Korevaar–Schoen *p*-energy forms and associated *p*-energy measures on fractals

Naotaka Kajino^[0000-0002-0284-4608] and Ryosuke Shimizu^[0009-0005-4039-3771]

Abstract We construct good *p*-energy forms on metric measure spaces as pointwise subsequential limits of Besov-type *p*-energy functionals under certain geometric/analytic conditions. Such forms are often called *Korevaar–Schoen p-energyforms* in the literature. As an advantage of our approach, the associated *p*-energy measures are obtained and investigated. We also prove that our construction is applicable to the settings of Kigami [*Mem. Eur. Math. Soc.* **5** (2023)] and Cao–Gu–Qiu [*Adv. Math.* **405** (2022), no. 108517], yields Korevaar–Schoen *p*-energy forms comparable to the *p*-energy forms constructed in these papers, and can be further modified in the case of self-similar sets to obtain self-similar *p*-energy forms keeping most of the good properties of Korevaar–Schoen ones.

Key words: Korevaar–Schoen *p*-energy form, *p*-energy measure, generalized *p*-contraction property, *p*-resistance form, self-similar set, self-similar *p*-energy form

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Naotaka Kajino

Ryosuke Shimizu

Research Institute for Mathematical Sciences, Kyoto University, Kitashirakawa-Oiwake-cho, Sakyoku, Kyoto 606-8502, Japan e-mail: nkajino@kurims.kyoto-u.ac.jp

Waseda Research Institute for Science and Engineering, Waseda University, 3-4-1 Okubo, Shinjukuku, Tokyo 169-8555, Japan e-mail: r-shimizu@aoni.waseda.jp

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1 Introduction

In this article, assuming that (K, d) is a locally compact separable metric space and that *m* is a Radon measure (i.e., a Borel measure finite on any compact subset) on *K* with full topological support (i.e., strictly positive on any non-empty open subset), we consider *Korevaar-Schoen*-type *p*-energy forms on (K, d, m), where $p \in (1, \infty)$. Namely, we are concerned with a functional

$$E_{p,s}(u) \coloneqq \limsup_{r \downarrow 0} \int_K \oint_{B_d(x,r)} \frac{|u(x) - u(y)|^p}{r^{sp}} m(dy)m(dx), \quad u \in L^p(K,m),$$

where $B_d(x, r) := \{y \in K \mid d(x, y) < r\}$ and $f_A(\cdot) dm := \frac{1}{m(A)} \int_A(\cdot) dm$ for a Borel subset *A* of *K* with $m(A) \in (0, \infty)$. Here $s \in (0, \infty)$ is a parameter controlling the smoothness of functions. In the classical settings, the *n*-dimensional Euclidean space $(K, d, m) = (\mathbb{R}^n, |\cdot|, dx)$ for example, the choice s = 1 is natural. Indeed, one can show (see, e.g., [37, Corollary 6.3] and [19, Theorem 7.13]; see also [17, Theorem 3.5] for a related result) that there exists $C \in (0, \infty)$ such that the distributional gradient ∇u of any Sobolev function $u \in W^{1,p}(\mathbb{R}^n)$ satisfies

$$C^{-1} \int_{\mathbb{R}^n} |\nabla u|^p \, dx \leq \limsup_{r \downarrow 0} \int_{\mathbb{R}^n} \oint_{|y-x| < r} \frac{|u(x) - u(y)|^p}{r^p} \, dy dx \leq C \int_{\mathbb{R}^n} |\nabla u|^p \, dx.$$

In particular, the domain of the functional $E_{p,1}$ is given by the (1, p)-Sobolev space $W^{1,p}(\mathbb{R}^n)$ in this case. Note that the functional $E_{p,1}$ can be considered as a variant of the functional considered by Korevaar and Schoen in [35], where they constructed a (1, p)-Sobolev space $W^{1,p}(\Omega, X)$ of maps from a domain Ω in a Riemannian manifold to a complete metric space X. On the basis of an idea in [35], Koskela and MacManus [36] introduced a (1, p)-Sobolev space $\mathcal{L}^{1,p}$ on any metric measure space satisfying the volume doubling property and the Poincaré inequality (in terms of weak upper gradients), a so-called *PI-space*, as the domain of a functional similar to $E_{p,1}$, and showed that $\mathcal{L}^{1,p}$ coincides with the (1, p)-Sobolev spaces introduced by Hajłasz [18] and Hajłasz–Koskela [20]; see [36, Theorem 4.5]. For any PI-space (K, d, m), one can show (see, e.g., [37, Corollary 6.3] and [22, Corollary 10.4.6]) that $\mathcal{L}^{1,p} = \{u \in L^p(K,m) \mid E_{p,1}(u) < \infty\}$, and it turns out that the exponent s = 1 is critical in the sense that for every s > 1, any function $u \in L^p(K,m)$ with $E_{p,s}(u) < \infty$ is constant *m*-a.e. if *K* is connected. (See [22, Chapter 10] for various ways to define (1, p)-Sobolev spaces on (K, d, m) and relations among them.) Recently, for more general (K, d, m) which may not be a PI-space, Baudoin [6] proposed to define a (1, p)-Sobolev space $KS^{1,p}$ as the domain $\{u \in L^p(K,m) \mid E_{p,s}(u) < \infty\}$ of $E_{p,s}$ with $s = s_p$, where s_p is the *critical* L^p -Besov exponent defined by

$$s_p := \sup\{s \in (0, \infty) \mid E_{p,s}(u) < \infty \text{ for some non-constant } u \in L^p(K, m)\},\$$

and discussed some properties of $KS^{1,p}$ such as Sobolev-type embeddings.

The aim of this article is to construct as nice a *p*-energy form $\mathcal{E}_p^{\text{KS}}$ comparable to E_{p,s_p} as possible. Such $\mathcal{E}_p^{\text{KS}}$ is desired to satisfy at least the following *generalized p*-contraction property (see Definition 2.2): if $q_1 \in (0, p], q_2 \in [p, \infty], n_1, n_2 \in \mathbb{N}$ and $T = (T_1, \ldots, T_{n_2}) : \mathbb{R}^{n_1} \to \mathbb{R}^{n_2}$ satisfies T(0) = 0 and $||T(x) - T(y)||_{\ell^{q_2}} \leq ||x - y||_{\ell^{q_1}}$ for any $x, y \in \mathbb{R}^{n_1}$, then for any $u = (u_1, \ldots, u_{n_1}) \in (\text{KS}^{1,p})^{n_1}$,

$$T(\boldsymbol{u}) \in (\mathrm{KS}^{1,p})^{n_2} \text{ and } \left\| \left(\mathcal{E}_p^{\mathrm{KS}}(T_l(\boldsymbol{u}))^{1/p} \right)_{l=1}^{n_2} \right\|_{\ell^{q_2}} \le \left\| \left(\mathcal{E}_p^{\mathrm{KS}}(u_k)^{1/p} \right)_{k=1}^{n_1} \right\|_{\ell^{q_1}}.$$
(1.1)

The property (1.1) has been introduced in [27] as arguably the strongest possible form of contraction properties of L^p -like energy forms. As revealed in [27], (1.1) plays important roles in developing *nonlinear potential theory* in general frameworks including typical self-similar fractals, on which one can construct *p*-energy forms via discrete approximations as established in [9, 23, 33, 39, 43] (see also [15] for a different approach). A problem with $E_{p,s}$ is that $E_{p,s}$ may not satisfy (1.1) because of the operation of taking limsup. To avoid this issue, we would like to take a limit (in some sense) of the Besov-type functionals

$$E_{p,s}(u,r) \coloneqq \int_{K} \oint_{B_{d}(x,r)} \frac{|u(x) - u(y)|^{p}}{r^{sp}} m(dy)m(dx)$$
(1.2)

as $r \downarrow 0$. This strategy does not work for all $s \in (0, \infty)$, but does work in the critical case $s = s_p$ in the presence of the following *weak monotonicity* type estimate, which turns out to hold in many situations: there exists a constant $C \in [1, \infty)$ such that for any $u \in L^p(K, m)$ with $\sup_{r>0} E_{p,s_p}(u, r) < \infty$,

$$\sup_{r>0} E_{p,s_p}(u,r) \le C \liminf_{r\downarrow 0} E_{p,s_p}(u,r).$$

$$(1.3)$$

This condition (1.3) was introduced in [6] (see Example 3.14). Our first main result, Theorem 3.8, gives a desired *p*-energy form $\mathcal{E}_p^{\text{KS}}$ as a subsequential limit of $\{E_{p,s_p}(\cdot, r)\}_{r>0}$ under the assumption of (1.3). More precisely, in Theorem 3.8, we establish a subsequential limit of the energy functionals given by

$$\int_{K} \int_{B_{d}(x,r)} \frac{\operatorname{sgn}(u(x) - u(y)) |u(x) - u(y)|^{p-1} (v(x) - v(y))}{r^{s_{p}p}} m(dy) m(dx),$$

that is, we directly construct a two-variable version $\mathcal{E}_p^{\text{KS}}(u; v)$, which is the counterpart of

$$(u,v) \mapsto \int_{\mathbb{R}^n} |\nabla u|^{p-2} \langle \nabla u, \nabla v \rangle \, dx \tag{1.4}$$

in the Euclidean case, where $\langle \cdot, \cdot \rangle$ denotes the inner product on \mathbb{R}^n . An advantage of our construction is that we can obtain a good quantitative estimate on the continuity of $\mathcal{E}_p^{\text{KS}}(u; v)$ with respect to the nonlinear part *u*. Namely, unlike our earlier result in [27] (see (2.12) below), the present construction of $\mathcal{E}_p^{\text{KS}}$ allows us to achieve the best Hölder continuity exponent as expected from the formal expression (1.4), i.e., to show that there exists a constant $C \in (0, \infty)$ such that for any $u_1, u_2, v \in \text{KS}^{1,p}$,

$$\left|\mathcal{E}_{p}^{\text{KS}}(u_{1};v) - \mathcal{E}_{p}^{\text{KS}}(u_{2};v)\right| \leq C \left[\max_{i \in \{1,2\}} \mathcal{E}_{p}^{\text{KS}}(u_{i})\right]^{\frac{(p-2)^{\gamma}}{p}} \mathcal{E}_{p}^{\text{KS}}(u_{1}-u_{2})^{\frac{(p-1)\wedge 1}{p}} \mathcal{E}_{p}^{\text{KS}}(v)^{\frac{1}{p}}$$

(see (3.12)), which is not known for the *p*-energy forms constructed in the preceding works [9, 15, 23, 33, 39, 43]. See Section 3 for details.

Another superiority of our direct approach is that we can introduce the *p*-energy measures associated with $\mathcal{E}_p^{\text{KS}}$. Roughly speaking, for each $u \in \text{KS}^{1,p}$, the *p*-energy measure $\Gamma_p^{\text{KS}}\langle u \rangle$ is a Radon measure on *K* playing the same role as $|\nabla u|^p dx$ in the Euclidean case. Since we have no counterpart of $|\nabla u|$, it is highly non-trivial to construct $\Gamma_p^{\text{KS}}\langle u \rangle$; indeed, it is not known how to construct canonical *p*-energy measures associated with a given *p*-energy form without relying on the self-similarity of the underlying space and the *p*-energy form (see [33, p. 113] and [39, Problem 12.5]). However, our construction of $\mathcal{E}_p^{\text{KS}}$ allows us to employ a naive approach as described below. From the Leibniz and chain rules for the usual gradient operator ∇ on \mathbb{R}^n , we easily see that for any $\varphi, u \in C^1(\mathbb{R}^n)$,

$$\varphi \left| \nabla u \right|^p = \left| \nabla u \right|^{p-2} \left\langle \nabla u, \nabla (u\varphi) \right\rangle - \left(\frac{p-1}{p} \right)^{p-1} \left| \nabla \left(|u|^{\frac{p}{p-1}} \right) \right|^{p-2} \left\langle \nabla \left(|u|^{\frac{p}{p-1}} \right), \nabla \varphi \right\rangle.$$

Since $\mathcal{E}_p^{\text{KS}}(u; v)$ is expected to be the counterpart of $\int_{\mathbb{R}^n} |\nabla u|^{p-2} \langle \nabla u, \nabla v \rangle dx$, the *p*-energy measure $\Gamma_p^{\text{KS}}\langle u \rangle$ of $u \in \text{KS}^{1,p}$ associated with $\mathcal{E}_p^{\text{KS}}$ should be characterized as a unique Radon measure on *K* such that for any $\varphi \in \text{KS}^{1,p} \cap C_c(K)$,

$$\int_{K} \varphi \, d\Gamma_{p}^{\mathrm{KS}} \langle u \rangle = \mathcal{E}_{p}^{\mathrm{KS}}(u; u\varphi) - \left(\frac{p-1}{p}\right)^{p-1} \mathcal{E}_{p}^{\mathrm{KS}}(|u|^{\frac{p}{p-1}}; \varphi) =: \Psi_{p,u}^{\mathrm{KS}}(\varphi).$$
(1.5)

In fact, in the case p = 2, this is exactly the same as the definition of energy measures in the theory of regular symmetric Dirichlet forms (see [14, (3.2.14)]). By virtue of our direct construction, we can show that $\Psi_{p,u}^{\text{KS}}$ is a bounded positive linear functional on $\text{KS}^{1,p} \cap C_c(K)$ and we obtain $\Gamma_p^{\text{KS}}\langle u \rangle$ by applying the Riesz–Markov–Kakutani representation theorem under the assumption that $\text{KS}^{1,p} \cap C_c(K)$ is dense in $C_c(K)$ with respect to the uniform norm. We also establish some basic properties of $\Gamma_p^{\text{KS}}\langle u \rangle$ like the generalized *p*-contraction property and the chain rule. See Section 4 for details.

As mentioned above, our construction of $\mathcal{E}_p^{\text{KS}}(u; v)$ and $\Gamma_p^{\text{KS}}\langle u \rangle$ relies heavily on the assumption of the weak monotonicity estimate (1.3), and fortunately it turns out that (1.3) holds in many situations. As proved in [6, Theorem 5.1] (see also [37, Corollary (6.3), (1.3) holds on any PI-spaces. Besides, (1.3) has been proved for the Vicsek set and for the Sierpiński gasket in [6, Theorems 6.3 and 6.6], for nested fractals in [16, 10], for generalized Sierpiński carpets with p strictly greater than the Ahlfors regular conformal dimension in [45], and in a general setting including the Sierpiński carpet with any $p \in (1, \infty)$ in [39, Theorem 7.1]. See also [24] for related results for the Sierpiński gasket. As extensions of these results, we present two general settings where we can show (1.3). The first one described in Section 5 (see Assumptions 5.19 and 5.35) is based on the notion of *p*-conductive homogeneity due to [33], and includes the settings of [33, Theorems 3.21 and 4.6] except that we need to assume the Ahlfors regularity of m, which is not assumed in [33, Theorem 3.21]. (This setting is very similar to that in [39, Section 7], although there are indeed slight differences between the setting of discrete approximations of (K, d) in [33] and that in [39].) In particular, all the examples of self-similar sets in [33, Sections 4.4-4.6] and those planned to be treated in [34] fall within the framework of our main results in Section 5 (see also Remark 5.15-(2)). The second one presented in Section 6 (see Assumption 6.1) treats the case of post-critically finite self-similar structures. In particular, by virtue of the work [9], this framework includes all affine *nested fractals*, which were covered only partially in [33] (see Remark 6.2-(3)).

Very recently, for any $p \in [1, \infty)$, Alonso-Ruiz and Baudoin [4] constructed *p*energy forms and *p*-energy measures on PI-spaces as Γ -limits of $E_{p,1}$ and $\overline{\Gamma}$ -limits of localized versions of $E_{p,1}$, respectively. Their framework is very different from ours although we do not deal with the case p = 1. Indeed, $s_p = 1$ on PI-spaces while $s_p > 1$ on generalized Sierpiński carpets and some Sierpiński gaskets as proved in [27, Section 9]. Also, our construction of *p*-energy measures enables us to prove some fundamental properties of them, which were not shown in [4].

This article is organized as follows. In Section 2, we introduce the notion of *p*-energy form and the generalized *p*-contraction property and recall some basic consequences of this property, following [27]. In Section 3, we present basic notation related to the Besov-type functionals (1.2) and, under the assumptions of (1.3) and some mild conditions, we construct a good *p*-energy form \mathcal{E}_p^{KS} as a subsequential pointwise limit of $\{E_{p,s_p}(\cdot,r)\}_{r>0}$. We also recall the notion of *p*-resistance form and present a sufficient condition for \mathcal{E}_p^{KS} to be a *p*-resistance form in the end of Section 3. Section 4 is devoted to discussions on the *p*-energy measures associated with \mathcal{E}_p^{KS} . (More precisely, we prove these results in Sections 3 and 4 in a synthetic way for a more general family of kernels.) In Section 5, we first recall from [33] the setting of *p*-conductively homogeneous compact metric spaces and then verify (1.3) for them under some geometric assumptions. In Section 6, we show (1.3) for post-critically finite self-similar structures under the assumption of the existence of nice self-similar *p*-resistance forms. In Sections 5 and 6, we also show *localized energy estimates*, some estimates on localized versions $\int_E f_{B_d(x,r)} \frac{|u(x)-u(y)|^p}{r^{PSp}} m(dy)m(dx)$

of $E_{p,s_p}(u)$ for any Borel subset *E* of *K*, and that our construction can be further modified in the case of self-similar sets to obtain self-similar *p*-energy forms keeping most of the good properties of Korevaar–Schoen ones.

Notation Throughout this paper, we use the following notation and conventions.

- (1) For $[0, \infty]$ -valued quantities *A* and *B*, we write $A \leq B$ to mean that there exists an implicit constant $C \in (0, \infty)$ depending on some unimportant parameters such that $A \leq CB$. We write $A \approx B$ if $A \leq B$ and $B \leq A$.
- (2) For a set A, we let $#A \in \mathbb{N} \cup \{0, \infty\}$ denote the cardinality of A.
- (3) We set sup Ø := 0 and inf Ø := ∞. We write a ∨ b := max{a, b}, a ∧ b := min{a, b} and a⁺ := a ∨ 0 for a, b ∈ [-∞, ∞], and we use the same notation also for [-∞, ∞]-valued functions and equivalence classes of them. All numerical functions in this paper are assumed to be [-∞, ∞]-valued.
- (4) Let X be a non-empty set. We define $id_X \colon X \to X$ by $id_X(x) \coloneqq x$, $\mathbf{1}_A = \mathbf{1}_A^X \in \mathbb{R}^X$

for $A \subseteq X$ by $\mathbf{1}_A(x) \coloneqq \mathbf{1}_A^X(x) \coloneqq \begin{cases} 1 & \text{if } x \in A, \\ 0 & \text{if } x \notin A, \end{cases}$ and set $||u||_{\sup} \coloneqq ||u||_{\sup,X} \coloneqq \sup_{x \in X} |u(x)|$ for $u \colon X \to [-\infty, \infty]$.

