

# DEVELOPMENTS OF ANABELIAN GEOMETRY OF CURVES OVER FINITE FIELDS

AKIO TAMAGAWA

June 28, 2021

ABSTRACT. This is a survey talk on anabelian geometry of curves over finite fields. It will cover various topics, from Uchida's theorem for function fields in 1970s to several recent developments.

Typeset by  $\mathcal{A}\mathcal{M}\mathcal{S}$ - $\mathcal{T}\mathcal{E}\mathcal{X}$

## Contents

- §0. Introduction [6 pp.]
  - 0.1. Fundamental groups
  - 0.2. Anabelian geometry (AG)
  - 0.3. What are treated in this talk
  - 0.4. What are not treated in this talk
  - 0.5. Notation
- §1. Birational AG (Uchida's theorem) [2 pp.]
- §2. AG [4 pp.]
- §3. Log AG [1 p.]
- §4. Pro- $\Sigma$  AG [3 pp.]
- §5.  $m$ -step solvable AG [2 pp.]
- §6. Hom version [1 p.]

## §0. Introduction.

### 0.1. Fundamental groups.

$S$ : a connected scheme

$\xi : \text{Spec}(\Omega) \rightarrow S$ : a geometric point ( $\Omega$ : a separably closed field)

$\implies \pi_1(S) = \pi_1(S, \xi)$ : a profinite group

$F$ : a field

$S$ : a geometrically connected  $F$ -scheme

$\implies 1 \rightarrow \pi_1(S_{\overline{F}}) \rightarrow \pi_1(S) \xrightarrow{\text{pr}} G_F \rightarrow 1$  : exact

$G_F = \text{Gal}(F^{\text{sep}}/F) = \pi_1(\text{Spec}(F))$ : the absolute Galois group of  $F$

$\pi_1(S)$ : called the arithmetic fundamental group

$\pi_1(S_{\overline{F}})$ : called the geometric fundamental group

## Quotients

$\mathfrak{Primes}$ : the set of prime numbers

$\Gamma$ : a profinite group

$\Gamma^*$ : a characteristic quotient of  $\Gamma$  (referred to as (maximal)  $*$  quotient), e.g.,

$$* = \begin{cases} \text{pro-}\Sigma \text{ [maximal pro-}\Sigma \text{ quotient]} (\Sigma \subset \mathfrak{Primes}), \\ \text{pro-}l = \text{pro-}\{l\} (l \in \mathfrak{Primes}), \\ \text{pro-}l' = \text{pro-}(\mathfrak{Primes} \setminus \{l\}) (l \in \mathfrak{Primes}), \\ \text{ab [abelianization, i.e. maximal abelian quotient]}, \\ \text{solv [maximal prosolvable quotient]}, \\ m\text{-solv [maximal } m\text{-step solvable quotient]} (m \geq 0), \\ \text{etc.} \end{cases}$$

$1 \rightarrow \bar{\Pi} \rightarrow \Pi \rightarrow G \rightarrow 1$ : an exact sequence of profinite groups

$\Pi^{(*)} := \Pi / \text{Ker}(\bar{\Pi} \rightarrow \bar{\Pi}^*)$

$\implies 1 \rightarrow \bar{\Pi}^* \rightarrow \Pi^{(*)} \rightarrow G \rightarrow 1$ : exact

Apply this to  $1 \rightarrow \pi_1(S_{\bar{F}}) \rightarrow \pi_1(S) \rightarrow G_F \rightarrow 1$ . Then

$\pi_1(S)^{(*)}$ : called the maximal geometrically  $*$  quotient of  $\pi_1(S)$

## 0.2. Anabelian geometry (AG).

Grothendieck conjecture (GC): For an “anabelian scheme”  $S$ , (the isomorphism class of)  $S$  can be recovered group-theoretically from  $\pi_1(S)$ .

### Mono-anabelian/bi-anabelian/weak bi-anabelian geometry

- Mono-AG: A purely group-theoretic algorithm for reconstructing (a scheme isomorphic to)  $S$  starting from  $\pi_1(S)$  exists (or can be constructed).
- Bi-AG: For  $S_1, S_2$ , and an isomorphism  $\pi_1(S_1) \xrightarrow{\sim} \pi_1(S_2)$ , there exists an (a unique) isomorphism  $S_1 \xrightarrow{\sim} S_2$  that induces the isomorphism  $\pi_1(S_1) \xrightarrow{\sim} \pi_1(S_2)$  up to conjugacy. Namely, the natural map  $\text{Isom}(S_1, S_2) \rightarrow \text{Isom}(\pi_1(S_1), \pi_1(S_2)) / \text{Inn}(\pi_1(S_2))$  is a bijection.
- Weak bi-AG: For  $S_1, S_2$ , if  $\pi_1(S_1) \simeq \pi_1(S_2)$ , then  $S_1 \simeq S_2$ .

In this talk, we ignore the difference between mono/bi-AG and write  $\pi_1(S) \rightsquigarrow S$  for the mono/bi-anabelian results, while we write  $\pi_1(S) \rightsquigarrow [S]$  for the weak bi-anabelian results.

