(A) - V(B))$ . If  $A \cap B = \emptyset$ ,  $E(A, B)$  denotes the set of edges  $xy$  such that  $x \in A$  and  $y \in B$ . We put  $e(A, B) = |E(A, B)|$ .  $E(A, B)$  and  $e(A, B)$  depend on the graph in which we are working.

Let  $D$  be a graph and  $A, B$ , and  $C$  substructures of  $D$ . We write  $D = B \otimes_A C$  if  $D = BC$ ,  $B \cap C = A$ , and  $E(D) = E(B) \cup E(C)$ .  $E(D) = E(B) \cup E(C)$  means that there are no edges between  $B - A$  and  $C - A$ .  $D$  is called a *free amalgam of  $B$  and  $C$  over  $A$* . If  $A$  is empty, we write  $D = B \otimes C$ , and  $D$  is also called a *free amalgam of  $B$  and  $C$* .

**Definition 1.1.** Let  $\alpha$  be a real number such that  $0 < \alpha < 1$ .

- (1) For a finite graph  $A$ , we define a predimension function  $\delta$  by  $\delta(A) = |A| - e(A)\alpha$ .
- (2) Let  $A$  and  $B$  be substructures of a common graph. Put  $\delta(A/B) = \delta(AB) - \delta(B)$ .

**Definition 1.2.** Let  $A$  and  $B$  be graphs with  $A \subseteq B$ , and suppose  $A$  is finite.

$A < B$  if whenever  $A \subsetneq X \subseteq B$  with  $X$  finite then  $\delta(A) < \delta(X)$ .

We say that  $A$  is *closed* in  $B$  if  $A < B$ . We also say that  $B$  is a *strong* extension of  $A$ .

We say that  $A$  is *almost closed* in  $B$ , written  $A <^- B$ , if whenever  $A \subsetneq X \subsetneq B$  with  $X$  finite then  $\delta(A) < \delta(X)$ .

Let  $\mathbf{K}_\alpha$  be the class of all finite graphs  $A$  such that  $\emptyset < A$ .

Some facts about  $<$  appear in [2, 15, 16]. Some proofs are given in [11].

**Fact 1.3.** Let  $A$  and  $B$  be disjoint substructures of a common graph. Then  $\delta(A/B) = \delta(A) + e(A, B)$ .

**Fact 1.4.** If  $A < B \subseteq D$  and  $C \subseteq D$  then  $A \cap C < B \cap C$ .

**Fact 1.5.** Let  $D = B \otimes_A C$ .

- (1)  $\delta(D/A) = \delta(B/A) + \delta(C/A)$ .
- (2) If  $A < C$  then  $B < D$ .
- (3) If  $A < B$  and  $A < C$  then  $A < D$ .

Let  $B, C$  be graphs and  $g : B \rightarrow C$  a graph embedding.  $g$  is a *closed embedding* of  $B$  into  $C$  if  $g(B) < C$ . Let  $A$  be a graph with  $A \subseteq B$  and  $A \subseteq C$ .  $g$  is a *closed embedding over  $A$*  if  $g$  is a closed embedding and  $g(x) = x$  for any  $x \in A$ .

In the rest of the paper,  $\mathbf{K}$  denotes a class of finite graphs closed under isomorphisms.

**Definition 1.6.** Let  $\mathbf{K}$  be a subclass of  $\mathbf{K}_\alpha$ .  $(\mathbf{K}, <)$  has the *amalgamation property* if for any finite graphs  $A, B, C \in \mathbf{K}$ , whenever  $g_1 : A \rightarrow B$  and  $g_2 : A \rightarrow C$  are closed embeddings then there is a graph  $D \in \mathbf{K}$  and closed embeddings  $h_1 : B \rightarrow D$  and  $h_2 : C \rightarrow D$  such that  $h_1 \circ g_1 = h_2 \circ g_2$ .

$\mathbf{K}$  has the *hereditary property* if for any finite graphs  $A, B$ , whenever  $A \subseteq B \in \mathbf{K}$  then  $A \in \mathbf{K}$ .

$\mathbf{K}$  is an *amalgamation class* if  $\emptyset \in \mathbf{K}$  and  $\mathbf{K}$  has the hereditary property and the amalgamation property.

A countable graph  $M$  is a *generic structure* of  $(\mathbf{K}, <)$  if the following conditions are satisfied:

- (1) If  $A \subseteq M$  and  $A$  is finite then there exists a finite graph  $B \subseteq M$  such that  $A \subseteq B < M$ .

(2) If  $A \subseteq M$  then  $A \in \mathbf{K}$ .

(3) For any  $A, B \in \mathbf{K}$ , if  $A < M$  and  $A < B$  then there is a closed embedding of  $B$  into  $M$  over  $A$ .

Let  $A$  be a finite structure of  $M$ . There is a smallest  $B$  satisfying  $A \subseteq B < M$ , written  $\text{cl}(A)$ . The set  $\text{cl}(A)$  is called the *closure* of  $A$  in  $M$ .

