

On surjective homomorphisms from a configuration space group to a surface group

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§1 Introduction: geometric homomorphism

K : field of characteristic zero

X : hyperbolic curve/ K of type (g, r)

In the rest of this talk, suppose: K : algebraically closed
unless otherwise specified.

$X_n := \{(x_1, \dots, x_n) \in X \times_K \cdots \times_K X \mid x_i \neq x_j (\forall i \neq j)\}$
: n -th configuration space of X

Let l : prime, Σ : a set of prime numbers s.t.

$\Sigma = \{l\}$ or Σ contains all prime numbers.

Write $\Pi_n^\Sigma := \pi_1(X_n)^\Sigma$ (the maximal pro- Σ quotient).

We shall refer to (a profinite group isom to) Π_n^Σ (resp. Π_1^Σ) as a **configuration space group** (resp. **surface group**).

Definition (generalized projection)

$$p : X_n \rightarrow X_m \quad (0 \leq m \leq n)$$

is a **generalized projection morphism** $\stackrel{\text{def}}{\iff}$

- If $(g, r) \notin \{(0, 3), (1, 1)\}$, then

$$p : X_n \rightarrow X_m: \text{ projection morphism}$$

- If $(g, r) = (0, 3)$, then $X_n \cong (\mathcal{M}_{0, n+3})_K$

$$p : X_n \xrightarrow{\sim} (\mathcal{M}_{0, n+3})_K \rightarrow (\mathcal{M}_{0, m+3})_K \xrightarrow{\sim} X_m$$

- If $(g, r) = (1, 1)$, then $X_n \cong E_{n+1}/E$ ($E := X^{\text{cpt}}$)

$$p : X_n \xrightarrow{\sim} E_{n+1}/E \rightarrow E_{m+1}/E \xrightarrow{\sim} X_m$$

Definition (generalized fiber subgroup)

A (generalized) projection morphism induces $\Pi_n^\Sigma \twoheadrightarrow \Pi_m^\Sigma$.

$\ker(\Pi_n^\Sigma \twoheadrightarrow \Pi_m^\Sigma)$: (generalized) fiber subgroup
(of co-length m)

$\text{GFS}_m(\Pi_n^\Sigma)$: the set of gen. fiber subgps of co-length m

$\text{FS}_m(\Pi_n^\Sigma)$: the set of fiber subgps of co-length m

Note: $N \in \text{GFS}_m(\Pi_n^\Sigma)$ is isomorphic to “ Π_{n-m}^Σ ” of a hyperbolic curve of type $(g, r + m)$.

Definition (exceptional morphism ($g = 0, 1$))

An open immersion $X \hookrightarrow Y$ (Y : of type $(0, 3)$ or $(1, 1)$) determines $X_n \hookrightarrow Y_n$.

If $p : Y_n \rightarrow Y$ is a generalized projection which is not a projection, then we shall refer to the composite $X_n \hookrightarrow Y_n \xrightarrow{p} Y$ as an **exceptional morphism**.

Definition (exceptional subgroup)

An exceptional morphism induces $\Pi_n^\Sigma \twoheadrightarrow \pi_1(Y)^\Sigma$.

$\ker(\Pi_n^\Sigma \twoheadrightarrow \pi_1(Y)^\Sigma)$: exceptional subgroup

$\text{ES}(\Pi_n^\Sigma)$: the set of excep. subgps

$(\text{ES}(\Pi_n^\Sigma) := \emptyset \text{ if } g \geq 2)$

$$\text{GFS}_1(\Pi_n^\Sigma) = \begin{cases} \text{FS}_1(\Pi_n^\Sigma) & ((g, r) \notin \{(0, 3), (1, 1)\}) \\ \text{FS}_1(\Pi_n^\Sigma) \cup \text{ES}(\Pi_n^\Sigma) & ((g, r) \in \{(0, 3), (1, 1)\}) \end{cases}$$

Main Theorem (S.)

Let H : surface group and

$\varphi : \Pi_n^\Sigma \twoheadrightarrow H$: surjective homomorphism.

Then $\exists N \in \text{FS}_1(\Pi_n^\Sigma) \cup \text{ES}(\Pi_n^\Sigma)$ s.t. $N \subset \ker \varphi$.

(In other words, any surjective homomorphism from a configuration space group to a surface group factors through some “geometric” homomorphism.)

