

# Plat presentation of surface-links and their invariants

Jumpei Yasuda

Osaka Metropolitan University

## 1 Introduction

This note is a summary of the results given in [26, 27, 29]. A *surface-link* is a closed surface smoothly embedded in  $\mathbb{R}^4$ , and a *surface-knot* is a connected surface-link. Two surface-links are said to be *equivalent* if they are related by a smooth isotopy of  $\mathbb{R}^4$ . Throughout this paper, we work in either the smooth category or the PL category. Surfaces embedded in  $\mathbb{R}^4$  are assumed to be locally flat in the PL category.

For a braid  $\beta$  of degree  $2m$  ( $m \geq 1$ ), the *plat closure* of  $\beta$ , denoted by  $\tilde{\beta}$ , is the link obtained by attaching arcs to  $\beta$  as shown in Figure 1. We call  $\tilde{\beta}$  a *plat presentation* of a link  $L$  if  $\tilde{\beta}$  is ambiently isotopic to  $L$ . Every link has a plat presentation.



Figure 1: The plat closure of a braid  $\beta$

In [17, 18], Rudolph introduced *braided surfaces* as higher-dimensional analogues of classical braids, and Viro [23] introduced *2-dimensional braids*, which are special cases of braided surfaces. These surfaces are defined in Section 2. A 2-dimensional braid gives rise to an orientable surface-link by taking its closure. It was shown in [6, 23] that every orientable surface-link is equivalent to the closure of a 2-dimensional braid. Therefore, orientable surface-links can be studied via 2-dimensional braids. On the other hand, non-orientable surface-links cannot be studied in this way.

The aims of this note are to introduce plat presentations of surface-links and to study invariants of surface-links via this presentation. In Section 2, we define the plat closure  $\tilde{S}$  of an adequate braided surface  $S$ . We call  $\tilde{S}$  a *plat presentation* of a surface-link  $F$  if it is equivalent to  $F$ . Every (possibly non-orientable) surface-link has a plat presentation (Theorem 2.1). Therefore, this framework allows us to study surface-links using braided surfaces.

The *plat index* and *genuine plat index* are invariants of a surface-link defined by using plat presentations. In Section 3, we review several results on these indices. Also, we discuss the additivity of the plat index.

The knot group of a surface-link is the fundamental group of its exterior. As an application of plat presentations, we provide a necessary and sufficient condition for a

group to be the knot group of a surface-link (Theorem 4.7). A *symmetric quandle* is a useful algebraic system to study surface-links. The *knot symmetric quandle* is defined for a surface-link, which is an invariant of a surface-link. Then, we provide similar condition for a symmetric quandle to be the knot symmetric quandle of a surface-link (Theorem 5.5).

## 2 Plat closures of braided surfaces

In this section, we review braids and braided surfaces, and define the plat closure of a braided surface.

### 2.1 Braids and braided surfaces

Let  $D^2$  be a 2-disk in  $\mathbb{R}^2$  and  $X_m$  be an  $m$ -point subset of  $\text{int}(D^2)$ , where  $m$  is a positive integer. Let  $I = [0, 1]$ , and let  $p_2 : D^2 \times I \rightarrow I$  be the projection map onto the second factor. A *braid* of degree  $m$  is a union  $\beta$  of  $m$  curves in  $D^2 \times I$  such that the restriction  $\pi_\beta = p_2|_\beta : \beta \rightarrow I$  is a covering map of degree  $m$  and  $\partial\beta = X_m \times \partial I$ . Two braids of degree  $m$  are said to be *equivalent* if they are related by an isotopy of  $D^2 \times I$  fixing  $D^2 \times \partial I$  pointwise. The *braid group*  $B_m$  of degree  $m$  is the group of equivalence classes of braids of degree  $m$ .  $B_m$  has the following presentation due to Artin [1]:

$$\langle \sigma_1, \dots, \sigma_{m-1} \mid \sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1}, \sigma_i \sigma_j = \sigma_j \sigma_i \ (|i - j| > 1) \rangle.$$

Let  $B^2$  be a 2-disk in  $\mathbb{R}^2$ , and let  $\text{pr}_2 : D^2 \times B^2 \rightarrow B^2$  be the projection onto the second factor. A *braided surface* [17, 18] is a compact surface  $S$  embedded in  $D^2 \times B^2$  such that the restriction  $\pi_S = \text{pr}_2|_S : S \rightarrow B^2$  is a simple branched covering map<sup>1</sup>. The *degree* of a braided surface  $S$  is the degree of the covering map  $\pi_S$ . Two braided surfaces  $S_0$  and  $S_1$  are said to be *equivalent (in the strong sense)* if there exists an isotopy  $\{H_t\}_{t \in I}$  of  $D^2 \times B^2$  fixing  $D^2 \times \partial B^2$  pointwise such that

- $H_0 = \text{id}$ ,  $H_1(S_0) = S_1$ , and
- $H_t(S_0)$  is a braided surface for all  $t \in I$ .

By definition, the boundary  $\partial S$  is a closed braid of degree  $m$  in  $D^2 \times \partial B^2$ . We fix a base point  $y_0 \in \partial B^2$ . A braided surface  $S$  of degree  $m$  is called *pointed* if  $\partial S \cap (D^2 \times \{y_0\}) = X_m \times \{y_0\}$ . In this note, a braided surface is assumed to be pointed unless otherwise stated. A *2-dimensional braid* [5, 23] of degree  $m$  is a braided surface  $S$  such that  $\partial S = X_m \times \partial B^2$ .

