## Equations defining a space curve

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Let  $C \subset \mathbf{P}^3$  be a smooth irreducible complete algebraic curve of degree d embedded in a projective 3-space over an algebraically closed field k. It is called *n*-regular if  $H^1(\mathcal{I}_C(n-1)) = H^2(\mathcal{I}_C(n-2)) = 0$ , where  $\mathcal{I}_C$  is the ideal sheaf of C. Among all this implies that the homogeneous ideal  $I_C = \bigoplus_l H^0(\mathcal{I}_C(l)) \subset k[x_0, x_1, x_2, x_3]$  is generated in degree  $\leq n$  ([7], Lecture 14) and  $C \subset \mathbf{P}^3$  is (scheme-theoretically) an intersection of surfaces of degree n. A line  $\ell \subset \mathbf{P}^3$  is called an *s*-secant line if deg $(\ell \cap C) \geq s$ . If Cis an intersection of surfaces of degree n, then it has no (n + 1)-secant lines. We say that the number of *s*-secant lines is *Picard finite* if the number of isomorphism classes of the line bundles  $\mathcal{O}_C(\ell \cap C)$ ,  $\ell$  moving all *s*-secant lines, is finite. A line bundle  $\xi$ on C is called a  $g_s^2$  if deg  $\xi = s$  and  $h^0(\xi) \geq 3$ . In this article, applying a vanishing of Raynaud type to a certain family of vector bundles on C, we shall show the following:

**Theorem 1** Assume that  $C \subset \mathbf{P}^3$  has no (n+1)-secant lines and that C has no  $g_s^2$  of degree s < d - n. Then we have

(a)  $C \subset \mathbf{P}^3$  is an intersection of surfaces of degree n if  $n \geq d/2$ , and

(b)  $C \subset \mathbf{P}^3$  is n-regular if  $n \geq d/2 + 1$ , if the number of n-secant lines is Picard finite and if there are only finitely many (isomorphism classes of)  $g_{d-n}^2$  and at most 1-dimensional families of  $g_{d-n+1}^2$ .

In the case n = d - 1, (b) says that every non-planar curve is (d - 1)-regular, which is Castelnuovo's theorem. (A curve with (d - 1)-secant line is rational. Hence the number of its (d - 1)-secant lines is Piacrd finite.) In the cases n = d - 2 and d - 3, (b) follows from the results of Gruson-Lazarsfeld-Peskine[4] and D'Almeida[2].

We prove the theorem by constructing an  $N \times n$ -matrix  $R = (f_{ij})$  whose entries  $f_{ij}$  are linear forms on  $\mathbf{P}^3$  and such that all *n*-minors vanish on C, where we put  $N = 3n - d \ge n$ . Such a matrix R is equivalent to a complex

$$N\mathcal{O}_{\mathbf{P}}(-1) \xrightarrow{\phi} n\mathcal{O}_{\mathbf{P}} \longrightarrow i_*\zeta \longrightarrow 0$$
 (1)

of coherent sheaves on  $\mathbf{P}^3$ , where  $\zeta$  is a line bundle on C and  $i : C \hookrightarrow \mathbf{P}^3$  is the natural inclusion. For every point  $p \in \mathbf{P}^3$ , we find such a complex, with suitable  $\zeta$ , which is exact at p. Then the *n*-th exterior product

$$\bigwedge^{n} \phi : \left(\begin{array}{c} N\\ n \end{array}\right) \mathcal{O}_{\mathbf{P}}(-1) \xrightarrow{\epsilon} \mathcal{I}_{C} \subset \mathcal{O}_{\mathbf{P}}$$

is a surjection onto the ideal sheaf  $\mathcal{I}_C$  at p. Thus a global section of  $\mathcal{I}_C(n)$  not vanishing at p will be obtained as an n-minor of R, which is our proof of (a).

In order to prove (b), we construct *one* complex (1) which is exact except a finite subset  $\bar{Q} \subset \mathbf{P}^3$  and follow the argument of §1 in [4]. Let  $K^{\bullet}$  be the Eagon-Northcott complex

$$0 \longrightarrow \bigwedge^{N} E \otimes S^{N-n} F^{\vee} \longrightarrow \bigwedge^{N-1} E \otimes S^{N-n-1} F^{\vee} \longrightarrow \cdots$$
  
$$\cdots \longrightarrow \bigwedge^{n+2} E \otimes S^{2} F^{\vee} \longrightarrow \bigwedge^{n+1} E \otimes F^{\vee} \longrightarrow \bigwedge^{n} E$$
(2)

of  $\phi$ , where  $E = N\mathcal{O}_{\mathbf{P}}(-1)$  and  $F = n\mathcal{O}_{\mathbf{P}}$ . Then each vector bundle  $\wedge^{j} E \otimes S^{j-n} F^{\vee}$ ,  $j = N, N - 1, \ldots, n + 1, n$ , is a direct sum of a certain number of copies of  $\mathcal{O}_{\mathbf{P}}(-j)$ . The complex  $K^{\bullet} \xrightarrow{\epsilon} \mathcal{I}_{C} \longrightarrow 0$  is exact outside  $C \cup \overline{Q}$  and  $\epsilon$  is surjective outside  $\overline{Q}$ , which shows the *n*-regularity of *C*.

