

# Anabelian Geometry from an Inter-universal Point of View I

§1. Not 'what' but 'why'

§2. The Membership Equation

§3. The Inter-universal Geometry of Categories

§4. Analogy with (Uniformizing) MFD-objects

§1. Not 'what' but 'why':

What is anab. geom.?

connected scheme

$X \rightsquigarrow \pi_1(X)$  (étale fund. gp.: SGA1)

(anabelian)

(reconstruct)

... To what extent/for which  $X$ , can one  $\pi_1(X) \rightsquigarrow X$ ?

Why does one care?

... not so clear, but influences fundamental direction of research

... i.e., without an answer to 'why?' tends to degenerate into a

meaningless contest of stoicism

Original Apparent Motivation (Grothendieck? Deligne?)

(e.g., smaller portions of  $\pi_1$ ,  
more general  $X$ )

Diophantine Geometry: e.g., Mordell Conjecture

$X$ : smooth, proper curve, genus  $\geq 2$  / number field  $F$ ;  $G_F = \text{Gal}(\bar{F}/F)$ ;  $\text{II}_X = \pi_1(X)$

$X(F) \ni x_1, \dots, x_n \rightsquigarrow s_1, \dots, s_n, \dots$

$\begin{matrix} \text{II}_X \\ \downarrow \\ G_F \end{matrix}$

...  $\exists$  subsequence converges

$\rightarrow s_\infty: G_F \rightarrow \text{II}_X$

} note:  
theme

analysis/  
global fields

$\Rightarrow$  if 'Section Conjecture' holds, then  $s_\infty$  arises from  $\exists x_\infty$

$\Rightarrow$  Contradiction ???

Speaker's conclusion: This approach is of historical interest, but  
is not really the 'correct approach'.

I-p,2

Here, we consider new, different approach.

... cf. one mathematician's remark after work of Tamagawa, Mochizuki  
on Grothendieck's Anabelian Conjecture (GC):

'In some sense, it's ashame that GC was proven'

... i.e., if GC was false, then  $\exists$  interesting new non-scheme-theoretic  
 $\in \text{Aut}(\mathbb{F}_q(X))$   $\Rightarrow$  i.e., interesting new

geometry

(lying outside scheme theory)

... In other words, one should be interested in

phenomena that lie on the BOUNDARY

between 'anabelian' / 'non-anabelian'

... in a word, our answer is that

absolute p-adic anabelian  
geom. of hyperbolic curves

## §2. The Membership Equation:

motivation:

ABC  
Conjecture

Need geometry (e.g., derivative)  
 $\frac{d}{dx}$

Need 'global Hodge Theory'  
(cf. Hodge-Arnakelov Theory,  
close, but still Scheme-theoretic)

$$\begin{aligned} a_1 &\in \{a_1, b_1\} \\ a_2 &\in \{a_2, b_2\} \\ a_3 &\in \{a_3, b_3\} \\ a_4 &\in \dots \end{aligned}$$

form quotient  
by identifying  
 $a_i$ 's  $\rightarrow a$ .  
 $b_i$ 's  $\rightarrow b$ .

Solve ' $a \in a$ '!

'membership  
equation'

(contradicts 'axiom of  
foundation'!)

relation to Hodge Theory; cf. indigenous bundles on a hyperbolic Riemann surface  $X$ :

$$\pi_1(X) \tilde{\times} \mathbb{P}^1 \rightsquigarrow \pi_1(X) \times_{\mathbb{P}^1} \mathbb{P}^1 \subset \mathbb{P}^1_{\mathbb{C}} \rightsquigarrow \pi_1(X) \tilde{\times}_{\mathbb{P}^1_{\mathbb{C}}} \mathbb{P}^1 \rightarrow P \rightsquigarrow \pi_1(X) \tilde{\times} X \rightarrow X$$