### 2.2 The plat closure of braided surfaces

A *wicket* [2] is a semicircle properly embedded in  $\text{int}(D^2) \times I$  that meets  $D^2 \times \{0\}$  orthogonally at its endpoints. A wicket is uniquely determined by its boundary; If two wickets share the same boundary, then they are identical. A union of  $m$  disjoint wickets is called a *configuration of  $m$  wickets* ( $m \geq 1$ ).

---

<sup>1</sup>A branched covering map  $f : X \rightarrow Y$  of degree  $m$  is called *simple* if  $|f^{-1}(y)| \in \{m, m-1\}$  holds for  $y \in Y$ .

Suppose that  $X_{2m} = \{x_1, x_2, \dots, x_{2m}\}$  is a set of  $2m$  points lying in this order on a line in  $D^2$ . Let  $w_m$  be the configuration of  $m$  wickets such that  $\partial w_m = X_{2m}$  and, for each  $i = 1, 2, \dots, m$ , the pair  $\{x_{2i-1}, x_{2i}\}$  is the set of endpoints of a wicket in  $w_m$ .

The configuration space of  $m$  wickets is denoted by  $\mathcal{W}_m$ . For a loop  $f : (I, \partial I) \rightarrow (\mathcal{W}_m, w_m)$ , we define the braid  $\beta_f$  of degree  $2m$  by

$$\beta_f = \bigcup_{t \in I} p_1(\partial f(t)) \times \{t\} \subset D^2 \times I,$$

where  $p_1 : D^2 \times I \rightarrow D^2$  is the projection onto the first factor. Then, the group homomorphism  $\Phi : \pi_1(\mathcal{W}_m, w_m) \rightarrow B_{2m}$  is defined as the map that sends  $[f] \in \pi_1(\mathcal{W}_m, w_m)$  to  $[\beta_f] \in B_{2m}$ . In [2], Brendle and Hatcher proved that this homomorphism  $\Phi$  is injective, and the image of  $\Phi$  is the subgroup of  $B_{2m}$  defined by

$$\langle \sigma_1, \sigma_2 \sigma_1 \sigma_3 \sigma_2, \sigma_{2i} \sigma_{2i-1} \sigma_{2i+1}^{-1} \sigma_{2i}^{-1} \mid i = 1, 2, \dots, m-1 \rangle.$$

We call it the *Hilden subgroup* of  $B_{2m}$ , denoted by  $K_{2m}$ .

Next, we define the plat closure of a braided surface. Let  $S$  be a braided surface of degree  $2m$ . We assume that  $B^2$  is the unit disk in  $\mathbb{C}$ . Then, we define the braid  $\beta_S$  of degree  $2m$  by

$$\beta_S = \bigcup_{t \in I} \text{pr}_1(\partial S \cap D^2 \times \{e^{2\pi i t}\}) \times \{t\} \subset D^2 \times I,$$

where  $\text{pr}_1 : D^2 \times B^2 \rightarrow D^2$  is the projection onto the first factor. Then, a braided surface  $S$  is called *adequate* if  $\beta_S = \beta_f$  holds for some loop  $f : (I, \partial I) \rightarrow (\mathcal{W}_m, w_m)$ . By the rigidity of a wicket, such a loop  $f$  is unique for  $S$ , so we denote it by  $f_S$ . The degree of an adequate braided surface is even, and every 2-dimensional braid of even degree is adequate.

We assume that  $S$  is adequate. For  $t \in I$ , let  $J_t = \{re^{2\pi i t} \mid 1 \leq r \leq 2\}$  and  $N = \bigcup_{t \in I} J_t$ , where  $J_0 = J_1$ . Let  $w_t$  be the configuration of  $2m$  wickets  $f_S(t)$  in  $D^2 \times J_t$  such that  $\partial w_t \subset D^2 \times \{e^{2\pi i t}\}$ . We define  $A_S$  as the union of  $w_t$  for  $t \in I$ . Then,  $A_S$  is the surface embedded in  $D^2 \times N$  such that  $A_S \cap S = \partial A_S = \partial S$ . Hence, the union  $A_S \cup S$  is a surface-link in  $\mathbb{R}^4$ . We call it the *plat closure* of  $S$ , denoted by  $\tilde{S}$ . We call  $\tilde{S}$  a *plat presentation* of a surface-link  $F$  if it is equivalent to  $F$ .

**Theorem 2.1** ([29]). *Every surface-link has a plat presentation.*

We call  $\tilde{S}$  a *genuine plat presentation* of a surface-link  $F$  if  $S$  is a 2-dimensional braid whose plat closure is equivalent to  $F$ .

**Theorem 2.2** ([29]). *Every orientable surface-link has a genuine plat presentation.*

Let  $e(F)$  be the normal Euler number of a surface-knot  $F$  ([9, 15]). For a 2-dimensional braid  $S$  of even degree, each component of  $\tilde{S}$  has the normal Euler number zero. On the other hand, the converse remains open:

**Conjecture 2.3.** *Every surface-link, each of whose components  $F$  satisfies  $e(F) = 0$ , has a genuine plat presentation.*

### 3 Plat index of surface-links

In this section, we define the plat index and genuine plat index of a surface-link, and give several results on these indices.