### Absolute/semi-absolute/relative anabelian geometry

- Absolute AG:  $\pi_1(S) \rightsquigarrow S$  or  $[S]$
- Semi-absolute AG:  $(\pi_1(S), \pi_1(S_{\overline{F}})) \rightsquigarrow S$  or  $[S]$
- Relative AG:  $F$  being fixed,  $(\pi_1(S) \twoheadrightarrow G_F) \rightsquigarrow S$  or  $[S]$

In this talk, we ignore the difference among absolute/semi-absolute/relative AG.

### **0.3. What are treated in this talk.**

#### Contents (bis)

##### §0. Introduction

0.1. Fundamental groups

0.2. Anabelian geometry (AG)

0.3. What are treated in this talk

0.4. What are not treated in this talk

0.5. Notation/terminology

##### §1. Birational AG (Uchida's theorem)

##### §2. AG

##### §3. Log AG

##### §4. Pro- $\Sigma$ AG

##### §5. $m$ -step solvable AG

##### §6. Hom version

#### **0.4. What are not treated in this talk.**

- Number fields and integer rings (Neukirch, Ikeda, Iwasawa, Uchida, Hoshi, Ivanov, Saïdi, T, Shimizu, ...)
- Curves over algebraic closures of finite fields (Pop, Saïdi, Raynaud, T, Sarashina, Yang, ...)
- Curves over fields finitely generated over finite fields (Stix, Yamaguchi, ...)
- Curves over power series fields over finite fields (...)
- Curves over fields of characteristic 0 (Nakamura, T, Mochizuki, Hoshi, Tsujimura, Lepage, Porowski, Murotani, ...)
- Higher-dimensional varieties over finite fields (...)
- Function fields of several variables over finite fields (Bogomolov, Pop, Saïdi, T, ...)
- etc.

## 0.5. Notation/terminology.

From now on, we use the following notation/terminology:

- $k$ : a finite field
- $p$ : the characteristic of  $k$
- $q$ : the cardinality  $|k|$  of  $k$
- A curve: a scheme smooth, geometrically connected, separated and of dimension 1 over a field (except for “stable curve” in §3)
- $S^{\text{cl}}$ : the set of closed points of a scheme  $S$
- $X$ : a curve over  $k$
- $X^{\text{cpt}}$ : the smooth compactification of  $X$
- $g$ : the genus of  $X^{\text{cpt}}$
- $r$ : the cardinality of  $(X^{\text{cpt}} \setminus X)(\bar{k})$   
( $X$ : hyperbolic/affine/proper  $\iff 2g - 2 + r > 0/r > 0/r = 0$ )
- $K = k(X)$ : the function field of  $X$
- $\text{Sub}(\Gamma)$ : the set of closed subgroups of a profinite group  $\Gamma$
- $\text{OSub}(\Gamma)$ : the set of open subgroups of a profinite group  $\Gamma$



## §1. Birational AG (Uchida's theorem).

The following is the beginning of the history of AG of curves over finite fields (with the Neukirch-Uchida theorem for number fields as a pre-history).

**Theorem [Uchida 1977].**  $G_K \rightsquigarrow K$ .

*Outline of proof.* Here, we may assume  $X = X^{\text{cpt}}$ .

Step 1. Local theory and characterization of various invariants

1-1. Decomposition groups  $D_x$  ( $x \in X^{\text{cl}}$ )

Show the separatedness, i.e. the injectivity of the map  $X^{\text{cl}} \rightarrow \text{Dec}(G_K)/\text{Inn}(G_K) \subset \text{Sub}(G_K)/\text{Inn}(G_K)$ ,  $x \mapsto D_x$ , and characterize the subset  $\text{Dec}(G_K) \subset \text{Sub}(G_K)$  group-theoretically: For  $D \in \text{Sub}(G_K)$ ,  $D \in \text{Dec}(G_K) \iff D$  is a maximal element of  $\{H \in \text{Sub}(G_K) \mid \exists l \in \mathfrak{Primes}, \exists H_0 \in \text{OSub}(H), \text{ s.t. } \forall H' \in \text{OSub}(H_0), H^2(H', \mathbb{F}_l) \simeq \mathbb{F}_l\}$ .

The proof of this step resorts to the local-global principle for Brauer groups.

1-2. The characteristic  $p$

For  $l \in \mathfrak{Primes}$ ,  $l = p \iff \text{cd}_l(G_K) = 1$

1-3. The cyclotomic character  $\chi_{\text{cycl}} : G_K \rightarrow (\hat{\mathbb{Z}}^{\text{pro-}p'})^\times$

For each  $x \in X^{\text{cl}}$ ,  $\chi_{\text{cycl}}|_{D_x}$  is the character associated to the conjugacy action of  $D_x$  on  $\text{Ker}(D_x \rightarrow D_x^{\text{ab}})^{\text{ab, pro-}p'}$  ( $\simeq \hat{\mathbb{Z}}^{\text{pro-}p'}$ ). Use this and Chebotarev:  $G_K = \langle D_x \mid x \in X^{\text{cl}} \rangle$ .