IB

i.e.,

$$\begin{array}{c} \tilde{X} \times \mathbb{P}^1 \rightarrow \mathbb{P}^1 \hookrightarrow \text{fiber} \\ \downarrow \quad \uparrow \\ \tilde{X} = h \end{array}$$

moduli of fibers

Hodge section = identification of

a fiber with {all fibers}

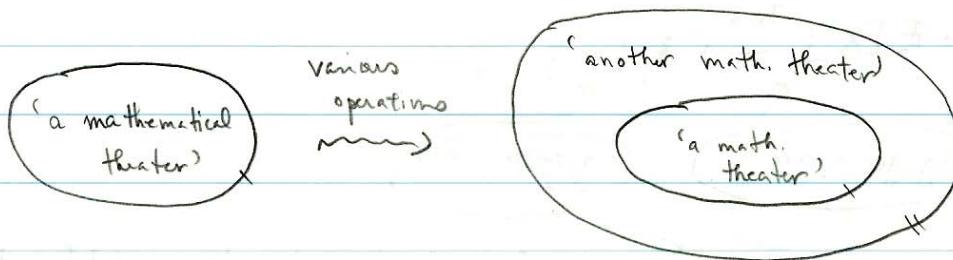
Hodge  
section

P  
L  
S  
X

'pathology / distortion' arising from this identification (= Hodge section = ' $a \in a$ ')

||  
 Kodaira-Spencer

### §3. The Inter-universal Geometry of Categories:



Goal: to identify  $\mathbb{Q}$  with  $\mathbb{Q} \cong \text{'a loop'}$  (cf.  $a \in a$ )

... if 'math. theater' is built up with usual set-theoretic objects,

then it typically has a very complicated ' $\epsilon$ -structure'



difficult to form a loop

$\cdot \epsilon \cdot \epsilon \cdot \epsilon \cdots$   
 $\epsilon \cdot \epsilon \cdots$   
 $\epsilon \cdot \epsilon \cdots$

if take 'categories' as fund. geom. objects, then 'simple  $\epsilon$ -structure'



'IU Geom. of Categories': 'categories up to equivalence'

Also, note:  $\bullet M \text{ monoid} \Rightarrow \mathcal{C}_M : \left\{ \begin{array}{l} \text{obj: } * \\ \text{mor: } \text{End}(*) = M \end{array} \right\} \Rightarrow \text{'gp./monoid' is a special case of 1-cats.}$

$\bullet R \text{ ring} \Rightarrow \mathcal{D}_R : \left\{ \begin{array}{l} \mathcal{C}_{R+} \\ \text{functor} \end{array} \right\} \dots \text{2-cat. of 1-cats.}$

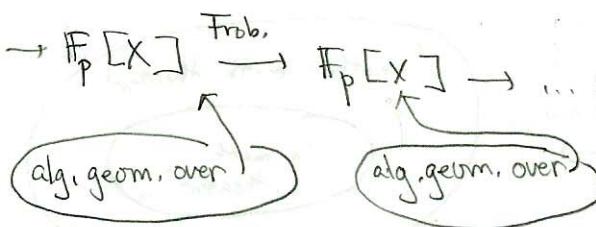
i.e., ring has '2 dims./levels': '+', 'x'

ABC conj concerns the relation betw. these two levels.

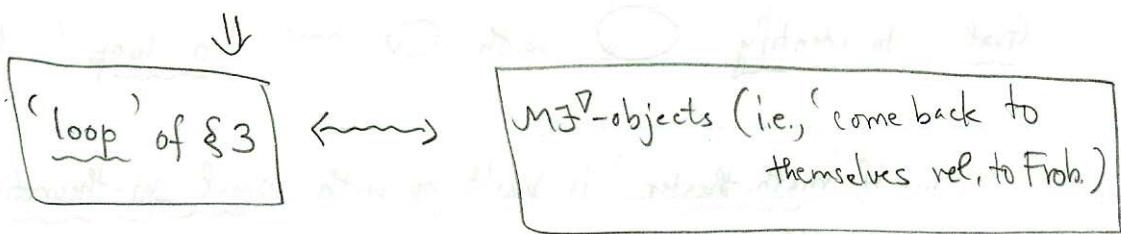
⇒ need to be able to consider them independently