**Fact 1.7** ([2, 15, 16]). *Let  $(\mathbf{K}, <)$  be an amalgamation class. Then there is a generic structure of  $(\mathbf{K}, <)$ . Let  $M$  be a generic structure of  $(\mathbf{K}, <)$ . Then any isomorphism between finite closed substructures of  $M$  can be extended to an automorphism of  $M$ .*

**Definition 1.8.** Let  $\mathbf{K}$  be a subclass of  $\mathbf{K}_\alpha$ .  $(\mathbf{K}, <)$  has the *free amalgamation property* if whenever  $D = B \otimes_A C$  with  $B, C \in \mathbf{K}$ ,  $A < B$  and  $A < C$  then  $D \in \mathbf{K}$ .

By Fact 1.5 (2), we have the following.

**Fact 1.9.** *Let  $\mathbf{K}$  be a subclass of  $\mathbf{K}_\alpha$ . If  $(\mathbf{K}, <)$  has the free amalgamation property then it has the amalgamation property.*

**Definition 1.10.** Let  $\mathbb{R}^+$  be the set of non-negative real numbers. Suppose  $f : \mathbb{R}^+ \rightarrow \mathbb{R}^+$  is a strictly increasing concave (convex upward) unbounded function. Assume that  $f(0) = 0$ , and  $f(1) \leq 1$ . We assume that  $f$  is piecewise smooth.  $f'_+(x)$  denotes the right-hand derivative at  $x$ . We have  $f(x+h) \leq f(x) + f'_+(x)h$  for  $h > 0$ . Define  $\mathbf{K}_f$  as follows:

$$\mathbf{K}_f = \{A \in \mathbf{K}_\alpha \mid B \subseteq A \Rightarrow \delta(B) \geq f(|B|)\}.$$

Note that if  $\mathbf{K}_f$  is an amalgamation class then the generic structure of  $(\mathbf{K}_f, <)$  has a countably categorical theory [16].

A graph  $X$  is *normal to  $f$*  if  $\delta(X) \geq f(|X|)$ . A graph  $A$  belongs to  $\mathbf{K}_f$  if and only if  $U$  is normal to  $f$  for any substructure  $U$  of  $A$ .

## 2 Hrushovski's Log-like Functions

**Definition 2.1.** Let  $\alpha$  be a positive real number.  $x$  is called a *best approximation of  $\alpha$  strictly from above with a denominator at most  $n$*  if  $x$  is a smallest rational number  $r$  such that  $r = k/d > \alpha$  with  $d \leq n$  where  $k$  and  $d$  are positive integers.

**Definition 2.2** ([6]). Let  $\alpha$  be a positive real number. We define  $x_n, e_n, k_n, d_n$  for integers  $n \geq 1$  by induction as follows: Put  $x_1 = 2$  and  $e_1 = 1$ . Assume that  $x_n$  and  $e_n$  are defined. Let  $r_n$  be the best approximation of  $\alpha$  strictly from above with a denominator at most  $e_n$ . Let  $k_n/d_n$  be the reduced fraction satisfying  $k_n/d_n = r_n$ . Finally, let  $x_{n+1} = x_n + k_n$ , and  $e_{n+1} = e_n + d_n$ .

Let  $a_0 = (0, 0)$ , and  $a_n = (x_n, x_n - e_n\alpha)$  for  $n \geq 1$ . Let  $f_\alpha$  be a function from  $\mathbb{R}^+$  to  $\mathbb{R}^+$  whose graph on interval  $[x_n, x_{n+1}]$  with  $n \geq 0$  is a line segment connecting  $a_n$  and  $a_{n+1}$ . We call  $f_\alpha$  a *Hrushovski's log-like function associated to  $\alpha$* .

**Fact 2.3** ([6]). Let  $f_\alpha$  be a Hrushovski's log-like function and  $\{x_i\}, \{e_i\}, \{k_i\}, \{d_i\}$  sequences in the definition of  $f_\alpha$ .

Suppose  $C$  is an extension of  $B$  by  $x$  points and  $z$  edges,  $|B| \geq x_n$  and  $x/z \geq k_n/d_n$  for some  $n$ , and  $B$  is normal to  $f_\alpha$ . Then  $C$  is normal to  $f_\alpha$ .

**Fact 2.4** ([6]). Let  $D = B \otimes_A C$ . If  $\delta(A) < \delta(B)$ ,  $\delta(A) < \delta(C)$ , and  $A, B, C$  are normal to  $f_\alpha$  then  $D$  is normal to  $f_\alpha$ .

**Fact 2.5** ([6]). Let  $\alpha$  be a real number with  $0 < \alpha < 1$ . Then  $f_\alpha$  is strictly increasing and concave, and  $(\mathbf{K}_{f_\alpha}, <)$  has the free amalgamation property. Therefore, there is a generic structure of  $(\mathbf{K}_{f_\alpha}, <)$ . Any one point structure is closed in any structure in  $\mathbf{K}_{f_\alpha}$ . If  $\alpha$  is rational then  $f_\alpha$  is unbounded.