**Definition 3.1.** The *plat index* of a surface-link  $F$ , denoted by  $\text{Plat}(F)$ , is defined as the half of minimum number of degrees of adequate braided surfaces whose plat closures are equivalent to  $F$ .

**Definition 3.2.** The *genuine plat index* of a surface-link  $F$ , denoted by  $\text{g.Plat}(F)$ , is defined as either the half of minimum number of degrees of 2-dimensional braids whose plat closures are equivalent to  $F$ , or  $+\infty$  if there are no such 2-dimensional braids.

The plat index of a classical link is defined in a similar way. It coincides with the bridge index of a classical link. Hence, the plat index and genuine plat index of a surface-link are higher-dimensional analogues of the bridge index of a link.

A *2-knot* is a surface-knot homeomorphic to the 2-sphere  $S^2$ . Surface-links with the plat index (or the genuine plat index) equal to one are determined as follows:

**Proposition 3.3** ([29]). *Let  $F$  be a surface-link.*

- *The plat index of  $F$  is one if and only if  $F$  is either a trivial 2-knot or a trivial non-orientable surface-knot.*
- *The genuine plat index of  $F$  is one if and only if  $F$  is either a trivial 2-knot or a trivial non-orientable surface-knot with  $e(F) = 0$ .*

We remark that for a trivial orientable surface-knot  $F$  of positive genus, both the plat index and the genuine plat index of  $F$  are equal to two.

A *2-link* is a union of disjoint 2-knots. A surface-link is called *ribbon* [12, 24] if it is obtained from a trivial 2-link  $F_0$  by surgery along 1-handles attached to  $F_0$ .

**Proposition 3.4** ([28, 29]). *Let  $F$  be an  $m$ -component 2-link, where  $m$  is a positive integer. Then,  $F$  is ribbon if  $\text{g.Plat}(F) = m + 1$ .*

By definition, the inequality  $\text{Plat}(F) \leq \text{g.Plat}(F)$  holds for any surface-link  $F$ . Furthermore, we have the following result.

**Proposition 3.5** ([27, 29]). *Let  $F$  be a surface-link. Let  $\text{Braid}(F)$  denote the braid index [5] of  $F$ , and let  $\text{mrk}(F)$  denote the meridional rank<sup>2</sup> of  $F$ . Here, we define  $\text{Braid}(F) = +\infty$  if  $F$  is non-orientable. Then, we have the following inequalities:*

$$\text{mrk}(F) \leq \text{Plat}(F) \leq \text{g.Plat}(F) \leq \text{Braid}(F).$$

*Remark 3.6.* For each inequality in Proposition 3.5, there exists a surface-link satisfying the inequality strictly.

- Let  $F$  be a trivial orientable surface-knot with positive genus. Then, we have  $\text{Plat}(F) = 2$ . On the other hand, we have  $\text{mrk}(F) = 1$  since  $F$  is trivial. The author does not know such examples of 2-knots.

---

<sup>2</sup>The *meridional rank* of  $F$  is the minimum number of meridians of  $F$  needed to generate the knot group  $\pi_1(\mathbb{R}^4 \setminus F)$ .



- Let  $F$  be the 2-twist spun 2-knot of the trefoil [30]. It was shown in [29] that  $\text{Plat}(F) = 2$ . On the other hand,  $F$  is not ribbon. Hence, we have  $2 < \text{g.Plat}(F)$  by Proposition 3.4.
- Let  $F$  be the non-trivial 2-knot denoted by 2\_2 in the table in [12]. It was shown in [29] that  $\text{g.Plat}(F) = 2$ . Since any surface-link with the braid index less than three is trivial ([5]), we have  $\text{Braid}(F) \geq 3$ .

**Theorem 3.7** ([27]). *For any integers  $g \geq 0$  and  $m \geq 1$ , there exist infinitely many orientable surface-knots  $F$  of genus  $g$  with  $\text{Plat}(F) = \text{g.Plat}(F) = m$ .*

In the rest of this section, we consider the additivity of the plat index for connected sums. For the bridge index of a knot, the following is well-known:

**Theorem 3.8** ([20]). *The bridge index is additive for the connected sum of knots:*

$$b(K_1 \# K_2) = b(K_1) + b(K_2) - 1.$$

For the plat index of 2-knots, the following remains open:

**Question 3.9.** Is the plat index additive for the connected sum of 2-knots:

$$\text{Plat}(F_1 \# F_2) = \text{Plat}(F_1) + \text{Plat}(F_2) - 1?$$

In general, the plat index is not always additive for the connected sum of surface-knots, as shown by the following examples:

- Let  $F_1$  and  $F_2$  be trivial orientable surface-knots with positive genus. Since  $F_1 \# F_2$  is also trivial, we have  $\text{Plat}(F_1 \# F_2) = 2 < \text{Plat}(F_1) + \text{Plat}(F_2) - 1 = 3$ .
- In [22], Viro showed that there exist non-trivial ribbon 2-knots  $F$  such that  $F \# P^2$  is equivalent to  $P^2$ , where  $P^2$  is a trivial  $\mathbb{R}P^2$ -knot. Therefore, we have  $\text{Plat}(F \# P^2) = 1 < 2 + 1 - 1 \leq \text{Plat}(F) + \text{Plat}(P^2) - 1$ . Similar examples are shown in [14, 19].

In [11], Kamada, Satoh, and Takabayashi proved that the braid index is not additive for the connected sum of non-trivial 2-knots.