- (5) We define sgn: $\mathbb{R} \to \mathbb{R}$ by sgn $(a) \coloneqq \mathbf{1}_{(0,\infty)}(a) \mathbf{1}_{(-\infty,0)}(a)$.
- (6) Let X be a topological space. The Borel σ -algebra of X is denoted by $\mathcal{B}(X)$, the closure of $A \subseteq X$ in X by \overline{A}^X , and we say that $A \subseteq X$ is *relatively compact* in X if and only if \overline{A}^X is compact. We set $C(X) \coloneqq \{u \in \mathbb{R}^X \mid u \text{ is continuous}\}$, $\operatorname{supp}_X[u] \coloneqq \overline{X \setminus u^{-1}(0)}^X$ for $u \in C(X)$, $C_b(X) \coloneqq \{u \in C(X) \mid ||u||_{\sup} < \infty\}$, $C_c(X) \coloneqq \{u \in C(X) \mid \operatorname{supp}_X[u] \text{ is compact}\}$, and $C_0(X) \coloneqq \overline{C_c(X)}^{C_b(X)} =$ $\{u \in C(X) \mid u^{-1}(\mathbb{R} \setminus (-\varepsilon, \varepsilon)) \text{ is compact for any } \varepsilon \in (0, \infty)\}$, where $C_b(X)$ is equipped with the uniform norm $\| \cdot \|_{\sup}$.
- (7) Let X be a topological space having a countable open base. For a Borel measure m on X and a Borel measurable function f: X → [-∞, ∞] or an m-equivalence class f of such functions, we let supp_m[f] denote the support of the measure |f| dm, that is, the smallest closed subset F of X such that ∫_{X\F} |f| dm = 0.
- (8) Let (X, d) be a metric space. We set $B_d(x, r) := \{y \in X \mid d(x, y) < r\}$ for $(x, r) \in X \times (0, \infty), (A)_{d,r} := \bigcup_{x \in A} B_d(x, r)$ for $A \subseteq X$ and $r \in (0, \infty)$, and diam $(A, d) := \sup_{x,y \in A} d(x, y)$ and dist $_d(A, B) := \inf\{d(x, y) \mid x \in A, y \in B\}$ for $A, B \subseteq X$.
- (9) Let (X, \mathcal{B}, m) be a measure space. We set $f_A \coloneqq f_A f dm \coloneqq \frac{1}{m(A)} \int_A f dm$ for $f \in L^1(X, m)$ and $A \in \mathcal{B}$ with $m(A) \in (0, \infty)$, and set $m|_A \coloneqq m|_{\mathcal{B}|_A}$ for $A \in \mathcal{B}$, where $\mathcal{B}|_A \coloneqq \{B \cap A \mid B \in \mathcal{B}\}$. When m is σ -finite, the product measure space of (X, \mathcal{B}, m) and itself is denoted by $(X \times X, \mathcal{B} \otimes \mathcal{B}, m \times m)$.

Korevaar-Schoen p-energy forms and associated p-energy measures on fractals

2 *p*-Energy forms and generalized *p*-contraction property

In this section, following [27], we recall the generalized *p*-contraction property and some basic consequences of it. Throughout this section, we fix $p \in (1, \infty)$, a measure space (K, \mathcal{B}, m) , a linear subspace \mathcal{F} of $L^0(K, m) := L^0(K, \mathcal{B}, m)$, where

 $L^{0}(K, \mathcal{B}, m) := \{ \text{the } m \text{-equivalence class of } u \mid u \colon K \to \mathbb{R}, u \text{ is } \mathcal{B} \text{-measurable} \},\$

and a functional $\mathcal{E}: \mathcal{F} \to [0, \infty)$ which is *p*-homogeneous, i.e., satisfies $\mathcal{E}(au) = |a|^p \mathcal{E}(u)$ for any $(a, u) \in \mathbb{R} \times \mathcal{F}$. (Note that the pair (\mathcal{B}, m) is arbitrary. In the case where $\mathcal{B} = 2^K$ and *m* is the counting measure on *K*, we have $L^0(K, \mathcal{B}, m) = \mathbb{R}^K$.)

Let us recall the definitions of a *p*-energy form and the generalized *p*-contraction property introduced in [27]. We adopt here a less restrictive definition of a *p*-energy form than those in the preceding works [9, 23, 33, 39, 43] on the construction of *p*-energy forms, in order to deal with a wider class of L^p -type energy functionals including $E_{p,s}(\cdot, r)$ in (1.2) and $\int_K \varphi \, d\Gamma_p^{\text{KS}} \langle \cdot \rangle$ in (1.5) in a unified framework.

Definition 2.1 (*p*-Energy form; [27, Definition 3.1]) The pair $(\mathcal{E}, \mathcal{F})$ is said to be a *p*-energy form on (K, m) if and only if $\mathcal{E}^{1/p}$ is a seminorm on \mathcal{F} .

Definition 2.2 (Generalized *p*-contraction property; [27, Definition 2.1]) The pair $(\mathcal{E}, \mathcal{F})$ is said to satisfy the *generalized p*-contraction property, $(GC)_p$ for short, if and only if the following hold: if $n_1, n_2 \in \mathbb{N}$, $q_1 \in (0, p]$, $q_2 \in [p, \infty]$ and $T = (T_1, \ldots, T_{n_2}) : \mathbb{R}^{n_1} \to \mathbb{R}^{n_2}$ satisfies

$$T(0) = 0$$
 and $||T(x) - T(y)||_{\ell^{q_2}} \le ||x - y||_{\ell^{q_1}}$ for any $x, y \in \mathbb{R}^{n_1}$, (2.1)

then for any $\boldsymbol{u} = (u_1, \ldots, u_{n_1}) \in \mathcal{F}^{n_1}$ we have

$$T(\boldsymbol{u}) \in \mathcal{F}^{n_2}$$
 and $\left\| \left(\mathcal{E}(T_l(\boldsymbol{u}))^{1/p} \right)_{l=1}^{n_2} \right\|_{\ell^{q_2}} \le \left\| \left(\mathcal{E}(u_k)^{1/p} \right)_{k=1}^{n_1} \right\|_{\ell^{q_1}}.$ (GC)_p

See [27, Sections 2 and 3] for details on consequences of $(GC)_p$. Here, in Propositions 2.3, 2.4 and 2.5, we recall some results from [27] that will be used in this paper.

Proposition 2.3 ([27, Proposition 2.2]) Suppose that $(\mathcal{E}, \mathcal{F})$ satisfies $(GC)_p$.

- (1) $\mathcal{E}^{1/p}$ satisfies the triangle inequality. In particular, \mathcal{E} is convex on \mathcal{F} .
- (2) Let $\varphi \in C(\mathbb{R})$ satisfy $\varphi(0) = 0$ and $|\varphi(t) \varphi(s)| \le |t s|$ for any $s, t \in \mathbb{R}$. Then $\varphi(u) \in \mathcal{F}$ and $\mathcal{E}(\varphi(u)) \le \mathcal{E}(u)$ for any $u \in \mathcal{F}$. Furthermore, for any $u \in \mathcal{F} \cap L^{\infty}(K, m)$ and $\Phi \in C^{1}(\mathbb{R})$ with $\Phi(0) = 0$, we have $\Phi(u) \in \mathcal{F}$ and

$$\mathcal{E}(\Phi(u)) \le \sup\left\{ \left| \Phi'(t) \right|^p \mid t \in \mathbb{R}, |t| \le \|u\|_{L^{\infty}(K,m)} \right\} \mathcal{E}(u).$$
(2.2)

(3) For any $u, v \in \mathcal{F}$, we have $u \wedge v, u \vee v \in \mathcal{F}$ and

$$\mathcal{E}(u \lor v) + \mathcal{E}(u \land v) \le \mathcal{E}(u) + \mathcal{E}(v). \tag{2.3}$$

(4) For any $u, v \in \mathcal{F} \cap L^{\infty}(K, m)$, we have $uv \in \mathcal{F} \cap L^{\infty}(K, m)$ and

$$\mathcal{E}(uv)^{1/p} \le \|v\|_{L^{\infty}(K,m)} \mathcal{E}(u)^{1/p} + \|u\|_{L^{\infty}(K,m)} \mathcal{E}(v)^{1/p}.$$
 (2.4)

(5) If $p \in (1, 2]$, then for any $u, v \in \mathcal{F}$,

$$2(\mathcal{E}(u)^{1/(p-1)} + \mathcal{E}(v)^{1/(p-1)})^{p-1} \le \mathcal{E}(u+v) + \mathcal{E}(u-v) \le 2(\mathcal{E}(u) + \mathcal{E}(v)).$$
(2.5)

If $p \in [2, \infty)$, then for any $u, v \in \mathcal{F}$,

$$2(\mathcal{E}(u)^{1/(p-1)} + \mathcal{E}(v)^{1/(p-1)})^{p-1} \ge \mathcal{E}(u+v) + \mathcal{E}(u-v) \ge 2(\mathcal{E}(u) + \mathcal{E}(v)).$$
(2.6)

Proposition 2.4 ([27, Proposition 3.5]) Suppose that $(\mathcal{E}, \mathcal{F})$ satisfies $(GC)_p$. Then for any $u, v \in \mathcal{F}$,

$$\mathcal{E}(u+v) + \mathcal{E}(u-v) - 2\mathcal{E}(u) \le 2((p-1) \wedge 1) \left(\mathcal{E}(u)^{\frac{1}{p-1}} + \mathcal{E}(v)^{\frac{1}{p-1}} \right)^{(p-2)^{+}} \mathcal{E}(v)^{1 \wedge \frac{1}{p-1}}.$$
(2.7)

In particular, in view of the convexity of \mathcal{E} from Proposition 2.3-(1), $\mathbb{R} \ni t \mapsto \mathcal{E}(u+tv) \in [0,\infty)$ is differentiable and

$$\lim_{s \to 0} \sup_{h \in \mathcal{F}; \mathcal{E}(h) \le 1} \left| \frac{\mathcal{E}(u+sh) - \mathcal{E}(u)}{s} - \frac{d}{dt} \mathcal{E}(u+th) \right|_{t=0} = 0.$$
(2.8)

Proposition 2.5 ([27, Theorem 3.6]) Suppose that $(\mathcal{E}, \mathcal{F})$ satisfies $(GC)_p$. For any $u, v \in \mathcal{F}$, we define

$$\mathcal{E}(u;v) \coloneqq \frac{1}{p} \left. \frac{d}{dt} \mathcal{E}(u+tv) \right|_{t=0},\tag{2.9}$$

which exists by Proposition 2.4. Then for any $u, u_1, u_2, v \in \mathcal{F}, \mathcal{E}(u; \cdot) : \mathcal{F} \to \mathbb{R}$ is linear, $\mathcal{E}(u; u) = \mathcal{E}(u), \mathcal{E}(au; v) = \operatorname{sgn}(a) |a|^{p-1} \mathcal{E}(u; v)$ for any $a \in \mathbb{R}$,

$$\mathcal{E}(u;h) = 0 \quad and \quad \mathcal{E}(u+h;v) = \mathcal{E}(u;v) \quad for \ any \ h \in \mathcal{E}^{-1}(0), \tag{2.10}$$

$$|\mathcal{E}(u;v)| \le \mathcal{E}(u)^{(p-1)/p} \mathcal{E}(v)^{1/p}, \qquad (2.11)$$

$$|\mathcal{E}(u_1; v) - \mathcal{E}(u_2; v)| \le c_p \left[\max_{i \in \{1, 2\}} \mathcal{E}(u_i) \right]^{\frac{p-1-\alpha_p}{p}} \mathcal{E}(u_1 - u_2)^{\alpha_p/p} \mathcal{E}(v)^{1/p} \quad (2.12)$$

for $\alpha_p \coloneqq \frac{(p-1)\wedge 1}{p}$ and some $c_p \in (0, \infty)$ determined solely and explicitly by p.

Notation Throughout this paper, for any *p*-energy form $(\mathcal{E}, \mathcal{F})$ on (K, m) satisfying $(GC)_p$ and any $u, v \in \mathcal{F}$, we let $\mathcal{E}(u; v) \in \mathbb{R}$ denote the element given by (2.9).

3 Construction and properties of Korevaar–Schoen *p*-energy forms

In this section, we show the existence of *Korevaar–Schoen p-energy forms*, i.e., pointwise subsequential limits of the Besov-type *p*-energy functionals (1.2) under the assumption of the weak monotonicity estimate (1.3), and give some basic properties of the limit *p*-energy forms. To be precise, we will prove these results for a more general *family of kernels* in a synthetic way in order to apply the results in this section to construct self-similar *p*-energy forms later in Sections 5 and 6.

Throughout this section, we fix a separable metric space (K, d) with $\#K \ge 2$ and a σ -finite Borel measure *m* on *K* with full topological support. Under this setting, the map from C(K) to $L^0(K, m)$ defined by taking $u \in C(K)$ to its *m*-equivalence class is injective and hence gives a canonical embedding of C(K) into $L^0(K, m)$ as a subalgebra, and we will consider C(K) as a subset of $L^0(K, m)$ through this embedding without further notice.

We also fix $p \in (1, \infty)$ throughout this section unless otherwise stated. We will state some definitions and statements below for any $p \in [1, \infty)$, but on each such occasion we will explicitly declare that we let $p \in [1, \infty)$.

First, we introduce a function space determined by a family of kernels $\{k_r\}_{r>0}$.

Definition 3.1 Let $p \in [1, \infty)$ and let $\mathbf{k} = \{k_r\}_{r>0}$ be a family of $[0, \infty]$ -valued Borel measurable functions on $K \times K$. We define a linear subspace $B_{p,\infty}^k$ of $L^p(K,m)$ by

$$B_{p,\infty}^{k} \coloneqq \left\{ f \in L^{p}(K,m) \middle| \sup_{r>0} \int_{K} \int_{K} |f(x) - f(y)|^{p} k_{r}(x,y) m(dy) m(dx) < \infty \right\}$$

$$(3.1)$$

and equip $B_{p,\infty}^{k}$ with the norm $\|\cdot\|_{B_{p,\infty}^{k}}$ defined by

$$\|f\|_{B^{k}_{p,\infty}} \coloneqq \|f\|_{L^{p}(K,m)} + \left(\sup_{r>0} \int_{K} \int_{K} |f(x) - f(y)|^{p} k_{r}(x,y) m(dy) m(dx)\right)^{1/p}$$

Also for each $r \in (0, \infty)$, we define $J_{p,r}: L^p(K, m) \to [0, \infty]$ by

$$J_{p,r}^{k}(f) \coloneqq \int_{K} \int_{K} |f(x) - f(y)|^{p} k_{r}(x, y) m(dy) m(dx), \quad f \in L^{p}(K, m),$$

and set $D(J_{p,r}^{\mathbf{k}}) \coloneqq \{f \in L^p(K,m) \mid J_{p,r}^{\mathbf{k}}(f) < \infty\}.$

In the rest of this section, we fix a family of kernels $\mathbf{k} = \{k_r\}_{r>0}$ as in Definition 3.1. To state some basic properties of $J_{p,r}^{\mathbf{k}}$, let us recall the reverse Minkowski inequality (see, e.g., [1, Theorem 2.13]).

Proposition 3.2 (Reverse Minkowski inequality) Let (Y, \mathcal{A}, μ) be a measure space ¹ and let $r \in (0, 1]$. Then for any \mathcal{A} -measurable functions $f, g: Y \to [0, \infty]$,

$$\left(\int_{Y} f^{r} d\mu\right)^{1/r} + \left(\int_{Y} g^{r} d\mu\right)^{1/r} \le \left(\int_{Y} (f+g)^{r} d\mu\right)^{1/r}.$$
 (3.2)

For ease of notation, we define $\gamma_p \colon \mathbb{R} \to \mathbb{R}$ by

$$\gamma_p(a) \coloneqq \operatorname{sgn}(a) |a|^{p-1}$$

The following proposition is elementary.

Proposition 3.3 For any $r \in (0, \infty)$, $(J_{p,r}^k, D(J_{p,r}^k))$ is a p-energy form on (K, m) satisfying $(GC)_p$, and for any $f, g \in D(J_{p,r}^k)$,

$$J_{p,r}^{k}(f;g) = \int_{K} \int_{K} \gamma_{p} (f(x) - f(y)) (g(x) - g(y)) k_{r}(x, y) m(dy) m(dx).$$
(3.3)

Proof. Suppose that $T = (T_1, \ldots, T_{n_2})$: $\mathbb{R}^{n_1} \to \mathbb{R}^{n_2}$ satisfies (2.1) and that $q_2 < \infty$. Then for any $\boldsymbol{u} = (u_1, \ldots, u_{n_1}) \in D(J_{p,r}^{\boldsymbol{k}})^{n_1}$ and any $r \in (0, \infty)$,

$$\sum_{l=1}^{n_2} J_{p,r}^{k} (T_l(\boldsymbol{u}))^{q_2/p}$$

$$\stackrel{(3.2)}{\leq} \left(\int_K \int_K \left[\sum_{l=1}^{n_2} |T_l(\boldsymbol{u}(x)) - T_l(\boldsymbol{u}(y))|^{q_2} \right]^{p/q_2} k_r(x, y) \, m(dy) m(dx) \right)^{q_2/p}$$

$$\stackrel{(2.1)}{\leq} \left(\int_K \int_K \left[\sum_{k=1}^{n_1} |u_k(x) - u_k(y)|^{q_1} \right]^{p/q_1} k_r(x, y) \, m(dy) m(dx) \right)^{q_2/p}$$

$$\stackrel{(*)}{\leq} \left(\sum_{k=1}^{n_1} \left(\int_K \int_K |u_k(x) - u_k(y)|^p \, k_r(x, y) \, m(dy) m(dx) \right)^{q_1/p} \right)^{q_2/q_1}$$

$$= \left(\sum_{k=1}^{n_1} J_{p,r}^k(u_k)^{q_1/p} \right)^{q_2/q_1} .$$

$$(3.4)$$

Here we used the triangle inequality for the norm of $L^{p/q_1}(K \times K, m_r(dxdy))$ in (*), where $m_r(dxdy) := k_r(x, y) m(dy)m(dx)$. The proof for the case $q_2 = \infty$ is similar, so $(J_{p,r}^k, D(J_{p,r}^k))$ is a *p*-energy form on (K, m) satisfying (GC)_p. The equality (3.3) follows from the dominated convergence theorem.

Similarly, we can show the next proposition.

¹ In the book [1], the reverse Minkowski inequality is stated and proved only for the L^r -space over non-empty open subsets of the Euclidean space equipped with the Lebesgue measure, but the same proof works for any measure space.