The following is easy.

**Lemma 2.6.** Let  $C = A \otimes_p B$  where  $p$  is a single vertex and  $A, B \in \mathbf{K}_f$ . Then  $C \in \mathbf{K}_f$ . Any finite forests belong to  $\mathbf{K}_f$ .

**Lemma 2.7.** Suppose  $2/3 < \alpha < 3/4$ .

- (1) The first several terms of the sequences defining  $f_\alpha$  are given by the following chart with  $(k_5, d_5)$  being either  $(3, 4)$  or  $(5, 7)$ :

$x_i$	2	3	4	5	8	...
$e_i$	1	2	3	4	8	...
$k_i$	1	1	1	3	$k_5$	...
$d_i$	1	1	1	4	$d_5$	...

- (2) Suppose  $C$  is an extension of  $B$  by  $x$  points and  $z$  edges,  $5 \leq |B|$ ,  $3/4 \leq x/z$ , and  $B$  is normal to  $f_\alpha$ . Then  $C$  is normal to  $f_\alpha$ .

- (3) Suppose  $C$  is an extension of  $B$  by  $x$  points and  $z$  edges,  $5 \leq |B|$ ,  $z \leq (4/7)|B|$ ,  $\alpha < x/z$ , and  $B$  is normal to  $f_\alpha$ . Then  $C$  is normal to  $f_\alpha$ .

*Proof.* (1) is straightforward. (2) holds by Fact 2.3 and (1).

(3) Choose  $i$  satisfying  $x_i \leq |B| < x_{i+1}$ . Since  $x_4 = 5 \leq |B|$ , we have  $4 \leq x_i$ . Then  $x_i - 1 \leq e_i$  and  $k_i/d_i \leq 3/4$ . Also, we have  $d_i \leq e_i$ . So,  $|B| < x_{i+1} = x_i + k_i = x_i + (k_i/d_i)d_i \leq (e_i + 1) + (3/4)e_i = (7/4)e_i + 1$ . Hence,  $|B| \leq (7/4)e_i$  and thus  $z \leq (4/7)|B| \leq e_i$ . By the choice of  $k_i/d_i$ , we have  $k_i/d_i \leq x/z$ . Since  $x_i \leq |B|$ ,  $C$  is normal to  $f_\alpha$  by Fact 2.3.  $\square$

### 3 Special Structures

**Definition 3.1.** Let  $h/k$  and  $h'/k'$  be reduced fractions of non-negative integers.  $(h+h')/(k+k')$  is called a *mediant* of  $h/k$  and  $h'/k'$ . We say that  $(h/k, h'/k')$  is a *Farey pair* if  $h'k - hk' = 1$ . Note that  $0 \leq h/k < h'/k'$ .

The following lemma is well-known.

**Lemma 3.2.** Let  $(h/k, h'/k')$  be a Farey pair and  $u, v$  positive integers.

- (1) If  $h/k < u/v < h'/k'$  then  $k+k' \leq v$ .
- (2) Let  $h''/k''$  be the mediant of  $h/k$  and  $h'/k'$ . Then  $(h/k, h''/k'')$  and  $(h''/k'', h'/k')$  are Farey pairs.

**Definition 3.3.** Let  $u/v$  be a reduced fraction of positive integers. A graph  $W$  is called a *general twig* for  $u/v$  if the number of edges of  $W$  is  $v$ , the number of non-leaf vertices of  $W$  is  $u$ , and the set of all leaves of  $W$  is almost closed in  $W$  with respect to  $\delta_{u/v}$ . A general twig  $W$  for  $u/v$  is called a *twig* for  $u/v$  if there is a path  $P = p_0 \cdots p_k$  in  $W$  such that  $p_0$  is a leaf of  $W$ ,  $p_k$  is a non-leaf vertex of  $W$ , and the paths from leaves of  $W$  other than  $p_0$  to  $P$  are independent paths. The path  $P$  is called the *main path* of the twig  $W$ ,  $p_0$  the *left end* of the main path of  $W$ , and  $p_k$  the *right end* of the main path of  $W$ . Note that the left end of the main path of a twig is a leaf of the twig, and the right end of the main path is a non-leaf vertex of the twig. A twig is a twig for some reduced fraction.

**Lemma 3.4.** Let  $(h/k, h'/k')$  be a Farey pair,  $A$  a general twig for  $h'/k'$  and  $B$  a general twig for  $h/k$ . Suppose  $D = A \otimes_c B$  where  $c$  is a non-leaf vertex of  $A$  as well as a leaf of  $B$ . Then  $D$  is a general twig for  $(h+h')/(k+k')$ .

*Proof.* First of all, it is clear that the number of all edges in  $D$  is  $k + k'$ . Since vertex  $c$  is a leaf in  $B$  as well as a non-leaf vertex in  $A$ , the number of all non-leaf vertices in  $D$  is  $h + h'$ .

