

Non-abelian Brill-Noether loci of curves and application to the unirationality of moduli of K3 surfaces

(Berlin Humboldt 25.12, 50%)

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$\mathcal{F}_g$ = moduli of primitively polarized K3's

(S, h) of genus g , i.e. degree $(h^2) = 2g-2$,
with only RDP's.

$\mathcal{F}_{2d/0g}(F)$

$D^{19}/\mathcal{F}_{g-1}$ generic
proj.
19-dim.

Thm $\mathcal{F}_g$ is unirational for $g \leq 13$, $g=17, 18$ and 20 ,
(not sure) (Mukai)

GHS'07 $\kappa(\mathcal{F}_g) \geq 0$ for $g=41, 43, 44, 47$ and $g \geq 49$.

Easy for $g \leq 5$.

Example ($g=5$) $\mathcal{F}_5 \cong \{C \subset \mathbb{P}^5, C \cap \Theta_2 \cap \Theta_3 \subset \mathbb{P}^5\}$

$\cong$ {trigonal} $\not\subset$ {ch. e.} $\not\subset$ {monogonal}

$\sim$ $G(3, S^2 \mathbb{P}^6) // PGL(6)$

Vector bundle method for $g \geq 6$.

(1) Grassmannian embedding gives explicit description of general member $(S, h) \in \mathcal{F}_g$ for $g \neq 11, 17$

Key Fact $g=2d$ $\exists!$ stable r -bundle E

s.t. $c_1(E)=h$, $h^0(E)=2d$ and

$\mathbb{P}_{|E|}: S \longrightarrow G(H^0(E), r)$ g -dim
Grassmannian

is an embedding. (rigid bundle
in projective)

Example $g=20=4 \times 5$ $S \hookrightarrow G(4, 4)$ 20-dim
Grassmannian

(M'92) S is C.I. w.r.t. $(\wedge^2 E)^{\oplus 2}$, where E is

the universal quotient bundle. Namely, $\forall s_1, s_2, s_3 \in H^0(\wedge^2 E)$

$$S = (\sigma_1)_0 \cap (\sigma_2)_0 \cap (\sigma_3)_0 \quad \dim = 20 - 3(\text{rk } \tilde{\lambda} E) = 2$$

$$\mathcal{H}_{20} \xrightarrow{\text{fact}} G(3, \tilde{\lambda} E^9) / PGL(9)$$

$H^0(\tilde{\lambda} E)$

② Moduli method for $g=11, 17$

Description of S in a suitable moduli space.

In fact, S itself is a moduli!

$g=11$ "non-abelian BV locus" + moduli $K3$

$g=17$ " " + "isogeny of $K3$ "

Fact $(d=)g-1 = r \cdot s$

$T = M_S(r, h, S) =$ moduli of stable r -bundles
with $c_1(E) = h$ and $\chi(E) = r \cdot s$

$M_S(r, h, S)$ is again a $K3$ surface, and carries

a natural polarization $\hat{h}$ of degree $(-h^2)/(r, s)^2$.

$g=11$: $g-1=10=2 \times 5$ $M_S(2, h, S)$ is again $K3$ of genus 11

$g=17$: $g-1=16=2 \times 8$ $M_S(2, h, S)$ is a $K3$ of genus 5

we have natural involutions σ_{11} of $\mathcal{H}_{11}$, and
natural map $\mathcal{H}_{17} \rightarrow \mathcal{H}_{11}$

Example. $S = S_2 = \sigma_1 \cap \sigma_2 \cap \sigma_3 \in \mathbb{P}^5$ $g-1=4=2 \times 2$

$M_S(2, h, 2) \rightarrow \mathbb{P}^2 \ni \langle \sigma_1, \sigma_2, \sigma_3 \rangle$ not of genus

$$\tau^2 = \det(x_1 \sigma_1, x_2 \sigma_2, x_3 \sigma_3)$$

$M_S(2, h, 2)$ is $K3$ of deg 2.