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§1. Let C be a smooth curve in an m-dimensional projective space  $\mathbf{P}^m$ ,  $m \geq 3$ , and  $\pi : Bl_C \mathbf{P}^m \longrightarrow \mathbf{P}^m$  the blowing up with center C. We denote the exceptional divisor by D and the the natural inclusion morphism  $D \hookrightarrow Bl_C \mathbf{P}^m$  by j. Then  $C \subset \mathbf{P}^m$  is an intersection of hypersurfaces of degree n if and only if the the complete linear system |nH - D| is free (from base points), where H is the pull-back of the hyperplane class of  $\mathbf{P}^r$ . More precisely the sheaf  $\mathcal{I}_C(n)$  is generated by global sections at p if and only if |nH - D| is free on  $\pi^{-1}(p)$ . In order to show it, we construct a complex

$$N\mathcal{O}_{Bl\mathbf{P}}(-H) \xrightarrow{\phi} n\mathcal{O}_{Bl\mathbf{P}} \xrightarrow{\psi} j_*L \longrightarrow 0,$$

for a suitable line bundle L on D, using a family of vector bundles  $\{E_x\}$  on C parameterized by the blow-up  $Bl_C \mathbf{P}^m$ . Let

$$0 \longrightarrow \Omega_{\mathbf{P}}(1) \longrightarrow V \otimes \mathcal{O}_{\mathbf{P}} \xrightarrow{ev} \mathcal{O}_{\mathbf{P}}(1) \longrightarrow 0.$$
(3)

be the universal exact sequence on  $\mathbf{P}^m$ . Here,  $\mathcal{O}_{\mathbf{P}}(1)$ , V,  $\Omega_{\mathbf{P}}$  and ev are the tautological line bundle, the (m+1)-dimensional space  $H^0(\mathbf{P}^m, \mathcal{O}_{\mathbf{P}}(1))$  of linear forms, the cotangent bundle and the evaluation homomorphism, respectively. Taking dual, we have the exact sequence

$$0 \longrightarrow \mathcal{O}_{\mathbf{P}}(-1) \longrightarrow V^* \otimes \mathcal{O}_{\mathbf{P}} \longrightarrow T_{\mathbf{P}}(1) \longrightarrow 0.$$

Hence the space of global sections of  $T_{\mathbf{P}}(-1) \boxtimes \mathcal{O}_{\mathbf{P}}(1)$  on  $\mathbf{P}^m \times \mathbf{P}^m$ , the outer tensor of two bundles  $T_{\mathbf{P}}(-1)$  and  $\mathcal{O}_{\mathbf{P}}(1)$ , is isomorphic to  $\operatorname{End}(V)$ . Moreover, if s is the global section corresponding to an automorphism  $f \in GL(V)$ , then its zero locus  $(s)_0 \subset \mathbf{P}^m \times \mathbf{P}^m$  is the graph of the automorphism of  $\mathbf{P}^m$  induced from f. In particular, taking f to be the identity, we have the exact sequence

$$\Omega_{\mathbf{P}}(1) \boxtimes \mathcal{O}_{\mathbf{P}}(-1) \longrightarrow \mathcal{O}_{\mathbf{P} \times \mathbf{P}} \longrightarrow \mathcal{O}_{\Delta} \longrightarrow 0, \tag{4}$$

where  $\Delta$  is the diagonal of  $\mathbf{P}^m \times \mathbf{P}^m$ .

We denote the restriction of (3) to C by

$$0 \longrightarrow M \longrightarrow V \otimes \mathcal{O}_C \xrightarrow{ev} \mathcal{O}_C(1) \longrightarrow 0$$
(5)

and the pull-back of the exact sequence (4) by the morphism  $i \times \pi : C \times Bl_C \mathbf{P}^m \longrightarrow \mathbf{P}^m \times \mathbf{P}^m$  by

$$M \boxtimes \mathcal{O}_{Bl\mathbf{P}}(-H) \xrightarrow{\Phi} \mathcal{O}_{C \times Bl\mathbf{P}} \xrightarrow{\Psi} \mathcal{O}_{\tilde{D}} \longrightarrow 0,$$
 (6)

where the subscheme  $\tilde{D} \subset C \times Bl_C \mathbf{P}^m$  is the pull-back of the diagonal  $\Delta$  and coincides with the image of  $(\pi|_D, j) : D \longrightarrow C \times Bl_C \mathbf{P}^m$ . Since  $\tilde{D}$  is of codimension two in  $C \times Bl_C \mathbf{P}^m$ , the kernel of  $\Phi$  is locally free. We denote this rank m-1 vector bundle by  $\mathcal{E}$  and its restriction to  $C \times x$  by  $E_x$  for  $x \in Bl_C \mathbf{P}^m$ . By the exact sequence

$$0 \longrightarrow \mathcal{E} \longrightarrow M \boxtimes \mathcal{O}_{Bl\mathbf{P}}(-H) \longrightarrow \mathcal{I}_{\tilde{D}} \longrightarrow 0, \tag{7}$$

we have

$$\det \mathcal{E} \simeq \mathcal{O}_C(-1) \boxtimes \mathcal{O}_{Bl\mathbf{P}}(-H) \quad \text{and} \quad \det E_x \simeq \mathcal{O}_C(-1) \tag{8}$$

for every x.