## 4 Characterizations of knot groups of surface-links

For a link/surface-link, the *knot group* is defined as the fundamental group of its complement. In this section, we review a necessary and sufficient condition for a group to be the knot group of a link/surface-link. Using the plat closure of a braided surface, we obtain a characterization of the knot groups of surface-links (Theorem 4.7).

Let  $F_m = \langle x_1, x_2, \dots, x_m \rangle$  be the free group, where  $m$  is a positive integer. We define the left action of the braid group  $B_m$  on  $F_m$  as follows: For  $\sigma_i \in B_m$  and  $x_j \in F_m$ , we define  $\sigma_i \cdot x_j$  and  $\sigma_i^{-1} \cdot x_j$  by

$$\sigma_i \cdot x_j = \begin{cases} x_i x_{i+1} x_i^{-1} & (j = i), \\ x_i & (j = i + 1), \\ x_j & (\text{otherwise}), \end{cases} \quad \sigma_i^{-1} \cdot x_j = \begin{cases} x_{i+1} & (j = i), \\ x_{i+1}^{-1} x_i x_{i+1} & (j = i + 1), \\ x_j & (\text{otherwise}). \end{cases}$$

Then, this induces the action of  $B_m$  on  $F_m$ .

A *Wirtinger presentation*  $\langle A \mid R \rangle$  of a group is a finite presentation such that each relation in  $R$  is of the form  $w^{-1}aw = b$  for some  $a, b \in S$  and  $w \in \langle A \rangle$ .

**Theorem 4.1** ([1]). *A group  $G$  is the knot group of a classical link if and only if there exist a positive integer  $m$  and a braid  $b \in B_m$  such that  $G$  has a Wirtinger presentation*

$$\langle x_1, x_2, \dots, x_m \mid b \cdot x_i = x_i (i = 1, 2, \dots, m) \rangle.$$

Let  $n \geq 1$  be an integer. An  $n$ -knot is a smoothly embedded  $n$ -sphere  $S^n$  in  $S^{n+2}$ . For higher-dimensional knots, Kervaire gave the following characterization of knot groups.

**Theorem 4.2** ([13]). *For  $n \geq 3$ , a group  $G$  is the knot group of an  $n$ -knot if and only if  $G$  satisfies the following conditions:*

- (1) *The weight of  $G$  is one;  $G \cong \langle \langle x \rangle \rangle$ .*
- (2)  *$H_2(G) = 0$ .*
- (3)  *$G/[G, G]$  is isomorphic to  $\mathbb{Z}$ .*

We consider the knot group of 2-knots and surface-links. In the case of ribbon 2-knots, a criterion involving Wirtinger presentations is known.

**Theorem 4.3** ([25]). *A group  $G$  is the knot group of a ribbon 2-knot if and only if  $G$  satisfies the following conditions:*

- (1)  *$G$  has a Wirtinger presentation with deficiency one.*
- (2)  *$G/[G, G]$  is isomorphic to  $\mathbb{Z}$ .*

González-Acuña [3] and Kamada [6] independently proved a characterization of the knot groups of 2-knots and orientable surface-links. We recall the result due to Kamada as follows: Let  $b_1, b_2, \dots, b_n \in B_m$ , where  $n$  is a non-negative integer. Then, an  $(m, n)$ -presentation (associated with  $b_1, b_2, \dots, b_n$ ) of a group is a presentation

$$\langle x_1, x_2, \dots, x_m \mid b_i \cdot x_1 = b_i \cdot x_2 (i = 1, 2, \dots, n) \rangle.$$

We say that an  $(m, n)$ -presentation (associated with  $b_1, b_2, \dots, b_n$ ) satisfies the  $\partial$ -condition if there exist  $n$  signs  $\varepsilon_1, \varepsilon_2, \dots, \varepsilon_n \in \{1, -1\}$  such that

$$\prod_{i=1}^n b_i^{-1} \sigma_1^{\varepsilon_i} b_i = 1_m,$$

where  $1_m \in B_m$  is the unit element.

**Proposition 4.4** ([6, 17]). *Let  $S$  be a braided surface of degree  $m$  with  $n$  branch points. There exist  $n$  braids  $b_1, b_2, \dots, b_n \in B_m$  and  $n$  signs  $\varepsilon_1, \varepsilon_2, \dots, \varepsilon_n \in \{1, -1\}$  such that the knot group  $G(S) = \pi_1(D^2 \times B^2 \setminus S)$  of  $S$  has an  $(m, n)$ -presentation associated with  $b_1, b_2, \dots, b_n$  satisfying*

$$\prod_{i=1}^n b_i^{-1} \sigma_1^{\varepsilon_i} b_i = [\beta_S].$$

In particular, the knot group of a 2-dimensional braid of degree  $m$  with  $n$  branch points has an  $(m, n)$ -presentation satisfying the  $\partial$ -condition.

**Theorem 4.5** ([6]). *A group  $G$  is the knot group of a  $c$ -component orientable surface-link with the Euler characteristic  $\chi$  if and only if  $G$  satisfies the following conditions for some integers  $m \geq 1$  and  $n \geq 0$  such that  $\chi = 2m - n$ :*

- (1)  $G$  has an  $(m, n)$ -presentation satisfying the  $\partial$ -condition.
- (2)  $G/[G, G]$  is isomorphic to  $\mathbb{Z}^c$ .