1-4. Inertia groups  $I_x$ , wild inertia groups  $I_x^{\text{wild}}$ , cardinality  $q_x$  of residue fields  $k(x)$ , and Frobenius elements  $\text{Frob}_x$  ( $x \in X^{\text{cl}}$ )

$I_x = \text{Ker}(\chi_{\text{cycl}}|_{D_x})$ ,  $I_x^{\text{wild}}$  is a unique pro- $p$ -Sylow subgroup of  $I_x$ ,  $q_x = |(D_x^{\text{ab}})_{\text{tor}}| + 1$ , and  $\text{Frob}_x \in D_x/I_x$  is characterized by  $\chi_{\text{cycl}}(\text{Frob}_x) = q_x \in (\hat{\mathbb{Z}}^{\text{pro-}p'})^\times$ .

### Step 2. Multiplicative groups

2-1. Local multiplicative groups  $K_x^\times \supset O_x^\times \supset U_x \stackrel{\text{def}}{=} \text{Ker}(O_x^\times \rightarrow k(x)^\times)$  ( $x \in X^{\text{cl}}$ )

$K_x^\times$  is the inverse image of  $\langle \text{Frob}_x \rangle \subset D_x/I_x$  in  $D_x^{\text{ab}}$ ,  $O_x^\times = \text{Im}(I_x \rightarrow D_x^{\text{ab}})$ , and  $U_x = \text{Im}(I_x^{\text{wild}} \rightarrow D_x^{\text{ab}})$  (local class field theory). Further, the natural map  $\text{ord}_x : K_x^\times \rightarrow \mathbb{Z}$  is characterized by  $\text{ord}_x(O_x^\times) = \{0\}$  and  $\text{ord}_x(\text{Frob}_x) = 1$ .

2-2. Global multiplicative group  $K^\times$

$K^\times = \text{Ker}((\prod'_{x \in X^{\text{cl}}} K_x^\times) \rightarrow G_K^{\text{ab}})$  (global class field theory). Further, for each  $x \in X^{\text{cl}}$ ,  $\text{ord}_x = \text{ord}_x|_{K^\times}$ ,  $\mathcal{O}_{X,x}^\times = K^\times \cap O_x^\times$ , and  $U_{X,x} \stackrel{\text{def}}{=} \text{Ker}(\mathcal{O}_{X,x}^\times \rightarrow k(x)^\times) = K^\times \cap U_x$ .

### Step 3. Additive structure on $K = K^\times \cup \{0\}$

#### **Uchida's lemma.**

$(K^\times, \cdot, X^{\text{cl}}, (\text{ord}_x)_{x \in X^{\text{cl}}}, (U_{X,x})_{x \in X^{\text{cl}}})$  (for all constant field extensions of  $K$ )  $\rightsquigarrow (K, +)$

*Proof.*

- Additive structure on the constant field  $k$ : Consider minimal functions, i.e elements of  $K \setminus k$  with degree of poles minimal, and evaluate them at three points.
- Additive structure on the residue fields  $k(x)$  ( $x \in X^{\text{cl}}$ ): Identify the residue field with the constant field (after a constant field extension).
- Additive structure on  $K$ : Use reductions.  $\square \quad \square$

## §2. AG.

**Theorem [T 1997] (for  $r > 0$ ) [Mochizuki 2007] (for  $r = 0$ ).**

(i) If  $r > 0$  or  $2g - 2 + r > 0$ ,  $\pi_1(X) \rightsquigarrow X$ .

(ii) If  $2g - 2 + r > 0$ ,  $\pi_1^{\text{tame}}(X) \rightsquigarrow X$ .

*Outline of proof.* For simplicity, we only treat (i).

Step 1. Local theory and characterization of various invariants

1-1. The quotient  $\pi_1(X) \twoheadrightarrow G_k$  and the geometric fundamental groups  $\pi_1(X_{\bar{k}})$

The  $p'$ -part  $\pi_1(X) \twoheadrightarrow G_k^{\text{pro-}p'} (\simeq \hat{\mathbb{Z}}^{\text{pro-}p'})$  of the quotient  $\pi_1(X) \twoheadrightarrow G_k (\simeq \hat{\mathbb{Z}})$  is identified with  $\pi_1(X) \twoheadrightarrow \pi_1(X)^{\text{ab, pro-}p'}/(\text{torsion})$ . For the  $p$ -part  $\pi_1(X) \twoheadrightarrow G_k^{\text{pro-}p} (\simeq \mathbb{Z}_p)$ , we resort to Iwasawa theory for ( $\mathbb{Z}_p$ -extensions of) function fields (details omitted). Further,  $\pi_1(X_{\bar{k}}) = \text{Ker}(\pi_1(X) \twoheadrightarrow G_k)$ .

1-2. The characteristic  $p$

For  $l \in \mathfrak{Primes}$ ,  $l = p \iff \pi_1(X_{\bar{k}})^{\text{ab, pro-}l'}$  is a free  $\hat{\mathbb{Z}}^{\text{pro-}l'}$ -module.

1-3. The invariant  $\varepsilon \in \{0, 1\}$

Set  $\varepsilon = 0$  (resp. 1) if  $r > 0$  (resp.  $r = 0$ ). Then  $\varepsilon = 1 \iff \pi_1(X)$  is finitely generated.