Remark

- The case H : not free of rank 2 is proved by Hoshi-Minamide-Mochizuki.
- The case $g \geq 2$ is essentially proved by Mochizuki-Tamagawa.
- An alternative proof of the case $g \geq 2$ for “topological fundamental groups” is given by L. Chen.

§2 Lie algebra

Definition (Lie algebra associated to X_n)

Write $\Pi_n^l(\mathbf{1}) := \Pi_n^l (:= \Pi_n^{\{l\}})$,

$\Pi_n^l(\mathbf{2}) := \ker(\Pi_n^l \rightarrow (\pi_1(X^{\text{cpt}} \times_K \cdots \times_K X^{\text{cpt}})^l)^{\text{ab}})$,

$\Pi_n^l(m) := \overline{\langle [\Pi_n^l(m_1), \Pi_n^l(m_2)] \mid m_1 + m_2 = m \rangle} \quad (m \geq 3)$,

$\text{Gr}^m(\Pi_n^l) := \Pi_n^l(m) / \Pi_n^l(m+1)$,

$\text{Gr}(\Pi_n^l) := \bigoplus_{m \geq 1} \text{Gr}^m(\Pi_n^l)$.

Then $\text{Gr}(\Pi_n^l)$: graded Lie algebra over \mathbb{Z}_l .

$\text{Gr}(\Pi_n^l)$ has a presentation with generators

$$X_i^{(k)}, Y_i^{(k)} \in \text{Gr}^1(\Pi_n^l), Z_j^{(k)}, W_h^{(k)} \in \text{Gr}^2(\Pi_n^l)$$

$$(1 \leq i \leq g, 1 \leq j \leq r, 1 \leq k, h \leq n)$$

and relations (R1–10):

$$\sum_{i=1}^g [X_i^{(k)}, Y_i^{(k)}] + \sum_{j=1}^r Z_j^{(k)} + \sum_{h=1}^n W_h^{(k)} = 0, \quad (\text{R1})$$

$$W_k^{(k)} = 0, \quad (\text{R2})$$

$$W_h^{(k)} = W_k^{(h)}, \quad (\text{R3})$$

$$[X_i^{(k)}, X_{i'}^{(k')}] = [Y_i^{(k)}, Y_{i'}^{(k')}] = 0 \quad (k \neq k'), \quad (\text{R4})$$

$$[X_i^{(k)}, Y_{i'}^{(k')}] = 0 \quad (i \neq i', k \neq k'), \quad (\text{R5})$$

$$[X_i^{(k)}, Y_i^{(k')}] = W_k^{(k')} \quad (k \neq k'), \quad (\text{R6})$$

$$[X_i^{(k)}, Z_j^{(k')}] = [Y_i^{(k)}, Z_j^{(k')}] = 0 \quad (k \neq k'), \quad (\text{R7})$$

$$[Z_j^{(k)}, Z_{j'}^{(k')}] = 0 \quad (j \neq j', k \neq k'), \quad (\text{R8})$$

$$[X_i^{(k)}, W_h^{(k')}] = [Y_i^{(k)}, W_h^{(k')}] = [Z_j^{(k)}, W_h^{(k')}] = 0$$

$$(k \notin \{k', h\}), \quad (\text{R9})$$

$$[W_h^{(k)}, W_{h'}^{(k')}] = 0 \quad (\{k, h\} \cap \{k', h'\} = \emptyset). \quad (\text{R10})$$

Example

- $n = 1$ (surface algebra)

Generators: $X_1^{(1)} \cdots X_g^{(1)} | Y_1^{(1)} \cdots Y_g^{(1)} | Z_1^{(1)} \cdots Z_r^{(1)}$

Relation: $\sum_{i=1}^g [X_i^{(1)}, Y_i^{(1)}] + \sum_{j=1}^r Z_j^{(1)} = 0$

(If $r > 0$, then $\text{Gr}(\Pi_1^l)$: free of rank $2g + r - 1$.)

- $n = 2, g = 0$ ($r \geq 3$)

Generators:
$$\begin{array}{cccc|cc} Z_1^{(1)} & Z_2^{(1)} & \cdots & Z_r^{(1)} & 0 & W \\ Z_1^{(2)} & Z_2^{(2)} & \cdots & Z_r^{(2)} & W & 0 \end{array}$$

Relations:
$$\sum_{j=1}^r Z_j^{(k)} + W = 0 \quad (k = 1, 2),$$
$$[Z_j^{(1)}, Z_{j'}^{(2)}] = 0 \quad (j \neq j')$$

Definition (fiber ideal, exceptional ideal)

A proj. mor. $X_n \rightarrow X_m$ induces $\text{Gr}(\Pi_n^l) \twoheadrightarrow \text{Gr}(\Pi_m^l)$.