Let  $\zeta$  be a line bundle on C. Take the tensor product of (6) with the pull-back of  $\zeta$ , and take the direct image by the projection  $p_2$  onto  $Bl_C \mathbf{P}^m$ . Then we obtain the complex

$$p_{2*}((6) \otimes p_1^*\zeta) : H^0(C, M \otimes \zeta) \otimes \mathcal{O}_{Bl\mathbf{P}}(-H) \xrightarrow{\phi} H^0(C, \zeta) \otimes \mathcal{O}_{Bl\mathbf{P}} \xrightarrow{\psi} j_*(\pi|_D)^*\zeta.$$
(9)

**Lemma 1** This complex is exact at  $x \in Bl_C \mathbf{P}^n$  if  $H^1(C, E_x \otimes \zeta) = 0$ .

*Proof.* The kernel of  $\psi$  coincides with the direct image  $p_{2*}(\mathcal{I}_{\tilde{D}} \otimes p_1^*\zeta)$  by virtue of the exact sequence

$$0 \longrightarrow \mathcal{I}_{\tilde{D}} \longrightarrow \mathcal{O}_{C \times Bl\mathbf{P}} \longrightarrow \mathcal{O}_{\tilde{D}} \longrightarrow 0] \otimes p_1^* \zeta$$

Taking the direct image of (7)  $\otimes p_1^* \zeta$  by  $p_2$ , we have the exact sequence

$$H^{0}(C, M \otimes \zeta) \otimes \mathcal{O}_{Bl\mathbf{P}}(-H) \xrightarrow{\alpha} p_{2*}(\mathcal{I}_{\tilde{D}} \otimes p_{1}^{*}\zeta) \longrightarrow R^{1}p_{2*}(\mathcal{E} \otimes p_{1}^{*}\zeta).$$

By assumption and the base change theorem, the first direct image  $R^1 p_{2*}(\mathcal{E} \otimes p_1^* \zeta)$  is zero at x. Hence,  $\alpha$  is surjective there.  $\Box$ 

**Definition** For a point  $p \in \mathbf{P}^n$ ,  $V_p$  is the space of linear forms vanishing at p,

$$ev_p: V_p \otimes \mathcal{O}_C \longrightarrow \mathcal{O}_C(1)$$

is the restriction of the homomorphism ev in (5) to  $V_p$  and  $M_p$  is its kernel.

The homomorphism  $ev_p$  is surjective if  $p \notin C$  and a surjection onto  $\mathfrak{m}_p(1)$  if  $p \in C$ , where  $\mathfrak{m}_p = \mathcal{O}_C(-p)$  is the maximal ideal at p. Hence det  $M_p$  is isomorphic to  $\mathcal{O}_C(-1)$ or  $\mathcal{O}_C(-1) \otimes \mathcal{O}_C(p)$  according or  $p \notin C$  or  $p \in C$ .

Let x be a point of  $Bl_C \mathbf{P}^n$  and  $\phi_x : M \longrightarrow \mathcal{O}_C$  the restriction of the homomorphism  $\Phi$  in (6) to  $C \times x$ . We put  $p = \pi(x)$ . Then the kernel of  $\phi_x$  is isomorphic to  $M_p$  and there is a natural injection  $E_x \hookrightarrow \text{Ker } \phi_x$ , which is an isomorphism if  $x \notin D$ . If  $x \in D$ , two vector bundles  $E_x$  and  $M_p$  of the same rank differ but only at p, the unique intersection of  $\tilde{D}$  and  $C \times x$ . Since det  $E_x \simeq (\det M_p)(-p)$  by (8), we have the following:

**Lemma 2** The vector bundle  $E_x = \mathcal{E}|_{C \times x}$  is isomorphic to  $M_p$  if  $x \notin D$  and to the kernel of a nonzero homomorphism  $M_p \longrightarrow k(p)$  to the sky-scraper sheaf if  $x \in D$ .

Assume that  $x \in D$  and let

$$0 \longrightarrow M_p \longrightarrow V_p \otimes \mathcal{O}_C \longrightarrow \mathfrak{m}_p(1) \longrightarrow 0$$

be the defining exact sequence of the vector bundle  $M_p$ . By the tensor product

$$0 \longrightarrow M_p \otimes k(p) \longrightarrow V_p \longrightarrow \mathfrak{m}_p/\mathfrak{m}_p^2 \longrightarrow 0.$$

with the skyscraper sheaf k(p), we identify the fiber of  $M_p$  at p with the conormal space of  $C \subset \mathbf{P}^n$  at p. Thus x corresponds a surjective homomorphism  $\gamma_x : M_p \longrightarrow k(p)$ .

**Proposition 1** If  $x \in D$ , the vector bundle  $E_x$  is isomorphic to the kernel of this homomorphism  $\gamma_x : M_p \longrightarrow k(p)$ .