Proposition 3.4 Let $r \in (0, \infty)$ and define $N_{p,r}^{k}(f) \coloneqq ||f||_{L^{p}(K,m)}^{p} + J_{p,r}^{k}(f)$ for $f \in D(J_{p,r}^{k})$. Then $(N_{p,r}^{k}, D(J_{p,r}^{k}))$ is a p-energy form on (K, m) satisfying $(\text{GC})_{p}$. In particular, for any $f, g \in D(J_{p,r}^{k})$ with $N_{p,r}^{k}(f) \lor N_{p,r}^{k}(g) \le 1$,

$$N_{p,r}^{k}(f+g) \le \left(2^{p \vee \frac{p}{p-1}} - N_{p,r}^{k}(f-g)\right)^{(p-1) \wedge 1}.$$
(3.5)

Proof. A similar estimate as (3.4) shows that $(N_{p,r}^k, D(J_{p,r}^k))$ is a *p*-energy form on (K, m) satisfying $(GC)_p$. The desired estimate (3.5) immediately follows from Proposition 2.3-(5).

Let us introduce a couple of important conditions on k.

Definition 3.5 (1) We say that $\mathbf{k} = \{k_r\}_{r>0}$ is *asymptotically local* if and only if there exists $\{\delta(r)\}_{r>0} \subseteq (0, \infty)$ such that $\lim_{r \downarrow 0} \delta(r) = 0$ and

$$\lim_{r\downarrow 0} \int_K \int_{K\setminus B_d(x,\delta(r))} k_r(x,y) \, m(dy) m(dx) = 0.$$
(3.6)

(2) Let $p \in [1, \infty)$. We say that $(WM)_{p,k}$ holds if and only if there exists $C \in [1, \infty)$ such that

$$\sup_{r>0} J_{p,r}^{k}(f) \le C \liminf_{r \downarrow 0} J_{p,r}^{k}(f) \quad \text{for any } f \in B_{p,\infty}^{k}.$$
(WM)_{p,k}

The next theorem states that the normed space $B_{p,\infty}^k$ equipped with $\|\cdot\|_{B_{p,\infty}^k}$ is a nice Banach space. Our proof is very similar to that for the case of the (1, p)-Korevaar–Schoen–Sobolev space KS^{1,p} given in [6, Theorems 3.1 and 4.4]. We present a complete proof here to make this paper self-contained.

Theorem 3.6 For any $p \in [1, \infty)$, the normed space $B_{p,\infty}^{k}$ is a Banach space. Moreover, if $p \in (1, \infty)$ and $(WM)_{p,k}$ holds, then $B_{p,\infty}^{k}$ is reflexive and separable.

Proof. Let $\{f_n\}_{n \in \mathbb{N}}$ be a Cauchy sequence in $B_{p,\infty}^k$. Then there exists a L^p -limit $f \in L^p(K,m)$ of $\{f_n\}_{n \in \mathbb{N}}$. For any $\varepsilon > 0$ there exists $N \in \mathbb{N}$ such that $||f_n - f_{n'}||_{B_{p,\infty}^k} < \varepsilon$ for any $n, n' \ge N$. By using Fatou's lemma, we see that $J_{p,r}^k(f - f_n) \le \varepsilon^p$ for any $n \ge N$ and hence

$$J_{p,r}^{k}(f)^{1/p} \leq J_{p,r}^{k}(f-f_{n})^{1/p} + J_{p,r}^{k}(f_{n})^{1/p} \leq \varepsilon + \sup_{n \in \mathbb{N}} \|f_{n}\|_{B_{p,\infty}^{k}}.$$

Therefore, $f \in B_{p,\infty}^k$ and $\{f_n\}_n$ converges to f in $B_{p,\infty}^k$, i.e., $B_{p,\infty}^k$ is a Banach space. Next we assume that $p \in (1,\infty)$ and that $(WM)_{p,k}$ holds. Then $|||f|||_{B_{p,\infty}^k} :=$

 $(\|f\|_{L^{p}(K,m)}^{p} + \limsup_{r \downarrow 0} J_{p,r}^{k}(f))^{1/p}$ is a norm on $B_{p,\infty}^{k}$ that is equivalent to $\|\cdot\|_{B_{p,\infty}^{k}}$. We will show that $\|\cdot\|_{B_{p,\infty}^{k}}$ is uniformly convex (see [12, Definition 1] for the definition) and thus $B_{p,\infty}^{k}$ is reflexive by the Milman–Pettis theorem (see, e.g., [22, Theorem 2.49]). Let $\varepsilon > 0$ and $f, g \in B_{p,\infty}^{k}$ with $\|\|f\|_{B_{p,\infty}^{k}} \vee \|\|g\|\|_{B_{p,\infty}^{k}} < 1$

and $|||f - g||_{B_{p,\infty}^k} > \varepsilon$. By [6, Lemma 4.11], it suffices to find $\delta \in (0,\infty)$ that is independent of f, g such that $|||f + g||_{B_{p,\infty}^k} \le 2(1 - \delta)$. Choose $r_0 \in (0,\infty)$ so that

$$\|f\|_{L^{p}(K,m)}^{p} + J_{p,r}^{k}(f) < 1, \quad \|g\|_{L^{p}(K,m)}^{p} + J_{p,r}^{k}(g) < 1 \quad \text{for any } r \in (0,r_{0}).$$

Since $(WM)_{p,k}$ implies that

$$\begin{split} \varepsilon^{p} &< \|f - g\|_{L^{p}(K,m)}^{p} + \limsup_{r \downarrow 0} J_{p,r}^{k}(f - g) \\ &\leq C \Big(\|f - g\|_{L^{p}(K,m)}^{p} + \liminf_{r \downarrow 0} J_{p,r}^{k}(f - g) \Big), \end{split}$$

there exists $r_1 \in (0, \infty)$ such that

$$||f - g||_{L^{p}(K,m)}^{p} + J_{p,r}^{k}(f - g) > C^{-1}\varepsilon^{p}$$
 for any $r \in (0, r_{1})$.

Hence, for any $r \in (0, r_0 \wedge r_1)$, by using (3.5), we see that

$$\|f+g\|_{L^{p}(K,m)}^{p}+J_{p,r}^{k}(f+g) < \left[2^{p\vee\frac{p}{p-1}}-C^{-1}\varepsilon^{p}\right]^{(p-1)\wedge 1}$$

which implies $||f + g||_{L^{p}(K,m)}^{p} + J_{p,r}^{k}(f + g) \leq 2^{p}(1 - \delta)$ for some $\delta \in (0, \infty)$ depending only on p, C, ε . The desired uniform convexity is proved.

Since $L^p(K,m)$ is separable and the inclusion map of $B^k_{p,\infty}$ into $L^p(K,m)$ is a continuous linear injection, $B^k_{p,\infty}$ is separable by [2, Proposition 4.1].

To obtain the local Hölder continuity with exponent $(p-1) \land 1$ of the Korevaar–Schoen *p*-energy forms (see Theorem 3.8-(d) below), we will need the following elementary inequality (see also [38, Proof of Corollary 5.8]).

Lemma 3.7 *For any* $a, b \in \mathbb{R}$ *,*

$$|\gamma_p(a) - \gamma_p(b)| \le \begin{cases} 2 |a-b|^{p-1} & \text{if } p \in (1,2], \\ (p-1)(|a|^{p-2} \vee |b|^{p-2}) |a-b| & \text{if } p \in (2,\infty). \end{cases}$$

Proof. The desired estimate is evident when $|a| \wedge |b| = 0$, so we can assume that $0 < |b| \le |a|$ by exchanging a and b if necessary. The proof is divided into the following five cases.

Case 1: $p \in (1, 2]$ and ab < 0.

We can assume that b < 0 < a by considering -a, -b instead of a, b respectively if necessary. Note that $|a| \le |a - b|$. We see that

$$|\gamma_p(a) - \gamma_p(b)| = a^{p-1} - (-b)^{p-1} \le 2 |a|^{p-1} \le 2 |a - b|^{p-1}.$$

Case 2: $p \in (1, 2], ab > 0$ and $|a - b| \le |b|$.

By the same reason as the previous case, we can assume that $a \ge b > 0$. Noting that $|a - b|^{p-2} \ge |b|^{p-2}$, we have

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$$\begin{aligned} \left| \gamma_p(a) - \gamma_p(b) \right| &= a^{p-1} - b^{p-1} = (p-1) \int_b^a t^{p-2} dt \\ &\leq (p-1) \left| b \right|^{p-2} \left| a - b \right| \leq (p-1) \left| a - b \right|^{p-1}. \end{aligned}$$

Case 3: $p \in (1, 2], ab > 0$ and $|a - b| \ge |b|$.

Similar to the previous cases, we can assume that $a \ge b > 0$. Then $|a - b| \ge |b|$ is equivalent to $b \in (0, a/2]$, whence $\frac{a}{2} \le a - b = |a - b|$. Now we see that

$$\left|\gamma_p(a) - \gamma_p(b)\right| = a^{p-1} - b^{p-1} \le a^{p-1} \le 2^{p-1} |a - b|^{p-1}$$

Case 4: $p \in (2, \infty)$ and ab < 0.

In this case, we have $|b|^{p-2} \le |a|^{p-2}$ by $p-2 \ge 0$. We can assume that b < 0 < a similarly to Case 1. Then

$$\left|\gamma_p(a) - \gamma_p(b)\right| = |a|^{p-2} a - |b|^{p-2} b \le |a|^{p-2} a - |a|^{p-2} b = |a|^{p-2} |a-b|.$$

Case 5: $p \in (2, \infty)$ and ab > 0.

Similar to Cases 2 and 3, we can assume that $a \ge b > 0$. Then

$$|\gamma_p(a) - \gamma_p(b)| = a^{p-1} - b^{p-1} = (p-1) \int_b^a t^{p-2} dt \le (p-1) |a|^{p-2} |a-b|.$$

The above five cases complete the proof.

Now we can state and prove the first main theorem of this paper as follows. Recall that we have fixed $p \in (1, \infty)$.

Theorem 3.8 Suppose that $(WM)_{p,k}$ holds. Then any sequence $\{\tilde{r}_n\}_{n \in \mathbb{N}} \subseteq (0, \infty)$ with $\tilde{r}_n \to 0$ has a subsequence $\{r_n\}_{n \in \mathbb{N}}$ such that the following limit exists in $[0, \infty)$ for any $f \in B_{p,\infty}^k$:

$$\mathcal{E}_{p}^{k}(f) \coloneqq \lim_{n \to \infty} J_{p,r_{n}}^{k}(f).$$
(3.7)

Moreover, for any such $\{r_n\}_{n \in \mathbb{N}}$, the functional $\mathcal{E}_p^k \colon B_{p,\infty}^k \to [0,\infty)$ defined by (3.7) satisfies the following properties:

(a) $(\mathcal{E}_p^k, \mathcal{B}_{p,\infty}^k)$ is a *p*-energy form on (K, m) such that

$$C^{-1}\sup_{r>0}J^{\boldsymbol{k}}_{p,r}(f) \le \mathcal{E}^{\boldsymbol{k}}_{p}(f) \le C\liminf_{r\downarrow 0}J^{\boldsymbol{k}}_{p,r}(f) \quad \text{for any } f \in B^{\boldsymbol{k}}_{p,\infty}, \quad (3.8)$$

where $C \in (0, \infty)$ is the same as in $(WM)_{p,k}$. In particular, if $m(K) < \infty$, then $\mathbf{1}_K \in B_{p,\infty}^k$ and $\mathcal{E}_p^k(\mathbf{1}_K) = 0$.

(b) $(\mathcal{E}_{p}^{k}, \mathcal{B}_{p,\infty}^{k})$ satisfies (GC)_p. Furthermore, for any $f, g \in \mathcal{B}_{p,\infty}^{k}, \{J_{p,r_{n}}^{k}(f;g)\}_{n \in \mathbb{N}}$ is convergent in \mathbb{R} and

$$\mathcal{E}_{p}^{k}(f;g) = \lim_{n \to \infty} J_{p,r_{n}}^{k}(f;g).$$
(3.9)

(c) (Function-wise generalized *p*-contraction property) Let $n_1, n_2 \in \mathbb{N}, q_1 \in (0, p]$, $q_2 \in [p, \infty], u = (u_1, \dots, u_{n_1}) \in (B_{p,\infty}^k)^{n_1}$ and $v = (v_1, \dots, v_{n_2}) \in L^p(K, m)^{n_2}$. If

$$\|\boldsymbol{v}(x) - \boldsymbol{v}(y)\|_{\ell^{q_2}} \le \|\boldsymbol{u}(x) - \boldsymbol{u}(y)\|_{\ell^{q_1}} \quad \text{for } m \times m\text{-a.e. } (x, y) \in K \times K,$$
(3.10)

then $\boldsymbol{v} \in (B_{p,\infty}^{\boldsymbol{k}})^{n_2}$ and

$$\left\| \left(\mathcal{E}_{p}^{k}(v_{l})^{1/p} \right)_{l=1}^{n_{2}} \right\|_{\ell^{q_{2}}} \leq \left\| \left(\mathcal{E}_{p}^{k}(u_{k})^{1/p} \right)_{k=1}^{n_{1}} \right\|_{\ell^{q_{1}}}.$$
(3.11)

(d) (Local Hölder continuity) There exists $C_p \in (0, \infty)$ determined solely and explicitly by p such that for any $f_1, f_2, g \in B_{p,\infty}^k$,

$$\left|\mathcal{E}_{p}^{\boldsymbol{k}}(f_{1};g) - \mathcal{E}_{p}^{\boldsymbol{k}}(f_{2};g)\right| \leq C_{p} \left[\max_{i \in \{1,2\}} \mathcal{E}_{p}^{\boldsymbol{k}}(f_{i})\right]^{\frac{(p-2)^{+}}{p}} \mathcal{E}_{p}^{\boldsymbol{k}}(f_{1}-f_{2})^{\frac{(p-1)\wedge 1}{p}} \mathcal{E}_{p}^{\boldsymbol{k}}(g)^{\frac{1}{p}}.$$
(3.12)

- (e) (Strong locality) Suppose that **k** is asymptotically local.
 - (i) Let $f_1, f_2, g \in B_{p,\infty}^k$. If $\operatorname{supp}_m[f_1 a_1 \mathbf{1}_K] \cap \operatorname{supp}_m[f_2 a_2 \mathbf{1}_K] = \emptyset$ and either $\operatorname{supp}_m[f_1 - a_1 \mathbf{1}_K]$ or $\operatorname{supp}_m[f_2 - a_2 \mathbf{1}_K]$ is compact for some $a_1, a_2 \in \mathbb{R}$, then

$$\mathcal{E}_{p}^{k}(f_{1}+f_{2}+g) + \mathcal{E}_{p}^{k}(g) = \mathcal{E}_{p}^{k}(f_{1}+g) + \mathcal{E}_{p}^{k}(f_{2}+g), \qquad (3.13)$$

$$\mathcal{E}_{p}^{k}(f_{1}+f_{2};g) = \mathcal{E}_{p}^{k}(f_{1};g) + \mathcal{E}_{p}^{k}(f_{2};g).$$
(3.14)

(ii) Let $f_1, f_2, g \in B_{p,\infty}^k$. If $\operatorname{supp}_m[f_1 - f_2 - a\mathbf{1}_K] \cap \operatorname{supp}_m[g - b\mathbf{1}_K] = \emptyset$ and either $\operatorname{supp}_m[f_1 - f_2 - a\mathbf{1}_K]$ or $\operatorname{supp}_m[g - b\mathbf{1}_K]$ is compact for some $a, b \in \mathbb{R}$, then

$$\mathcal{E}_{p}^{k}(f_{1};g) = \mathcal{E}_{p}^{k}(f_{2};g) \quad and \quad \mathcal{E}_{p}^{k}(g;f_{1}) = \mathcal{E}_{p}^{k}(g;f_{2}).$$
 (3.15)

(f) (Invariance) Let $T: K \to K$ be Borel measurable and preserve m, i.e., satisfy $T^{-1}(A) \in \mathcal{B}(K)$ and $m(T^{-1}(A)) = m(A)$ for any $A \in \mathcal{B}(K)$. If k is T-invariant, i.e., $k_r(T(x), T(y)) = k_r(x, y)$ for $m \times m$ -a.e. $(x, y) \in K \times K$ for each $r \in (0, \infty)$, then $f \circ T \in B^k_{p,\infty}$ and $\mathcal{E}^k_p(f \circ T) = \mathcal{E}^k_p(f)$ for any $f \in B^k_{p,\infty}$.

Definition 3.9 (*k*-Korevaar–Schoen *p*-energy form) Suppose that $(WM)_{p,k}$ holds. For each sequence $\{r_n\}_{n \in \mathbb{N}} \subseteq (0, \infty)$ as in Theorem 3.8, the *p*-energy form $(\mathcal{E}_p^k, \mathcal{B}_{p,\infty}^k)$ on (K, m) defined by (3.7) is called the *k*-Korevaar–Schoen *p*-energy form on (K, m) along $\{r_n\}_{n \in \mathbb{N}}$.

Remark 3.10 Advantages of our *p*-energy form $(\mathcal{E}_p^k, \mathcal{B}_{p,\infty}^k)$ on (K, m) are (c) and (d). The estimate (3.12) with the Hölder continuity exponent $(p-1) \wedge 1$ is not known for the *p*-energy forms constructed in [9, 23, 33, 39, 43]. (As stated in Proposition 2.5, the existence of the derivative as in (2.9) and its local Hölder continuity (2.12) with exponent $\frac{(p-1)\wedge 1}{p}$ for *p*-energy forms satisfying (GC)_p have been proved in

[27].) We also do not know whether (c) holds for the *p*-energy forms constructed in [9, 23, 33, 39, 43].

Proof of Theorem 3.8. Fix a sequence of positive numbers $\{\tilde{r}_n\}_{n\in\mathbb{N}}$ with $\tilde{r}_n \to 0$. Since $B_{p,\infty}^k$ is separable by Theorem 3.6, there exists a countable dense subset \mathscr{C} of $B_{p,\infty}^k$. A standard diagonal argument yields a subsequence $\{r_n\}_{n\in\mathbb{N}}$ of $\{\tilde{r}_n\}_{n\in\mathbb{N}}$ so that $\lim_{n\to\infty} J_{p,r_n}^k(u)$ exists in \mathbb{R} for any $u \in \mathscr{C}$. Let $\varepsilon > 0$, $f \in B_{p,\infty}^k$ and pick $f_* \in \mathscr{C}$ satisfying $\sup_{r>0} J_{p,r}^k(f - f_*)^{1/p} < \varepsilon$. Then for any $k, l \in \mathbb{N}$, by using the triangle inequality for $J_{p,r}^k(\cdot)^{1/p}$,

$$\begin{split} & \left| J_{p,r_{k}}^{k}(f)^{1/p} - J_{p,r_{l}}^{k}(f)^{1/p} \right| \\ & \leq J_{p,r_{k}}^{k}(f - f_{*})^{1/p} + \left| J_{p,r_{k}}^{k}(f_{*})^{1/p} - J_{p,r_{l}}^{k}(f_{*})^{1/p} \right| + J_{p,r_{l}}^{k}(f - f_{*})^{1/p} \\ & \leq 2\varepsilon + \left| J_{p,r_{k}}^{k}(f_{*})^{1/p} - J_{p,r_{l}}^{k}(f_{*})^{1/p} \right|. \end{split}$$

Letting $k \wedge l \rightarrow \infty$ in this inequality, we obtain

$$\limsup_{k \wedge l \to \infty} \left| J_{p,r_k}^{\mathbf{k}}(f)^{1/p} - J_{p,r_l}^{\mathbf{k}}(f)^{1/p} \right| \le 2\varepsilon,$$

which proves that $\{J_{p,r_n}^{k}(f)\}_{n\in\mathbb{N}}$ is a Cauchy sequence in $[0,\infty)$ and hence is convergent in $[0,\infty)$. Now we define $\mathcal{E}_{p}^{k}: \mathcal{B}_{p,\infty}^{k} \to [0,\infty)$ by $\mathcal{E}_{p}^{k}(f) \coloneqq \lim_{n\to\infty} J_{p,r_n}^{k}(f)$.