$\ker(\text{Gr}(\Pi_n^l) \twoheadrightarrow \text{Gr}(\Pi_m^l))$: fiber ideal (of co-length m)

$\text{FI}_m(\text{Gr}(\Pi_n^l))$: the set of fiber ideals of co-length m

An excep. mor. $X_n \rightarrow Y$ induces $\text{Gr}(\Pi_n^l) \twoheadrightarrow \text{Gr}(\pi_1(Y)^l)$.

$\ker(\text{Gr}(\Pi_n^l) \twoheadrightarrow \text{Gr}(\pi_1(Y)^l))$: exceptional ideal

$\text{EI}(\text{Gr}(\Pi_n^l))$: the set of excep. ideals

Theorem A (S.)

Let \mathfrak{h} : surface algebra/ \mathbb{Z}_l and

$\varphi : \text{Gr}(\Pi_n^l) \twoheadrightarrow \mathfrak{h}$: surjective homomorphism/ \mathbb{Z}_l .

Then $\exists \mathfrak{i} \in \text{FI}_1(\text{Gr}(\Pi_n^l)) \cup \text{EI}(\text{Gr}(\Pi_n^l))$ s.t. $\mathfrak{i} \subset \ker \varphi$.

lower central series

↓

If $g = 0$ or $r \leq 1$, then $\text{Gr}(\Pi_n^l) \cong \text{Gr}^{\text{lcs}}(\Pi_n^l)$

\Rightarrow **Main Theorem for $g = 0$ or $r \leq 1$**

follows from Theorem A.

Sketch of the proof of Theorem A:

Lemma 1

Let \mathfrak{h} : surface algebra/ \mathbb{Z}_l .

(i) \mathfrak{h} is a free \mathbb{Z}_l -module.

(ii) $a, b \in \mathfrak{h}$, $[a, b] = 0$

$\Rightarrow a$ and b are **linearly dependent** over \mathbb{Q}_l .

(If “ r ” > 0 , then, since \mathfrak{h} is a free Lie algebra/ \mathbb{Z}_l ,

Lemma 1 is well-known.)

e.g. (the case $n = 2, g = 0$)

Recall $\text{Gr}(\Pi_2^l)$ has the following presentation:

$$\text{Generators: } \begin{array}{cccc|cc} Z_1^{(1)} & Z_2^{(1)} & \cdots & Z_r^{(1)} & 0 & W \\ Z_1^{(2)} & Z_2^{(2)} & \cdots & Z_r^{(2)} & W & 0 \end{array}$$

$$\text{Relations: } \begin{array}{l} \sum_{j=1}^r Z_j^{(k)} + W = 0 \quad (k = 1, 2), \\ [Z_j^{(1)}, Z_{j'}^{(2)}] = 0 \quad (j \neq j') \end{array}$$

Write $z_j^{(k)} := \varphi(Z_j^{(k)})$, $w := \varphi(W)$.

We may assume: $\alpha := z_1^{(1)} \neq 0$.

$$\underline{[Z_j^{(1)}, Z_{j'}^{(2)}] = 0 \quad (j \neq j')}$$

$$\Rightarrow [\alpha, z_j^{(2)}] = \varphi([Z_1^{(1)}, Z_j^{(2)}]) = 0 \quad (j \neq 1)$$

$$\stackrel{\text{Lem1}}{\Rightarrow} z_j^{(2)} \in \alpha \mathbb{Q}_l \quad (j \neq 1)$$

$$\begin{array}{cccc|cc} \alpha & z_2^{(1)} & \cdots & z_r^{(1)} & 0 & w \\ z_1^{(2)} & z_2^{(2)} & \cdots & z_r^{(2)} & w & 0 \end{array} \Rightarrow \begin{array}{cccc|cc} \alpha & z_2^{(1)} & \cdots & z_r^{(1)} & 0 & w \\ z_1^{(2)} & \boxed{\alpha} & \cdots & \boxed{\alpha} & w & 0 \end{array}$$

Suppose: $z_j^{(1)} \in \alpha\mathbb{Q}_l$ ($j \neq 1$)

$$\underline{\sum_{j=1}^r Z_j^{(k)} + W = 0 \quad (k = 1, 2)}$$

$$\Rightarrow w \in \alpha\mathbb{Q}_l, z_1^{(2)} \in \alpha\mathbb{Q}_l$$

Since $\text{rank}_{\mathbb{Z}_l} \mathfrak{h}^{\text{ab}} \geq 2$, we obtain a contradiction.