Let  $F$  be the set of all leaves of  $D$ ,  $X$  a proper substructure of  $D$  with  $F \subsetneq X$ . Put  $X_A = X \cap A$  and  $X_B = X \cap B$ . Then  $X = X_A \otimes X_B$  if  $c \notin X$  and  $X = X_A \otimes_c X_B$  if  $c \in X$ . Let  $u$  be the number of all non-leaf vertices of  $A$  in  $X$ ,  $v$  the number of all edges of  $A$  in  $X$ ,  $u'$  the number of all non-leaf vertices of  $B$  in  $X$ ,  $v'$  the number of all edges of  $B$  in  $X$ . Since  $c$  is a non-leaf vertex in  $A$  as well as a leaf in  $B$ , the number of non-leaf vertices of  $D$  in  $X$  is  $u + u'$  and the number of edges of  $D$  in  $X$  is  $v + v'$ . So,  $\delta(X/F) = (u + u') - (v + v')\alpha$  where  $\alpha = (h + h')/(k + k')$ . We have  $h/k < h'/k' \leq u/v$  because  $A$  is a general twig for  $h'/k'$ , and We also have  $h/k \leq u'/v'$  because  $B$  is a general twig for  $h/k$ . Hence,  $h/k < (u + u')/(v + v')$ . Since the number of all edges in  $D$  is  $k + k'$ ,  $X$  is a proper substructure of  $D$ , and  $D$  is connected, we have  $v + v' < k + k'$ . Note that  $h/k$  and  $(h + h')/(k + k')$  form a Farey pair by Lemma 3.2 (2). Hence, we have  $(h + h')/(k + k') \leq (u + u')/(v + v')$  by Lemma 3.2 (1). Since  $v + v' < k + k'$ , we cannot have  $(u + u')/(v + v') = (h + h')/(k + k')$ .  $\square$

**Lemma 3.5.** (1) *A path of length 4 is a general twig for  $3/4$ . It can be considered as a twig for  $3/4$  having a main path of length 2 and a uniform height 2. This twig will be called a 2-twig for  $3/4$ .*

(2) *A path of length 3 is a general twig for  $2/3$ . It can be considered as a twig for  $2/3$  having a main path of length 1 and a uniform height 2. This twig will be called a 1-twig for  $2/3$ .*

**Definition 3.6.** Two twigs are said to be *isomorphic twigs* if there is a graph isomorphism between them which preserves the main paths. A graph  $W$  is called a *concatenation* of two twigs  $W_1$  and  $W_2$  if  $W = W'_1 \otimes_c W'_2$  where  $W'_1$  is a twig isomorphic to  $W_1$ ,  $W'_2$  is a twig isomorphic to  $W_2$ , and  $c$  is the left end of the main path of  $W'_1$  as well as the right end of the main path of  $W'_2$ . A graph  $W = W_1 \otimes_{p_1} W_2 \otimes_{p_2} \cdots \otimes_{p_{k-1}} W_k$  is called a *chain of twigs* if each  $W_i$  is a twig and each  $p_i$  is a right end of the main path of  $W_i$  as well as the right end of the main path of  $W_{i+1}$  for  $i = 1, \dots, k - 1$ .  $W_1 \otimes_{p_1} W_2 \otimes_{p_2} \cdots \otimes_{p_{j-1}} W_j$  with  $j \leq k$  will be called a *left prefix* of  $W$ .  $W$  is said to be a chain of twigs satisfying certain property if each  $W_i$  has the property. For example,  $W$  is a chain of twigs for  $2/3$  if each  $W_i$  is a twig for  $2/3$ . Let  $p_0$  be the right end of the main path of  $W_1$  and  $p_k$  the left end of the main path of  $W_k$ . The path from  $p_0$  to  $p_k$  in  $W$  is called the main path of  $W$ ,  $p_0$  the left end of the main path of  $W$ ,  $p_k$  the right end of the main path of  $W$ . Note

that the paths from leaves of  $W$  other than  $p_0$  to  $P$  are independent paths. We say that a chain of twigs has a *uniform height*  $n$  if the distance from any leaves other than the left end of the main path is  $n$ .

**Lemma 3.7.** *Let  $(h/k, h'/k')$  be a Farey pair,  $W$  a twig for  $h/k$ , and  $W'$  a twig for  $h'/k'$ . Let  $u/v$  be a reduced fraction with  $h/k < u/v < h'/k'$ . Then there is a twig for  $u/v$  which is also a chain of twigs isomorphic to  $W$  or  $W'$ .*

*Proof.* We prove the lemma by induction on  $v - (k + k')$ . Let  $W''$  be a concatenation of  $W$  and  $W'$ . Let  $h''/k''$  be the mediant of  $h/k$  and  $h'/k'$ .

Suppose  $u/v = h''/k''$ . Then  $W''$  is a twig for  $u/v$  by Lemma 3.4. We have the lemma in this case.

Suppose  $u/v \neq h''/k''$ . Then  $h/k < u/v < h''/k''$  or  $h''/k'' < u/v < h'/k'$ .