Clearly, $(\mathcal{E}_p^k, B_{p,\infty}^k)$ is a *p*-energy form on (K, m) satysfying (3.8) by $(WM)_{p,k}$. Let us show (b), (c), (d) and (e) because the other properties (a) and (f) are immediate from the expression of $J_{p,r}^k(\cdot)$ and the definition of \mathcal{E}_p^k .

(b),(c): Obviously, (c) implies $(GC)_p$ for $(\mathcal{E}_p^k, \mathcal{B}_{p,\infty}^k)$, so we first show (c). For simplicity, we consider the case $q_2 < \infty$ (the case $q_2 = \infty$ is similar). Let $u = (u_1, \ldots, u_{n_1}) \in (\mathcal{B}_{p,\infty}^k)^{n_1}$ and $v = (v_1, \ldots, v_{n_2}) \in L^p(K, m)^{n_2}$ satisfy (3.10). Then the same argument as in (3.4) shows that for any $r \in (0, \infty)$,

$$\sum_{l=1}^{n_2} J_{p,r}^{\boldsymbol{k}}(v_l)^{q_2/p} \le \left(\sum_{k=1}^{n_1} J_{p,r}^{\boldsymbol{k}}(u_k)^{q_1/p}\right)^{q_2/q_1},$$
(3.16)

which implies that $v_l \in \mathcal{B}_{p,\infty}^k$ for any $l \in \{1, \dots, n_2\}$. Using (3.7) to take the limit of (3.16) with $r = r_n$ as $n \to \infty$, we obtain $\sum_{l=1}^{n_2} \mathcal{E}_p^k(v_l)^{q_2/p} \le \left(\sum_{k=1}^{n_1} \mathcal{E}_p^k(u_k)^{q_1/p}\right)^{q_2/q_1}$. This completes the proof of (c).

Next we prove (3.9). We know that \mathcal{E}_p^k is Fréchet differentiable on $B_{p,\infty}^k$ by (2.8) in Proposition 2.4. Also, by combining (2.7) (in Proposition 2.4) for $J_{p,r}^k$ and the convexity of $t \mapsto J_{p,r}^k(f+tg)$, we see that for any $t \in (0, 1)$,

$$\left|\frac{J_{p,r}^{k}(f+tg) - J_{p,r}^{k}(f)}{t} - pJ_{p,r}^{k}(f;g)\right|$$

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$$\begin{split} &= \left| \frac{J_{p,r}^{k}(f+tg) - J_{p,r}^{k}(f)}{t} - \frac{d}{dt} J_{p,r}^{k}(f+tg) \right|_{t=0} \\ &\leq \frac{J_{p,r}^{k}(f+tg) + J_{p,r}^{k}(f-tg) - 2J_{p,r}^{k}(f)}{t} \stackrel{(2.7)}{\leq} O_{t}(f;g), \end{split}$$

where $O_t(f;g) = C_{p,f,g} t^{(p-1)\wedge \frac{1}{p-1}}$ for some constant $C_{p,f,g}$ which depends only on $p, |f|_{B_{p,\infty}^k}$ and $|g|_{B_{p,\infty}^k}$. Hence we see that

$$\begin{split} &\limsup_{n \to \infty} \left| \mathcal{E}_p^{\boldsymbol{k}}(f;g) - J_{p,r_n}^{\boldsymbol{k}}(f;g) \right| \\ &\leq \lim_{n \to \infty} \left| \mathcal{E}_p^{\boldsymbol{k}}(f;g) - \frac{1}{p} \cdot \frac{J_{p,r_n}^{\boldsymbol{k}}(f+tg) - J_{p,r_n}^{\boldsymbol{k}}(f)}{t} \right| + \frac{1}{p} O_t(f;g) \\ &= \left| \mathcal{E}_p^{\boldsymbol{k}}(f;g) - \frac{1}{p} \cdot \frac{\mathcal{E}_p^{\boldsymbol{k}}(f+tg) - \mathcal{E}_p^{\boldsymbol{k}}(f)}{t} \right| + \frac{1}{p} O_t(f;g) \xrightarrow{t \downarrow 0} 0, \end{split}$$

which shows (3.9).

(d): This is immediate from (3.3), Hölder's inequality, Lemma 3.7 and (3.9).

(e): By [27, Propositions 3.29 and 3.30], it suffices to show (3.13). For simplicity, for $u \in L^p(K, m)$ and $E \in \mathcal{B}(K)$, define

$$\widetilde{J}_{p,r}^{\boldsymbol{k}}(u \mid E) \coloneqq \int_{E} \int_{B_d(x,\delta(r))} |u(x) - u(y)|^p k_r(x,y) \, m(dy) m(dx),$$

and set $A_i := \operatorname{supp}_m[f_i - a_i \mathbf{1}_K]$ for $i \in \{1, 2\}$. We also set $\widetilde{J}_{p,r}^k(u) := \widetilde{J}_{p,r}^k(u \mid K)$. Note that there exists $r_0 \in (0, \infty)$ such that $\operatorname{dist}_d(A_1, A_2) > 2\delta(r)$ for any $r \in (0, r_0)$ since either A_1 or A_2 is compact. Set $N_r := K \setminus ((A_1)_{d,\delta(r)} \cup (A_2)_{d,\delta(r)})$ for $r \in (0, \infty)$. Then for any $r \in (0, r_0)$,

$$\begin{aligned} \widetilde{J}_{p,r}^{k}(f_{1}+f_{2}+g) + \widetilde{J}_{p,r}^{k}(g) \\ &= \widetilde{J}_{p,r}^{k}(f_{1}+g \mid (A_{1})_{d,\delta(r)}) + \widetilde{J}_{p,r}^{k}(f_{2}+g \mid (A_{2})_{d,\delta(r)}) + \widetilde{J}_{p,r}^{k}(g \mid N_{r}) + \widetilde{J}_{p,r}^{k}(g) \\ &= \widetilde{J}_{p,r}^{k}(f_{1}+g \mid (A_{1})_{d,\delta(r)}) + \widetilde{J}_{p,r}^{k}(g \mid (A_{2})_{d,\delta(r)} \cup N_{r}) \\ &+ \widetilde{J}_{p,r}^{k}(f_{2}+g \mid (A_{2})_{d,\delta(r)}) + \widetilde{J}_{p,r}^{k}(g \mid (A_{1})_{d,\delta(r)} \cup N_{r}) \\ &= \widetilde{J}_{p,r}^{k}(f_{1}+g) + \widetilde{J}_{p,r}^{k}(f_{2}+g). \end{aligned}$$
(3.17)

Noting that $\lim_{n\to\infty} \widehat{J}_{p,r_n}^k(u) = \mathcal{E}_p^k(u)$ for any $u \in B_{p,\infty}^k \cap L^{\infty}(K,m)$ by (3.7) and the asymptotic locality of k, we obtain (3.13) by letting $r := r_n$ and $n \to \infty$ in (3.17) provided $f_1, f_2, g \in B_{p,\infty}^k \cap L^{\infty}(K,m)$. Finally, since $(-n) \lor (u \land n) \in$ $B_{p,\infty}^k \cap L^{\infty}(K,m)$, $\lim_{n\to\infty} \mathcal{E}_p^k(u - (-n) \lor (u \land n)) = 0$ by [27, Corollary 3.17] and $\operatorname{supp}_m[u - c\mathbf{1}_K] = \operatorname{supp}_m[(-n) \lor (u \land n) - c\mathbf{1}_K]$ for any $u \in B_{p,\infty}^k$ and any $(n, c) \in$ $\mathbb{N} \times \mathbb{R}$ with n > |c|, (3.13) extends to the remaining case $\{f_1, f_2, g\} \not\subseteq L^{\infty}(K,m)$ by the triangle inequality for $\mathcal{E}_p^k(\cdot)^{1/p}$, completing the proof. \Box Next we would like to state further properties of *k*-Korevaar–Shoen *p*-energy forms in the "strongly *p*-recurrent" case. To this end, we recall the notion of *p*-resistance form introduced in [27] (see [29, 31] for the theory for the case p = 2).

Definition 3.11 (*p*-**Resistance form**) Let *K* be a non-empty set. The pair $(\mathcal{E}, \mathcal{F})$ of $\mathcal{F} \subseteq \mathbb{R}^K$ and $\mathcal{E}: \mathcal{F} \to [0, \infty)$ is said to be a *p*-resistance form on *K* if and only if it satisfies the following conditions $(\text{RF1})_p$ - $(\text{RF5})_p$:

- $(\text{RF1})_p \ \mathcal{F}$ is a linear subspace of \mathbb{R}^K (containing $\mathbb{R}\mathbf{1}_K$) and $\mathcal{E}(\cdot)^{1/p}$ is a seminorm on \mathcal{F} satisfying $\{u \in \mathcal{F} \mid \mathcal{E}(u) = 0\} = \mathbb{R}\mathbf{1}_K$.
- $(\text{RF2})_p$ The quotient normed space $(\mathcal{F}/\mathbb{R}\mathbf{1}_K, \mathcal{E}^{1/p})$ is a Banach space.
- $(\text{RF3})_p$ If $x \neq y \in K$, then there exists $u \in \mathcal{F}$ such that $u(x) \neq u(y)$.
- $(RF4)_p$ For any $x, y \in K$,

$$R_{\mathcal{E}}(x,y) \coloneqq R_{(\mathcal{E},\mathcal{F})}(x,y) \coloneqq \sup\left\{\frac{|u(x) - u(y)|^p}{\mathcal{E}(u)} \middle| u \in \mathcal{F} \setminus \mathbb{R}\mathbf{1}_K\right\} < \infty.$$
(3.18)

 $(\text{RF5})_p$ (\mathcal{E}, \mathcal{F}) satisfies $(\text{GC})_p$.

We also need to recall the following standard notions on the metric d and the measure m.

Definition 3.12 Let $Q \in (0, \infty)$.

- (1) The metric *d* is said to be *metric doubling* if and only if for any $\delta \in (0, 1)$ there exists $N \in \mathbb{N}$ such that for any $(x, r) \in K \times (0, \infty)$ we can find $\{x_j\}_{j=1}^N \subseteq K$ so that $B_d(x, r) \subseteq \bigcup_{i=1}^N B_d(x_j, \delta r)$.
- (2) The measure *m* is said to be *volume doubling with growth exponent* Q (with respect to the metric *d*) if and only if there exists $C'_{D} \in (0, \infty)$ such that

$$m(B_d(x,s)) \le C'_{\mathsf{D}} \left(\frac{s}{r}\right)^Q m(B_d(x,r)) < \infty \quad \text{for any } x \in K \text{ and any } 0 < r \le s.$$
(3.19)

Note that *m* is volume doubling with growth exponent Q' for some $Q' \in (0, \infty)$ if and only if *m* is *volume doubling*, i.e., there exists $C_D \in (0, \infty)$ such that

$$m(B_d(x,2s)) \le C_{\mathcal{D}}m(B_d(x,s)) < \infty \quad \text{for any } (x,s) \in K \times (0,\infty).$$
(3.20)

(3) The measure *m* is said to be *Q*-Ahlfors regular (with respect to the metric *d*) if and only if there exists C_{AR} ∈ [1,∞) such that

$$C_{\operatorname{AR}}^{-1} s^{Q} \le m(B_{d}(x,s)) \le C_{\operatorname{AR}} s^{Q} \quad \text{for any } (x,s) \in K \times (0,2\operatorname{diam}(K,d)).$$
(3.21)

The *Q*-Ahlfors regularity of *m* clearly implies that *m* is volume doubling with growth exponent Q, and it is also well known that the volume doubling property of *m* with respect to *d* implies the metric doubling property of *d*.

Now we give a sufficient condition for a *k*-Korevaar–Schoen *p*-energy form $(\mathcal{E}_p^k, \mathcal{B}_{p,\infty}^k)$ on (K, m) to be a *p*-resistance form on *K*.

Proposition 3.13 Suppose that there exist $Q, \beta_p \in (0, \infty)$ with $\beta_p > Q$ such that the following hold:

- (i) The measure m satisfies $m(K) < \infty$ and is volume doubling with growth exponent $Q \in (0, \infty)$.
- (ii) $(WM)_{p,k}$ holds.
- (iii) $\left\{ u \in B_{p,\infty}^{\boldsymbol{k}} \mid \sup_{r>0} J_{p,r}^{\boldsymbol{k}}(u) = 0 \right\} = \mathbb{R} \mathbf{1}_{K}.$
- (iv) $B_{p,\infty}^{k} \subseteq C(K)$, and there exists $C \in (0,\infty)$ such that for any $f \in B_{p,\infty}^{k}$ and any $x, y \in K$,

$$|f(x) - f(y)| \le Cd(x, y)^{(\beta_p - Q)/p} \sup_{r > 0} J_{p,r}^k(f)^{1/p}, \quad x, y \in K.$$
(3.22)

(v) There exists $C \in (0, \infty)$ such that for any $(x, s) \in K \times (0, \infty)$ with $B_d(x, s) \neq K$,

$$\inf\left\{\sup_{r>0} J_{p,r}^{k}(f) \middle| f \in C(K), \operatorname{supp}_{K}[f] \subseteq B_{d}(x, 2s), f \ge 1 \text{ on } B_{d}(x, s)\right\}$$
$$\leq C \frac{m(B_{d}(x, s))}{s^{\beta_{p}}}.$$
(3.23)

Then any k-Korevaar–Schoen p-energy form $(\mathcal{E}_p^k, \mathcal{B}_{p,\infty}^k)$ on (K, m), which exists by (ii) and Theorem 3.8, is a p-resistance form on K. If in addition m is Q-Ahlfors regular, then there exist $\alpha_0, \alpha_1 \in (0, \infty)$ such that for any such $(\mathcal{E}_p^k, \mathcal{B}_{p,\infty}^k)$,

$$\alpha_0 d(x, y)^{\beta_p - Q} \le R_{\mathcal{E}_p^k}(x, y) \le \alpha_1 d(x, y)^{\beta_p - Q} \quad for any \, x, y \in K.$$
(3.24)

Proof. Let $(\mathcal{E}_p^k, \mathcal{B}_{p,\infty}^k)$ be a *k*-Korevaar–Schoen *p*-energy form on (K, m). We shall show that $(\mathcal{E}_p^k, \mathcal{B}_{p,\infty}^k)$ is a *p*-resistance form on *K*. (RF1)_{*p*} and (RF5)_{*p*} are clear from Theorem 3.8 and (iii). The condition (3.23) immediately implies (RF3)_{*p*}. By (3.22) and the lower inequality in (3.8) we have $R_{\mathcal{E}_p^k}(x, y) \leq d(x, y)^{\beta_p - Q}$ for any $x, y \in K$, whence (RF4)_{*p*} and the upper estimate in (3.24) hold. In particular, $\sup_{x,y \in K} R_{\mathcal{E}_p^k}(x, y) < \infty$. To prove (RF2)_{*p*}, we see from (3.22) that for any $f \in \mathcal{B}_{p,\infty}^k$,

$$\begin{split} \int_{K} \left| f(x) - f_{K} f \, dm \right|^{p} \, m(dx) &\leq \int_{K} f_{K} \left| f(x) - f(y) \right|^{p} \, m(dy) m(dx) \\ &\lesssim \left(\sup_{x, y \in K} R_{\mathcal{E}_{p}^{k}}(x, y) \right) \mathcal{E}_{p}^{k}(f) m(K). \end{split}$$
(3.25)

Let $\{f_n\}_{n\in\mathbb{N}} \subseteq B_{p,\infty}^k$ be a Cauchy sequence in $(B_{p,\infty}^k/\mathbb{R}\mathbf{1}_K, \mathcal{E}_p^k(\cdot)^{1/p})$ with $f_K f_n dm = 0$. Then (3.25) implies that $\{f_n\}_{n\in\mathbb{N}}$ is a Cauchy sequence in $L^p(K, m)$, and thus $\{f_n\}_{n\in\mathbb{N}}$ is a Cauchy sequence in $B_{p,\infty}^k$. Since $B_{p,\infty}^k$ is a Banach space by Theorem 3.6, we conclude that $(B_{p,\infty}^k/\mathbb{R}\mathbf{1}_K, \mathcal{E}_p^k(\cdot)^{1/p})$ is also a Banach space.

Next we show the lower estimate in (3.24) under the assumption that *m* is *Q*-Ahlfors regular. Let $x, y \in K$ and let s > 0 satisfy $d(x, y) > 2s \ge 2^{-1}d(x, y)$. Then $B_d(x, s) \neq \emptyset$. By (3.23), there exists $f \in B_{p,\infty}^k \cap C(K)$ such that $\operatorname{supp}_K[f] \subseteq$ Korevaar–Schoen *p*-energy forms and associated *p*-energy measures on fractals

 $B_d(x, s), f \ge 1$ on $B_d(x, 2s)$ and $\mathcal{E}_p^k(f) \le C_1 s^{Q-\beta_p}$, where $C_1 \in (0, \infty)$ depends only on *C* in (3.23) and C_{AR} in (3.21). Hence we have

$$R_{\mathcal{E}_{p}^{k}}(x, y) \ge \mathcal{E}_{p}^{k}(f)^{-1} \ge C_{1}^{-1} s^{\beta_{p}-Q} \ge d(x, y)^{\beta_{p}-Q}.$$

Example 3.14 (Korevaar–Schoen–Sobolev space) In addition to the setting specified at the beginning of this section, we suppose that *K* is connected and that $m(B_d(x, r)) < \infty$ for any $(x, r) \in K \times (0, \infty)$. For s > 0, define $k^s = \{k_r^s\}_{r>0}$ by

$$k_r^s(x,y) \coloneqq \frac{\mathbf{1}_{B_d(x,r)}(y)}{r^{ps}m(B_d(x,r))}, \quad x,y \in K.$$
(3.26)

Clearly, k^s is asymptotically local. We define the *Besov–Lipschitz space* $B^s_{p,\infty}$ by $B^s_{p,\infty} := B^{k^s}_{p,\infty}$. Then the *critical* L^p -*Besov exponent* s_p of (K, d, m) is defined as

$$s_p \coloneqq \sup\{s \in (0,\infty) \mid B_{p,\infty}^s \text{ contains a non-constant function}\}.$$
 (3.27)

We call $\mathrm{KS}^{1,p} \coloneqq B_{p,\infty}^{s_p}$ the (1, p)-Korevaar–Schoen–Sobolev space on (K, d, m). We also write $\mathrm{KS}^{1,p}(K, d, m)$ for $\mathrm{KS}^{1,p}$ when we would like to clarify the underlying metric measure space (K, d, m). If m is Q-Ahlfors regular with respect to d for some $Q \in (0, \infty)$, then $k^{s_p, Q} = \{k_r^{s_p, Q}\}_{r>0}$ given by

$$k_r^{s_p,Q}(x,y) \coloneqq r^{-ps_p-Q} \mathbf{1}_{B_d(x,r)}(y), \quad x, y \in K,$$

which again is obviously asymptotically local, also corresponds to the (1, p)-Korevaar–Schoen–Sobolev space, i.e., $B_{p,\infty}^{k^{s_p},Q} = \mathrm{KS}^{1,p}$. If $(\mathrm{WM})_{p,k^{s_p}}$ holds, then we write $\mathcal{E}_p^{\mathrm{KS}}$ instead of $\mathcal{E}_p^{k^{s_p}}$ and call each k^{s_p} -Korevaar–Schoen *p*-energy form $(\mathcal{E}_p^{\mathrm{KS}}, \mathrm{KS}^{1,p})$ on (K, m) a *Korevaar–Schoen p-energy form* on (K, d, m).