**Corollary 4.6.** *A group  $G$  is the knot group of a 2-knot if and only if  $G$  satisfies the following conditions for some integer  $m \geq 1$ :*

- (1)  $G$  has an  $(m, 2m - 2)$ -presentation satisfying the  $\partial$ -condition.
- (2)  $G/[G, G]$  is isomorphic to  $\mathbb{Z}$ .

We remark that a group is the knot group of an orientable surface-link if and only if it has a finite Wirtinger presentation, and such a surface-link can be realized by a ribbon surface-link (cf. [6, 21]).

A  $(2m, n)$ -presentation *with inverses* (associated with  $b_1, b_2, \dots, b_n$ ) is a group presentation

$$\langle x_1, x_2, \dots, x_{2m} \mid b_i \cdot x_1 = b_i \cdot x_2, x_{2j-1} = x_{2j}^{-1} \quad (i = 1, 2, \dots, n, j = 1, 2, \dots, m) \rangle.$$

This presentation is obtained from a  $(2m, n)$ -presentation by adding  $m$  new relations  $x_{2j-1} = x_{2j}^{-1}$  for  $j = 1, 2, \dots, m$ . We say that a  $(2m, n)$ -presentation satisfies the *weak  $\partial$ -condition* if there exist  $n$  signs  $\varepsilon_1, \varepsilon_2, \dots, \varepsilon_n \in \{1, -1\}$  such that

$$\prod_{i=1}^n b_i^{-1} \sigma_1^{\varepsilon_i} b_i \in K_{2m}.$$

By Proposition 4.4, the knot group of an adequate braided surface of degree  $2m$  with  $n$  branch points has a  $(2m, n)$ -presentation satisfying the weak  $\partial$ -condition.

A surface-link  $F$  is called  $(c, d)$ -*component* if  $F$  consists of  $c$  orientable surface-knots and  $d$  non-orientable ones.

**Theorem 4.7.** *A group  $G$  is the knot group of a  $(c, d)$ -component surface-link with the Euler characteristic  $\chi$  if and only if  $G$  satisfies the following conditions for some integers  $m \geq 1$  and  $n \geq 0$  such that  $\chi = 2m - n$ :*

- (1)  $G$  has a  $(2m, n)$ -presentation with inverses satisfying the weak  $\partial$ -condition.
- (2)  $G/[G, G]$  is isomorphic to  $\mathbb{Z}^c \oplus (\mathbb{Z}/2)^d$ .

The key proposition used to prove Theorem 4.7 is the following:

**Proposition 4.8.** *Let  $S$  be an adequate braided surface of degree  $2m$  with  $n$  branch points. Then, the knot group of  $\hat{S}$  has a  $(2m, n)$ -presentation satisfying the weak  $\partial$ -condition.*

We remark that a group is the knot group of a surface-link if and only if it has a twisted Wirtinger presentation. Here, a *twisted Wirtinger presentation* of a group is a finite presentation  $\langle A \mid R \rangle$  such that each relation in  $R$  is of the form  $w^{-1}a^\varepsilon w = b$ , where  $a, b \in A$ ,  $w \in \langle A \rangle$ , and  $\varepsilon \in \{1, -1\}$ .

## 5 Characterizations of knot symmetric quandles of surface-links

In this section, we recall symmetric quandles and provide a necessary and sufficient condition for a group to be the knot symmetric quandle of a surface-link.

A *quandle* [4, 16] is a set  $Q$  with a binary operation  $*$  :  $Q \times Q \rightarrow Q$  satisfying the following conditions:

- (1) For any  $x \in Q$ , we have  $x * x = x$ .
- (2) For any  $y \in Q$ , the map  $S_y : Q \rightarrow Q$  defined by sending  $x$  to  $x * y$  is a bijection.
- (3) For any  $x, y, z \in Q$ , we have  $(x * y) * z = (x * y) * (x * z)$ .

For a quandle  $Q$ , we define another operation  $\bar{*}$  on  $Q$  by  $x\bar{*}y = S_y^{-1}(x)$  for  $x, y \in Q$ . Then,  $(Q, \bar{*})$  is a quandle. This operation  $\bar{*}$  is called the *dual operation* of  $Q$ .

For quandles  $Q_1$  and  $Q_2$ , a map  $f : Q_1 \rightarrow Q_2$  is a *quandle homomorphism* if  $f(x * y) = f(x) * f(y)$  holds for any  $x, y \in Q_1$ . It is known that  $S_y$  is a quandle automorphism of  $Q$ . Let  $\text{Aut}(Q)$  be the group of quandle automorphisms of  $Q$ , and let  $\text{Inn}(Q)$  be the subgroup of  $\text{Aut}(Q)$  generated by  $S_y$  for  $y \in Q$ .

The action of  $\text{Inn}(Q)$  on  $Q$  is defined by  $f \cdot x = f(x)$  for  $f \in \text{Inn}(Q)$  and  $x \in Q$ . A quandle  $Q$  is called *connected* if the action of  $\text{Inn}(Q)$  on  $Q$  is transitive. Similarly, an orbit of the action of  $\text{Inn}(Q)$  on  $Q$  is called a *connected component* of  $Q$ . We notice that each connected component of  $Q$  is a subquandle of  $Q$ .

A *symmetric quandle* [7, 10] is a pair  $(Q, \rho)$  of a quandle  $Q$  and an involution map  $\rho : Q \rightarrow Q$  of  $Q$ , called a *good involution*, satisfying the following conditions:

- (1) For any  $x, y \in Q$ , we have  $\rho(x * y) = \rho(x) * y$ .
- (2) For any  $x, y \in Q$ , we have  $x * \rho(y) = x\bar{*}y$ .