Case  $h/k < u/v < h''/k''$ . Since  $k'' = k + k' > k'$ , we have  $v - (k + k'') < v - (k + k')$ . By induction hypothesis, there is a twig  $W'''$  for  $u/v$  which is also a chain of twigs isomorphic to  $W$  or  $W''$ . Since  $W''$  is a concatenation of  $W$  and  $W'$ ,  $W'''$  is also a chain of twigs isomorphic to  $W$  or  $W'$ .

Case  $h''/k'' < u/v < h'/k'$ . The proof for this case is similar to the proof for the previous case.  $\square$

**Definition 3.8.** Let  $a/b$  be a reduced fraction with  $2/3 < a/b < 3/4$ . A twig for  $a/b$  is called a *canonical twig* if it is a chain of twigs isomorphic to a 2-twig for  $4/3$  or a 1-twig for  $2/3$ . Canonical twigs exist for any such  $a/b$ .

## 4 Almost Monodimensionality

In this section, there are many cases that we want to show some structures are normal to  $f$ . Note that any trees are normal to  $f$  and any single vertex is closed in structures normal to  $f$ . Also, the free amalgamation property holds for the class of structures normal to  $f$ . So, if a structure is normal to  $f$  then any extension by a tree over a single vertex is also normal to  $f$ .

**Definition 4.1.** Let  $B$  be a graph and  $A$  a substructure of  $B$ . A substructure  $X$  of  $B$  is said to be *smooth* over  $A$  if any leaves of  $X$  belong to  $A$ .

**Definition 4.2.** Let  $B$  be a graph and  $A$  a substructure of  $B$ , and  $p \in B$ .  $d_B^c(p/A)$  denotes the smallest value of  $\delta_\alpha(X/A)$  where  $A \subseteq X \subseteq B$  and there is a path from  $p$  to  $A$  in  $X$ .

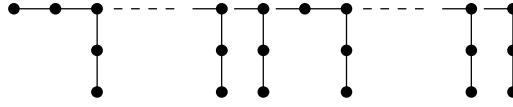


**Definition 4.3.** Let  $B$  be a graph,  $A$  a substructure of  $B$ , and  $\beta$  a real number.  $B$  is called a  $3/4$ -extension of  $A$  if  $x = |B| - |A|$  and  $z = e(B) - e(A)$  then  $x/z \geq 3/4$ .

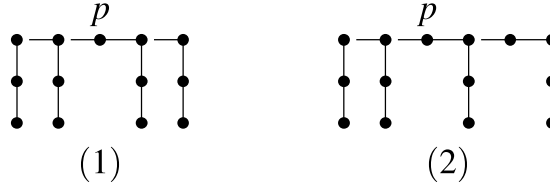
**Definition 4.4.** Suppose  $A < B$ .  $p \in B$  is called a *good* vertex of  $B$  over  $A$  if  $p \in B - A$  and whenever  $p \in X \subset B$  with  $X \cap A \neq \emptyset$  then either  $7 \leq |X - A|$  or  $X \otimes_p pp_1p_2p_3$  is a  $3/4$ -extension of  $X'p_3$  for some  $X' \subseteq X$  with  $X \cap A \subseteq X'$ . Here,  $pp_1p_2p_3$  is a path of length 3 with ends  $p$  and  $p_3$ .

**Proposition 4.5.** Let  $\alpha$  be an irrational number, and  $a/b$  a reduced fraction. Suppose  $2/3 < \alpha < a/b < 3/4$  and  $b$  is sufficiently large. Let  $B$  be a canonical twig for  $a/b$  and  $A$  the set of all leaves in  $B$ . Then there is a good vertex of  $B$  over  $A$  whose distance from  $A$  is 3.

*Proof.* Note that for any reduced fractions  $a'/b'$  with  $2/3 < a'/b' < 3/4$ , the canonical twig for  $a'/b'$  begins from the left end with a twig for  $3/4$  and ends with a twig for  $2/3$  at the right end. Since  $b$  is sufficiently large, the canonical twigs  $B$  for  $a/b$  look like the following:



Hence, there is a substructure of  $B$  which is isomorphic to one of the following pictures:



Let us assume that there is a substructure of  $B$  isomorphic to (1) above. Choose a vertex  $p$  as indicated in the figure. We show that  $p$  is a good vertex of  $B$  over  $A$ .

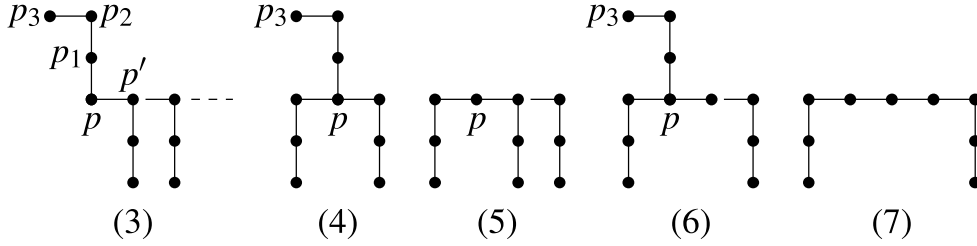
Let  $X$  be a smooth and connected substructure of  $B$  over  $pA$  with  $p \in X$  and  $X \cap A \neq \emptyset$ . Suppose that  $X$  does not contain a vertex in  $B$  adjacent to  $p$ . Then  $X$  contains the other vertex in  $B$  adjacent to  $p$ , say  $p'$ . Then  $X \otimes_p pp_1p_2p_3 = (X - p) \otimes_{p'} p'pp_1p_2p_3$ . Therefore, it is a  $3/4$ -extension of  $(X - p)p_3$ . See (3) in the figure below.

