

# On Galois polynomials of Artin-Schreier type in skew polynomial rings

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## Abstract

K. Kishimoto gave the sufficient conditions for a polynomial of the form  $X^p - X - a$  in skew polynomial rings of Derivation type to be a Galois polynomial, where  $p$  is a prime number. In this paper, we shall generalize Kishimoto's results for the general skew polynomial rings.

## 1 Introduction and Preliminaries

Let  $A/B$  be a ring extension with common identity,  $\text{Aut}(A)$  a ring automorphism group of  $A$ , and  $G$  a finite subgroup of  $\text{Aut}(A)$ . We call then  $A/B$  a  $G$ -Galois extension if  $B = A^G$  and, there exist positive integer  $n$  and a finite set  $\{u_i; v_i\}_{i=1}^n$  ( $u_i, v_i \in A$ ) of  $A$  such that  $\sum_{i=1}^n u_i \varphi(v_i) = \delta_{1, \varphi}$  (the Kronecker's delta) for any  $\varphi \in G$ . In this case, we say that  $G$  is a *Galois group* of  $A/B$ , and  $\{u_i; v_i\}_{i=1}^n$  is a  $G$ -Galois coordinate system of  $A/B$ . It is well known that a Galois extension of fields with a finite Galois group  $G$  is a  $G$ -Galois extension.

Throughout this paper, let  $B$  be an associative ring with identity 1,  $\rho$  an automorphism of  $B$ , and  $D$  a  $\rho$ -derivation. By  $B[X; \rho, D]$  we denote the skew polynomial ring in which the multiplication is given by  $\alpha X = X\rho(\alpha) + D(\alpha)$  for any  $\alpha \in B$ . Moreover, by  $B[X; \rho, D]_{(0)}$ , we denote the set of all monic polynomials  $f$  in  $B[X; \rho, D]$  such that  $fB[X; \rho, D] = B[X; \rho, D]f$ . We say that a polynomial  $f$  in  $B[X; \rho, D]_{(0)}$  is a *Galois polynomial* in  $B[X; \rho, D]$  if  $B[X; \rho, D]/fB[X; \rho, D]$  is a  $G$ -Galois extension of  $B$  for some finite subgroup  $G$  of  $\text{Aut}(B[X; \rho, D]/fB[X; \rho, D])$ .

We call  $X^p - X - a \in B[X; \rho, D]$  ( $a \in B$ ) a polynomial of *Artin-Schreier type* for  $p$ , where  $p$  is a prime number. We put here  $B[X; D] = B[X; 1, D]$ . In [2], K. Kishimoto showed the following.

**Lemma 1.1.** *Assume that  $B$  is of prime characteristic  $p$ , and let  $f$  be a polynomial of Artin-Schreier type for  $p$  in  $B[X; D]_{(0)}$ . Then  $f$  is a Galois polynomial in  $R$ . More precisely, if we let  $A = B[X; D]/fB[X; D]$ ,  $x = X + fB[X; D]$ , and  $\sigma$  an automorphism of  $A$  defined by  $\sigma(x) = x + 1$ , then  $A$  is a  $\langle \sigma \rangle$ -Galois extension of  $B$ .*

**Remark 1.2.** By [6, Lemma 1.2], it is already known that a  $\langle \sigma \rangle$ -Galois coordinate system of  $A/B$  in Lemma 1.1 is given by

$$\left\{ 1, x, \dots, x^i, \dots, x^{p-1}; 1 - x^{p-1}, (p-1)x^{p-2}, \dots, (-1)^{i-1} \binom{p-1}{i} x^{p-1-i}, \dots, -1 \right\}.$$

The purpose of this article is to generalize Lemma 1.1 for the general skew polynomial ring  $B[X; \rho, D]$ . In the next section, we shall give the sufficient conditions for a polynomial of Artin-Schreier type for  $p$  to be a Galois polynomial in  $B[X; \rho, D]$  with cyclic Galois group, that is a generalization of Lemma 1.1.