It is not easy in general to verify $(WM)_{p,k}$ and (3.23) for the family of kernels $k = k^{s_p}$; see Sections 5 and 6 for some settings in which we can prove these conditions. On the other hand, a reasonable sufficient condition for (3.22) is known. In fact, if *m* is volume doubling with growth exponent $Q \in (0, \infty)$ and $ps_p > Q$, then (3.22) holds for KS^{1,p}; see, e.g., [3, Theorem 5.1] or [6, Theorem 3.2].

Let us give a couple of other examples of families of kernels k, whose associated Besov spaces $B_{p,\infty}^k$ coincide with KS^{1,p} under suitable assumptions. The first one $k^{\#} = \{k_r^{\#}\}_{r>0}$ is a variant of k^{s_p} obtained by replacing r^{ps_p} in (3.26) for $s = s_p$ with $d(x, y)^{ps_p}$, i.e., defined by

$$k_r^{\#}(x,y) \coloneqq \frac{\mathbf{1}_{B_d(x,r)}(y)}{d(x,y)^{ps_p} m(B_d(x,r))}, \quad x, y \in K,$$
(3.28)

so that $k^{\#}$ is clearly asymptotically local. When *m* is volume doubling and (K, d, m) is equipped with a pair of *p*-energy form and *p*-energy measures satisfying a suitable Poincaré inequality and a capacity upper estimate as in the cases of many examples including the Sierpiński carpet, one can show that $k^{\#}$ satisfies $B_{p,\infty}^{k^{\#}} = \text{KS}^{1,p}$ and

 $(WM)_{p,k^{\#}}$; see [44, Corollary 1.14] for details. As Proposition A.1 in Appendix A, we give an alternative elementary proof that a Poincaré-type inequality as given in (A.1) implies $B_{p,\infty}^{k^{\#}} = KS^{1,p}$ and $(WM)_{p,k^{\#}}$. The second family of kernels k^{heat} is a mollification of k^{s_p} obtained by replacing

The second family of kernels \mathbf{k}^{heat} is a mollification of \mathbf{k}^{s_p} obtained by replacing $m(B_d(x,r))^{-1}\mathbf{1}_{B_d(x,r)}(y)$ in (3.26) for $s = s_p$ with the heat kernel of a diffusion on K. Namely, assuming that (K, d) is locally compact, that m is a Radon measure on K, and that (K, d, m) is equipped with a strongly local regular symmetric Dirichlet form $(\mathcal{E}, \mathcal{F})$ on $L^2(K, m)$ which has a heat kernel $\{q_t\}_{t>0}^2$, we define $\mathbf{k}^{\text{heat}} = \{k_r^{\text{heat}}\}_{r>0}$ by

$$k_r^{\text{heat}}(x, y) \coloneqq \frac{q_{r^\beta}(x, y)}{r^{ps_p}}, \quad x, y \in K,$$
(3.29)

where $\beta \in (1, \infty)$ is a parameter to be suitably chosen depending on $(K, d, m, \mathcal{E}, \mathcal{F})$. This family of kernels has been considered in [3, 5, 6, 16, 40] under the assumptions that (K, d) is complete and that the following *(full off-diagonal) sub-Gaussian heat kernel estimates with walk dimension* β hold: there exist $C_1, c_1, C_2, c_2 \in (0, \infty)$ such that for each $t \in (0, \infty)$,

$$\frac{C_1}{m(B(x,t^{1/\beta}))} \exp\left(-c_1\left(\frac{d(x,y)^{\beta}}{t}\right)^{\frac{1}{\beta-1}}\right) \le q_t(x,y)$$
$$\le \frac{C_2}{m(B(x,t^{1/\beta}))} \exp\left(-c_2\left(\frac{d(x,y)^{\beta}}{t}\right)^{\frac{1}{\beta-1}}\right) \quad \text{for } m\text{-a.e. } x, y \in K; (3.30)$$

note that (3.30) implies that *m* is volume doubling (see, e.g., [25, Remark 1.2-(1)]). In particular, under these assumptions, it has been proved in [16] that $B_{p,\infty}^{k^{heat}} = KS^{1,p}$ ([16, Lemmas 3.3 and 3.4]) and that $(WM)_{p,k^{s_p}}$ and $(WM)_{p,k^{heat}}$ are equivalent to each other ([16, Theorem 1.7]). It is also easy to see that, if *m* is volume doubling, the upper inequality in (3.30) holds and $m(K) < \infty$, then k^{heat} is asymptotically local. On the other hand, even if (3.30) holds, k^{heat} is not necessarily asymptotically local when $m(K) = \infty$, as can be seen from the case of the canonical Dirichlet form on \mathbb{R}^n , (1.4) for p = 2 with domain $W^{1,2}(\mathbb{R}^n)$, where $s_p = 1$ as mentioned in the introduction, $\beta = 2$ and $q_t(x, y) = (4\pi t)^{-n/2} e^{-|x-y|^2/(4t)}$ for any $(t, x, y) \in (0, \infty) \times \mathbb{R}^n \times \mathbb{R}^n$.

4 Associated *p*-energy measures and chain rule

Next in this section, we introduce the *p*-energy measures associated with a given k-Korevaar–Schoen *p*-energy form $(\mathcal{E}_p^k, \mathcal{B}_{p,\infty}^k)$, and show their basic properties.

² I.e., a family $\{q_t\}_{t>0}$ of $[0, \infty]$ -valued Borel measurable functions on $K \times K$ such that $T_t f = \int_K q_t(\cdot, y) f(y) m(dy)$ *m*-a.e. on *K* for any $t \in (0, \infty)$ and any $f \in L^2(K, m)$, where $\{T_t\}_{t>0}$ denotes the Markovian semigroup on $L^2(K, m)$ associated with $(\mathcal{E}, \mathcal{F})$; see [14, Sections 1.1, 1.3 and 1.4] for the definitions of the relevant notions from the theory of symmetric Dirichlet forms.

Korevaar-Schoen p-energy forms and associated p-energy measures on fractals

Throughout this section, as in the previous section, we fix $p \in (1, \infty)$, a separable metric space (K, d) with $\#K \ge 2$ and a σ -finite Borel measure m on K with full topological support. In addition, we suppose that (K, d) is locally compact. We also fix a family of kernels $\mathbf{k} = \{k_r\}_{r>0}$ as in Definition 3.1, suppose that \mathbf{k} is asymptotically local and that $(WM)_{p,\mathbf{k}}$ holds, and fix an arbitrary sequence $\{r_n\}_{n\in\mathbb{N}} \subseteq (0,\infty)$ as in Theorem 3.8, so that we have the \mathbf{k} -Korevaar–Schoen penergy form $(\mathcal{E}_p^{\mathbf{k}}, \mathcal{B}_{p,\infty}^{\mathbf{k}})$ on (K, m) along $\{r_n\}_{n\in\mathbb{N}}$ defined by (3.7). For ease of notation, we set

$$m_n(dxdy) \coloneqq k_{r_n}(x, y) m(dy)m(dx).$$

For each $u \in B_{p,\infty}^k \cap L^{\infty}(K,m)$, define a linear map $\Psi_p^k(u; \cdot) \colon B_{p,\infty}^k \cap L^{\infty}(K,m) \to \mathbb{R}$ by, for each $\varphi \in B_{p,\infty}^k \cap L^{\infty}(K,m)$,

$$\Psi_p^{\boldsymbol{k}}(u;\varphi) \coloneqq \mathcal{E}_p^{\boldsymbol{k}}(u;u\varphi) - \left(\frac{p-1}{p}\right)^{p-1} \mathcal{E}_p^{\boldsymbol{k}}(|u|^{\frac{p}{p-1}};\varphi).$$
(4.1)

(Note that $u\varphi$, $|u|^{\frac{p}{p-1}} \in B_{p,\infty}^k$ by Theorem 3.8-(b) and Proposition 2.3-(4),(2).)

Theorem 4.1 Let $u \in B_{p,\infty}^k \cap C_b(K)$ and $\varphi \in B_{p,\infty}^k \cap L^{\infty}(K,m)$. If $\{u,\varphi\} \cap C_c(K) \neq \emptyset$, then

$$\Psi_{p}^{\boldsymbol{k}}(\boldsymbol{u};\varphi) = \lim_{n \to \infty} \int_{K} \int_{K} |\boldsymbol{u}(\boldsymbol{x}) - \boldsymbol{u}(\boldsymbol{y})|^{p} \varphi(\boldsymbol{x}) k_{r_{n}}(\boldsymbol{x},\boldsymbol{y}) m(d\boldsymbol{y}) m(d\boldsymbol{x})$$

$$= \lim_{n \to \infty} \int_{K} \int_{K} |\boldsymbol{u}(\boldsymbol{x}) - \boldsymbol{u}(\boldsymbol{y})|^{p} \varphi(\boldsymbol{y}) k_{r_{n}}(\boldsymbol{x},\boldsymbol{y}) m(d\boldsymbol{y}) m(d\boldsymbol{x}),$$

$$|\Psi_{p}^{\boldsymbol{k}}(\boldsymbol{u};\varphi)| \leq ||\varphi||_{L^{\infty}(K,m)} \mathcal{E}_{p}^{\boldsymbol{k}}(\boldsymbol{u}).$$

$$(4.2)$$

In particular, if in addition $\varphi \ge 0$, then $\Psi_p^k(u; \varphi) \ge 0$.

Proof. First, we observe that

$$\begin{split} \Psi_{p,n}^{k}(u;\varphi) &\coloneqq J_{p,r_{n}}^{k}(u;u\varphi) - \left(\frac{p-1}{p}\right)^{p-1} J_{p,r_{n}}^{k}(|u|^{\frac{p}{p-1}};\varphi) \\ &= \int_{K\times K} \left[|u(x) - u(y)|^{p} \,\varphi(x) + \gamma_{p} (u(x) - u(y)) \cdot (\varphi(x) - \varphi(y)) u(y) \right. \\ &\left. - \left(\frac{p-1}{p}\right)^{p-1} \gamma_{p} \left(|u(x)|^{\frac{p}{p-1}} - |u(y)|^{\frac{p}{p-1}} \right) \cdot (\varphi(x) - \varphi(y)) \right] m_{n}(dxdy). \end{split}$$
(4.4)

Define $F_n \in \mathcal{B}(K \times K)$ by

$$F_n := \{(x, y) \in K \times K \mid d(x, y) < \delta(r_n) \text{ and } (\varphi(x), \varphi(y)) \neq (0, 0)\},\$$

and set

$$I_{p,n}^{\boldsymbol{k}}(\boldsymbol{u};\varphi)$$

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$$\coloneqq \int_{F_n} \left[|u(x) - u(y)|^p \varphi(x) + \gamma_p (u(x) - u(y)) \cdot (\varphi(x) - \varphi(y)) u(y) - \left(\frac{p-1}{p}\right)^{p-1} \gamma_p \left(|u(x)|^{\frac{p}{p-1}} - |u(y)|^{\frac{p}{p-1}} \right) \cdot (\varphi(x) - \varphi(y)) \right] m_n(dxdy)$$

Note that $\lim_{n\to\infty} (\Psi_{p,n}^k(u;\varphi) - I_{p,n}^k(u;\varphi)) = 0$ by (3.6) and $||u||_{\sup} \vee ||\varphi||_{L^{\infty}(K,m)} < \infty$. Since $\overline{F_n}^{K\times K}$ is compact for sufficiently large $n \in \mathbb{N}$ when $\varphi \in B_{p,\infty}^k \cap C_c(K)$, u is uniformly continuous on $\{x \in K \mid (x, y) \in F_n \text{ or } (y, x) \in F_n \text{ for some } y \in K\}$ for such n. By combining this observation with the uniform continuity of $t \mapsto |t|^{1/(p-1)} \operatorname{sgn}(t)$ on u(K), for any $\varepsilon > 0$, we can find $N \in \mathbb{N}$ such that

$$\left| \frac{p-1}{p} \left(|u(x)|^{\frac{p}{p-1}} - |u(y)|^{\frac{p}{p-1}} \right) - \left(u(x) - u(y) \right) |u(y)|^{\frac{1}{p-1}} \operatorname{sgn}(u(y)) \right|$$
$$= \left| \int_{u(y)}^{u(x)} \left[|t|^{\frac{1}{p-1}} \operatorname{sgn}(t) - |u(y)|^{\frac{1}{p-1}} \operatorname{sgn}(u(y)) \right] dt \right| \le \varepsilon |u(x) - u(y)|$$
(4.5)

for any $(x, y) \in \bigcup_{n \ge N} F_n$. Using Lemma 3.7, (4.5) and Hölder's inequality, we can find $C_{p,u} \in (0, \infty)$ depending only on p and $||u||_{sup}$ such that

$$\begin{split} \sup_{n\geq N} \left| \int_{F_n} \left[\gamma_p \left(u(x) - u(y) \right) \cdot (\varphi(x) - \varphi(y)) u(y) \right. \\ \left. - \left(\frac{p-1}{p} \right)^{p-1} \gamma_p \left(|u(x)|^{\frac{p}{p-1}} - |u(y)|^{\frac{p}{p-1}} \right) \cdot (\varphi(x) - \varphi(y)) \right] m_n(dxdy) \right| \\ \leq C_{p,u} \varepsilon^{(p-1)\wedge 1} \mathcal{E}_p^{\boldsymbol{k}}(u)^{\frac{(p-1)\wedge 1}{p}} \mathcal{E}_p^{\boldsymbol{k}}(\varphi)^{\frac{1}{p}} =: C_{p,u,\varphi} \varepsilon^{(p-1)\wedge 1}. \end{split}$$

Therefore, (4.4) implies that for any $n \ge N$,

$$\begin{aligned} \left| \Psi_{p,n}^{k}(u;\varphi) - \int_{K \times K} |u(x) - u(y)|^{p} \varphi(x) m_{n}(dxdy) \right| \\ \leq \left| \Psi_{p,n}^{k}(u;\varphi) - I_{p,n}^{k}(u;\varphi) \right| + \int_{F_{n}^{c}} |u(x) - u(y)|^{p} \varphi(x) m_{n}(dxdy) + C_{p,u,\varphi} \varepsilon^{(p-1)\wedge 1}, \end{aligned}$$

which together with $\lim_{n\to\infty} \Psi_{p,n}^k(u;\varphi) = \Psi_p^k(u;\varphi)$ and (3.6) yields the first equality in (4.2). The second equality in (4.2) can be shown similarly by using the expression

$$\Psi_{p,n}^{\boldsymbol{k}}(u;\varphi) = \int_{K\times K} \left[|u(x) - u(y)|^{p} \varphi(y) + \gamma_{p} \left(u(x) - u(y) \right) \cdot (\varphi(x) - \varphi(y)) u(x) - \left(\frac{p-1}{p}\right)^{p-1} \gamma_{p} \left(|u(x)|^{\frac{p}{p-1}} - |u(y)|^{\frac{p}{p-1}} \right) \cdot (\varphi(x) - \varphi(y)) \right] m_{n}(dxdy)$$

instead of (4.4). Now the estimate (4.3) is clear from (4.2).

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Korevaar-Schoen p-energy forms and associated p-energy measures on fractals

By Theorem 4.1, we can associate to the functional $\Psi_p^k(u; \cdot)$ a unique Radon measure $\Gamma_p^k \langle u \rangle$ on *K* under the additional assumption that $B_{p,\infty}^k \cap C_c(K)$ is dense in $(C_c(K), \|\cdot\|_{\sup})$, as follows.

Theorem 4.2 Suppose that $B_{p,\infty}^{k} \cap C_{c}(K)$ is dense in $(C_{c}(K), \|\cdot\|_{sup})$. Let $u \in B_{p,\infty}^{k} \cap C_{b}(K)$. Then there exists a unique positive Radon measure $\Gamma_{p}^{k}\langle u \rangle$ on K such that for any $\varphi \in B_{p,\infty}^{k} \cap C_{c}(K)$,

$$\int_{K} \varphi \, d\Gamma_{p}^{k} \langle u \rangle = \mathcal{E}_{p}^{k}(u; u\varphi) - \left(\frac{p-1}{p}\right)^{p-1} \mathcal{E}_{p}^{k}\left(|u|^{\frac{p}{p-1}}; \varphi\right). \tag{4.6}$$

Moreover, $\Gamma_p^k \langle u \rangle(K) \leq \mathcal{E}_p^k(u) < \infty$, and for any $\varphi \in C_0(K)$,

$$\int_{K} \varphi \, d\Gamma_{p}^{k} \langle u \rangle = \lim_{n \to \infty} \int_{K} \int_{K} |u(x) - u(y)|^{p} \, \varphi(x) k_{r_{n}}(x, y) \, m(dy) m(dx). \tag{4.7}$$

Definition 4.3 (*p*-Energy measure associated with a *k*-Korevaar–Schoen *p*energy form $(\mathcal{E}_p^k, \mathcal{B}_{p,\infty}^k)$) Suppose that $\mathcal{B}_{p,\infty}^k \cap C_c(K)$ is dense in $(C_c(K), \|\cdot\|_{\sup})$, and let $u \in \mathcal{B}_{p,\infty}^k \cap C_b(K)$. The positive Radon measure $\Gamma_p^k \langle u \rangle$ on *K* as in Theorem 4.2 is called the *p*-energy measure of *u* associated with $(\mathcal{E}_p^k, \mathcal{B}_{p,\infty}^k)$.