For symmetric quandles  $(Q_1, \rho_1)$  and  $(Q_2, \rho_2)$ , a map  $f : Q_1 \rightarrow Q_2$  is a *symmetric quandle homomorphism* if it is a quandle homomorphism satisfying  $f \circ \rho_1 = \rho_2 \circ f$ .

We here give examples of symmetric quandles.

**Example 5.1** (Double of a quandle [7, 10]). Let  $Q$  be a quandle and  $\bar{Q} = \{\bar{a} \mid a \in Q\}$  a copy of  $Q$ . We extend the binary operation  $*$  on  $Q$  into the disjoint union  $D(Q) = Q \cup \bar{Q}$ :

$$a * \bar{b} := a\bar{*}b, \quad \bar{a} * b := \overline{a * b}, \quad \bar{a} * \bar{b} := \overline{a\bar{*}b}.$$

Then  $(D(Q), *)$  is a quandle. We define a good involution  $\rho$  on  $D(Q)$  by setting  $\rho(a) = \bar{a}$ . The symmetric quandle  $(D(Q), \rho)$  is called the *double* of  $Q$ .

**Example 5.2** (Knot symmetric quandles [7, 10]). Let  $K$  be a properly embedded  $n$ -submanifold in a connected  $(n + 2)$ -manifold  $M$ . We fix a point  $p \in E(K)$ . An (*oriented*) *noose* of  $K$  is a pair  $(D, \alpha)$  of an oriented meridional disk  $D$  of  $K$  and an oriented arc  $\alpha$  in  $E(K)$  connecting from a point of  $\partial D$  to  $p$ .

The set of homotopy classes  $[(D, \alpha)]$  of all oriented nooses of  $K$  is denoted by  $\tilde{Q}(M, K, p)$  or  $\tilde{Q}(K, p)$  simply. Note that  $[(D, \alpha)]$  and  $[(-D, \alpha)]$  represent different homotopy classes

if  $K$  is orientable, where  $-D$  is  $D$  with the reversed orientation. The *full knot quandle* of  $K$  is  $\tilde{Q}(M, K, p)$  with the binary operation  $*$  defined by

$$[(D, \alpha)] * [(D', \alpha')] = [(D, \alpha \cdot \alpha'^{-1} \partial D' \alpha')].$$

See Figure 2 for a topological description of the operation on  $\tilde{Q}(M, K, p)$ . The isomor-

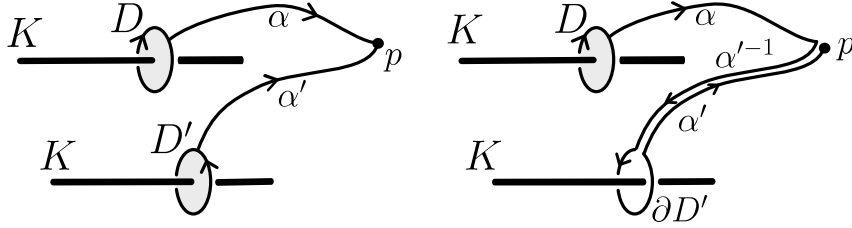


Figure 2: The operation of the full knot quandle  $\tilde{Q}(K, p)$

phism class of the full knot quandle does not depend on the base point  $p$ . Hence, we denote the full knot quandle by  $\tilde{Q}(M, K)$  or  $\tilde{Q}(K)$  simply.

The *knot symmetric quandle* (or *fundamental symmetric quandle*) of  $K$ , denoted by  $X(M, K)$  (or  $X(K)$  simply), is the pair of  $\tilde{Q}(M, K)$  and the good involution  $\rho_K$  of  $\tilde{Q}(M, K)$  defined by  $\rho_K([(D, \alpha)]) = [(-D, \alpha)]$  for  $[(D, \alpha)] \in \tilde{Q}(M, K)$ .

**Example 5.3** (Free symmetric quandle [8]). Let  $A$  be a non-empty set and  $F(A)$  be the free group on  $A$ . We define the binary operation  $*$  on  $\text{FR}(A) = A \times F(A)$  by

$$(a, w) * (b, u) = (a, wu^{-1}bu) \quad (a, b \in A, w, u \in F(A)).$$

Let  $\sim_q$  be the equivalence relation on  $\text{FR}(A)$  generated by  $(a, w) \sim_q (a, aw)$  for  $a \in A$  and  $w \in F(A)$ . Then, the binary operation on  $\text{FR}(A)$  induces a well-defined operation on the quotient set  $\text{FQ}(A) = \text{FR}(A)/\sim_q$ , which is called the *free quandle* on  $A$ .

The *free symmetric quandle* on  $A$ , denoted by  $\text{FSQ}(A)$ , is defined as the double of the free quandle  $\text{FQ}(A)$  on  $A$ . The underlying set of  $\text{FSQ}(A)$  is  $(A \cup \bar{A}) \times F(A)$ , where we identify  $\bar{a} \in \bar{A}$  with  $a^{-1} \in F(A)$ . The good involution of  $\text{FSQ}(A)$  sends  $(a, w)$  to  $(\bar{a}, w)$  for  $a \in A$  and  $w \in F(A)$ .