1-4. The Frobenius element  $\text{Frob} \in G_k$

Set  $M := \pi_1(X_{\bar{k}})^{\text{ab, pro-}p'}$ . Then the character  $\chi$  associated to the  $G_k$ -module  $(M^{\wedge \max})^{\otimes 2} (\simeq \hat{\mathbb{Z}}^{\text{pro-}p'})$  is  $\chi_{\text{cycl}}^{2(g+r-\varepsilon)}$ , where  $\chi_{\text{cycl}} : G_k \rightarrow (\hat{\mathbb{Z}}^{\text{pro-}p'})^\times$  is the cyclotomic character. For  $F \in G_k$ ,  $F = \text{Frob} \iff \chi(F) = \min(p^{\mathbb{Z}_{>0}} \cap \text{Im}(\chi)) (= q^{2(g+r-\varepsilon)})$ .

### 1-5. The cardinality $q$ of $k$

Let  $A$  be the set of complex absolute values of eigenvalues of Frobenius acting on the free  $\hat{\mathbb{Z}}^{\text{pro-}p'}$ -module  $M$ . If  $\varepsilon = 1$ , then  $A = \{q^{1/2}\}$ . If  $\varepsilon = 0$ , then (possibly after replacing  $X$  by a suitable cover)  $A = \{q^{1/2}, q\}$ . This characterizes  $q$ .

### 1-6. Characterization of decomposition groups $D_x$ ( $x \in (X^{\text{cpt}})^{\text{cl}}$ )

First, assume  $r = 0$ . Show the separatedness, i.e. the injectivity of the map  $X^{\text{cl}} \rightarrow \text{Dec}(\pi_1(X))/\text{Inn}(\pi_1(X)) \subset \text{Sub}(\pi_1(X))/\text{Inn}(G_K)$ ,  $x \mapsto D_x$ , and characterize the subset  $\text{Dec}(\pi_1(X)) \subset \text{Sub}(\pi_1(X))$  group-theoretically: For  $D \in \text{Sub}(\pi_1(X))$ ,  $D \in \text{Dec}(\pi_1(X)) \iff D$  is a maximal element of

$\{Z \in \text{Sub}(\pi_1(X)) \mid Z \cap \pi_1(X_{\bar{k}}) = \{1\}, \text{pr}(Z) \in \text{OSub}(G_k), \text{ and } \forall l \in \mathfrak{Primes}, \forall H \in \text{OSub}(\pi_1(X)) \text{ containing } Z, 1 + q^{n_Z} - \text{tr}(\text{Frob}^{n_Z} \mid \bar{H}^{\text{ab, pro-}l}) \in \mathbb{Z}_{>0}\}$ , where  $n_Z = (G_k : \text{pr}(Z))$ ,  $\bar{H} = H \cap \pi_1(X_{\bar{k}})$ . The proof of this fact resorts to the Lefschetz trace formula for étale cohomology. For  $r > 0$ , we consider the compactification of the cover corresponding to the above  $H$  (details omitted).

### 1-7. Inertia groups $I_x$ , wild inertia groups $I_x^{\text{wild}}$ , cardinality $q_x$ of residue fields $k(x)$ , and Frobenius elements $\text{Frob}_x$ ( $x \in (X^{\text{cpt}})^{\text{cl}}$ )

For each  $x \in (X^{\text{cpt}})^{\text{cl}}$ ,  $I_x = D_x \cap \pi_1(X_{\bar{k}})$ ,  $I_x^{\text{wild}}$  is a unique pro- $p$ -Sylow subgroup of  $I_x$ ,  $q_x = q^{(G_k : \text{pr}(D_x))}$ , and  $\text{Frob}_x \in D_x/I_x$  is characterized by  $\text{pr}(\text{Frob}_x) = \text{Frob}^{(G_k : \text{pr}(D_x))}$ .

### Step 2. Multiplicative groups (for $r > 0$ )

#### 2-1. Local multiplicative groups $K_x^\times \supset O_x^\times \supset U_x$ ( $x \in (X^{\text{cpt}})^{\text{cl}}$ )

For  $x \in X^{\text{cl}}$ ,  $K_x^\times/O_x^\times = \langle \text{Frob}_x \rangle \subset D_x$ , and the natural map  $\text{ord}_x : K_x^\times/O_x^\times \rightarrow \mathbb{Z}$  is characterized by  $\text{ord}_x(\text{Frob}_x) = 1$ . For  $x \in X^{\text{cpt}} \setminus X$ ,  $K_x^\times$  is the inverse image of  $\langle \text{Frob}_x \rangle \subset D_x/I_x$  in  $D_x^{\text{ab}}$ ,  $O_x^\times = \text{Im}(I_x \rightarrow D_x^{\text{ab}})$ , and  $U_x = \text{Im}(I_x^{\text{wild}} \rightarrow D_x^{\text{ab}})$  (local class field theory). Further, the natural map  $\text{ord}_x : K_x^\times \rightarrow \mathbb{Z}$  is characterized by  $\text{ord}_x(O_x^\times) = \{0\}$  and  $\text{ord}_x(\text{Frob}_x) = 1$ .

## 2-2. Global multiplicative group $K^\times$

$K^\times = \text{Ker}(\prod'_{x \in (X^{\text{cpt}})^{\text{cl}}} W_x \rightarrow G_K^{\text{ab}})$ , where  $W_x = K_x^\times/O_x^\times$  ( $x \in X^{\text{cl}}$ ),  $K_x^\times$  ( $x \in X^{\text{cpt}} \setminus X$ ) (global class field theory). Here, we have used  $r > 0$ . Further,  $\text{ord}_x = \text{ord}_x|_{K^\times}$  for each  $x \in (X^{\text{cpt}})^{\text{cl}}$  and  $U_{X^{\text{cpt}},x} = K^\times \cap U_x$  for each  $x \in X^{\text{cpt}} \setminus X$  are recovered.