## §4. Analogy with (Uniformizing) $M\mathbb{F}^\nabla$ -objects (filtered Frobenius crystals)

Observe:



the relation betw. these two 'math. theaters' is the model for §3.



cf. especially uniformizing  $M\mathbb{F}^\nabla$ -objects of 'p-adic Teichmüller theory'

{  
 'intrinsic Hodge theory'  
 (cf. ICM '98)}      {  
 'give rise to p-adic unifs.'  
 of hyperbolic curves  
 their moduli      ←→ Koebe unif. /  $\mathbb{C}$   
 ←→ Bers unif. /  $\mathbb{C}$

note: for  $M\mathbb{F}^\nabla$ -objects,  $d(\text{Frob.}) = p \cdot (\ )$  ⇒ (preview) in the case of ABC,

note: perfection is a sort of 'limit'

↔ IU geom. also a sort of 'limit' = 'analysis'

abs. p-adic  
anab. geom.

'differential'  
↑ poles!

i.e., 'log different'  
term of ABC

'diff.'  $(\mathbb{F}_1)$

{ cf. 'Arakelov geom. / scheme theory'; take into account arch. primes  
 (+ their analysis)  
 'IU geom. / Arak. geom.'; generic primes  
 (+ their analysis)

# Anabelian Geometry from an Inter-universal Point of View II

§1. Anabelian Geometry as a Special Case of Inter-universal Geometry

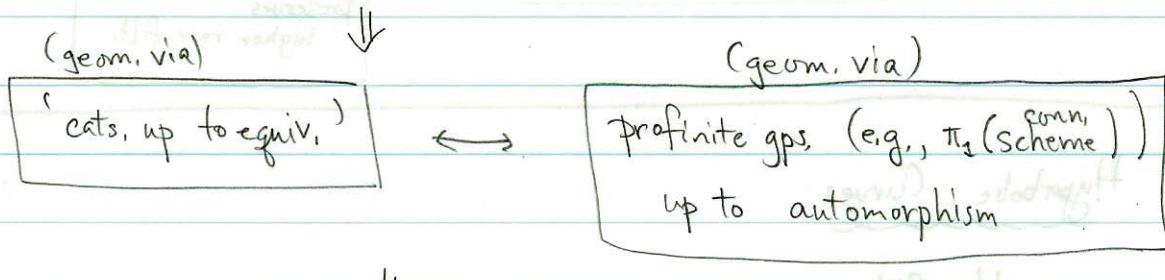
§2. Arithmetic Fields

§3. Hyperbolic Curves

§1. Anabelian Geometry as a Special Case of Inter-universal Geometry

In a word, corresponds to the case of Galois categories

i.e.:  $\Pi$  profinite gp.  $\rightsquigarrow \mathcal{B}(\Pi)$ : obj: finite sets with cont.  $\Pi$ -action  
 mor:  $\Pi$ -morphisms.



then the discussion of I applies to anab geometry.

§2. Arithmetic Fields:

Number Fields:  $F/\mathbb{Q} \subset \infty \rightsquigarrow G_F^{\text{II}} := \text{Gal } (\bar{F}/F)$

Most basic result: ('no. flds, are anab.')