Now, suppose that  $X$  contains both vertices adjacent to  $p$ . If  $X$  contains at least 5 vertices from the main path of  $B$ , then  $X$  contains at least 2 more paths from the

main path of  $B$  to  $A$ . Each such path has length 2 and thus contains an inner vertex. Hence  $X - A$  contains at least 7 vertices. See (7) in the figure below.

If  $X$  contains exactly 3 vertices from the main path of  $B$ , then  $X \otimes_p pp_1p_2p_3$  looks like (4) in the figure below. It is an extension of  $(X \cap A)p_3$  by 7 vertices and 9 edges. Since  $7/9 > 3/4$ , it is a  $3/4$ -extension of  $(X \cap A)p_3$ .

If  $X$  contains exactly 4 vertices from the main path of  $B$ , (a)  $X$  is isomorphic to (5) or (b)  $X \otimes_p pp_1p_2p_3$  is isomorphic to (6) in the figure below. In the case (a),  $X - A$  contains 7 vertices. In the case (b),  $X \otimes_p pp_1p_2p_3$  is an extension of  $(X \cap A)p_3$  by 8 vertices and 10 edges. Since  $8/10 = 4/5 > 3/4$ , it is a  $3/4$ -extension of  $(X \cap A)p_3$ .

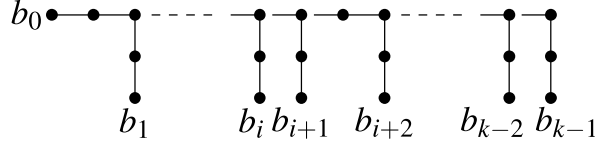


We have shown that vertex  $p$  is a good vertex of  $B$  over  $A$  when we choose  $p$  as in (1). When we choose  $p$  as in (2), we can show that  $p$  is a good vertex of  $B$  over  $A$  similarly.  $\square$

**Lemma 4.6.** *Let  $\alpha$  be an irrational number with  $2/3 < \alpha < 3/4$ ,  $u/v$  a reduced fraction with  $u/v < \alpha$  such that whenever  $u/v < u'/v' < \alpha$  then  $v < v'$ . Let  $f = f_\alpha$  be the Hrushovski's log-like function associated to  $\alpha$ . Assume that  $B \in \mathbf{K}_f$  with  $A < B$  and there is a good vertex  $b$  of  $B$  over  $A$ ,  $W$  is a canonical twig for  $u/v$ ,  $C$  the set of all leaves of  $W$ , and  $k = |C|$ . Let  $D = (B_0 \otimes_A B_1 \otimes_A B_2 \otimes_A \dots \otimes_A B_{k-1}) \otimes_C W$  where  $C = \{b_0, b_1, \dots, b_{k-1}\}$ ,  $B_i$  is isomorphic to  $B$  over  $A$  and  $b_i \in B_i$  is the isomorphic image of  $b$  for each  $i = 0, \dots, k-1$ . Then for sufficiently large  $v$ ,  $D$  belongs to  $\mathbf{K}_f$ , and there is a good vertex  $p$  of  $D$  over  $A$  such that  $d_D^c(p/A) > d_B^c(b/A) + \min\{d_B^c(b/A), 3(1 - \alpha)\}$ .*

*Proof.* We show that  $D$  belongs to  $\mathbf{K}_f$  by choosing  $v$  sufficiently large. It is straightforward to prove other statements.

The  $b_i$  are the leaves of  $W$ . We can assume that  $b_0$  is the left end of the main path of  $W$ , and  $b_1, b_2, \dots, b_{k-1}$  are ordered from left to right respecting the order of vertices in the main path of  $W$  connected to  $b_i$  by a path of length 2 in  $W$ .



For  $j$  with  $1 \leq j \leq k$ , let  $D_j = (B_0 \otimes_A B_1 \otimes_A B_2 \otimes_A \dots \otimes_A B_j) \otimes_{C_j} W_j$  where  $C_j = \{b_0, b_1, \dots, b_j\}$ , and  $W_j$  is the left prefix of  $W$  with the right most leaf  $b_j$ . Note that  $D = D_{k-1}$ .

Now, let  $X$  be a substructure of  $D$ . Our aim is to show that  $X$  is normal to  $f$ . By Fact 2.4 (the free amalgamation property for the structures normal to  $f$ ), we can assume that  $X \cap A \neq \emptyset$ ,  $X$  is smooth over  $A$ , and  $X \cap W$  is connected.