We omit the proof since we don't need this for the proof of Theorem 1.

§2. We return to the case m = 3 and prove Theorem 1. The following is a variant of Raynaud's vanishing [9] for rank two bundles.

**Proposition 2** Let F be a rank two vector bundle on a smooth curve C. If  $\chi(F) \leq 0$ and  $\chi(\xi) \leq 0$  for every line subbundle  $\xi$  of F, then  $H^0(F \otimes \mu)$  vanishes for a general line bundle  $\mu$  of degree 0.

For the proof and the later use, we introduce the subset

$$S(F) = \{\mu | \deg \mu = 0, H^0(F \otimes \mu) \neq 0\} \subset \operatorname{Pic}^0(C)$$

for a vector bundle F on C.  $S(F) = \operatorname{Pic}^{0}(C)$  if  $\chi(F) > 0$ .  $S(\xi)$  is birationally equivalent to the *e*-th symmetric product of C if  $\xi$  is a line bundle of degree  $e \leq g$ . In particular, we have dim  $S(\xi) \leq \deg \xi$ . If  $\xi$  is a line subbundle of F, then  $S(\xi)$  is a subset of S(F). We denote the union of  $S(\xi)$  for all line subbundles  $\xi \subset F$  of degree e by  $S_e(F)$ . Obviously S(F) is the union of  $S_e(F)$  for all (nonnegative) integers e. Let  $\mu \in S_e(F)$ . Then there exists an effective divisor D of degree e such that  $\mu^{-1}(D)$  is a line subbundle of F. Consider the deformation of the exact sequence

$$0 \longrightarrow \mu^{-1}(D) \longrightarrow F \longrightarrow \alpha \longrightarrow 0 \tag{10}$$

in the quot scheme of F or the Hilbert scheme of the  $\mathbf{P}^1$  bundle P over C associated with F. We denote the line bundle  $\det(F \otimes \mu(-D))$  by  $\beta$ . This is the normal bundle of the section of P corresponding to (10). Therefore, the space of the first order infinitesimal deformations of (10) is canonically isomorphic to  $H^0(\beta) \simeq \operatorname{Hom}(\mu^{-1}(D), \alpha)$ . Hence we have  $\dim_{\mu} S_e(F) \leq h^0(\beta) + e$ . Since  $\deg \beta = \deg F - 2e$ , we have

$$\dim_{\mu} S_e(F) \begin{cases} \leq e & \text{if } H^0(\beta) = 0, \\ = \deg F + 1 - g - e & \text{if } H^1(\beta) = 0, \text{ and} \\ = \frac{1}{2}(\deg F - \operatorname{Cliff} \beta) + 1 & \text{if } \beta \text{ is special} \end{cases}$$
(11)

by the Riemann-Roch theorem, where Cliff  $\beta$  is the Clifford index deg  $\beta - 2 \dim |\beta|$ .

Proof of Proposition 2. If C is rational, then  $\chi(\mathcal{O}_C)$  is positive. Hence the assumption  $\chi(\xi) \leq 0$  directly implies  $\operatorname{Hom}(\mathcal{O}_C, F) = 0$ . Therefore, we assume that the genus g of C is positive and prove that  $\dim_{\mu} S_e(F) \leq g - 1$  for every  $0 \leq e \leq g - 1$  and  $\mu \in S_e(F)$ . Put  $\beta = \det(F \otimes \mu(-D))$  as above. By our assumption deg  $F \leq 2g - 2$ , (11) becomes

$$\dim_{\mu} S_e(F) \leq \begin{cases} g-1 & \text{if } H^0(\beta) = 0, \\ g-1-e & \text{if } H^1(\beta) = 0, \text{ and} \\ g-\frac{1}{2}\text{Cliff } \beta & \text{if } \beta \text{ is special.} \end{cases}$$

Hence the assertion is obvious if  $\beta$  is special. Assume that  $\beta$  is special. Then, by Clifford's theorem, Cliff  $\beta$  is nonnegative. Moreover, it is zero if and only if  $\beta \simeq \mathcal{O}_C, K_C$ or C is hyperelliptic and  $\beta$  is a multiple of the unique  $g_2^1$  (e.g., see [5] Chap.IV §5). Here  $K_C$  is the canonical line bundle of C. In particular, there are only finitely many special line bundles of Clifford index zero. Hence we have  $\dim_{\mu} S(F) \leq g - 1$  except at a finite number of  $\mu$ 's. Since  $g \geq 1$ , we have  $\dim S(F) \leq g - 1$  everywhere.  $\Box$ 

Let  $\zeta_0$  be a fixed line bundle with  $\chi(\zeta_0) = n$  and apply the proposition to  $F = K_C \otimes E^{\vee} \otimes \zeta_0$ . Then, by the Serre duality, we have

**Corollary 1** Let *E* be a rank two vector bundle on a smooth curve *C*. If deg  $E \ge -2n$ and deg  $\alpha \ge -n$  for every quotient line bundle  $\alpha$  of *E*, then  $H^1(E \otimes \zeta)$  vanishes for a general line bundle  $\zeta$  with  $\chi(\zeta) = n$ .