Proof of Theorem 4.2. By virtue of (4.3), we can extend $\Psi_p^k(u; \cdot)$ to a bounded linear functional on $C_0(K)$ in a standard way as follows. Let $u \in B_{p,\infty}^k \cap C_b(K)$, let $\varphi \in C_0(K)$ and choose $\{\varphi_j\}_{j \in \mathbb{N}} \subseteq B_{p,\infty}^k \cap C_c(K)$ so that $\lim_{j\to\infty} \|\varphi - \varphi_j\|_{\sup} = 0$. Then $\{\Psi_p^k(u;\varphi_j)\}_{j\in\mathbb{N}}$ is a Cauchy sequence in \mathbb{R} since $|\Psi_p^k(u;\varphi_j) - \Psi_p^k(u;\varphi_{j'})| \le \|\varphi_j - \varphi_{j'}\|_{\sup} \mathcal{E}_p^k(u)$ for any $j, j' \in \mathbb{N}$ by (4.3). Now we define $\widetilde{\Psi}_p^k(u;\varphi) := \lim_{j\to\infty} \Psi_p^k(u;\varphi_j)$, which does not depend on the choice of $\{\varphi_j\}_{j\in\mathbb{N}}$. Clearly, we have $\left|\widetilde{\Psi}_p^k(u;\varphi)\right| \le \|\varphi\|_{\sup} \mathcal{E}_p^k(u)$. If $\varphi \ge 0$, then we obtain $\widetilde{\Psi}_p^k(u;\varphi) \ge 0$ by considering $\{\varphi_j^+\}_j$ instead of $\{\varphi_j\}_j$. By applying the Riesz–Markov–Kakutani representation theorem (see, e.g., [41, Theorems 2.14 and 2.18]), there exists a unique positive Radon measure $\Gamma_p^k(u)$ on K satisfying

$$\widetilde{\Psi}_{p}^{\boldsymbol{k}}(u;\psi) = \int_{K} \psi \, d\Gamma_{p}^{\boldsymbol{k}}\langle u \rangle \quad \text{for any } \psi \in C_{c}(K).$$
(4.8)

In particular, $\Gamma_p^k \langle u \rangle$ satisfies (4.6) for any $\varphi \in B_{p,\infty}^k \cap C_c(K)$ by (4.8) and (4.1).

Next, to show the claimed uniqueness of $\Gamma_p^k \langle u \rangle$ and $\Gamma_p^k \langle u \rangle (K) \leq \mathcal{E}_p^k(u)$, let μ be a positive Radon measure on K satisfying (4.6) with μ in place of $\Gamma_p^k \langle u \rangle$ for any $\varphi \in B_{p,\infty}^k \cap C_c(K)$. Then for any compact subset F of K, noting (3.1) and the assumption that $B_{p,\infty}^k \cap C_c(K)$ is dense in $(C_c(K), \|\cdot\|_{sup})$, we can choose $\varphi \in B_{p,\infty}^k \cap C_c(K)$ so that $\mathbf{1}_F \leq \varphi \leq \mathbf{1}_K$ on K, hence $\mu(F) \leq \int_K \varphi \, d\mu = \Psi_p^k(u; \varphi) \leq \mathcal{E}_p^k(u)$ by (4.3) and thus $\mu(K) \leq \mathcal{E}_p^k(u) < \infty$. In particular, $C_0(K) \ni \psi \mapsto \int_K \psi \, d\mu$ is a bounded linear functional on $C_0(K)$ which coincides with $\Psi_p^k(u; \cdot)$ on $B_{p,\infty}^k \cap C_c(K)$ and thus with

 $\overline{\Psi}_{p}^{k}(u; \cdot)$ on $C_{0}(K)$, and therefore $\mu = \Gamma_{p}^{k} \langle u \rangle$ by the uniqueness of a positive Radon measure on K satisfying (4.8).

Lastly, we shall prove (4.7). Note that (4.7) is true for $\varphi \in B_{p,\infty}^{k} \cap C_{c}(K)$ by (4.2) in Theorem 4.1. As in the first paragraph of this proof, let $\varphi \in C_{0}(K)$ and choose $\{\varphi_{j}\}_{j \in \mathbb{N}} \subseteq B_{p,\infty}^{k} \cap C_{c}(K)$ so that $\lim_{j\to\infty} \|\varphi - \varphi_{j}\|_{\sup} = 0$. Let $\varepsilon > 0$ and choose $N \in \mathbb{N}$ so that $\|\varphi - \varphi_{j}\|_{\sup} \mathcal{E}_{p}^{k}(u) < \varepsilon$ for any $j \geq N$. Then, for any $n \in \mathbb{N}$ and any $j \geq N$,

$$\begin{split} & \left| \int_{K} \varphi \, d\Gamma_{p}^{k} \langle u \rangle - \int_{K \times K} |u(x) - u(y)|^{p} \, \varphi(x) \, m_{n}(dxdy) \right| \\ & \leq \left| \widetilde{\Psi}_{p}^{k}(u;\varphi) - \widetilde{\Psi}_{p}^{k}(u;\varphi_{j}) \right| + \left| \widetilde{\Psi}_{p}^{k}(u;\varphi_{j}) - \Psi_{p,n}^{k}(u;\varphi_{j}) \right| \\ & + \left\| \varphi - \varphi_{j} \right\|_{\sup} \int_{K \times K} |u(x) - u(y)|^{p} \, m_{n}(dxdy) \\ & \leq 2\varepsilon + \left| \Psi_{p}^{k}(u;\varphi_{j}) - \Psi_{p,n}^{k}(u;\varphi_{j}) \right|, \end{split}$$

where $\Psi_{p,n}^{k}(u; \cdot)$ is the same as in (4.4). Hence we have

$$\limsup_{n\to\infty}\left|\int_{K}\varphi\,d\Gamma_{p}^{k}\langle u\rangle-\int_{K\times K}|u(x)-u(y)|^{p}\,\varphi(x)\,m_{n}(dxdy)\right|\leq 2\varepsilon,$$

which proves (4.7).

In the rest of this section, we always suppose in addition that $B_{p,\infty}^k \cap C_c(K)$ is dense in $(C_c(K), \|\cdot\|_{\sup})$.

Note that both the boundedness and the continuity of u are essential in Theorem 4.2; the former is required for the right-hand side of (4.6) to make sense, and the latter has been used heavily in the proof of Theorem 4.1 above. Next we would like to extend $\Gamma_n^k \langle u \rangle$ to a wider range of u. Let us use the following notation for simplicity.

Definition 4.4 We define closed linear subspaces $\mathcal{D}_{p,\infty}^{k,b}$ and $\mathcal{D}_{p,\infty}^{k,c}$ of $B_{p,\infty}^{k}$ by

$$\mathcal{D}_{p,\infty}^{\boldsymbol{k},b} \coloneqq \overline{B_{p,\infty}^{\boldsymbol{k}} \cap C_b(K)}^{B_{p,\infty}^{\boldsymbol{k}}} \quad \text{and} \quad \mathcal{D}_{p,\infty}^{\boldsymbol{k},c} \coloneqq \overline{B_{p,\infty}^{\boldsymbol{k}} \cap C_c(K)}^{B_{p,\infty}^{\boldsymbol{k}}}.$$
(4.9)

By virtue of the expression (4.2), we can show the generalized *p*-contraction property (GC)_p for $(\int_{K} \varphi \, d\Gamma_{p}^{k} \langle \cdot \rangle, B_{p,\infty}^{k} \cap C_{b}(K))$ for any $\varphi \in C_{c}(K)$ with $\varphi \geq 0$, which further allows us to extend $\Gamma_{p}^{k} \langle u \rangle$ canonically to $u \in \mathcal{D}_{p,\infty}^{k,b}$.

Theorem 4.5 For any $u \in \mathcal{D}_{p,\infty}^{k,b}$, there exists a unique positive Radon measure $\Gamma_p^k \langle u \rangle$ on K such that for any $\{u_n\}_{n \in \mathbb{N}} \subseteq B_{p,\infty}^k \cap C_b(K)$ with $\lim_{n \to \infty} \mathcal{E}_p^k(u-u_n) = 0$ and any Borel measurable function $\varphi \colon K \to [0,\infty)$ with $\|\varphi\|_{\sup} < \infty$,

$$\int_{K} \varphi \, d\Gamma_{p}^{k} \langle u \rangle = \lim_{n \to \infty} \int_{K} \varphi \, d\Gamma_{p}^{k} \langle u_{n} \rangle, \tag{4.10}$$

and $\Gamma_p^{\mathbf{k}}\langle u \rangle$ further satisfies $\Gamma_p^{\mathbf{k}}\langle u \rangle(K) \leq \mathcal{E}_p^{\mathbf{k}}(u)$. Moreover, for each such φ , $(\int_K \varphi \, d\Gamma_p^{\mathbf{k}}\langle \cdot \rangle, \mathcal{D}_{p,\infty}^{\mathbf{k},b})$ is a *p*-energy form on (K,m) satisfying $(\mathrm{GC})_p$.

Proof. First, for any $\varphi \in C_c(K)$ with $\varphi \ge 0$, we will show that $(\int_K \varphi \, d\Gamma_p^k \langle \cdot \rangle, B_{p,\infty}^k \cap C_b(K))$ satisfies $(GC)_p$. Throughout this proof, we fix $n_1, n_2 \in \mathbb{N}$, $q_1 \in (0, p]$, $q_2 \in [p, \infty]$ and $T = (T_1, \ldots, T_{n_2}) : \mathbb{R}^{n_1} \to \mathbb{R}^{n_2}$ satisfying (2.1). Let us consider the case $q_2 < \infty$ since the proof for the case $q_2 = \infty$ is similar. Let $u = (u_1, \ldots, u_{n_1}) \in (B_{p,\infty}^k \cap C_b(K))^{n_1}$. Note that $T_l(u) \in B_{p,\infty}^k \cap C_b(K)$ for each $l \in \{1, \ldots, n_2\}$. For any $n \in \mathbb{N}$, we see that

$$\begin{split} &\sum_{l=1}^{n_2} \left(\int_{K \times K} |T_l(\boldsymbol{u}(x)) - T_l(\boldsymbol{u}(y))|^p \,\varphi(x) \, m_n(dxdy) \right)^{q_2/p} \\ &\stackrel{(3.2)}{\leq} \left(\int_{K \times K} \left[\sum_{l=1}^{n_2} |T_l(\boldsymbol{u}(x)) - T_l(\boldsymbol{u}(y))|^{q_2} \right]^{p/q_2} \varphi(x) \, m_n(dxdy) \right)^{q_2/p} \\ &\stackrel{(2.1)}{\leq} \left(\int_{K \times K} \left[\sum_{k=1}^{n_1} |u_k(x) - u_k(y)|^{q_1} \right]^{p/q_1} \varphi(x) \, m_n(dxdy) \right)^{q_2/p} \\ &\stackrel{(*)}{\leq} \left(\sum_{k=1}^{n_1} \left(\int_{K \times K} |u_k(x) - u_k(y)|^p \, \varphi(x) \, m_n(dxdy) \right)^{q_1/p} \right)^{q_2/q_1}, \end{split}$$

where we used the triangle inequality for the norm of $L^{p/q_1}(K \times K, m_n)$ in (*). By letting $n \to \infty$, we obtain from (4.7) that

$$\left\| \left(\left(\int_{K} \varphi \, d\Gamma_{p}^{\boldsymbol{k}} \langle T_{l}(\boldsymbol{u}) \rangle \right)^{1/p} \right)_{l=1}^{n_{2}} \right\|_{\ell^{q_{2}}} \leq \left\| \left(\left(\int_{K} \varphi \, d\Gamma_{p}^{\boldsymbol{k}} \langle u_{k} \rangle \right)^{1/p} \right)_{k=1}^{n_{1}} \right\|_{\ell^{q_{1}}}.$$
 (4.11)

Next we will extend (4.11) to any Borel measurable function $\varphi \colon K \to [0, \infty]$. Let us start with the case $\varphi = \mathbf{1}_A$, where $A \in \mathcal{B}(K)$. By [41, Theorem 2.18], there exist sequences $\{K_n\}_{n \in \mathbb{N}}$ and $\{U_n\}_{n \in \mathbb{N}}$ such that $K_n \subseteq A \subseteq U_n$, K_n is compact, U_n is open and $\lim_{n\to\infty} \max_{v \in \{T_l(u)\}_l \cup \{u_k\}_k} \Gamma_p^k(v)(U_n \setminus K_n) = 0$. By Urysohn's lemma, we can pick $\varphi_n \in C_c(K)$ so that $0 \le \varphi_n \le 1$, $\varphi_n|_{K_n} = 1$ and $\sup_K [\varphi_n] \subseteq U_n$. Applying (4.11) for φ_n , we obtain

$$\left\|\left(\Gamma_p^{\boldsymbol{k}}\langle T_l(\boldsymbol{u})\rangle(K_n)^{1/p}\right)_{l=1}^{n_2}\right\|_{\ell^{q_2}} \leq \left\|\left(\Gamma_p^{\boldsymbol{k}}\langle u_k\rangle(U_n)^{1/p}\right)_{k=1}^{n_1}\right\|_{\ell^{q_1}}$$

By letting $n \to \infty$, we get (4.11) with $\varphi = \mathbf{1}_A$. Using the reverse Minkowski inequality on $\ell^{q_1/p}$ and the Minkowski inequality on $\ell^{q_2/p}$ (see also [27, Proof of Proposition 2.9-(a)], where $(GC)_p$ is shown to be stable under addition), we see that (4.11) holds also for any non-negative Borel measurable simple function φ on K. We get the desired extension, (4.11) for any Borel measurable function $\varphi : K \to [0, \infty]$, by the monotone convergence theorem.

Now let us extend *p*-energy measures. In the rest of this proof, let $\varphi: K \to [0, \infty)$ be a Borel measurable function such that $\|\varphi\|_{\sup} < \infty$. Let $u \in \mathcal{D}_{p,\infty}^{k,b}$ and $\{u_n\}_{n \in \mathbb{N}} \subseteq B_{p,\infty}^k \cap C_b(K)$ satisfy $\lim_{n\to\infty} \mathcal{E}_p^k(u-u_n) = 0$. By Proposition 2.3-(1) for $(\int_K \varphi \, d\Gamma_p^k \langle \cdot \rangle, B_{p,\infty}^k \cap C_b(K))$, for any $n, n' \in \mathbb{N}$,

$$\left| \left(\int_{K} \varphi \, d\Gamma_{p}^{\boldsymbol{k}} \langle u_{n} \rangle \right)^{1/p} - \left(\int_{K} \varphi \, d\Gamma_{p}^{\boldsymbol{k}} \langle u_{n'} \rangle \right)^{1/p} \right| \leq \|\varphi\|_{\sup}^{1/p} \, \mathcal{E}_{p}^{\boldsymbol{k}} (u_{n} - u_{n'})^{1/p},$$

which implies that the limit $\lim_{n\to\infty} \int_K \varphi \, d\Gamma_p^k \langle u_n \rangle =: I_u(\varphi)$ exists in \mathbb{R} and it is independent of the choice of $\{u_n\}_n$. In addition, by letting $n' \to \infty$ in the estimate above, we have that

$$\left| \left(\int_{K} \varphi \, d\Gamma_{p}^{\boldsymbol{k}} \langle u_{n} \rangle \right)^{1/p} - I_{u}(\varphi)^{1/p} \right| \leq \|\varphi\|_{\sup}^{1/p} \mathcal{E}_{p}^{\boldsymbol{k}} (u_{n} - u)^{1/p}.$$
(4.12)

Also, it is clear that $0 \leq I_u(\varphi) \leq \|\varphi\|_{\sup} \mathcal{E}_p^k(u)$ and that I_n is linear in the sense that $I_u(\sum_{k=1}^N a_k \varphi_k) = \sum_{k=1}^N a_k I_u(\varphi_k)$ for any $N \in \mathbb{N}$, $(a_k)_{k=1}^N \subseteq [0, \infty)$ and Borel measurable functions $\varphi_k \colon K \to [0, \infty)$ with $\|\varphi_k\|_{\sup} < \infty$, $k \in \{1, \ldots, N\}$. Now we define $\Gamma_p^k \langle u \rangle \langle A \rangle \coloneqq I_u(\mathbf{1}_A) \in [0, \infty)$ for $A \in \mathcal{B}(K)$, and show that $\Gamma_p^k \langle u \rangle$ is a finite Borel measure on K. Clearly, $\Gamma_p^k \langle u \rangle$ is finitely additive and $\Gamma_p^k \langle u \rangle \langle K \rangle \leq \mathcal{E}_p^k(u) < \infty$. Hence it suffices to prove the countable additivity of $\Gamma_p^k \langle u \rangle$. By (4.12), for any $\varepsilon > 0$ there exists $N_0 \in \mathbb{N}$ such that $\sup_{A \in \mathcal{B}(K)} |\Gamma_p^k \langle u \rangle \langle A \rangle^{1/p} - \Gamma_p^k \langle u_n \rangle \langle A \rangle^{1/p}| < \varepsilon$ for any $n \geq N_0$. Let $\{A_k\}_{k \in \mathbb{N}} \subseteq \mathcal{B}(K)$ be a sequence of disjoint Borel sets, and set $B_N \coloneqq \bigcup_{k=N+1}^{\infty} A_k$ for each $N \in \mathbb{N}$. Then we see that for any $N \in \mathbb{N}$ and any $n \geq N_0$,

$$\left|\Gamma_p^{\boldsymbol{k}}\langle u\rangle\left(\bigcup_{k\in\mathbb{N}}A_k\right)-\sum_{k=1}^N\Gamma_p^{\boldsymbol{k}}\langle u\rangle(A_k)\right|^{1/p}=\Gamma_p^{\boldsymbol{k}}\langle u\rangle(B_N)^{1/p}\leq\varepsilon+\Gamma_p^{\boldsymbol{k}}\langle u_n\rangle(B_N)^{1/p},$$

whence $\lim_{N\to\infty} |\Gamma_p^k \langle u \rangle (\bigcup_{k\in\mathbb{N}} A_k) - \sum_{k=1}^N \Gamma_p^k \langle u \rangle (A_k)| = 0$, proving the desired countable additivity.