Theorem (Neukirch-Uchida):  $F_1, F_2$  : no. flds.  $\Rightarrow$

$$\text{Isom}(F_1, F_2) \xrightarrow{\sim} \text{Out Isom}(G_{F_1}, G_{F_2})$$

Also, if  $G_F \rightarrow G_F^{\text{sol}}$  denotes the 'maximal solvable quotient', then:

Theorem (Uchida):  $F_1, F_2$  : no. flds  $\Rightarrow$

$$\text{Isom}(F_1, F_2) \xrightarrow{\sim} \text{Out Isom}(G_{F_1}^{\text{sol}}, G_{F_2}^{\text{sol}})$$

$p$ -adic local fields:  $K/\mathbb{Q}_p \subset \infty$ ,  $G_K := \text{Gal}(\bar{K}/K)$

$\Rightarrow$  NUS Thm. is false for  $G_K$ !

$\Rightarrow$  possibility of interesting new geometry!

(cf. I, §1; III)

But if consider higher ramification filtration

Theorem (M):  $K_1, K_2$ :  $p$ -adic local fields  $\Rightarrow$

$$\text{Isom}(K_1, K_2) \xrightarrow{\sim} \text{Out}_{\text{Isom}}^{\text{ram.}}(G_{K_1}, G_{K_2})$$

preserves  
higher ram. filt.

not quite  
absolute!

### §3. Hyperbolic Curves:

$K$ : fld;

$X$ : hyperbolic curve /  $K$ : (smooth, proper, geom. conn. curve /  $F$ ) \ (reduced divisor of deg.  $r$ )

$\Downarrow$

s.t.  $2g - 2 + r > 0$

$$1 \rightarrow \Delta_X \rightarrow \pi_1(X_{\bar{K}}) \rightarrow G_K \rightarrow 1$$

$$\Delta_X := \pi_1(X_{\bar{K}})$$

$$\pi_1(X) = \pi_1(X_{\bar{K}})$$

$$G_K := \text{Gal}(\bar{K}/K)$$

tame ramification at cusps (vacuous if  $\text{char}(K) = 0$ )

note: if  $\text{char}(K) = 0$ , then  $\Delta_X$  det'd up to isom, by  $(g, r)$ , center-free,

if  $\text{char}(K) = p$ , then — not — (cf. below), but is center-free

note: if  $K$  sub- $p$ -adic (i.e.,  $\exists \subset (\exists \text{ fin. gen. extn. of } \mathbb{Q}_p)$ : e.g., no. flds,  $p$ -adic local flds),

then

$G_K$ : center-free (false for, e.g., finite fields.)

characteristic p > 0:

Theorem (Tamagawa) For  $i=1,2$ ,  $\mathbb{F}_p/\mathbb{F}_p < \infty$ ;  $X_i$ : affine hyperbolic curve /  $\mathbb{F}_p$

c.f. ('not center-free')

$\tilde{X}_i \rightarrow X_i$ : profinite universal covering

absolute

$$\text{Isom}(\tilde{X}_1/X_1, \tilde{X}_2/X_1) \cong \text{Isom}(\pi_{X_1}, \pi_{X_2})$$

Remark:  $\exists$  unpublished extn. to proper case (M)

Theorem (Tamagawa)  $X_i$ : hyperbolic curve /  $\mathbb{F}_p$  (for  $i=1,2$ )  $\Rightarrow$

(i)  $\exists$  only finitely many  $X_2$  s.t.  $\pi_{X_1} \cong \pi_{X_2}$

(ii)  $X_i$ : genus 0  $\Rightarrow$

$$\left\{ (\pi_{X_1} \xrightarrow{\cong} \pi_{X_2}) \Leftrightarrow (X_1 \xrightarrow{\cong} X_2) \right\}$$

characteristic 0:

$S$  'smooth pro-variety /  $K$ ':

$$S = \varprojlim S_i, \text{ where } \dots \rightarrow S_i \xrightarrow{\quad} S_j \dashrightarrow \dots$$

smooth, geom. conn. /  $K$   
open immersion

if  $\emptyset \neq \Sigma$  is a set of primes, write:

$\Delta_S^\Sigma :=$  maximal pro- $\Sigma$  quotient of  $\Delta_S$

$$\pi_S^\Sigma := \pi_S / \ker(\Delta_S \rightarrow \Delta_S^\Sigma)$$

relative  
(not absolute)