Note that the assumption on quotient line bundles  $\alpha$  is equivalent to the vanishing of  $H^0(E \otimes \xi)$  for every line bundle  $\xi$  of degree  $\langle -\deg E - n$ . We apply the corollary to  $E = E_x$ . In this case, the vanishing of  $H^0(E_x \otimes \xi)$  follows from that of

$$H^{0}(\xi \otimes M_{p}) = \operatorname{Ker} \left[ H^{0}(\xi \otimes ev_{p}) : H^{0}(\xi) \otimes V_{p} \longrightarrow H^{0}(\xi \otimes \mathcal{O}_{C}(1)) \right]$$
(12)

by Lemma 2. Here we give two examples of line bundles  $\xi$  for which  $H^0(\xi \otimes M_p) \neq 0$ .

**Example** i) If  $\ell$  is a line passing through p and  $F = C \cap \ell$ , then  $H^0(\xi \otimes M_p) \neq 0$  for  $\xi = \mathcal{O}_C(-F) \otimes \mathcal{O}_C(1)$ . In fact, the skew-symmetric part  $\bigwedge^2 \bar{V}_\ell$  of  $\bar{V}_\ell \otimes \bar{V}_\ell \subset H^0(\xi) \otimes V_p$  is contained in  $H^0(\xi \otimes M_p)$ , where  $\bar{V}_\ell$  is the space of linear forms vanishing along  $\ell$  regarded as subspaces of  $H^0(\xi)$  and  $H^0(\mathcal{O}_C(1))$ .

ii) Let  $R_3 \subset \mathbf{P}^3$  be a twisted cubic passing through p and F the intersection  $C \cap R_3$ . Then  $H^0(\xi \otimes M_p) \neq 0$  for  $\xi = \mathcal{O}_C(-F) \otimes \mathcal{O}_C(2)$ . In fact, choose a system of homogeneous coordinates  $(x_0 : x_1 : x_2 : x_3)$  such that p = (1000) and  $R_3$  is defined by three minors  $q_{12}(x), q_{13}(x)$ , and  $q_{23}(x)$  of the matrix  $\begin{pmatrix} x_0 & x_1 & x_2 \\ x_1 & x_2 & x_3 \end{pmatrix}$ . Then  $\xi$  is generated by three global sections  $\bar{q}_{12}, \bar{q}_{13}$  and  $\bar{q}_{23}$  corresponding to the minors and the tensor  $\bar{q}_{23} \otimes \bar{x}_1 - \bar{q}_{13} \otimes \bar{x}_2 + \bar{q}_{23} \otimes \bar{x}_3$  is contained in  $H^0(\xi \otimes M_p)$ .

Note that in both examples  $H^0(\xi \otimes M_p) \neq 0$  for infinitely many p. Let w be a tensor in the kernel  $H^0(\xi \otimes M)$  of the multiplication map

$$H^0(\xi \otimes ev) : H^0(\xi) \otimes V \longrightarrow H^0(\xi \otimes \mathcal{O}_C(1)).$$

Then w is expressed as  $\sum_{i=1}^{r} s_i \otimes \overline{f_i}$  for an integer  $0 \leq r \leq 4$ , where  $\{f_1, f_2, f_3, f_4\}$  is a basis of V and  $s_i$ 's are linearly independent global sections of  $\xi$ . This number r is independent of the choice of basis. In fact, it is equal to the rank of the homomorphism  $V^{\vee} \longrightarrow H^0(\xi)$  induced from w. So we call it the rank of w. Since C is irreducible, r is not equal to 1. If w belongs to  $H^0(\xi \otimes M_p)$ , then its rank r is equal to 0, 1 or 2.

**Lemma 3** Assume that a tensor  $w \in H^0(\xi \otimes M)$  is of rank 2. Then we have

(1) there exists a line  $\ell \subset \mathbf{P}^3$  such that  $\operatorname{Hom}(\mathcal{O}_C(1) \otimes \mathcal{O}_C(-F), \xi) \neq 0$ , where  $F = \ell \cap C$  is the intersection divisor,

- (2) w belongs to  $H^0(\xi \otimes M_p)$  if and only if the line  $\ell$  passes through p, and
- (3)  $w \in H^0(\xi \otimes E_x)$  if and only if the strict transform of  $\ell$  passes through x.