§1 Rem 11

$$(\Sigma, h) \in \mathcal{F}_g, \quad C \in |h| \cong \mathbb{P}^1 \quad \gamma_C(C) = g$$

$$\begin{aligned} \mathcal{P}_g &= \{(\Sigma, C)\} \xrightarrow{\quad \Phi_g \quad} \mathcal{M}_g \\ \pi \downarrow & \\ \mathcal{F}_g & \end{aligned}$$

CK3-Problem When is Φ_g dominant? generally finite?

$$\begin{aligned} \Downarrow \\ \dim \mathcal{P}_g &\geq \dim \mathcal{M}_g \\ \Downarrow \\ g+1 &\geq 3g-5 \\ g &\leq 11 \end{aligned}$$

$$\begin{aligned} \Downarrow \\ \dim \mathcal{P}_g &\leq \dim \mathcal{M}_g \\ \Downarrow \\ g &\geq 11 \end{aligned}$$

Answer Φ_g is dom. $\iff g \leq 9$ or $g=11$ ($g=10$ is mixed)
 Φ_g is generally finite $\iff g \geq 11$ or $g \geq 13$ ($g=12$ is mixed)

Theorem (M'06) $\Phi_4: \mathcal{P}_4 \dashrightarrow \mathcal{M}_{11}$ is finite!

$\mathcal{M}_{11}$ over $\pi \circ \Phi_{11}^{-1}$ is the composite of $\mathcal{P}_{11}$ and $SL(2)$ -BN map.

$$\mathcal{M}_{11} \xrightarrow{\quad \beta \quad} \mathcal{F}_{11}, \quad C \mapsto SU_C(2, K, 5).$$

By Cheng-Zen, $\mathcal{M}_{11}$ is unirational.

Cor. $\mathcal{F}_{11}$ is unirational.

$$\begin{array}{ccc} \mathcal{P}_{11} & \xrightarrow{\quad \Phi_{11} \quad} & \mathcal{M}_{11} \\ \downarrow & \square & \downarrow \beta \\ \mathcal{F}_{11} & \xleftarrow[\pi_{11}]{} & \mathcal{F}_{11} \end{array}$$

$S > C$ is viewed as double moduli

$$C \mapsto T \cong M_2(\mathbb{C}, 1, 5) \mapsto S \cong M_{\mathbb{A}^1}(2, 2, 5)$$

(double cover map of $\text{Hilb}(X) = \text{Proj}(\text{Proj}^* X)$).

§2 SL(2)-BN-locus

$\mathbb{C}$ curve of genus g , $\mathcal{E} \in \text{Pic } \mathbb{C}$

$$SU_c(2, \mathcal{E}) = \{ \text{stable 2-bundles with } \det F = \mathcal{E} \} / \text{isom.}$$

quasi-principal of dim $3g-3$

BN locus of type III

$$SU_c(2, K, s) = \{ -h^0(E) \geq s+2 \} \subset SU_c(2, K)$$

Petri map $H^0(E) \times H^0(E) \rightarrow H^0(S^2 E)$ symmetric
 Expected dim $\mathfrak{p} = 3g-3 - \frac{(s+2)(s+3)}{2}$
 a BN number

$g=1, s=5 \Rightarrow \mathfrak{p} = 30 - \frac{7 \cdot 8}{2} = 2$ surface? Yes.

Thm (cont'd) $\mathbb{C} \in \mathcal{M}_H$ general $\Rightarrow (SU_c(2, K, s), \text{stable}) \in \mathcal{F}_H$

BN locus of type II F 2-bundle on $\mathbb{C}$

$$SU_c(2, K; h(F)) = \{ E \mid \dim \text{Hom}(F, E) \geq h \}$$

$$\subset SU_c(2, K \otimes \det F)$$

1. 2-bundle
 2. 2-bundle
 3. 2-bundle

~~$$\text{Hom}(F, E) \xrightarrow{\psi} \text{Hom}(\lambda^2 F, \lambda^2 E) \subset K_{\mathbb{C}}$$

$$\downarrow \quad \quad \quad \downarrow$$

$$f \quad \quad \quad \lambda^2 f$$~~

is non-deg. quadratic form with value in $K_{\mathbb{C}}$.

By Atiyah-Mumford, $\dim \text{Hom}(F, E) \leq 2$ is locally constant.

More explicitly, $\dim \text{Hom}(F, E) \leq \deg F$ and 2 holds.

Petri map $\text{Hom}(F, E) \times \text{Hom}(F, E) \rightarrow \text{Hom}(\lambda^2 F, S^2 E)$
p. symmetric

Expected dimension $\mathfrak{p} = 3g-3 - \frac{h_2(h_2-1)}{2}$
 a BN number

surface? Yes.

quest. $\det = \det A_2$
 $= 2 \times 4$
 $= 8$
 $\{(C, F)\} \rightarrow F_{17}$ is element.