Theorem (M):  $K$ : sub-p-adic;  $S$ : smooth pro-variety /  $K$ ;  $X$ : hyperbolic curve /  $K$ ;  $p \in \Sigma \Rightarrow$

$$\text{Hom}_K^{\text{dominant}}(S, X) \cong \text{Out Hom}_{G_K}^{\text{open}}(\pi_S^\Sigma, \pi_X^\Sigma)$$

K generalized sub-p-adic:  $K \xrightarrow{\exists} (\exists \text{ fin. gen. extn. of } \mathbb{Q}(W(\bar{\mathbb{F}}_p)))$   
 $(\Rightarrow G_K \text{ center-free})$

Theorem (M): K generalized sub-p-adic; for  $i=1,2$ ,  $X_i$ ; hyperbolic curve /  $K, p \in \Sigma \Rightarrow$

$$\text{Isom}_K(X_1, X_2) \cong \text{Out Isom}_{G_K}(\pi_{X_1}^\Sigma, \pi_{X_2}^\Sigma)$$

relative  
(not absolute)

Remark: cf. Tamagawa /  $\bar{\mathbb{F}}_p$

Absolute Results / Number Fields:

Corollary:  $F_i/\mathbb{Q} < \infty$ ;  $X_i$ ; hyperbolic curve /  $F_i$ ;  $\Sigma$  arbitrary  $\Rightarrow$

$$\text{Isom}(X_1, X_2) \cong \text{Out Isom}(\pi_{X_1}^\Sigma, \pi_{X_2}^\Sigma)$$

If  $|\Sigma| = 1$ , and, for  $p \in \Sigma$ ,  $p$ -torsion points of Jacobian of  $X$  (hypcurve/k) are defined over  $K$ , then

$$G_K \rightarrow \text{Out}(\Delta_X^\Sigma)$$

$$G_K^{\text{sol}}$$

$X$ :  
 $\Sigma$ -solvable

maximal  
solvable  
quotient

Corollary:  $F_i/\mathbb{Q} < \infty$ ;  $X_i$ ; hyperbolic curve /  $F_i$ ,  $\Sigma$ -solvable  $\Rightarrow$

$$\text{Isom}(X_1, X_2) \cong \text{Out Isom}(\pi_{X_1}^\Sigma, \pi_{X_2}^\Sigma)$$

Remark: Since 'NU for p-adic local fields' is false, unclear (UNKNOWN)

if abs. result holds for p-adic local fields, = 'abs pGC'

... my expectation: false (Corollary of work on ABC ???)

Anabelian Geometry from an  
Inter-universal Point of View III

- §1.  $p$ -adic Absolute Anabelian Geometry
- §2. The Logarithmic Special Fiber
- §3. Canonical Curves
- §4. Absolute Relative Anabelian Geometry

§1.  $p$ -adic Absolute Anabelian Geometry

Expectation:  $\text{abs pGc}$  false!

Motivation: 'ANALOGY'

$p$ -adic local fields

$\mathbb{F}_p((t))$

treating  $\Pi_X$  absolutely

treating  $X$  not as an object over  $\mathbb{F}_p((t))$ ,



but rather as an object over  $\mathbb{F}_p$

cf. differentiation  
over ' $\mathbb{F}_1$ '!