## Step 3. Additive structure on $K = K^\times \cup \{0\}$ (for $r > 0$ )

By replacing  $X$  with a suitable cover if necessary, we may assume  $r \geq 3$ . Then we may resort to the following strengthening of Uchida's lemma.

### Lemma.

$(K^\times, \cdot, (X^{\text{cpt}})^{\text{cl}}, (\text{ord}_x)_{x \in (X^{\text{cpt}})^{\text{cl}}}, (U_{X^{\text{cpt}},x})_{x \in X^{\text{cpt}} \setminus X})$  (for all constant field extensions of  $K$ )  $\rightsquigarrow (K, +)$

Step 4. Cuspidalizations (for  $r = 0$ )

Roughly speaking, the  $r = 0$  case can be treated by reducing the problem to the  $r > 0$  case (or, even to the function field case in §1). More precisely, Mochizuki's theory of cuspidalizations imply:

$$\begin{aligned} & (\pi_1(X), S \subset X^{\text{cl}} = \text{Dec}(\pi_1(X))/\text{Inn}(\pi_1(X)), |S| < \infty) \rightsquigarrow \pi_1(X \setminus S)^{\text{c-ab}} \twoheadrightarrow \pi_1(X), \\ & (\pi_1(X), S \subset X^{\text{cl}} = \text{Dec}(\pi_1(X))/\text{Inn}(\pi_1(X)), |S_{\bar{k}}| = 1, ) \rightsquigarrow \pi_1(X \setminus S)^{\text{c-pro-}l} \twoheadrightarrow \pi_1(X) \\ & (l \in \mathfrak{Primes} \setminus \{p\}), \end{aligned}$$

which are compatible in a certain sense. Here, setting  $J_S = \text{Ker}(\pi_1(X \setminus S) \twoheadrightarrow \pi_1(X))$ ,  $\pi_1(X \setminus S)^{\text{c-ab}} \stackrel{\text{def}}{=} \pi_1(X \setminus S)/\text{Ker}(J_S \rightarrow J_S^{\text{ab, pro-}p'})$  and  $\pi_1(X \setminus S)^{\text{c-pro-}l} \stackrel{\text{def}}{=} \pi_1(X \setminus S)/\text{Ker}(J_S \rightarrow J_S^{\text{pro-}l})$  are called the maximal cuspidally abelian (pro- $p'$ ) and maximal cuspidally pro- $l$  quotients of  $\pi_1(X \setminus S)$ , respectively.

Note that  $(\pi_1(X \setminus S)^{\text{c-ab}})^{\text{ab}} = \pi_1^{\text{tame}}(X \setminus S)^{\text{ab}}$ . The multiplicative group  $K^\times$  (equipped with  $(\text{ord}_x)_{x \in X^{\text{cl}}}$ ) is constructed from  $\pi_1(X \setminus S)^{\text{c-ab}} \twoheadrightarrow \pi_1(X)$  (via Kummer theory), and the other data needed to apply (the strengthening of) Uchida's lemma are constructed by using  $\pi_1(X \setminus S)^{\text{c-pro-}l} \twoheadrightarrow \pi_1(X)$ . Now, (the strengthening of) Uchida's lemma finishes the proof.  $\square$

### §3. Log AG.

Let  $\text{Spec}(k)^{\log}$  (or simply  $k^{\log}$ ) be the log scheme whose underlying scheme is  $\text{Spec}(k)$  and whose log structure is (isomorphic to) the one associated to the chart  $\mathbb{N} \rightarrow k$  given by the zero map. (Equivalently, the log structure is obtained by pulling back the log structure on  $\text{Spec}(W(k))$  given by the divisor  $\text{Spec}(k) \hookrightarrow \text{Spec}(W(k))$ .) Set  $G_{k^{\log}} = \pi_1(\text{Spec}(k)^{\log})$  (which is identified with  $G_{\text{Frac}(W(k))}^{\text{tame}}$ ).

Let  $X^{\log}$  be a proper stable log-curve over  $k^{\log}$  such that  $X$  is not smooth over  $k$ .

**Theorem [Mochizuki 1996].**  $\pi_1(X^{\log})$  (or, more precisely,  $\pi_1(X^{\log}) \twoheadrightarrow G_{k^{\log}} \twoheadrightarrow X^{\log}$ )

*Outline of proof.* Combinatorial-anabelian-geometric arguments + [T 1997].

Step 1. Show  $\pi_1(X^{\log}) \twoheadrightarrow$  the set  $I$  of irreducible components of  $X$ .

Step 2. Show  $\pi_1(X^{\log}) \twoheadrightarrow \pi_1^{\text{tame}}(Y^{\text{sm}})$  ( $Y \in I$ ).

Step 3. Show  $\pi_1(X^{\log}) \twoheadrightarrow$  the set  $N$  of nodes of  $X$ .