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More precisely, we have the following diagram

$$\begin{array}{ccccc} \text{Sup}\{(C, +)\} & \in & \text{First } \varphi_{17}^{(1)} = \varphi_5 \times_{\mathbb{F}_5} \mathbb{F}_{11} & \longrightarrow & \varphi_5 \\ & & \downarrow & \square & \downarrow \text{pt. holds} \\ & & \mathbb{F}_{11} & \xrightarrow[\varphi_{11}]{} & \mathbb{F}_5 \end{array}$$

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$$(S, h) \in \mathcal{H}_M, \quad (\gamma \in M_S(2L, 2), \hat{h}) \in \mathcal{F}_S$$

$\phi(1) = 1$
 $\phi(2) = 1$
 $\phi(3) = 2$
 $\phi(4) = 2$
 $\phi(5) = 4$
 $\phi(6) = 2$
 $\phi(7) = 6$
 $\phi(8) = 4$
 $\phi(9) = 6$
 $\phi(10) = 4$
 $\phi(11) = 10$
 $\phi(12) = 4$
 $\phi(13) = 12$
 $\phi(14) = 6$
 $\phi(15) = 8$
 $\phi(16) = 8$
 $\phi(17) = 16$
 $\phi(18) = 6$
 $\phi(19) = 18$
 $\phi(20) = 8$
 $\phi(21) = 12$
 $\phi(22) = 10$
 $\phi(23) = 22$
 $\phi(24) = 8$
 $\phi(25) = 20$
 $\phi(26) = 12$
 $\phi(27) = 18$
 $\phi(28) = 12$
 $\phi(29) = 28$
 $\phi(30) = 8$
 $\phi(31) = 30$
 $\phi(32) = 16$
 $\phi(33) = 20$
 $\phi(34) = 16$
 $\phi(35) = 24$
 $\phi(36) = 12$
 $\phi(37) = 36$
 $\phi(38) = 18$
 $\phi(39) = 24$
 $\phi(40) = 16$
 $\phi(41) = 40$
 $\phi(42) = 12$
 $\phi(43) = 42$
 $\phi(44) = 10$
 $\phi(45) = 24$
 $\phi(46) = 22$
 $\phi(47) = 46$
 $\phi(48) = 16$
 $\phi(49) = 42$
 $\phi(50) = 20$
 $\phi(51) = 30$
 $\phi(52) = 24$
 $\phi(53) = 52$
 $\phi(54) = 18$
 $\phi(55) = 40$
 $\phi(56) = 24$
 $\phi(57) = 54$
 $\phi(58) = 28$
 $\phi(59) = 58$
 $\phi(60) = 16$
 $\phi(61) = 60$
 $\phi(62) = 30$
 $\phi(63) = 36$
 $\phi(64) = 32$
 $\phi(65) = 48$
 $\phi(66) = 20$
 $\phi(67) = 66$
 $\phi(68) = 32$
 $\phi(69) = 60$
 $\phi(70) = 24$
 $\phi(71) = 70$
 $\phi(72) = 24$
 $\phi(73) = 72$
 $\phi(74) = 36$
 $\phi(75) = 40$
 $\phi(76) = 36$
 $\phi(77) = 72$
 $\phi(78) = 30$
 $\phi(79) = 78$
 $\phi(80) = 32$
 $\phi(81) = 54$
 $\phi(82) = 40$
 $\phi(83) = 82$
 $\phi(84) = 36$
 $\phi(85) = 84$
 $\phi(86) = 42$
 $\phi(87) = 84$
 $\phi(88) = 40$
 $\phi(89) = 88$
 $\phi(90) = 24$
 $\phi(91) = 84$
 $\phi(92) = 48$
 $\phi(93) = 92$
 $\phi(94) = 46$
 $\phi(95) = 90$
 $\phi(96) = 32$
 $\phi(97) = 96$
 $\phi(98) = 48$
 $\phi(99) = 98$
 $\phi(100) = 40$

$g=19$. $(\beta, L) \in \mathcal{F}_{19} \left(T = M_5(3, 4, 6) \in \hat{\mathcal{A}} \right)$

② 10^2 bolts on T. 17

$$\begin{array}{ccc} \beta_1 \cap \beta_2 & \xrightarrow{\beta_2 \times \mathbb{F}_{19}} & \mathbb{A}^1(3) \\ \uparrow \beta_1 & & \downarrow \\ \beta_1 & \xrightarrow{\beta_1 \times \mathbb{F}_{19}} & \mathbb{A}^1(3) \end{array}$$