↓  
Should only be able to recover properties  
preserved by coordinate transformations

$$t \mapsto t + (?) t^2 + \dots$$



One expects that one should be able to recover

(i) the (log) special fiber

(ii) the generic fiber if  $X$  is a constant curve

§2. The Logarithmic Special Fiber

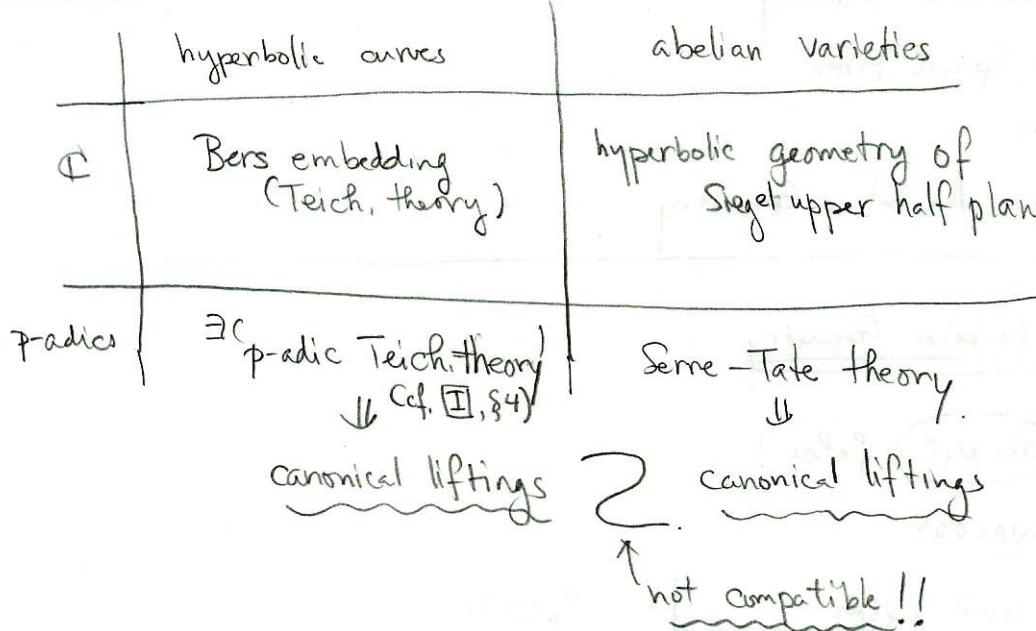
Theorem (M via Tamagawa) For  $i=1,2$ ,  $k_i/\mathbb{Q}_{p_i} < \infty$ ,  $X_i$ : hyperbolic curve/ $k_i$  with log special fiber  $X_{k_i}^{\log}$



$$\forall \quad \Pi_{X_1} \xrightarrow{\alpha} \Pi_{X_2} \quad \begin{matrix} \text{functorially} \\ \text{in } \alpha \\ \text{means} \end{matrix}$$

$$X_{k_1}^{\log} \simeq X_{k_2}^{\log}$$

### §3. Canonical Curves



Theorem (M) For  $i=1, 2$ ,  $K_i/\mathbb{Q}_p \subset \infty$  abs. unram.,  $p > 5$ ;  $X_i$ : hyperbolic curve/ $K_i$ ,  
 $\pi_{X_1} \xrightarrow{\alpha} \pi_{X_2} \Rightarrow$  (i)  $X_1$  can. lift  $\Leftrightarrow X_2$  can. lift  
(ii) If can. lift, then log sp. fiber isom. lifts uniquely to  $X_1 \xrightarrow{\cong} X_2$

Defn:  $X$  absolute:  $\forall X'$  s.t.  $\pi_X \xrightarrow{\exists} \pi_{X'}$ ,  $\exists X \xrightarrow{\cong} X'$

Corollary: The points determined by absolute curves are Zariski dense in  $M_{g,r}(\overline{\mathbb{Q}}_p)$ ,  $p > 5$ .

- Remarks:
- (i) So far, these are the only known absolute curves.
  - (ii) Thus, 'can. lifts are like constant curves' (cf. abel. vars.)
  - (iii) 'Cor' is first application of p-adic Teich. theory (!)
  - (iv) cf. 'can. lifts. of abel. vars. are CM':  $\overline{\mathbb{Q}}_p \hookrightarrow \mathbb{C} \cap \text{Aut}$   
 $\uparrow$   
 cf. 'abs.  $\pi_X$ '

## §4. Absolute Relative Anabelian Geometry

$X$ : hyp. curve / fld.  $K$ .