Before showing (4.10), i.e., $I_u(\varphi) = \int_K \varphi \, d\Gamma_p^k \langle u \rangle$, we will extend (4.11) to the pair $(\int_K \varphi \, d\Gamma_p^k \langle \cdot \rangle, \mathcal{D}_{p,\infty}^{k,b})$. To this end, we need to show that for any $\{u_n\}_{n \in \mathbb{N}} \subseteq B_{p,\infty}^k \cap C_b(K)$ converging weakly in $B_{p,\infty}^k$ to $u \in \mathcal{D}_{p,\infty}^{k,b}$ as $n \to \infty$,

$$\int_{K} \varphi \, d\Gamma_{p}^{k} \langle u \rangle \leq \liminf_{n \to \infty} \int_{K} \varphi \, d\Gamma_{p}^{k} \langle u_{n} \rangle. \tag{4.13}$$

By extracting a subsequence of $\{u_n\}_n$ if necessary, we can assume that the limit $\lim_{n\to\infty} \int_K \varphi \, d\Gamma_p^k \langle u_n \rangle$ exists. By Mazur's lemma (see, e.g., [22, p. 19]), there exist $N(n) \in \mathbb{N}$ and $\{\alpha_{n,k}\}_{k=n}^{N(n)} \subseteq [0, 1]$ with N(n) > n and $\sum_{k=n}^{N(n)} \alpha_{n,k} = 1$ for each $n \in \mathbb{N}$ such that $v_n := \sum_{k=n}^{N(n)} \alpha_{n,k} u_k$ converges to u in $B_{p,\infty}^k$ as $n \to \infty$. We see from (GC)_p and Proposition 2.3-(1) for $(\int_K \varphi \, d\Gamma_p^k \langle \cdot \rangle, B_{p,\infty}^k \cap C_b(K))$ that

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$$\left(\int_{K} \varphi \, d\Gamma_{p}^{\boldsymbol{k}} \langle v_{n} \rangle \right)^{1/p} \leq \sum_{k=n}^{N(n)} \alpha_{n,k} \left(\int_{K} \varphi \, d\Gamma_{p}^{\boldsymbol{k}} \langle u_{k} \rangle \right)^{1/p},$$

which implies (4.13) by letting $n \to \infty$. With this preparation, let us show that the pair $(\int_{K} \varphi d\Gamma_{p}^{k} \langle \cdot \rangle, \mathcal{D}_{p,\infty}^{k,b})$ satisfies $(GC)_{p}$. Let $u = (u_{1}, \ldots, u_{n_{1}}) \in$ $(\mathcal{D}_{p,\infty}^{k,b})^{n_{1}}$. For each $k \in \{1, \ldots, n_{1}\}$, fix $\{u_{k,n}\}_{n \in \mathbb{N}} \subseteq B_{p,\infty}^{k} \cap C_{b}(K)$ so that $\lim_{n\to\infty} ||u_{k} - u_{k,n}||_{B_{p,\infty}^{k}} = 0$. Set $u_{n} := (u_{1,n}, \ldots, u_{n_{1},n})$. By $(GC)_{p}$ for $(\mathcal{E}_{p}^{k}, \mathcal{B}_{p,\infty}^{k})$ (see Theorem 3.8-(b)) and (2.1), we know that $\{T_{l}(u_{n})\}_{n}$ is bounded in $B_{p,\infty}^{k}$ and that $\lim_{n\to\infty} ||T_{l}(u_{n}) - T_{l}(u)||_{L^{p}} = 0$. Since $B_{p,\infty}^{k}$ is reflexive (see Theorem 3.6) and $B_{p,\infty}^{k}$ is continuously embedded in $L^{p}(K, m)$, we see that $T_{l}(u) \in \mathcal{D}_{p,\infty}^{k,b}$ and that there exists a subsequence $\{T_{l}(u_{n_{j}})\}_{j}$ such that $T_{l}(u_{n_{j}})$ weakly converges to $T_{l}(u)$ in $B_{p,\infty}^{k}$ as $j \to \infty$ for any $l \in \{1, \ldots, n_{2}\}$. By (4.13), we see that

$$\begin{split} \left\| \left(\left(\int_{K} \varphi \, d\Gamma_{p}^{\boldsymbol{k}} \langle T_{l}(\boldsymbol{u}) \rangle \right)^{1/p} \right)_{l=1}^{n_{2}} \right\|_{\ell^{q_{2}}} &\leq \left(\sum_{l=1}^{n_{2}} \liminf_{j \to \infty} \left(\int_{K} \varphi \, d\Gamma_{p}^{\boldsymbol{k}} \langle T_{l}(\boldsymbol{u}_{n_{j}}) \rangle \right)^{1/p} \right)^{1/q_{2}} \\ &\leq \liminf_{j \to \infty} \left(\sum_{l=1}^{n_{2}} \left(\int_{K} \varphi \, d\Gamma_{p}^{\boldsymbol{k}} \langle T_{l}(\boldsymbol{u}_{n_{j}}) \rangle \right)^{1/p} \right)^{1/q_{2}} \\ &\leq \liminf_{j \to \infty} \left(\sum_{k=1}^{n_{1}} \left(\int_{K} \varphi \, d\Gamma_{p}^{\boldsymbol{k}} \langle u_{k,n_{j}} \rangle \right)^{1/p} \right)^{1/q_{1}} \\ &= \left\| \left(\left(\int_{K} \varphi \, d\Gamma_{p}^{\boldsymbol{k}} \langle u_{k} \rangle \right)^{1/p} \right)_{k=1}^{n_{1}} \right\|_{\ell^{q_{1}}} \end{split}$$

if $q_2 < \infty$. The case $q_2 = \infty$ is similar, so $(\int_K \varphi \, d\Gamma_p^k \langle \cdot \rangle, \mathcal{D}_{p,\infty}^{k,b})$ satisfies $(\text{GC})_p$.

Finally, we can prove (4.10). Let $\{u_n\}_{n\in\mathbb{N}} \subseteq B_{p,\infty}^k \cap C_b(K)$ be a sequence satisfying $\lim_{n\to\infty} \mathcal{E}_p^k(u-u_n) = 0$. By Proposition 2.3-(1) for $(\int_K \varphi \, d\Gamma_p^k \langle \cdot \rangle, \mathcal{D}_{p,\infty}^{k,b})$, we have

$$\left| \left(\int_{K} \varphi \, d\Gamma_{p}^{\boldsymbol{k}} \langle u \rangle \right)^{1/p} - \left(\int_{K} \varphi \, d\Gamma_{p}^{\boldsymbol{k}} \langle u_{n} \rangle \right)^{1/p} \right| \leq \|\varphi\|_{\sup}^{1/p} \mathcal{E}_{p}^{\boldsymbol{k}} (u-u_{n})^{1/p},$$

which together with (4.12) implies that

$$\begin{aligned} \left| I_{u}(\varphi)^{1/p} - \left(\int_{K} \varphi \, d\Gamma_{p}^{k} \langle u \rangle \right)^{1/p} \right| \\ &\leq \left| I_{u}(\varphi)^{1/p} - \left(\int_{K} \varphi \, d\Gamma_{p}^{k} \langle u_{n} \rangle \right)^{1/p} \right| + \left| \left(\int_{K} \varphi \, d\Gamma_{p}^{k} \langle u_{n} \rangle \right)^{1/p} - \left(\int_{K} \varphi \, d\Gamma_{p}^{k} \langle u \rangle \right)^{1/p} \right| \\ &\leq 2 \left\| \varphi \right\|_{\sup}^{1/p} \mathcal{E}_{p}^{k} (u - u_{n})^{1/p} \xrightarrow[n \to \infty]{} 0. \end{aligned}$$

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Hence we obtain (4.10).

Thanks to Proposition 2.3-(5) for $(\int_K \varphi \, d\Gamma_p^k \langle \cdot \rangle, \mathcal{D}_{p,\infty}^{k,b})$, we can show the next result. See [27, Theorem 4.5 and Proposition 4.6] for further details on $\Gamma_p^k \langle u; v \rangle$ in the theorem below.

Theorem 4.6 Let $u, v \in \mathcal{D}_{p,\infty}^{k,b}$. Define $\Gamma_p^k \langle u; v \rangle \colon \mathcal{B}(K) \to \mathbb{R}$ by

$$\Gamma_{p}^{\boldsymbol{k}}\langle u;v\rangle(A) \coloneqq \frac{1}{p} \left. \frac{d}{dt} \Gamma_{p}^{\boldsymbol{k}}\langle u+tv\rangle(A) \right|_{t=0} \quad \text{for } A \in \mathcal{B}(K).$$
(4.14)

Then $\Gamma_p^{\mathbf{k}}\langle u; v \rangle$ is a signed Borel measure on K and satisfies $\Gamma_p^{\mathbf{k}}\langle u; u \rangle = \Gamma_p^{\mathbf{k}}\langle u \rangle$. Moreover, for any $u, v \in \mathcal{D}_{p,\infty}^{\mathbf{k},b}$ and any Borel measurable functions $\varphi, \psi: K \to [0,\infty]$,

$$\int_{K} \varphi \, d\Gamma_{p}^{k} \langle u; \cdot \rangle \colon \mathcal{D}_{p,\infty}^{k,b} \to \mathbb{R} \text{ is the Fréchet derivative of } \frac{1}{p} \int_{K} \varphi \, d\Gamma_{p}^{k} \langle \cdot \rangle \text{ at } u$$
(4.15)

provided $\|\varphi\|_{\sup} < \infty$, and

$$\int_{K} \varphi \psi \, d \left| \Gamma_{p}^{\boldsymbol{k}} \langle u; v \rangle \right| \leq \left(\int_{K} \varphi^{\frac{p}{p-1}} \, d\Gamma_{p}^{\boldsymbol{k}} \langle u \rangle \right)^{\frac{p-1}{p}} \left(\int_{K} \psi^{p} \, d\Gamma_{p}^{\boldsymbol{k}} \langle v \rangle \right)^{\frac{1}{p}}. \tag{4.16}$$

Proof. It is proved in [27, Theorem 4.5] that $\Gamma_p^k \langle u; v \rangle$ is a signed measure. The statements (4.15) and (4.16) follow from [27, Propositions 4.6 and 4.8].

As an important consequence of the strong locality of $(\mathcal{E}_p^k, \mathcal{B}_{p,\infty}^k)$ obtained in Theorem 3.8-(e), the inequality $\Gamma_p^k \langle u \rangle (K) \leq \mathcal{E}_p^k (u)$ in Theorems 4.2 and 4.5 turns out to be an equality as long as $u \in \mathcal{D}_{p,\infty}^{k,c}$. Namely, we have the following proposition, which is the counterpart for $(\mathcal{E}_p^k, \mathcal{B}_{p,\infty}^k)$ of the well-known equality [14, Lemma 3.2.3] for the strongly local part of a regular symmetric Dirichlet form.

Proposition 4.7 If $u, v \in \mathcal{D}_{p,\infty}^{k,c}$, then $\Gamma_p^k \langle u; v \rangle(K) = \mathcal{E}_p^k(u;v)$.

Proof. Since $(\Gamma_p^k \langle \cdot \rangle(K), \mathcal{D}_{p,\infty}^{k,b})$ and $(\mathcal{E}_p^k, \mathcal{B}_{p,\infty}^k)$ satisfy $(GC)_p$ by Theorems 4.5 and 3.8-(b), thanks to the linearity of $\mathcal{E}(u; \cdot)$, (2.11) and (2.12) from Proposition 2.5 for $(\mathcal{E}, \mathcal{F}) = (\Gamma_p^k \langle \cdot \rangle(K), \mathcal{D}_{p,\infty}^{k,b}), (\mathcal{E}_p^k, \mathcal{B}_{p,\infty}^k)$ it suffices to consider the case $u, v \in \mathcal{B}_{p,\infty}^k \cap C_c(K)$. We first show that $\Gamma_p^k \langle u \rangle(K) = \mathcal{E}_p^k(u)$ for any $u \in \mathcal{B}_{p,\infty}^k \cap C_c(K)$. Since K is locally compact and we assume that $\mathcal{B}_{p,\infty}^k \cap C_c(K)$ is dense in $(C_c(K), \|\cdot\|_{\sup})$, by using Proposition 2.3-(2), we can find an open neighborhood U of the compact subset $\sup_K [u]$ of K and $\varphi \in \mathcal{B}_{p,\infty}^k \cap C_c(K)$ so that $0 \le \varphi \le 1$ and $\varphi(x) = 1$ for any $x \in U$. Then $\sup_K [u] \cap \sup_K [\varphi - \mathbf{1}_K] = \emptyset$. By Theorem 3.8-(e), we have $\mathcal{E}_p^k(u; u\varphi - u) = 0$ and $\mathcal{E}_p^k(|u|^{\frac{p}{p-1}}; \varphi) = 0$. In particular, by (4.6),

$$\Gamma_p^{\boldsymbol{k}}\langle u\rangle(K) \geq \int_K \varphi \,\Gamma_p^{\boldsymbol{k}}\langle u\rangle = \mathcal{E}_p^{\boldsymbol{k}}(u),$$

whence we have $\Gamma_p^k \langle u \rangle(K) = \mathcal{E}_p^k(u)$.

Next let $u, v \in B_{p,\infty}^k \cap C_c(K)$. The argument in the previous paragraph implies that for any $t \in (0, 1)$,

$$\frac{\Gamma_p^k \langle u + tv \rangle(K) - \Gamma_p^k \langle u \rangle(K)}{t} = \frac{\mathcal{E}_p^k (u + tv) - \mathcal{E}_p^k (u)}{t}.$$

By letting $t \downarrow 0$ in this equality, we have $\Gamma_p^k \langle u; v \rangle(K) = \mathcal{E}_p^k(u; v)$ by (4.14) and (3.9).

We also have the following expression of $\int_K \varphi \, d\Gamma_p^k \langle u; v \rangle$ if $\varphi \in C_c(K)$. In particular, we can deduce the analogues of Theorem 3.8-(c),(d) for $(\int_K \varphi \, d\Gamma_p^k \langle \cdot \rangle, \mathcal{D}_{p,\infty}^{k,b})$.

Theorem 4.8 For any $u, v \in \mathcal{D}_{p,\infty}^{k,b}$ and any $\varphi \in C_c(K)$,

$$\begin{split} &\int_{K} \varphi \, d\Gamma_{p}^{k} \langle u; v \rangle \\ &= \lim_{n \to \infty} \int_{K} \int_{K} \gamma_{p} \big(u(x) - u(v) \big) (v(x) - v(y)) \varphi(x) k_{r_{n}}(x, y) \, m(dy) m(dx) \quad (4.17) \\ &= \lim_{n \to \infty} \int_{K} \int_{K} \gamma_{p} \big(u(x) - u(v) \big) (v(x) - v(y)) \varphi(y) k_{r_{n}}(x, y) \, m(dy) m(dx). \quad (4.18) \end{split}$$

In particular, the following hold:

(a) Let $n_1, n_2 \in \mathbb{N}$, $q_1 \in [1, p]$, $q_2 \in [p, \infty]$, $\boldsymbol{u} = (u_1, \dots, u_{n_1}) \in (\mathcal{D}_{p,\infty}^{\boldsymbol{k}, b})^{n_1}$, $\boldsymbol{v} = (v_1, \dots, v_{n_2}) \in L^0(K, m)^{n_2}$, and let $\psi \colon K \to [0, \infty]$ be Borel measurable. If there exist m-versions of \boldsymbol{u} and \boldsymbol{v} such that $\|\boldsymbol{v}(x)\|_{\ell^{q_2}} \leq \|\boldsymbol{u}(x)\|_{\ell^{q_1}}$ and $\|\boldsymbol{v}(x) - \boldsymbol{v}(y)\|_{\ell^{q_2}} \leq \|\boldsymbol{u}(x) - \boldsymbol{u}(y)\|_{\ell^{q_1}}$ for any $(x, y) \in K \times K$, then $\boldsymbol{v} \in (\mathcal{D}_{p,\infty}^{\boldsymbol{k}, b})^{n_2}$ and

$$\left\| \left(\left(\int_{K} \psi \, d\Gamma_{p}^{\boldsymbol{k}} \langle v_{l} \rangle \right)^{1/p} \right)_{l=1}^{n_{2}} \right\|_{\ell^{q_{2}}} \leq \left\| \left(\left(\int_{K} \psi \, d\Gamma_{p}^{\boldsymbol{k}} \langle u_{k} \rangle \right)^{1/p} \right)_{k=1}^{n_{1}} \right\|_{\ell^{q_{1}}}.$$
 (4.19)

(b) For any $u_1, u_2, v \in \mathcal{D}_{p,\infty}^{k,b}$ and any Borel measurable function $\psi \colon K \to [0,\infty)$ with $\|\psi\|_{\sup} < \infty$,

$$\begin{split} & \left| \int_{K} \psi \, d\Gamma_{p}^{\boldsymbol{k}} \langle u_{1}; v \rangle - \int_{K} \psi \, d\Gamma_{p}^{\boldsymbol{k}} \langle u_{2}; v \rangle \right| \\ & \leq C_{p} \left[\max_{i \in \{1,2\}} \int_{K} \psi \, d\Gamma_{p}^{\boldsymbol{k}} \langle u_{i} \rangle \right]^{\frac{(p-2)^{+}}{p}} \left(\int_{K} \psi \, d\Gamma_{p}^{\boldsymbol{k}} \langle u_{1} - u_{2} \rangle \right)^{\frac{(p-1)\wedge 1}{p}} \left(\int_{K} \psi \, d\Gamma_{p}^{\boldsymbol{k}} \langle v \rangle \right)^{\frac{1}{p}}, \tag{4.20}$$

where C_p is the constant in Theorem 3.8.