Step 4. Show  $\pi_1(X^{\log}) \twoheadrightarrow$  the dual graph of  $X$  (whose set of vertices is  $I$  and whose set of edges is  $N$ ).

Step 5. Show  $\pi_1(X^{\log}) \twoheadrightarrow$  the log structure at each  $y \in N$ .

Step 6. End of proof. For each  $Y \in I$ , apply [T 1997] to  $\pi_1^{\text{tame}}(Y^{\text{sm}})$  to recover  $Y^{\text{sm}}$ . Reconstruct  $X^{\log}$  from  $\{Y\}_{Y \in I}$  according to the recipe given by Steps 4 and 5.  $\square$

#### §4. Pro- $\Sigma$ AG.

$\Sigma \subset \mathfrak{Primes}$ .  $\Sigma' \stackrel{\text{def}}{=} \mathfrak{Primes} \setminus \Sigma$ .

$A$ : a semi-abelian variety over  $k$ .

- $\Sigma$  is  $A$ -large  $\iff$  the  $\Sigma'$ -adic representation  $G_k \rightarrow \prod_{l \in \Sigma'} \text{GL}(T_l(A))$  is not injective.
- $\Sigma$  satisfies  $(\epsilon_A) \stackrel{\text{def}}{\iff} \forall k'/k, [k' : k] < \infty, \exists k''/k', [k'' : k'] < \infty, \text{s.t. } 2|A(k'')\{\Sigma'\}| < |k''|$ .

**Lemma.** *Assume  $\dim(A) > 0$ . Consider the following conditions:*

- (i)  $\Sigma$  is cofinite, i.e.  $\Sigma'$  is finite.
- (ii)  $\Sigma$  is  $A$ -large.
- (iii)  $\Sigma$  is  $(\mathbb{G}_m)_k$ -large and satisfies  $(\epsilon_A)$ .
- (iv)  $\Sigma$  is  $(\mathbb{G}_m)_k$ -large.
- (v)  $\Sigma$  is infinite.

Then (i)  $\implies$  (ii)  $\implies$  (iii)  $\implies$  (iv)  $\implies$  (v).

**Theorem 1** [Saïdi-T 2009<sub>1,2</sub>] (for  $\Sigma = \mathfrak{Primes} \setminus \{p\}$ ) [Saïdi-T 2017] (general). *Assume  $X = X^{\text{cpt}}$  and that  $\Sigma$  is  $(\mathbb{G}_m)_k$ -large and satisfies  $(\epsilon_{J_X})$ . Then  $G_K^{(\text{pro-}\Sigma)} \rightsquigarrow K$ .*

**Theorem 2** [Saïdi-T 2009<sub>1</sub>] (for  $\Sigma = \mathfrak{Primes} \setminus \{p\}$ ) [Saïdi-T 2018] (general). *Assume  $\exists X'$  a finite étale cover of  $X$  such that  $(X')^{\text{cpt}}$  is hyperbolic (i.e. of genus  $\geq 2$ ) and that  $\Sigma$  is  $J_{(X')^{\text{cpt}}}$ -large. Then  $\pi_1(X)^{(\text{pro-}\Sigma)} \rightsquigarrow X$ .*



*Outline of proof of Theorem 1.*

Step 1. Local theory and characterization of various invariants

Similar to [Uchida 1977].

Step 2. Multiplicative groups

2-1. Local multiplicative groups

Similar to [Uchida 1977] (local class field theory). But we only get various local multiplicative groups with the unit group  $O_x^\times$  replaced by  $(O_x^\times)^{\text{pro-}\Sigma}$ .

2-2. Global multiplicative groups

Similar to [Uchida 1977] (global class field theory). But we only get  $(K^\times)^{(\Sigma)} \stackrel{\text{def}}{=} K^\times / (k^\times \{\Sigma'\})$  instead of  $K^\times$ . Here, we use the  $(\mathbb{G}_m)_k$ -largeness and  $(\epsilon_{J_X})$ .

Step 3. Additive structure

As the constant field is not available fully, we cannot resort to Uchida's lemma. Instead, we apply the fundamental theorem of projective geometry to the infinite-dimensional projective space  $K^\times / k^\times = (K^\times)^{(\Sigma)} / (\text{torsion})$  over  $k$ . For this, we regard  $(K^\times)^{(\Sigma)}$  as the set of "pseudo-functions" with values in  $(k(x)^\times)^\Sigma$  instead of  $k(x)^\times$  ( $x \in X^{\text{cl}}$ ). Via evaluations of pseudo-functions at points of  $X^{\text{cl}}$ , we recover lines in the projective space  $K^\times / k^\times$ . Here again, we use the  $(\mathbb{G}_m)_k$ -largeness and  $(\epsilon_{J_X})$ .  $\square$

*Outline of proof of Theorem 2.* For simplicity, we assume  $X = X^{\text{cpt}}$  and that  $\Sigma$  is  $J_X$ -large. (The general case can be reduced to this case.)

Step 1. Local theory and characterization of various invariants

Similar to [T 1997] (Lefschetz trace formula), but the problem is that the separatedness is not available fully. We define the set of exceptional points  $E \subset X^{\text{cl}}$  outside which the separatedness is available, and recover (the decomposition groups of)  $X^{\text{cl}} \setminus E$ . The  $J_X$ -largeness implies  $k(E) \subsetneq \bar{k}$  and, in particular,  $|X^{\text{cl}} \setminus E| = \infty$ .