*Proof.* The tensor w is equal to  $s_1 \otimes \overline{f}_1 + s_2 \otimes \overline{f}_2$ . Let  $\ell$  be the line defined by  $f_1(x) = f_2(x) = 0$ . Then F is the common zero locus of  $\overline{f}_1$  and  $\overline{f}_2 \in H^0(\mathcal{O}_C(1))$  and we have the exact sequence

$$0 \longrightarrow \mathcal{O}_C(-1) \otimes \mathcal{O}_C(F) \xrightarrow{(f_2, -f_1)} \mathcal{O}_C \oplus \mathcal{O}_C \xrightarrow{\begin{pmatrix} f_1 \\ f_2 \end{pmatrix}} \mathcal{O}_C(1) \otimes \mathcal{O}_C(-F) \longrightarrow 0$$

Tensor with  $\xi$  and take the global sections. Then we have  $H^0(\xi \otimes \mathcal{O}_C(1) \otimes \mathcal{O}_C(F)) \neq 0$ , which shows (1). (2) is obvious since  $w \in H^0(\xi \otimes M_p)$  is equivalent to  $f_1$  and  $f_2 \in V_p$ . (3) follows from Proposition 1.  $\Box$ 

Now we prove Theorem 1(a). Let x be an arbitrary point of  $Bl_C \mathbf{P}^3$  and  $\alpha$  a quotient line bundle of  $E_x$ . Then, by (8), we have

$$0 \neq \operatorname{Hom}(E_x, \alpha) \simeq H^0(E_x \otimes \mathcal{O}_C(1) \otimes \alpha) \subset H^0(M \otimes \mathcal{O}_C(1) \otimes \alpha).$$
(13)

Let w be a nonzero tensor in it. If w is of rank 2, then  $\operatorname{Hom}(\mathcal{O}_C(-\ell \cap C), \alpha) \neq 0$ for some line  $\ell$  by the above lemma. Hence we have  $\deg \alpha \geq -\deg(\ell \cap C) \geq -n$ by our assumption. If w is of rank 3, then we have  $\dim H^0(\mathcal{O}_C(1) \otimes \alpha) \geq 3$  and  $\deg \mathcal{O}_C(1) \otimes \alpha \geq d - n$  by our assumption. Hence we have proved  $\deg \alpha \geq -n$ . Since  $\deg E_x = -d \geq -2n$ ,  $H^1(E_x \otimes \zeta)$  vanishes for a general line bundle  $\zeta$  with  $\chi(\zeta) = n$ by Corollary 1. So the complex (9) is exact at x by Lemma 1. Since  $\chi(\zeta) = n > 0$  and  $\zeta$  is general,  $\psi$  is surjective at  $\pi(x)$ . Since  $\zeta$  is general, we also have  $H^1(\zeta) = 0$  and  $\dim H^0(\zeta) = n$ . Thus we obtain the complex

$$H^0(M \otimes \zeta) \otimes \mathcal{O}_{Bl\mathbf{P}}(-H) \xrightarrow{\phi} n\mathcal{O}_{Bl\mathbf{P}} \xrightarrow{\psi} j_*(\pi|_D)^* \zeta \longrightarrow 0,$$

which is exact at x. Hence, as we saw in the introduction, x is not a base point of the linear system |nH - D|.  $\Box$ 

Let  $\Sigma_{n+1} \subset Bl_C \mathbf{P}^3$  be the union of the strict transforms of all (n + 1)-secant lines and the total transforms of all (n + 2)-secant lines. Proposition 1 improve Theorem 1 as follows:

**Theorem 2** Assume that  $2n \ge d$  and that C has no  $g_s^2$  of degree s < d - n. Then the linear system |nH - D| is free outside  $\Sigma_{n+1}$ .

*Proof.* Assume  $x \notin \Sigma_{n+1}$  and let  $\alpha$  be a quotient line bundle of  $E_x$ . Then by (3) of Lemma 3 and by the non-existence of  $g_s^2$ , we have deg  $\alpha \ge -n$ . Hence by the same argument as above the linear system |nH - D| is free at x.  $\Box$ 

We give two remarks on the special case d = 2n of Theorem 1(a). Firstly the proof can be restated in terms of moduli and the *determinant line bundle*  $\mathcal{L}$  on it if  $g(C) \geq 2$ . In fact, the vector bundle  $E_x$  is semi-stable for every x and we have the classification morphism

$$f: Bl_C \mathbf{P}^3 \longrightarrow \overline{M}_C(2, \mathcal{O}_C(-1)), \quad x \mapsto [E_x]$$

of  $\mathcal{E}$  to the moduli space of semi-stable rank two vector bundles of determinant  $\mathcal{O}_C(-1)$ on C. For every line bundle  $\zeta$  with  $\chi(\zeta) = n$ , the subset  $\{E|H^0(E \otimes \zeta) \neq 0\}$  with suitable multiplicity is the zero locus of a global section of  $\mathcal{L}$ . Hence  $\mathcal{L}$  is free by Raynaud's vanishing (cf. [1]). The line bundle  $\mathcal{O}_{Bl\mathbf{P}}(nH - D)$  is free since it is isomorphic to the pull-back of  $\mathcal{L}$  by f. In the case d odd, the determinant line bundle of  $M_C(2, \mathcal{O}_C(-1))$ , which is a positive generator of the Picard group, is also free and pulled back to  $\mathcal{O}_{Bl\mathbf{P}}(dH - 2D)$ .