Put  $Y_j = (X \cap B_0) \otimes_{X \cap A} \dots \otimes_{X \cap A} (X \cap B_j)$ . Then  $Y_j \in \mathbf{K}_f$  for any  $j$ . In particular,  $|Y_{k'}| > 7k'$ . Also, the number of all edges in  $W_{k'}$  is at most  $4k'$  and  $C_{k'} < W_{k'}$ . By Lemma 2.7 (3),  $X \cap D_{k'} = Y_{k'} \otimes_{C_{k'}} W_{k'}$  is normal to  $f$ .

Now, consider  $X \cap D_{k'+1}$ . There are two cases for  $W_{k'+1}$ :  $W_{k'+1} = W_{k'} \otimes_p P_{k'+1}$  where  $P_{k'+1}$  is a path of length 4 or a path of length 3 with ends  $p \in W_{k'}$  and  $b_{k'+1}$ . We have  $D_{k'+1} = (D_{k'} \otimes_A B_{k'+1}) \otimes_{p, b_{k'+1}} P$ .

If the length is 4, then  $X \cap D_{k'+1}$  is a  $3/4$ -extension of  $(X \cap D_{k'}) \otimes_{X \cap A} (X \cap B_{k'+1})$ , which is normal to  $f$ . Hence,  $X \cap D_{k'+1}$  is also normal to  $f$  by Lemma 2.7 (2). If the length is 3, then  $X \cap D_{k'+1}$  is a  $3/4$ -extension of  $(X \cap D_{k'}) \otimes_{X \cap A} X'$  for some  $X'$  with  $X \cap A \subseteq X' \subsetneq X \cap B_{k'+1}$  because  $b_{k'+1}$  is a good vertex of  $B_{k'+1}$  over  $A$ .  $X \cap D_{k'} \otimes_{X \cap A} X'$  is normal to  $f$  by Fact 2.4, so is  $X \cap D_{k'+1}$  by Lemma 2.7 (2). Repeating the similar arguments, we see that  $X \cap D_{k-1}$  is normal to  $f$ .

The essential remaining case is the case where  $W \subseteq X$  and  $|X \cap B_j| \geq 7$  for all  $j$ . Since  $v$  is sufficiently large, We can assume  $0 > \delta_\alpha(W/C) > -\delta_\alpha(B/A)$ . We can also assume that  $k$  is very large. Then  $X \cap D$  is normal to  $f$ .  $\square$

Now, we prove the main theorem.

**Theorem 4.7.** *Let  $\alpha$  be an irrational number, and  $a/b$  a reduced fraction. Suppose  $2/3 < \alpha < a/b < 3/4$  and  $b$  is sufficiently large. Let  $B$  be a canonical twig for  $a/b$  and  $A$  the set of all leaves in  $B$ . Let  $p \in B$  be a good vertex of  $B$  over  $A$ . Let  $M$  be the generic structure for  $(\mathbf{K}_f, <)$  where  $f$  is the Hrushovski's log-like function associated to  $\alpha$ . Assume that  $B$  is a closed subset of  $M$ . Let  $D$  be the orbit of  $p$  over  $A$  in  $M$ . Then  $M = \text{cl}(D)$ .*

*Proof.* We first claim that any points in  $M$  independent from  $A$  over the empty set belong to  $\text{cl}(D)$ .

Note that a good vertex of  $B$  over  $A$  exists by Proposition 4.5. Let  $B_1 < M$  be the embedded image of  $D$  obtained by Lemma 4.6 from  $B$ . Then  $B_1 \subseteq \text{cl}(D, A)$ ,

$A < B_1$ , there is a good vertex  $p_1$  of  $B_1$  over  $A$ . Repeating this process, we get  $A < B_1 < B_2 < \dots < B_j < M$  for any natural number  $j$ , and a good vertex  $p_i$  of  $B_i$  over  $A$  for each  $i \leq j$ . Each  $p_{i+1}$  for  $i$  belongs to  $\text{cl}(\text{Orb}(p_i/A))$ . Therefore, each  $p_{i+1}$  for  $i$  belongs to  $\text{cl}(\text{Orb}(p/A))$ .

Let  $\varepsilon = \min\{d_B^c(p/A), 3(1 - \alpha)\}$ . By the structures of  $B_i$ ,  $d_{B_1}^c(p_1/A) > 2\varepsilon$ ,  $d_{B_2}^c(p_2/A) > 3\varepsilon$ , and so on. We have  $d_{B_j}^c(p_j/A) > (j+1)\varepsilon$ . For sufficiently large  $j$ , we have  $d_{B_j}^c(p_j/A) > 1$ . Therefore, there is  $j$  such that  $d(p_j/A) = 1 = d(p_j)$  and  $p_j \in \text{cl}(D)$ . Suppose  $x$  is not adjacent to vertices in  $A$  and  $xA < M$ . Since  $p_jA < M$  and  $xA$  is isomorphic to  $p_jA$ , there is an automorphism of  $M$  which sends  $x$  to  $p_j$  and fixes  $A$  pointwise. Hence,  $x$  belong to  $\text{cl}(D)$  also because  $D$  is invariant under the automorphisms fixing  $A$  pointwise. We have shown the first claim.