For  $a \in A \cup \bar{A}$  and  $w \in F(A)$ , we write  $(a, 1)$  and  $(a, w)$  in  $\text{FSQ}(A)$  as  $a$  and  $a^w$ , respectively, where  $1 \in F(A)$  is the unit element.

Next, we introduce a presentation of a symmetric quandle. A free symmetric quandle satisfies the following universal property:

**Proposition 5.4** ([27]). *Let  $A$  be a non-empty set and  $X$  a symmetric quandle. Let  $\iota_A : A \rightarrow \text{FSQ}(A)$  be the map sending  $a \in A$  to  $a \in \text{FSQ}(A)$ . Then, for any map  $f : A \rightarrow X$ , there exists a unique symmetric quandle homomorphism  $\bar{f} : \text{FSQ}(A) \rightarrow X$  such that  $\bar{f} \circ \iota_A = f$ .*

Let  $R$  be a subset of  $\text{FSQ}(A) \times \text{FSQ}(A)$  and  $X$  a symmetric quandle. Then, we say that  $X$  has a *presentation*  $\langle A \mid R \rangle_{\text{sq}}$  if  $X$  satisfies the following conditions for some map  $\iota_X : A \rightarrow X$ :

- (1)  $(\overline{\iota_X} \times \overline{\iota_X})(R) \subset X \times X$  is contained in the diagonal set of  $X \times X$ , where  $\overline{\iota_X} : \text{FSQ}(A) \rightarrow X$  is the homomorphism obtained from Proposition 5.4.
- (2) For any symmetric quandle  $Y$  and any map  $\iota_Y : A \rightarrow Y$  satisfying (1), there exists a unique symmetric quandle homomorphism  $f : X \rightarrow Y$  such that  $\iota_Y = f \circ \iota_X$ .

A construction of a symmetric quandle  $X$  having a presentation  $\langle A \mid R \rangle_{\text{sq}}$  is explained in [8, 27]. We write each relation  $(x, y) \in R$  by the form  $x = y$ .

It is known that  $X(D^2, X_m)$  is isomorphic to the free symmetric quandle  $\text{FSQ}(X_m)$  on  $X_m = \{x_1, x_2, \dots, x_m\}$ . We define the left action of  $B_m$  on  $\text{FSQ}(X_m)$  as follows: For  $\sigma_i \in B_m$  and  $x_j \in \text{FSQ}(X_m)$ , we define  $\sigma_i \cdot x_j$  and  $\sigma_i^{-1} \cdot x_j$  by

$$\sigma_i \cdot x_j = \begin{cases} x_{i+1} * \overline{x_i} & (j = i), \\ x_i & (j = i + 1), \\ x_j & (\text{otherwise}), \end{cases} \quad \sigma_i^{-1} \cdot x_j = \begin{cases} x_{i+1} & (j = i), \\ x_i * x_{i+1} & (j = i + 1), \\ x_j & (\text{otherwise}). \end{cases}$$

We define  $\sigma_i \cdot \overline{x_j} = \overline{\sigma_i \cdot x_j}$ , where  $\overline{\sigma_i \cdot x_j}$  is the image of  $\sigma_i \cdot x_j$  by the good involution of  $\text{FSQ}(X_m)$ . Then, this induces the action of  $B_n$  on  $\text{FSQ}(X_m)$ .

Let  $b_1, b_2, \dots, b_n \in B_{2m}$ , where  $n$  is a non-negative integer. A  $(2m, n)$ -presentation with inverses (associated with  $b_1, b_2, \dots, b_n$ ) of a symmetric quandle is a presentation

$$\langle x_1, \dots, x_{2m} \mid b_i \cdot x_1 = b_i \cdot x_2, \ x_{2j-1} = \overline{x_{2j}} \ (i = 1, 2, \dots, n, \ j = 1, 2, \dots, m) \rangle_{\text{sq}}.$$

A  $(2m, n)$ -presentation with inverses associated with  $b_1, b_2, \dots, b_n$  of a symmetric quandle is said to satisfy the *weak  $\partial$ -condition* if there exist  $\varepsilon_1, \varepsilon_2, \dots, \varepsilon_n \in \{1, -1\}$  such that

$$\prod_{i=1}^n b_i^{-1} \sigma_1^{\varepsilon_i} b_i \in K_{2m}.$$

**Theorem 5.5** ([26]). *A symmetric quandle  $(Q, \rho)$  is the knot symmetric quandle of a  $(c, d)$ -component surface-link with the Euler characteristic  $\chi$  if and only if  $(Q, \rho)$  satisfies the following conditions for some integers  $m \geq 1$  and  $n \geq 0$  such that  $\chi = 2m - n$ :*

- (1)  $(Q, \rho)$  has a  $(2m, n)$ -presentation with inverses satisfying the weak  $\partial$ -condition.
- (2)  $Q$  consists of  $2c + d$  connected components  $X_1, \dots, X_c, Y_1, \dots, Y_c$ , and  $Z_1, \dots, Z_d$  such that  $\rho(X_i) = Y_i$  and  $\rho(Z_j) = Z_j$  for each  $i = 1, 2, \dots, c$  and  $j = 1, 2, \dots, d$ .