## 2 Main result

Throughout this section, assume that  $B$  is of prim characteristic  $p$ , and let  $R = B[X; \rho, D]$ ,  $R_{(0)} = B[X; \rho, D]_{(0)}$ ,  $f$  a polynomial of Artin-Schreier type for  $p$  in  $R_{(0)}$  of the form  $f = X^p - X - a$  ( $a \in B$ ),  $A = R/fR$ , and  $x = X + fR \in A$ . As in [7, pp.48], we inductively define additive endomorphisms  $\Phi_{[i,j]}$  ( $0 \leq j \leq i$ ) of  $B$  as follows:

$$\Phi_{[i,j]} = \begin{cases} 1 & (i = j = 0) \\ D^i & (j = 0, i \geq 1) \\ \rho^i & (i = j \geq 1) \\ \rho\Phi_{[i-1,j-1]} + D\Phi_{[i-1,j]} & (i \geq 2, 1 \leq j \leq i-1) \end{cases}$$

By Lemma [7, Lemma 2.2],  $f = X^p - X - a$  is in  $R_{(0)}$  if and only if

$$\Phi_{[p,j]}(\alpha) = \begin{cases} D(\alpha) + \alpha a - a\rho^p(\alpha) & (j = 0) \\ \rho(\alpha) - \rho^p(\alpha) & (j = 1) \\ 0 & (2 \leq j \leq p-1) \end{cases} \quad (\forall \alpha \in B), \quad \rho(a) = a, \quad D(a) = 0. \quad (2.1)$$

We assume that there exists an element  $\omega \in B$  such that

$$\alpha\omega = \omega\rho(\alpha) \quad (\forall \alpha \in B). \quad (2.2)$$

Let  $\omega_{1,1} = 1$  and  $\omega_{1,0} = \omega$ . For  $i \geq 2$  and  $0 \leq j \leq i$ , we inductively define

$$\omega_{i,j} = \begin{cases} 1 & (j = i) \\ \omega + \rho(\omega_{i-1,i-2}) & (j = i-1) \\ D(\omega_{i-1,j}) + \omega_{i-1,j}\omega + \rho(\omega_{i-1,j-1}) & (1 \leq j \leq i-2) \\ D(\omega_{i-1,0}) + \omega_{i-1,0}\omega & (j = 0) \end{cases}.$$

An easy induction shows that

$$(X + \omega)^i = \sum_{j=0}^i X^j \omega_{i,j} \quad (i \geq 1). \quad (2.3)$$

Moreover, we suppose that

$$\omega_{p,0} = \omega, \quad \omega_{p,j} = 0 \quad (1 \leq j \leq p-1). \quad (2.4)$$

First, we shall state the following lemma.

**Lemma 2.1.** *In the above situation, there exists a  $B$ -ring automorphism  $\sigma$  of  $A$  defined by  $\sigma(x) = x + \omega$ .*

**Proof.** Let  $\sigma^*$  be an endomorphism of  $R$  as a right  $B$ -module defined by  $\sigma^*(X) = X + \omega$ . It follows from (2.2) that  $\alpha\sigma^*(X) = \sigma^*(X)\rho(\alpha) + D(\alpha)$ . This implies that  $\sigma^*$  is a  $B$ -ring endomorphism of  $R$ . It is easy to see that  $\sigma^{*p}(X) = X$ , and hence,  $\sigma^*$  is a  $B$ -ring automorphism of  $R$ . In addition, since (2.3) and (2.4), we have

$$\sigma^*(f) = (X + \omega)^p - (X + \omega) - a = X^p + \omega - X - \omega - a = f.$$

This implies that  $\sigma^*(fR) \subset fR$ . Noting that  $A = R/fR$  and  $x = X + fR$ , there exists a  $B$ -ring automorphism  $\sigma$  of  $A$  defined by  $\sigma(x) = x + \omega$ , which is naturally induced by  $\sigma^*$ .  $\square$

We shall state the following theorem which is a generalization of Lemma 1.1.