The second remark is concerned with the condition on  $g_s^2$ . An irreducible curve  $Z \subset \mathbf{P}^3$  of degree a with  $\deg(Z \cap C) \geq an + 1$ , e.g., a (3n + 1)-secant twisted cubic, is a potential obstruction for  $C \subset \mathbf{P}^3$  to be an intersection of surfaces of degree n, or more precisely, for the divisor nH - D to be nef. As we saw in Example ii), if  $R_3 \subset \mathbf{P}^3$  is an s-secant twisted cubic, then  $\xi = \mathcal{O}_C(-F) \otimes \mathcal{O}_C(2)$  is a linear net of degree 2d - s. Hence the non-existence of  $g_{n-1}^2$ , assumed in Theorem 1, directly forbids a (3n + 1)-secant twisted cubic.

§3. We prove Theorem 1(b) using the following generalization of Proposition 2.

**Proposition 3** Let F be a rank two vector bundle on a curve C of genus g and c a nonnegative integer. If  $\chi(F) \leq -c$ , then dim  $S(F) \leq g - c - 1$  outside the following two subvarieties.

a) the union of  $S_e(F)$  for  $e \ge g - c$  and

b) a closed proper subvariety B which depends on C and det F but not on F.

*Proof.* There is nothing to prove if  $g - c \leq 0$ . So we assume that  $g \geq c + 1$ . Let  $V_{\det F} \subset \operatorname{Pic} C$  be the locus of line bundles  $\xi$  of degree  $\langle g - c \rangle$  such that  $(\det F) \otimes \xi^{-2}$  is a special line bundle of Clifford index  $\leq c$ . By the theorem of Martens [6], the dimension of the locus of such special line bundles in  $\operatorname{Pic} C$  is at most c. Hence so is  $\dim V_{\det F}$ . We take as B the union of  $S(\xi)$  for all  $\xi \in V_{\det F}$ . Then we have

$$\dim B = \dim V_{\det F} + \max \dim S(\xi) \le c + (g - c - 1) = g - 1$$

and B is a proper closed subvariety. It suffices to show that  $\dim_{\mu} S_e(F) \leq g - c - 1$ for every  $0 \leq e \leq g - c - 1$  assuming  $\mu \notin B$ . By our assumption deg  $F \leq 2g - 2 - c$ , (11) becomes

$$\dim_{\mu} S_e(F) \leq \begin{cases} g-c-1 & \text{if } H^0(\beta) = 0, \\ g-c-1-e & \text{if } H^1(\beta) = 0, \text{ and} \\ g-\frac{1}{2}(c+\operatorname{Cliff} \beta) & \text{if } \beta \text{ is special.} \end{cases}$$

So the assertion follows from our assumption  $\beta \notin B$  if  $\beta$  is special and is obvious otherwise.  $\Box$ 

For a vector bundle E on C, we set

$$T(E) = \{\zeta | \chi(\zeta) = n \text{ and } H^1(E \otimes \zeta) \neq 0\} \subset \operatorname{Pic}^{n+g-1}(C).$$

If  $\alpha$  is a line bundle, then dim  $T(\alpha) = \min \{g - n - \deg \alpha - 1, g\}$ . In particular  $T(\alpha)$  is empty if deg  $\alpha \ge g - n$ . If  $\alpha$  is a quotient bundle of F, then  $T(\alpha)$  is a subset of T(F). We denote the union of  $T(\alpha)$  for all quotient line bundles  $\alpha$  of F of degree e by  $T_e(F)$ . T(F) is the union of  $T_e(F)$  for all integers e.

We apply the following, putting c = 2, to the family  $\{E_x\}_{x \in Bl\mathbf{P}}$  constructed in §1.

**Corollary 2** Let E be a rank two vector bundle on a curve C of genus g and c a nonnegative integer. If deg  $E \ge -2n + c$ , then dim  $T(E) \le g - c - 1$  outside the following two subvarieties of Pic<sup>n+g-1</sup>(C).

a) the union A(E) of  $T_e(E)$  for all  $e \leq -n + c - 1$  and

b) a closed proper subvariety B which depends only on the curve C and det E.

Since det  $E_x$  is the same for every x, the subset B in the corollary does not depend on x. For integers i and r, we set

$$Y_i^{(r)} = \{ \alpha | \deg \alpha = -n + i \text{ and } H^0(M \otimes \mathcal{O}_C(1) \otimes \alpha) \text{ contains a tensor of rank } r \}.$$

and  $Y_i = Y_i^{(2)} \cup Y_i^{(3)}$  in  $\text{Pic}^{-n+i}C$ .

Claim: dim  $Y_i \leq i$  for every  $i \leq 1 (= c - 1)$ .

By Lemma 3,  $Y_i^{(2)}$  coincides with the set of isomorphism classes of  $\mathcal{O}_C(-\ell \cap C)$  for all lines  $\ell$  with deg  $\ell \cap C = n - i$ . Hence, by our assumption,  $Y_i^{(2)}$  is empty for negative i and dim  $Y_0^{(2)} \leq 0$ . Our claim for i = 1 is trivial if  $g \leq 1$ . Hence we assume  $g \geq 2$ . This implies  $d \geq 5$  and  $n \geq 4$ . Since a general secant line is not a 3-secant line ([5], Chap. IV §3), we have dim  $Y_1^{(2)} \leq 1$ . If  $\alpha$  belongs to  $Y_i^{(3)}$ , then  $\mathcal{O}_C(1) \otimes \alpha$  is a  $g_{d-n+i}^2$ . Hence, by our assumption,  $Y_i^{(3)}$  is empty for negative i and dim  $Y_i^{(3)} \leq i$  for i = 0 and 1.