$$\begin{array}{ccc|cc} \alpha & \boxed{\alpha} & \cdots & \boxed{\alpha} & 0 & w \\ z_1^{(2)} & \boxed{\alpha} & \cdots & \boxed{\alpha} & w & 0 \end{array} \Rightarrow \begin{array}{ccc|cc} \alpha & \boxed{\alpha} & \cdots & \boxed{\alpha} & 0 & \boxed{\alpha} \\ \boxed{\alpha} & \boxed{\alpha} & \cdots & \boxed{\alpha} & \boxed{\alpha} & 0 \end{array}$$

We may assume: $\beta := z_2^{(1)} \notin \alpha\mathbb{Q}_l$.

By the above argument, $z_j^{(2)} \in \beta\mathbb{Q}_l$ ($j \neq 2$).

In particular, $z_j^{(2)} \in \alpha\mathbb{Q}_l \cap \beta\mathbb{Q}_l = \{0\}$ ($j \neq 1, 2$).

$$\begin{array}{cccc|cc}
 \alpha & \beta & z_3^{(1)} & \cdots & z_r^{(1)} & 0 & w \\
 z_1^{(2)} & \boxed{\alpha} & \boxed{\alpha} & \cdots & \boxed{\alpha} & w & 0
 \end{array}
 \Rightarrow
 \begin{array}{cccc|cc}
 \alpha & \beta & z_3^{(1)} & \cdots & z_r^{(1)} & 0 & w \\
 \boxed{\beta} & \boxed{\alpha} & 0 & \cdots & 0 & w & 0
 \end{array}$$

Put $z_2^{(2)} = a\alpha$, $z_1^{(2)} = b\beta$.

$$\underline{\sum_{j=1}^r Z_j^{(k)} + W = 0 \quad (k = 1, 2)}$$

$$\Rightarrow w = -a\alpha - b\beta, \quad \sum_{j=3}^r z_j^{(k)} = (a-1)\alpha + (b-1)\beta$$

$$\begin{array}{cccccc|cc} \alpha & \beta & z_3^{(1)} & \cdots & z_r^{(1)} & 0 & w \\ b\beta & a\alpha & 0 & \cdots & 0 & w & 0 \end{array}$$

\Downarrow

$$\begin{array}{cccccc|cc} \alpha & \beta & z_3^{(1)} & \cdots & z_r^{(1)} & 0 & -a\alpha - b\beta \\ b\beta & a\alpha & 0 & \cdots & 0 & -a\alpha - b\beta & 0 \end{array}$$

If $a \neq 0$, then

$[Z_j^{(1)}, Z_{j'}^{(2)}] = 0 \ (j \neq j')$ implies $z_j^{(1)} \in \alpha \mathbb{Q}_l \ (j \geq 3)$

$\sum_{j=3}^r z_j^{(k)} = (a-1)\alpha + (b-1)\beta$ implies $b = 1$

Similarly, $b \neq 0 \Rightarrow a = 1$

$\Rightarrow (a, b) = (0, 0), (1, 1)$

If $(a, b) = (1, 1)$, then

$$\underline{[Z_j^{(1)}, Z_{j'}^{(2)}] = 0 \ (j \neq j')}$$

implies $z_j^{(1)} \in \alpha Q_l \cap \beta Q_l = \{0\} \ (j \neq 1, 2)$.

$$\begin{array}{cccc|cc} \alpha & \beta & z_3^{(1)} & \cdots & z_r^{(1)} & 0 & -\alpha - \beta \\ \beta & \alpha & 0 & \cdots & 0 & -\alpha - \beta & 0 \end{array}$$

\Downarrow

$$\begin{array}{cccc|cc} \alpha & \beta & 0 & \cdots & 0 & 0 & -\alpha - \beta \\ \beta & \alpha & 0 & \cdots & 0 & -\alpha - \beta & 0 \end{array}$$