**Theorem 2.2.** *Assume that  $B$  is of prime characteristic  $p$  and there exist elements  $a, \omega$  in  $B$  which satisfy (2.1), (2.2), and (2.4). Let  $R = B[X; \rho, D]$ ,  $R_{(0)} = B[X; \rho, D]_{(0)}$ ,  $f = X^p - X - a \in R_{(0)}$ ,  $A = R/fR$ , and  $x = X + fR \in A$ .*

*If  $\omega$  is invertible in  $B$  and  $D(\omega) = 0$ , then  $f$  is a Galois polynomial in  $R$ . More precisely,  $A$  is a  $\langle \sigma \rangle$ -Galois extension of  $B$  and a  $\langle \sigma \rangle$ -Galois coordinate system of  $A/B$  is given by*

$$\left\{ 1, x, \dots, x^i, \dots, x^{1-p}; 1 - x^{p-1}\omega^{1-p}, (p-1)x^{p-2}\omega^{1-p}, \dots, \dots, (-1)^{i-1} \binom{p-1}{i} x^{p-1-i}\omega^{1-p}, \dots, -\omega^{1-p} \right\}, \quad (2.5)$$

where  $\sigma$  is a  $B$ -ring automorphism of  $A$  in Lemma 2.1.

**Proof.** Since  $\omega$  is invertible and (2.2), we see that  $\rho(\omega) = \omega$ . So, we obtain  $(x+\omega)^i = \sum_{j=0}^i x^j \binom{i}{j} \omega^{i-j}$  ( $i \geq 1$ ). Let  $\sigma$  be a  $B$ -ring automorphism of  $A$  in Lemma 2.1. First, we shall state  $A^{\langle \sigma \rangle} = B$ . Let  $z = \sum_{i=0}^{p-1} x^i c_i$  ( $c_i \in B$ ) be in  $A^{\langle \sigma \rangle}$ . Then,  $z = \sigma(z)$  implies that

$$\sum_{i=0}^{p-1} x^i c_i = \sum_{i=0}^{p-1} (x + \omega)^i c_i = \sum_{i=0}^{p-1} \sum_{j=0}^i x^j \binom{i}{j} \omega^{i-j} c_i = \sum_{j=0}^{p-1} x^j \sum_{i=j}^{p-1} \binom{i}{j} \omega^{i-j} c_i.$$

Comparing coefficients of both sides, we have

$$c_j = \sum_{i=j}^{p-1} \binom{i}{j} \omega^{i-j} c_i \quad (0 \leq j \leq p-1).$$

We obtain then  $c_j = 0$  ( $1 \leq j \leq p-1$ ), inductively. Thus,  $z = c_0 \in B$ , that is,  $A^{\langle \sigma \rangle} \subset B$ . It is obvious that  $A^{\langle \sigma \rangle} \supset B$ .

Next, we shall show that (2.5) is a  $\langle \sigma \rangle$ -Galois coordinate system of  $A/B$ . Let  $k$  be a positive integer such that  $1 \leq k \leq p-1$ . It follows from the Fermat's little theorem that  $k^{p-1} = 1$ . Since  $\sigma^k(x) = x + k\omega$  (that is,  $k = (-x + \sigma^k(x))\omega^{-1}$ ), we see that

$$1 = k^{p-1} = \{(-x + \sigma^k(x))\omega^{-1}\}^{p-1} = \sum_{i=0}^{p-1} x^i \sigma^k \left( (-1)^i \binom{p-1}{i} x^{p-1-i} \omega^{1-p} \right).$$

On the other hands, it is obvious that

$$0 = (-x + x)^{p-1} \omega^{1-p} = \sum_{i=0}^{p-1} x^i \left( (-1)^i \binom{p-1}{i} x^{p-1-i} \omega^{1-p} \right).$$

Therefore, we have

$$1 = 1 - 0 = 1 - x^{p-1} \omega^{1-p} + \sum_{i=1}^{p-1} x^i \left( (-1)^{i-1} \binom{p-1}{i} x^{p-1-i} \omega^{1-p} \right),$$

and

$$0 = 1 - 1 = \sigma^k(1 - x^{p-1} \omega^{1-p}) + \sum_{i=1}^{p-1} x^i \sigma^k \left( (-1)^{i-1} \binom{p-1}{i} x^{p-1-i} \omega^{1-p} \right).$$

This means that (2.5) in Theorem 2.2 is a  $\langle \sigma \rangle$ -GCS of  $A/B$ . □

**Remark 1.** In Theorem 2.2, assume that  $\omega = 1$ . Then, it is easy to see that Theorem 2.2 is equal to Lemma 1.1.

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