Choose a reduced fraction  $u/v$  with  $u/v < \alpha$  which is a good approximation of  $\alpha$  from below. Using twigs for  $u/v$ , make a big tree  $W$  such that there is a root  $x$  of  $W$  such that for all the leaves  $y$  of  $W$ ,  $yx$  is not an edge of  $W$ , and  $yx < W$ .

Now, let  $x \in M$ . Consider  $\text{cl}(xA)$ . Consider  $W \otimes_x \text{cl}(xA) > \text{cl}(xA)$ . We can embed  $W \otimes_x \text{cl}(xA)$  into  $M$  over  $\text{cl}(xA)$  as a closed structure. Let  $y$  be a leaf of  $W$ . Suppose  $yA \subseteq X \subseteq W \otimes_x \text{cl}(xA)$ . If  $x \notin X$ , then  $X = (X \cap W) \otimes (X \cap \text{cl}(xA))$ . In this case,  $y < (X \cap W)$  and  $A < X \cap \text{cl}(xA)$ . Hence,  $\delta(yA) < \delta(X)$  unless  $yA = X$ . Suppose  $x \in X$ .  $X = (X \cap W) \otimes_x (X \cap \text{cl}(xA))$ . We have  $\delta(yx) < \delta(X \cap W)$  unless  $X \cap W = yx$ . Also, we have  $\delta(A) < \delta(X \cap \text{cl}(xA))$  since  $A < M$  and  $A \subsetneq X \cap \text{cl}(xA)$ .

Suppose  $yx \subsetneq X \cap W$ . We have

$$\delta(X) = \delta(X \cap W) + \delta(X \cap \text{cl}(xA)) - 1 > \delta(yx) - 1 + \delta(A) = 1 + \delta(A).$$

Therefore,  $yA$  is closed in  $W \otimes_x \text{cl}(xA)$ , and thus  $yA < M$ . This shows that all the leaves of  $W$  belong to  $\text{cl}(D)$ . So,  $x$  belongs to  $\text{cl}(D)$ .  $\square$

## Acknowledgments

The work is supported by the Research Institute for Mathematical Sciences, an International Joint Usage/Research Center located in Kyoto University.

## References

- [1] J.T. Baldwin and S. Shelah, Randomness and semigenericity, Trans. Am. Math. Soc. **349**, 1359–1376 (1997).

- [2] J.T. Baldwin and N. Shi, Stable generic structures, *Ann. Pure Appl. Log.* **79**, 1–35 (1996).
- [3] R. Diestel, *Graph Theory*, Fourth Edition, Springer, New York (2010).
- [4] D. Evans, Z. Ghadernezhad, and K. Tent, Simplicity of the automorphism groups of some Hrushovski constructions, *Ann. Pure Appl. Logic* **167**, 22–48 (2016).
- [5] G.H. Hardy, and E.M. Wright, *An Introduction to the Theory of Numbers*, Fifth Edition, Oxford University Press, Oxford (1979).
- [6] E. Hrushovski, A stable  $\aleph_0$ -categorical pseudoplane, preprint (1988).
- [7] E. Hrushovski, A new strongly minimal set, *Ann. Pure Appl. Log.* **62**, 147–166 (1993).
- [8] K. Ikeda, H. Kikyo, Model complete generic structures, in *the Proceedings of the 13th Asian Logic Conference*, World Scientific, 114–123 (2015).
- [9] H. Kikyo, Model complete generic graphs I, *RIMS Kokyuroku* **1938**, 15–25 (2015).
- [10] H. Kikyo, Balanced Zero-Sum Sequences and Minimal Intrinsic Extensions, *RIMS Kokyuroku* **2079**, Balanced zero-sum sequences and minimal intrinsic extensions (2018).
- [11] H. Kikyo, Model Completeness of Generic Graphs in Rational Cases, *Archive for Mathematical Logic* **57** (7-8), 769–794 (2018).
- [12] H. Kikyo, Model completeness of the theory of Hrushovski’s pseudoplane associated to  $5/8$ , *RIMS Kokyuroku* **2084**, 39–47 (2018).
- [13] H. Kikyo, On the automorphism group of a Hrushovski’s pseudoplane associated to  $5/8$ , *RIMS Kokyuroku* **2119**, 75–86 (2019).
- [14] H. Kikyo, S. Okabe, On automorphism groups of Hrushovski’s pseudoplanes in rational cases, in preparation.
- [15] F.O. Wagner, Relational structures and dimensions, in *Automorphisms of first-order structures*, Clarendon Press, Oxford, 153–181 (1994).

[16] F.O. Wagner, *Simple Theories*, Kluwer, Dordrecht (2000).

Graduate School of System Informatics

Kobe University

1-1 Rokkodai, Nada, Kobe 657-8501

JAPAN

kikyo@kobe-u.ac.jp

神戸大学大学院システム情報学研究科 桔梗 宏孝