Conclusion

$\text{Gr}(\Pi_2^l) \twoheadrightarrow \mathfrak{h}$ is one of the following forms:

$$\begin{array}{l} \text{Type 1} \\ (\text{Corr. to } \exists \text{proj}) \end{array} \quad \begin{array}{cccc|cc} * & * & \cdots & * & 0 & 0 \\ 0 & 0 & \cdots & 0 & 0 & 0 \end{array}$$

$$\begin{array}{l} \text{Type 2} \\ (\text{Corr. to } \exists \text{excep}) \end{array} \quad \begin{array}{cccc|cc} \alpha & \beta & 0 & \cdots & 0 & 0 & -\alpha - \beta \\ \beta & \alpha & 0 & \cdots & 0 & -\alpha - \beta & 0 \end{array} \quad \square$$

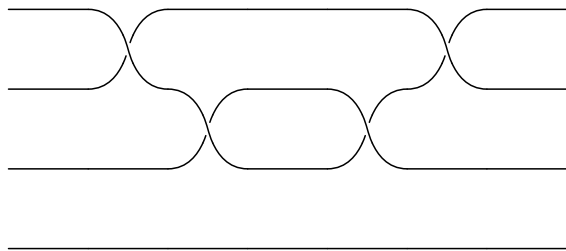
§3 Pure braids

a point of $X_n(/C)$ \leftrightarrow ordered n distinct points of X

$g \in \pi_1^{\text{top}}(X_n) =: \Pi_n \leftrightarrow$ **pure braid** on n strands on X

$p_k : \Pi_n \twoheadrightarrow \Pi_{n-1} \leftrightarrow$ forgetting the k -th strand

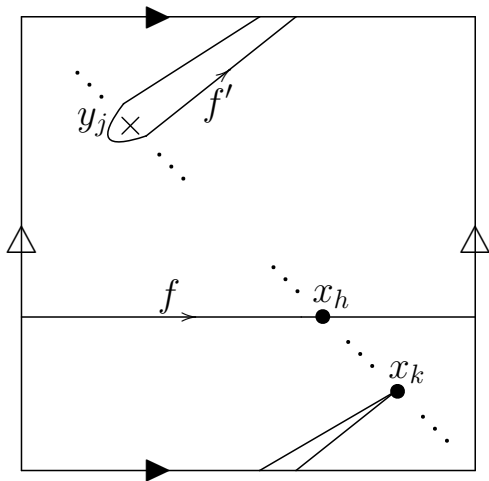
$(\Pi_n^\Sigma: \text{identified with the maximal pro-}\Sigma \text{ completion of } \Pi_n)$



$$\ker p_k = \langle \alpha_1^{(k)}, \dots, \alpha_g^{(k)}, \beta_1^{(k)}, \dots, \beta_g^{(k)}, \\ \gamma_1^{(k)}, \dots, \gamma_r^{(k)}, \delta_1^{(k)}, \dots, \delta_n^{(k)} \mid \delta_k^{(k)} = 1, \\ \prod_{i=1}^g [\alpha_i^{(k)}, \beta_i^{(k)}] \prod_{j=1}^r \gamma_j^{(k)} \prod_{h=1}^n \delta_h^{(k)} = 1 \rangle$$

Lemma 2

If $k \neq h$ and $j \neq j'$, then $\alpha_i^{(h)}$ (resp. $\beta_i^{(h)}$, $\gamma_{j'}^{(h)}$) commutes with some conjugate of $\gamma_j^{(k)}$.



$(g = 1, (x_1, \dots, x_n)$: basepoint, y_1, \dots, y_r : cusps,
 f, f' represent some conjugate of $\alpha_1^{(h)}, \gamma_j^{(k)}$

Theorem B (S.)

Suppose: $g > 0$.

H : pro- l surface group, $\varphi : \Pi_n^l \twoheadrightarrow H$,

$\varphi(\gamma_r^{(k)}) \neq 1$ for $\exists k$

$\Rightarrow \forall h \neq k \quad \ker p_h \subset \ker \varphi$

(Since $\langle \ker p_h | h \neq k \rangle \in \text{FS}_1(\Pi_n^l)$, to prove

Main Theorem, we can reduce to the case $r \leq 1$.)

Proof of Theorem B:

Fact (Suppose: $g > 0$)

Write $A := \{\alpha_1^{(h)}, \dots, \alpha_g^{(h)}, \beta_1^{(h)}, \dots, \beta_g^{(h)}, \gamma_1^{(h)}, \dots, \gamma_{r-1}^{(h)}\}$,

$J := \overline{\langle A \rangle} \subset \ker p_h (\subset \Pi_n^l)$.

Then $\text{Im}(J \rightarrow \Pi_n^{l,\text{ab}}) = \text{Im}(\ker p_h \rightarrow \Pi_n^{l,\text{ab}})$

By Lemma 2, $\forall a \in A \exists b_a \in H$ s.t.