$\text{Loc}_K(X)$ : obj:  $Y$  s.t.  $\exists$  fin. et.  $Y \rightarrow X$

mor:  $Y_1 \rightarrow Y_2$  fin. et.,  $/K$  (not nec.  $/X!$ )

$\text{DLoc}_K(X)$ : obj:  $Y \subset Z$  s.t.  $\exists$  fin. et.  $Y \rightarrow X$ ;  $Z$  hyperbolic curve;

$$Y \hookrightarrow Z \hookrightarrow \overline{Y}$$

↑ usual compactification embedding

mor:  $\begin{array}{ccc} Y_1 & \xrightarrow{\quad f \quad} & Y_2 \\ \downarrow & & \downarrow \\ Z_1 & \xrightarrow{\quad g \quad} & Z_2 \end{array}$  dominant,  $/K$ .

Thus,  $\exists$  natural faithful  $\text{Loc}_K(X) \rightarrow \text{DLoc}_K(X)$



rel pGc

implies the following absolute results:

absolute

Theorem (M) For  $i=1,2$ ,  $K_i/\mathbb{Q}_p<\infty$ ;  $X_i$ : hyp. curve/ $K_i$ ,  $\alpha: \overline{T}X_1 \xrightarrow{\sim} \overline{T}X_2 \Rightarrow$

$\exists \text{Loc}_{K_1}(X_1) \cong \text{Loc}_{K_2}(X_2); \text{DLoc}_{K_1}(X_1) \cong \text{DLoc}_{K_2}(X_2)$

... functorial in  $\alpha$

Corollary: notation as in Theorem.

(i) Suppose  $(g_i, r_i) = (1, 1)$ . Then  $\alpha$  preserves decomps, gps. of torsion points,

(ii) Suppose  $X_i$  isogenous ( $\Leftrightarrow$  admits a common fin. et. covering) to a genus 0 hyp. curve def'd. over a number field. Then  $\alpha$  preserves decomps, gps. of all closed points.

Remark: 'Cor' may be interpreted as a sort of weak Section Conjecture,  
 - cf. I, §1, the importance of 'why'.  $\begin{matrix} \pi_{X,F} \\ \downarrow \\ G_K \end{matrix}$  i.e., when do  
 s occur?

Suppose  $x \in \bar{X} \setminus X$ : 'cusp'  $\Rightarrow$

$$\begin{array}{ccccccc} 1 & \rightarrow & I_x & \rightarrow & D_x & \rightarrow & G_K \rightarrow 1 \\ & & \text{H}^1 \\ & & \widehat{\mathbb{Z}(1)} & & & & \end{array}$$

$\rightsquigarrow$

tensor of splittings over  
 $H^1(G_K, I_x) \cong (K^\times)^\wedge$

For arb. (resp. stable reduction)  $X$ , local (resp. local integral) coord.

$t$  at  $x$ ,

$\{t^{1/N}\} \rightsquigarrow$  reduction of structure gp  $(K^\times)^\wedge \rightsquigarrow K^\times$   
 (resp.  $(K^\times)^\wedge \rightsquigarrow \mathcal{O}_K^\times$ )

Corollary: notation as in Theorem. Suppose  $X_i$  isogenous to genus 0 hyp. curve.

(i)  $\alpha$  preserves red. of str. gp.  $(K^\times)^\wedge \rightsquigarrow K^\times$

(ii) in stable red. case,  $\alpha$  preserves red. of str. gp.  $(K^\times)^\wedge \rightsquigarrow \mathcal{O}_K^\times$

Remarks: (i) These results extend immediately to André's tempered fund. gp.

(ii) 'Cor' is related via 'étale theta function'

(& 'Thm')

(=sort of p-adic analytic version of theta fn.)

to Hodge-Arakelov theory, ABC

(cf. functional equation of classical theta function)