Step 2. Multiplicative groups

By using a variant of the theory of cuspidalizations with exceptional points, we reconstruct  $\mathcal{O}_E^\times / (k^\times \{\Sigma'\})$  up to ambiguity coming from  $J_X(k)\{\Sigma'\}$ .

Step 3. Additive structure

Similar to the proof of Theorem 1, but there are two extra problems: the above problem of ambiguity coming from  $J_X(k)\{\Sigma'\}$  and the problem that  $\mathcal{O}_E^\times / k^\times$  itself is not a projective space but a mere subset of the projective space  $(\mathcal{O}_E \setminus \{0\}) / k^\times$ . By establishing a certain generalization of the fundamental theorem of projective geometry, we recover the additive structure.  $\square$

## §5. $m$ -step solvable AG.

In [Uchida 1977] (resp. [T 1997]), the following prosolvable variant is also shown:  $G_K^{\text{solv}} (= G_K^{(\text{solv})}) \rightsquigarrow K$  (resp.  $\pi_1(X)^{\text{solv}} (= \pi_1(X)^{(\text{solv})})$  or  $\pi_1^{\text{tame}}(X)^{\text{solv}} (= \pi_1^{\text{tame}}(X)^{(\text{solv})}) \rightsquigarrow X$ ). Here, we consider (finite-step) solvable variants.

**Theorem 1 [Saïdi-T, in preparation].**

- (i) Assume  $m \geq 2$ . Then  $G_K^{m\text{-solv}} \rightsquigarrow [K]$ .
- (ii) Assume  $m \geq 2$ . Then  $G_K^{(m\text{-solv})} \rightsquigarrow K$ .
- (iii) Assume  $m \geq 3$ . Then  $G_K^{m\text{-solv}} \rightsquigarrow K$ .

**Theorem 2 [Yamaguchi, in preparation].** Assume  $2g - 2 + r > 0$ ,  $r > 0$  and  $m \geq 3$ . Then  $\pi_1^{\text{tame}}(X)^{(m\text{-solv})} \rightsquigarrow X$ .

*Remark.* [de Smit-Solomatin, preprint] shows that  $G_K^{1\text{-solv}} (= G_K^{\text{ab}}) \rightsquigarrow [K]$  does not hold in general.

*Outline of proof of Theorem 1.* We may assume  $X = X^{\text{cpt}}$ .

Step 1. Local theory and characterization of various invariants

The main point is to establish local theory:  $G_K^{2\text{-solv}} \rightsquigarrow X^{\text{cl}} = \text{Dec}(G_K^{\text{ab}})$ , by observing the structure of abelianizations of arithmetic and geometric fundamental groups of abelian covers of  $X$ . We also show:  $G_K^{2\text{-solv}} \rightsquigarrow$  the cyclotomic character  $\chi_{\text{cycl}} : G_K^{\text{ab}} \rightarrow (\hat{\mathbb{Z}}^{\text{pro-}p'})^\times$ .

Step 2. Multiplicative groups

Similar to [Uchida 1977].

Step 3. Additive structure

For (i), we resort to [Cornelissen-de Smit-Li-Marcolli-Smit 2019] to recover the isomorphism class of  $K$ . For (ii)(iii), we resort to Uchida's lemma, similarly to [Uchida 1977].  $\square$

*Outline of proof of Theorem 2.*

Similar to [T 1997]. One of the main points is to establish local theory: If  $g \geq 1$ ,  $\pi_1^{\text{tame}}(X)^{(2\text{-solv})} \rightsquigarrow ((X^{\text{cpt}})^{\text{cl}} \rightarrow \text{Dec}(\pi_1(X)^{\text{ab}}))$ , by using the Lefschetz trace formula.  $\square$

## §6. Hom version.

$K_1, K_2$ : function fields

- $\gamma \in \text{Hom}(K_2, K_1)$  is separable  $\stackrel{\text{def}}{\iff} K_1/\gamma(K_2)$  is a separable extension.
- $\sigma \in \text{Hom}(G_{K_1}, G_{K_2})$  is rigid  $\stackrel{\text{def}}{\iff} \sigma$  is open and  $\exists H_i \in \text{OSub}(G_{K_i})$  for  $i = 1, 2$ , such that  $\sigma(H_1) \subset H_2$  and that  $\forall D_1 \in \text{Dec}(H_1), \sigma(D_1) \in \text{Dec}(H_2)$
- $\sigma \in \text{Hom}(G_{K_1}, G_{K_2})$  is well-behaved  $\stackrel{\text{def}}{\iff} \sigma$  is open and  $\forall D_1 \in \text{Dec}(G_{K_1}), \exists D_2 \in \text{Dec}(G_{K_2})$ , s.t.  $\sigma(D_1) \in \text{OSub}(D_2)$  ( $\implies \phi : \text{Dec}(G_{K_1}) \rightarrow \text{Dec}(G_{K_2})$ ).
- $\sigma \in \text{Hom}(G_{K_1}, G_{K_2})$  is proper  $\stackrel{\text{def}}{\iff} \sigma$  is well-behaved, and the map  $(\text{Dec}(G_{K_1})/\text{Inn}(G_{K_1})) \rightarrow (\text{Dec}(G_{K_2})/\text{Inn}(G_{K_2}))$  induced by  $\phi$  has finite fibers.
- $\sigma \in \text{Hom}(G_{K_1}, G_{K_2})$  is inertia-rigid  $\stackrel{\text{def}}{\iff} \sigma$  is well-behaved and  $\exists \tau : \hat{\mathbb{Z}}^{\text{pro-}p'}(1)_{K_1} \hookrightarrow \hat{\mathbb{Z}}^{\text{pro-}p'}(1)_{K_2}$ ,  $\forall D_1 \in \text{Dec}(G_{K_1}), \exists e = e(D_1) \in \mathbb{Z}_{>0}$ , s.t.  $I_1^{\text{tame}} \rightarrow I_2^{\text{tame}}$  is identified with  $e\tau$ , where  $D_2 = \phi(D_1) \in \text{Dec}(G_{K_2})$  and  $I_i^{\text{tame}}$  is the tame inertia subquotient of  $D_i$  for  $i = 1, 2$ .