We remark that every symmetric quandle admitting a finite presentation is the knot symmetric quandle of some ribbon surface-link. The key proposition used to prove Theorem 5.5 is the following:

**Proposition 5.6** ([26]). *Let  $S$  be an adequate braided surface of degree  $2m$  with  $n$  branch points. Then, the knot symmetric quandle of  $\tilde{S}$  has a  $(2m, n)$ -presentation with inverses satisfying the weak  $\partial$ -condition.*

## Acknowledgement

The author would like to thank Seiichi Kamada for his helpful comments on the connected sum of non-orientable surface-knots. This work was supported by JSPS KAKENHI Grant Number 22J20494 and Research Fellowship Promoting International Collaboration, The Mathematical Society of Japan.

## References

- [1] Emil Artin. Theorie der Zöpfe. *Abh. Math. Sem. Univ. Hamburg*, 4(1):47–72, 1925.
- [2] Tara Brendle and Allen Hatcher. Configuration spaces of rings and wickets. *Commentarii Mathematici Helvetici*, 88, 05 2008.
- [3] F. González-Acuña. A characterization of 2-knot groups. *Rev. Mat. Iberoamericana*, 10(2):221–228, 1994.
- [4] D. Joyce. A classifying invariants of knots. *J. Pure. Appl. Alg.*, 23:37–65, 1982.
- [5] Seiichi Kamada. Surfaces in  $\mathbf{R}^4$  of braid index three are ribbon. *J. Knot Theory Ramifications*, 1(2):137–160, 1992.
- [6] Seiichi Kamada. A characterization of groups of closed orientable surfaces in 4-space. *Topology*, 33(1):113–122, 1994.
- [7] Seiichi Kamada. Quandles with good involutions, their homologies and knot invariants. *Intelligence of Low Dimensional Topology 2006*, pages 101–108, 01 2007.
- [8] Seiichi Kamada. Quandles and symmetric quandles for higher dimensional knots. In *Knots in Poland III. Part III*, volume 103 of *Banach Center Publ.*, pages 145–158. Polish Acad. Sci. Inst. Math., Warsaw, 2014.
- [9] Seiichi Kamada. *Surface-Knots in 4-Space*. Springer Monographs in Mathematics. Springer, 2017.
- [10] Seiichi Kamada and Kanako Oshiro. Homology groups of symmetric quandles and cocycle invariants of links and surface-links. *Trans. Amer. Math. Soc.*, 362(10):5501–5527, 2010.
- [11] Seiichi Kamada, Shin Satoh, and Manabu Takabayashi. The braid index is not additive for the connected sum of 2-knots. *Trans. Amer. Math. Soc.*, 358:5425–5440, 12 2006.
- [12] Taizo Kanenobu and Kota Takahashi. Classification of ribbon 2-knots of 1-fusion with length up to six. *Topology and its Applications*, page 107521, 2020.
- [13] Michel A. Kervaire. On higher dimensional knots. In *Differential and Combinatorial Topology (A Symposium in Honor of Marston Morse)*, pages 105–119. Princeton Univ. Press, Princeton, NJ, 1965.

- [14] Vincent Longo. On 2-knots and connected sums with projective planes. *Geom. Dedicata*, 207:23–27, 2020.
- [15] W. S. Massey. Proof of a conjecture of Whitney. *Pacific J. Math.*, 31:143–156, 1969.
- [16] S. Matveev. Distributive groupoids in knot theory. *Math. USSR-Sb*, 47:73–83, 1984.
- [17] Lee Rudolph. Braided surfaces and Seifert ribbons for closed braids. *Comment. Math. Helv.*, 58(1):1–37, 1983.
- [18] Lee Rudolph. Special positions for surfaces bounded by closed braids. *Rev. Mat. Iberoamericana*, 1(3):93–133, 1985.
- [19] Shin Satoh. Non-additivity for triple point numbers on the connected sum of surface-knots. *Proc. Amer. Math. Soc.*, 133(2):613–616, 2005.
- [20] Horst Schubert. über eine numerische Knoteninvariante. *Math. Z.*, 61:245–288, 1954.
- [21] Shin’ichi Suzuki. Knotting problems of 2-spheres in 4-sphere. *Math. Sem. Notes Kobe Univ.*, 4(3):241–371, 1976.
- [22] O. Ya. Viro. Local knotting of sub-manifolds. *Mat. Sb. (N.S.)*, 90(132):173–183, 325, 1973.
- [23] O. Ya. Viro. Lecture given at Osaka City University. September 1990.
- [24] Takeshi Yajima. On simply knotted spheres in  $R^4$ . *Osaka Journal of Mathematics*, 1(2):133 – 152, 1964.
- [25] Takeshi Yajima. On a characterization of knot groups of some spheres in  $R^4$ . *Osaka Math. J.*, 6:435–446, 1969.
- [26] Jumpei Yasuda. Characterizations of knot groups and knot symmetric quandles of surface-links. *preprint available at arXiv.2412.20081*, 2024.
- [27] Jumpei Yasuda. Computation of the knot symmetric quandle and its application to the plat index of surface-links. *J. Knot Theory Ramifications*, 33(03):2450005, 2024.
- [28] Jumpei Yasuda. Normal forms of 2-plat 2-knots and their Alexander polynomials. *preprint available at arXiv.2506.15401*, 2025.
- [29] Jumpei Yasuda. A plat form presentation for surface-links. *to appear in Osaka J. Math.*, 2025.
- [30] E. C. Zeeman. Twisting spun knots. *Trans. Amer. Math. Soc.*, 115:471–495, 1965.

Osaka Metropolitan University  
 Osaka 558 - 8585  
 JAPAN  
 E-mail address: j.yasuda@omu.ac.jp

大阪公立大学 安田 順平