By (13), every quotient line bundle  $\alpha$  of  $E_x$  of degree -n+i belongs to  $Y_i$ . Hence the subvariety  $A(E_x)$  in Corollary 2 is a subset of the union  $\mathcal{A}$  of  $T(\alpha)$  for all  $\alpha \in \bigcup_{i \leq 1} Y_i$ . Since dim  $T(\alpha) \leq g - 1 - i$  for every  $\alpha \in Y_i$ , we have dim  $\mathcal{A} \leq g - 1$  by the claim, and  $\mathcal{A}$  is a proper closed subvariety of Pic<sup>n+g-1</sup>C. Since deg  $E_x = -d \geq -2n + 2$  by our assumption, we have dim  $T(E_x) \leq g - 3$  outside  $\mathcal{A} \cup B$  for every x by Corollary 2. Hence the dimension of the subvariety

$$\mathcal{T} := \{ (x,\zeta) | H^1(E_x \otimes \zeta) \neq 0, \zeta \notin \mathcal{A} \cup B \} \subset \coprod_{x \in Bl\mathbf{P}} T(E_x) \subset Bl_C \mathbf{P}^3 \times \operatorname{Pic}^{n+g-1} C$$

is at most g and the projection of  $\mathcal{T}$  onto  $\operatorname{Pic}^{n+g-1}C$  is generically finite. It follows that the fiber

$$Q_{\zeta} = \{ x \in Bl_C \mathbf{P}^3 | H^1(E_x \otimes \zeta) \neq 0 \}$$

is finite for a general line bundle  $\zeta$  with  $\chi(\zeta) = n$ . Thus the complex (9) is exact outside  $Q_{\zeta}$  by Lemma 1. This means that we have a complex (1) on  $\mathbf{P}^3$  which is exact off the image  $\bar{Q}_{\zeta}$ . Since  $\chi(\zeta) \geq 2$ ,  $\zeta$  is free and  $\psi$  is surjective. Hence the Eagon-Northcott complex (2)

$$0 \longrightarrow a_N \mathcal{O}_{\mathbf{P}}(-N) \longrightarrow a_{N-1} \mathcal{O}_{\mathbf{P}}(-N+1) \longrightarrow \cdots$$
  
$$\cdots \longrightarrow a_{n+2} \mathcal{O}_{\mathbf{P}}(-n-2) \longrightarrow a_{n+1} \mathcal{O}_{\mathbf{P}}(-n-1) \longrightarrow a_n \mathcal{O}_{\mathbf{P}}(-n) \xrightarrow{\epsilon} \mathcal{I}_C \longrightarrow 0$$
(14)

is exact outside  $C \cup \overline{Q}_{\zeta}$  (cf. [3] or Appendix C of [8]). Hence the image  $\mathcal{J}$  of  $\epsilon$  is *n*-regular. Since the quotient  $\mathcal{J}/\mathcal{I}_C$  is supported by the finite set  $\overline{Q}$ , so is  $\mathcal{I}_C$ . So we have completed the proof of Theorem 1(b).  $\Box$ 

Assume that  $C \subset \mathbf{P}^3$  is irreducible and reduced and let  $i : \tilde{C} \longrightarrow \mathbf{P}^3$  be its normalization. We define an s-secant line  $\ell$  of  $C \subset \mathbf{P}^3$  by deg  $F \ge s$ , where  $F \subset \tilde{C}$  is the common zero locus of the pull-backs  $\bar{f}_1$  and  $\bar{f}_2 \in H^0(\tilde{C}, i^*\mathcal{O}_{\mathbf{P}}(1))$  of the defining linear forms  $f_1$  and  $f_2$  of  $\ell$ . Then Theorem 1(b) holds true for a singular curve if we understand a  $g_s^2$  to be a line bundle with  $h^0 \ge 3$  on the nomalization  $\tilde{C}$ . The proof is almost the same: Let  $Bl_C^0\mathbf{P}^3$  be the complement of  $\pi^{-1}\operatorname{Sing} C$  in  $Bl_C\mathbf{P}^3$ . Then we can construct the rank two vector bundle  $\mathcal{E}$  on the product  $\tilde{C} \times Bl_C^0\mathbf{P}^3$ , or the family  $\{E_x\}$ of vector bundles on  $\tilde{C}$  parameterized by  $Bl_C^0\mathbf{P}^3$ , similarly. Let  $\zeta$  be a general member of  $\operatorname{Pic}^{n+g-1}\tilde{C}$ . Then the complex (9) is exact on  $Bl_C^0\mathbf{P}^3 \setminus Q_{\zeta}$ . The Eagon-Northcott complex (14) is exact outside  $\bar{Q}_{\zeta} \cup C$  and  $\epsilon$  is surjective outside  $\bar{Q}_{\zeta} \cup \operatorname{Sing} C$ , which is still a finite set. Hence  $C \subset \mathbf{P}^3$  is *n*-regular.

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