**Theorem [Saïdi-T 2011].** *The natural map  $\text{Hom}(K_2, K_1) \rightarrow \text{Hom}(G_{K_1}, G_{K_2})/\text{Inn}(G_{K_2})$  induces bijections*

$$\begin{aligned} \text{Hom}(K_2, K_1)^{\text{separable}} &\xrightarrow{\sim} \text{Hom}(G_{K_1}, G_{K_2})^{\text{rigid}}/\text{Inn}(G_{K_2}), \\ \text{Hom}(K_2, K_1)^{\text{separable}} &\xrightarrow{\sim} \text{Hom}(G_{K_1}, G_{K_2})^{\text{proper, inertia-rigid}}/\text{Inn}(G_{K_2}). \end{aligned}$$

*Outline of proof.* Omit!  $\square$

## References

- [Cornelissen-de Smit-Li-Marcolli-Smit 2019] Cornelissen, G., de Smit, B., Li, X., Marcolli, M. and Smit, H., Characterization of global fields by Dirichlet  $L$ -series, Res. Number Theory 5 (2019), Art. 7, 15 pp.
- [de Smit-Solomatin, preprint] de Smit, B., Solomatin, P., On abelianized absolute Galois group of global function fields, preprint, arXiv:1703.05729.
- [Mochizuki 1996] Mochizuki, S., The profinite Grothendieck conjecture for closed hyperbolic curves over number fields, J. Math. Sci. Univ. Tokyo 3 (1996), 571–627.
- [Mochizuki 2007] Mochizuki, S., Absolute anabelian cuspidalizations of proper hyperbolic curves, J. Math. Kyoto Univ. 47 (2007), 451–539.
- [Saïdi-T 2009<sub>1</sub>] Saïdi, M., Tamagawa, A., A prime-to- $p$  version of Grothendieck’s anabelian conjecture for hyperbolic curves over finite fields of characteristic  $p > 0$ , Publ. Res. Inst. Math. Sci. 45 (2009), 135–186.
- [Saïdi-T 2009<sub>2</sub>] Saïdi, M., Tamagawa, A., On the anabelian geometry of hyperbolic curves over finite fields, Algebraic number theory and related topics 2007, 67–89, RIMS Kôkyûroku Bessatsu, B12, Res. Inst. Math. Sci. (RIMS), 2009.
- [Saïdi-T 2011] Saïdi, M. and Tamagawa, A., On the Hom-form of Grothendieck’s birational anabelian conjecture in characteristic  $p > 0$ , Algebra and Number Theory, 5(2) (2011), 131–184.

- [Saïdi-T 2017] Saïdi, M., and Tamagawa, A., A refined version of Grothendieck’s birational anabelian conjecture for curves over finite fields, *Advances in Mathematics* 310 (2017) 610–662.
- [Saïdi-T 2018] Saïdi, M., and Tamagawa, A., A refined version of Grothendieck’s anabelian conjecture for hyperbolic curves over finite fields, *J. Algebraic Geom.* 27 (2018), 383–448.
- [Saïdi-T, in preparation] Saïdi, M. and Tamagawa, A., The  $m$ -step solvable anabelian geometry of global function fields, in preparation.
- [Sawada 2021] Sawada, K., Algorithmic approach to Uchida’s theorem for  $n$ -dimensional function fields over finite fields, *Inter-universal Teichmüller theory summit 2016*, 1–21, *RIMS Kôkyûroku Bessatsu*, B84, Res. Inst. Math. Sci. (RIMS), 2021.
- [T 1997] Tamagawa, A., The Grothendieck conjecture for affine curves, *Compositio Math.* 109 (1997), 135–194.
- [Uchida 1977] Uchida, K., Isomorphisms of Galois groups of algebraic function fields, *Ann. of Math. (2)* 106 (1977), 589–598.
- [Yamaguchi, in preparation] Yamaguchi, N., The  $m$ -step solvable anabelian geometry for affine hyperbolic curves over finitely generated fields, in preparation.

Akio Tamagawa  
Research Institute for Mathematical Sciences  
Kyoto University  
KYOTO 606-8502  
Japan  
tamagawa@kurims.kyoto-u.ac.jp