$\varphi(a)$ commutes with $b_a \varphi(\gamma_r^{(k)}) b_a^{-1} (\neq 1)$.

$\Rightarrow \exists c_a \in \mathbb{Q}_l$ s.t. $\varphi(a) = (b_a \varphi(\gamma_r^{(k)}) b_a^{-1})^{c_a}$

$\Rightarrow \varphi^{\text{ab}}(\ker p_h) = \varphi^{\text{ab}}(J) \subset \varphi^{\text{ab}}(\gamma_r^{(k)}) \mathbb{Q}_l$

$(\varphi^{\text{ab}} : \prod_n^l \overset{\varphi}{\rightarrow} H \rightarrow H^{\text{ab}})$

In particular, $\varphi(\ker p_h) \subset H$: **not open**

Since any top. fin. gen. normal closed subgroup of H is open or trivial,

$\varphi(\ker p_h) = \{1\}$, i.e., **$\ker p_h \subset \ker \varphi$** . □

§4 Application

Definition (hyperbolic polycurve)

S : scheme, Z : scheme/ S

Z/S : hyperbolic polycurve (of dim. n)

$\stackrel{\text{def}}{\Leftrightarrow} \exists$ a sequence $Z = Z_{(n)} \rightarrow \cdots \rightarrow Z_{(1)} \rightarrow Z_{(0)} = S$

s.t. $\forall i$ $Z_{(i)}/Z_{(i-1)}$: relative hyperbolic curve

Example X_n/K is a hyperbolic polycurve.

(\exists a seq. $X_n \rightarrow X_{n-1} \rightarrow \cdots \rightarrow X_1 \rightarrow X_0 = \text{Spec } K$

determined by (generalized) projections)

As an application of Main Theorem, we obtain a Grothendieck's anabelian conjecture-type result:

Theorem C (S.)

Suppose:

- K is **generalized sub- l -adic**
(i.e., $K \hookrightarrow \exists L / \text{Frac}(W(\overline{\mathbb{F}}_l))$: fin. gen.).
- $g > 0$. (g : genus of X)

Let Z/K : hyperbolic polycurve over $\text{Spec } K$.

Then the natural map

$$\text{Isom}_K(X_n, Z) \rightarrow \text{Isom}_{G_K}(\pi_1(X_n), \pi_1(Z)) / \text{Inn}(\pi_1(Z \times_K \overline{K}))$$

is bijective.

Sketch of the proof of Theorem C:

We can show:

Lemma 3 (S.)

Suppose: $g = 1$ and $r \geq 2$.

A : finite nonzero Σ -torsion Π_n^Σ -module, $N \in \text{ES}(\Pi_n^\Sigma)$

$\Rightarrow H^n(N, A)$: infinite.

$$N := \ker(\underbrace{\pi_1(X_n \times_K \overline{K})}_{\Pi_n^{\text{prof}}} \xrightarrow{\sim} \pi_1(Z \times_K \overline{K}) \twoheadrightarrow \pi_1(Z_{(1)} \times_K \overline{K}))$$

\uparrow arises from $\pi_1(X_n) \xrightarrow{\sim}_{G_K} \pi_1(Z)$

By Main Theorem, we can show:

$$N \in \text{FS}_1(\pi_1(X_n \times_K \overline{K})) \cup \text{ES}(\pi_1(X_n \times_K \overline{K})).$$

Moreover, by Lemma 3,

$$N \notin \text{ES}(\pi_1(X_n \times_K \overline{K})) \text{ if } g = 1 \text{ and } r \geq 2.$$

$$\text{Thus, } N \in \text{GFS}_1(\pi_1(X_n \times_K \overline{K})).$$

Theorem C follows from induction and GC for hyperbolic curves/gen. sub- l -adic field (Mochizuki). □

Remark

(i) Grothendieck's anabelian conjecture for

- (Hoshi) hyp. polycurves of $\dim \leq 4$ (over a sub- l -adic field, i.e., a subfield of a fin. gen. ext. of \mathbb{Q}_l),
- (Schmidt-Stix) strongly hyperbolic Artin neighborhoods (over a fin. gen. ext. over \mathbb{Q})

hold.

(ii)(S.) The isomorphism class of a hyp. polycurve (over a gen. sub- l -adic field K) is determined by $\pi_1(X) \twoheadrightarrow G_K$ **up to finitely many possibilities.**