Proof. Throughout this proof, we fix $\varphi \in C_c(K)$. We first show (4.17) in the case u = v. Define

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$$I_{\varphi}^{n}\langle f \rangle \coloneqq \int_{K \times K} |f(x) - f(y)|^{p} \varphi(x) m_{n}(dxdy) \quad \text{for } n \in \mathbb{N} \text{ and } f \in \mathcal{D}_{p,\infty}^{\boldsymbol{k},b}.$$

Fix $\{u_k\}_{k\in\mathbb{N}} \subseteq B_{p,\infty}^k \cap C_b(K)$ satisfying $\lim_{k\to\infty} \|u-u_k\|_{B_{p,\infty}^k} = 0$. We easily have $\left|I_{\varphi}^{n}\langle u\rangle^{1/p} - I_{\varphi}^{n}\langle u_{k}\rangle^{1/p}\right| \leq I_{\varphi}^{n}\langle u - u_{k}\rangle^{1/p} \leq C^{1/p} \left\|\varphi\right\|_{\sup} \mathcal{E}_{p}^{k}(u - u_{k})^{1/p}$, where $C \in (0, \infty)$ is the constant in (3.8). By (4.10) and Proposition 2.3-(1) for $(\int_{K} \varphi \, d\Gamma_{p}^{k} \langle \cdot \rangle, \mathcal{D}_{p,\infty}^{k,b})$, we see that for any $n, k \in \mathbb{N}$,

$$\begin{split} & \left| \left(\int_{K} \varphi \, d\Gamma_{p}^{\boldsymbol{k}} \langle u \rangle \right)^{1/p} - I_{\varphi}^{n} \langle u \rangle^{1/p} \right| \\ & \leq \left| \left(\int_{K} \varphi \, d\Gamma_{p}^{\boldsymbol{k}} \langle u \rangle \right)^{1/p} - \left(\int_{K} \varphi \, d\Gamma_{p}^{\boldsymbol{k}} \langle u_{k} \rangle \right)^{1/p} \right| + \left| \left(\int_{K} \varphi \, d\Gamma_{p}^{\boldsymbol{k}} \langle u_{k} \rangle \right)^{1/p} - I_{\varphi}^{n} \langle u_{k} \rangle^{1/p} \right| \\ & + \left| I_{\varphi}^{n} \langle u \rangle^{1/p} - I_{\varphi}^{n} \langle u_{k} \rangle^{1/p} \right| \\ & \leq (1 + C^{1/p}) \left\| \varphi \right\|_{\sup}^{1/p} \mathcal{E}_{p}^{\boldsymbol{k}} (u - u_{k})^{1/p} + \left| \left(\int_{K} \varphi \, d\Gamma_{p}^{\boldsymbol{k}} \langle u_{k} \rangle \right)^{1/p} - I_{\varphi}^{n} \langle u_{k} \rangle^{1/p} \right|. \end{split}$$

Since $\lim_{n\to\infty} \left| \left(\int_K \varphi \, d\Gamma_p^k \langle u_k \rangle \right)^{1/p} - I_{\varphi}^n \langle u_k \rangle^{1/p} \right| = 0$ by (4.2) and $k \in \mathbb{N}$ is arbitrary,

we conclude that $\lim_{n\to\infty} I_{\varphi}^n \langle u \rangle = \int_K \varphi \, d\Gamma_p^k \langle u \rangle$. Next we consider the general case $u \neq v$. By Proposition 2.4 and the convexity of $t \mapsto \mathcal{I}_{\varphi}^{n} \langle u + tv \rangle$, for any $t \in (0, 1)$ and any $n \in \mathbb{N}$,

$$\frac{I_{\varphi}^{n}\langle u+tv\rangle - I_{\varphi}^{n}\langle u\rangle}{t} - \left\|\varphi\right\|_{\sup} O_{t}(u;v) \leq \frac{d}{ds} I_{\varphi}^{n}\langle u+sv\rangle \bigg|_{s=0} \leq \frac{I_{\varphi}^{n}\langle u+tv\rangle - I_{\varphi}^{n}\langle u\rangle}{t},$$
(4.21)

where $O_t(u; v) = C_{p,u,v} t^{(p-1) \wedge \frac{1}{p-1}}$ for some constant $C_{p,u,v} \in (0, \infty)$ which depends only on $p, \mathcal{E}_p^k(u)$ and $\mathcal{E}_p^k(v)$. Now we obtain (4.17) by noting that

$$\left. \frac{d}{ds} \mathcal{I}_{\varphi}^{n} \langle u + sv \rangle \right|_{s=0} = \int_{K \times K} \gamma_{p} \big(u(x) - u(v) \big) (v(x) - v(y)) \varphi(x) \, m_{n}(dxdy)$$

and letting first $n \to \infty$ in (4.21) and then $t \downarrow 0$ by using (4.15). The equality (4.18) can be shown similarly by considering

$$\widehat{I}_{\varphi}^{n}\langle f\rangle \coloneqq \int_{K\times K} |f(x) - f(y)|^{p} \varphi(y) m_{n}(dxdy)$$

instead of $I_{\varphi}^{n}\langle f \rangle$ in the above arguments.

Lastly, let us show (a) and (b). (a): By Theorem 4.5, $(\mathcal{E}_p^k, \mathcal{D}_{p,\infty}^{k,b})$ is a *p*-energy form on (K, m) satisfying $(\text{GC})_p$. For each $l \in \{1, \ldots, n_2\}$, by the argument in [27, Proof of Corollary 2.4-(c)], we can find a 1-Lipschitz map $T_l: (\mathbb{R}^{n_1}, \|\cdot\|_{\ell^{q_1}}) \to \mathbb{R}$ satisfying $T_l(0) = 0$ and $T_l(\boldsymbol{u}(x)) = v_l(x)$ for any $x \in K$. By applying $(GC)_p$, we have $v_l \in \mathcal{D}_{p,\infty}^{\boldsymbol{k},b}$ and hence

 $\boldsymbol{v} \in (\mathcal{D}_{p,\infty}^{\boldsymbol{k},b})^{n_2}$. Then the inequality (4.19) in the case $\psi \in C_c(K)$ is immediate from (4.17), and we can further extend (4.19) to general ψ in exactly the same way as the second paragraph of the proof of Theorem 4.5.

(b): The estimate (4.20) in the case $\psi \in C_c(K)$ is immediate from (4.17). We can easily extend it to the desired case since $C_c(K)$ is dense in $L^1(K, \mu)$ for the finite Borel measure μ on K given by

$$\mu \coloneqq \left| \Gamma_p^{\boldsymbol{k}} \langle u_1; v \rangle \right| + \left| \Gamma_p^{\boldsymbol{k}} \langle u_2; v \rangle \right| + \Gamma_p^{\boldsymbol{k}} \langle u_1 \rangle + \Gamma_p^{\boldsymbol{k}} \langle u_2 \rangle + \Gamma_p^{\boldsymbol{k}} \langle u_1 - u_2 \rangle + \Gamma_p^{\boldsymbol{k}} \langle v \rangle. \quad \Box$$

The next theorem states the chain rule for our *p*-energy measures.

Theorem 4.9 (Chain rule) Let $n \in \mathbb{N}$, $u \in B_{p,\infty}^k \cap C_b(K)$, $\boldsymbol{v} = (v_1, \ldots, v_n) \in (B_{p,\infty}^k \cap C_b(K))^n$, $\Phi \in C^1(\mathbb{R})$, $\Psi \in C^1(\mathbb{R}^n)$ and suppose that $\Phi(0) = \Psi(0) = 0$. Then $\Phi(u), \Psi(\boldsymbol{v}) \in B_{p,\infty}^k \cap C_b(K)$ and

$$d\Gamma_{p}^{\boldsymbol{k}}\langle\Phi(\boldsymbol{u});\Psi(\boldsymbol{v})\rangle = \sum_{k=1}^{n} \gamma_{p} \left(\Phi'(\boldsymbol{u})\right) \partial_{k} \Psi(\boldsymbol{v}) \, d\Gamma_{p}^{\boldsymbol{k}}\langle\boldsymbol{u};\boldsymbol{v}_{k}\rangle. \tag{4.22}$$

Proof. It is immediate from Theorem 3.8-(b) (see also Proposition 2.3-(2)) that $\Phi(u), \Psi(v) \in B_{p,\infty}^k \cap C_b(K)$. Note that

$$d\mu \coloneqq d\left| \Gamma_p^{\boldsymbol{k}} \langle \Phi(u); \Psi(\boldsymbol{v}) \rangle \right| + \sum_{k=1}^n \left| \gamma_p \left(\Phi'(u) \right) \partial_k \Psi(\boldsymbol{v}) \right| d \left| \Gamma_p^{\boldsymbol{k}} \langle u; v_k \rangle \right|$$

defines a finite Borel measure μ on K by (4.16). Since $C_c(K)$ is dense in $L^1(K, \mu)$, it suffices to prove that for any $\varphi \in C_c(K)$,

$$\int_{K} \varphi \, d\Gamma_{p}^{k} \langle \Phi(u); \Psi(v) \rangle = \sum_{k=1}^{n} \int_{K} \varphi \gamma_{p} \big(\Phi'(u) \big) \partial_{k} \Psi(v) \, d\Gamma_{p}^{k} \langle u; v_{k} \rangle.$$

Let $\varphi \in C_c(K)$ and define $F_n \in \mathcal{B}(K \times K), n \in \mathbb{N}$, by

$$F_n \coloneqq \{(x, y) \in K \times K \mid d(x, y) < \delta(r_n), \varphi(x) \neq 0\}.$$

Note that $\overline{F_n}^{K \times K}$ is a compact subset of $K \times K$ for sufficiently large $n \in \mathbb{N}$ since $\varphi \in C_c(K)$, $\lim_{n \to 0} \delta(r_n) = 0$ and (K, d) is locally compact. Set

$$a_n \coloneqq \int_{F_n} \gamma_p \big(\Phi(u(x)) - \Phi(u(v)) \big) (\Psi(v(x)) - \Psi(v(y))) \varphi(x) \, m_n(dxdy) \big)$$

and

$$b_n \coloneqq \sum_{k=1}^n \int_{F_n} \gamma_p \big(\Phi'(u(x)) \big) \partial_k \Psi(v(x)) \cdot \gamma_p \big(u(x) - u(y) \big) (v(x) - v(y)) \varphi(x) \, m_n(dxdy).$$

By Theorem 4.8 and (3.6), it suffices to show $\lim_{n\to\infty} |a_n - b_n| = 0$. To estimate $|a_n - b_n|$, we introduce

$$c_n \coloneqq \int_{F_n} \gamma_p \big(\Phi'(u(x)) \big) \cdot \gamma_p \big(u(x) - u(y) \big) (v(x) - v(y)) \varphi(x) \, m_n(dxdy).$$

We will show that $\lim_{n\to\infty} |a_n - c_n| = \lim_{n\to\infty} |b_n - c_n| = 0$. Note that

$$\Phi(u(y)) - \Phi(u(x)) = \left[u(y) - u(x)\right] \left(\Phi'(u(x)) + e_{\Phi,u}(x, y)\right),$$

where we set $e_{\Phi,u}(x, y) := \int_0^1 \left[\Phi'(u(x) + t(u(y) - u(x))) - \Phi'(u(x)) \right] dt$. Let $\varepsilon > 0$. Since Φ' is continuous, $\|u\|_{\sup} < \infty$ and u is uniformly continuous on F_n for large enough $n \in \mathbb{N}$, we can find $N_1 \in \mathbb{N}$ so that $|e_{\Phi,u}(x, y)| < \varepsilon$ for any $(x, y) \in \bigcup_{n \ge N_1} F_n$. By Lemma 3.7, there exists $C_p \in (0, \infty)$ depending only on p such that for any $n \ge N_1$ and $(x, y) \in \bigcup_{n \ge N_1} F_n$,

$$\begin{aligned} \left| \gamma_p \left(\Phi(u(x)) - \Phi(u(y)) \right) - \gamma_p \left(\Phi'(u(x)) \right) \cdot \gamma_p \left(u(x) - u(y) \right) \right| \\ &\leq C_p \varepsilon^{(p-1)\wedge 1} A_{u,\Phi}(x,y)^{(p-2)^+} \left| u(x) - u(y) \right|^{(p-1)\wedge 1}, \end{aligned}$$

where $A_{u,\Phi}(x,y) := |\Phi(u(y)) - \Phi(u(x))| \vee |\Phi'(u(x))(u(y) - u(x))|$. By Hölder's inequality, we have

$$\begin{split} \sup_{n \ge N_1} &|a_n - c_n| \\ &\le C_p \varepsilon^{(p-1)\wedge 1} \Big[C_{\Phi, u} \big(\|\Phi(u)\|_{B_{p,\infty}^k} + \|u\|_{B_{p,\infty}^k} \big) \Big]^{(p-2)^*} \|u\|_{B_{p,\infty}^k}^{(p-1)\wedge 1} \|v\|_{B_{p,\infty}^k}, \end{split}$$

where $C_{\Phi,u} := 1 + ||\Phi'||_{\sup, [-||u||_{\sup}, ||u||_{\sup}]}$. In particular, we get $\lim_{n \to \infty} |a_n - c_n| = 0$. Similarly, we can find $N_2 \in \mathbb{N}$ so that for any $(x, y) \in \bigcup_{n \ge N_2} F_n$,

$$\left| \left(\Psi(v(x)) - \Psi(v(y)) \right) - \sum_{k=1}^{n} \partial_k \Psi(v(x))(v(x) - v(y)) \right| \le \varepsilon \left| v(x) - v(y) \right|.$$

Then we easily see that

$$\sup_{n \ge N_2} |b_n - c_n| \le \varepsilon \|\Phi'\|_{\sup, [-\|u\|_{\sup}, \|u\|_{\sup}]}^{p-1} \|u\|_{B^k_{p,\infty}}^{p-1} \|v\|_{B^k_{p,\infty}}^{k},$$
$$\lim_{n \to \infty} |b_n - c_n| = 0.$$

whence $\lim_{n\to\infty} |b_n - c_n| = 0$.

The following *image density property* of *p*-energy measures is a consequence of the chain rule. We note that the proof below does not rely on specific representations of Γ_p^k like (4.7) and (4.17).

Theorem 4.10 (Image density property) For any $u \in B_{p,\infty}^k \cap C_b(K)$, the Borel measure $\Gamma_p^{\mathbf{k}}\langle u \rangle \circ u^{-1}$ on \mathbb{R} defined by $\Gamma_p^{\mathbf{k}}\langle u \rangle \circ u^{-1}(A) \coloneqq \Gamma_p^{\mathbf{k}}\langle u \rangle \langle u^{-1}(A) \rangle$, $A \in \mathcal{B}(\mathbb{R})$, is absolutely continuous with respect to the 1-dimensional Lebesgue measure on \mathbb{R} .

Proof. This is proved, on the basis of Theorem 4.9, in exactly the same way as [43, Proposition 7.6], which is a simple adaptation of [11, Theorem 4.3.8], but we present the details because in [43] the underlying topological space *K* is assumed to be a generalized Sierpiński carpet, a self-similar compact set in the Euclidean space. It suffices to prove that $\Gamma_p^k \langle u \rangle \circ u^{-1}(F) = 0$ for any $u \in B_{p,\infty}^k \cap C_b(K)$ and any compact subset *F* of \mathbb{R} such that $\mathcal{L}^1(F) = 0$, where \mathcal{L}^1 denotes the 1-dimensional Lebesgue measure on \mathbb{R} . Let $\{\varphi_n\}_{n \in \mathbb{N}} \subseteq C_c(\mathbb{R})$ satisfy $|\varphi_n| \leq 1$, $\lim_{n \to \infty} \varphi_n(x) = \mathbf{1}_F(x)$ for any $x \in \mathbb{R}$ and

$$\int_0^\infty \varphi_n(t) \, dt = \int_{-\infty}^0 \varphi_n(t) \, dt = 0 \quad \text{for any } n \in \mathbb{N}.$$

We define $\Phi_n(x) := \int_0^x \varphi_n(t) dt$, $x \in \mathbb{R}$, and $u_n := \Phi_n \circ u$ for any $n \in \mathbb{N}$. Then we easily see that $\Phi_n \in C^1(\mathbb{R}) \cap C_c(\mathbb{R})$, $\Phi_n(0) = 0$, and $\Phi'_n = \varphi_n$ for any $n \in \mathbb{N}$. Also, u_n converges to 0 in $L^p(K, m)$ as $n \to \infty$ by the dominated convergence theorem. By Proposition 2.3-(2), we deduce that $\{u_n\}_{n \in \mathbb{N}}$ is bounded in $B^k_{p,\infty}$. Since $B^k_{p,\infty}$ is reflexive by Theorem 3.6 and $B^k_{p,\infty}$ is continuously embedded in $L^p(K,m)$, there exists a subsequence $\{u_{n_k}\}_{k \in \mathbb{N}}$ weakly converging to 0 in $B^k_{p,\infty}$. By Mazur's lemma, there exist $N(l) \in \mathbb{N}$ and $\{a_{l,k}\}_{k=l}^{N(l)} \subseteq [0,1]$ with N(l) > l and $\sum_{k=l}^{N(l)} a_{l,k} = 1$ for each $l \in \mathbb{N}$ such that $\sum_{k=l}^{N(l)} a_{l,k}u_{n_k}$ converges to 0 in $B^k_{p,\infty}$ as $l \to \infty$. Let us define $\Psi_l \in C^1(\mathbb{R})$ by $\Psi_l := \sum_{k=l}^{N(l)} a_{l,k}\Phi_{n_k}$. Then $\Psi_l(0) = 0, \Psi'_l \to \mathbf{1}_F$ and, by Fatou's lemma, Theorem 4.9 and Proposition 4.7,

$$\begin{split} \Gamma_{p}^{k}\langle u\rangle \circ u^{-1}(F) &= \int_{\mathbb{R}} \lim_{l \to \infty} \left| \Psi_{l}'(t) \right|^{p} \left(\Gamma_{p}^{k} \langle u \rangle \circ u^{-1} \right) (dt) \\ &\leq \liminf_{l \to \infty} \int_{K} \left| \Psi_{l}'(u(x)) \right|^{p} \left| \Gamma_{p}^{k} \langle u \rangle (dx) \\ &= \liminf_{l \to \infty} \Gamma_{p}^{k} \langle \Psi_{l}(u) \rangle (K) = \liminf_{l \to \infty} \mathcal{E}_{p}^{k} \left(\Psi_{l}(u) \right) = 0, \end{split}$$

which completes the proof.

Now we can obtain the strongest possible forms of the strong locality of $\Gamma_p^k \langle \cdot ; \cdot \rangle$ as in the following theorem, which is an easy consequence of Theorem 4.10, the triangle inequality for $\Gamma_p^k \langle \cdot \rangle^{1/p}$ and (4.14); see [27, Theorem 4.17] for a proof.

Theorem 4.11 (Strong locality of *p***-energy measures)** *Let* $u, u_1, u_2, v \in B_{p,\infty}^k \cap C_b(K)$, $a, a_1, a_2, b \in \mathbb{R}$ and $A \in \mathcal{B}(K)$.

- (a) If $A \subseteq u^{-1}(a)$, then $\Gamma_p^k \langle u \rangle(A) = 0$.
- (b) If $A \subseteq (u v)^{-1}(a)$, then $\Gamma_p^k \langle u \rangle (A) = \Gamma_p^k \langle v \rangle (A)$.
- (c) If $A \subseteq u_1^{-1}(a_1) \cup u_2^{-1}(a_2)$, then

$$\Gamma_{p}^{k}\langle u_{1}+u_{2}+v\rangle(A)+\Gamma_{p}^{k}\langle v\rangle(A)=\Gamma_{p}^{k}\langle u_{1}+v\rangle(A)+\Gamma_{p}^{k}\langle u_{2}+v\rangle(A),\quad(4.23)$$

$$\Gamma_{p}^{k}\langle u_{1}+u_{2};v\rangle(A)=\Gamma_{p}^{k}\langle u_{1};v\rangle(A)+\Gamma_{p}^{k}\langle u_{2};v\rangle(A).\quad(4.24)$$

(d) If $A \subseteq (u_1 - u_2)^{-1}(a) \cup v^{-1}(b)$, then

$$\Gamma_p^{\boldsymbol{k}}\langle u_1; v \rangle(A) = \Gamma_p^{\boldsymbol{k}}\langle u_2; v \rangle(A) \quad and \quad \Gamma_p^{\boldsymbol{k}}\langle v; u_1 \rangle(A) = \Gamma_p^{\boldsymbol{k}}\langle v; u_2 \rangle(A).$$
(4.25)

Using Theorem 4.11, we can extend Proposition 4.7 as follows.

Corollary 4.12 Let $u, v \in \mathcal{D}_{p,\infty}^{k,b}$. If $\{u, v\} \cap \mathcal{D}_{p,\infty}^{k,c} \neq \emptyset$, then $\Gamma_p^k \langle u; v \rangle(K) = \mathcal{E}_p^k(u; v)$.

Proof. Similar to the proof of Proposotion 4.7, it suffices to consider the case $u, v \in B_{p,\infty}^k \cap C_b(K)$ with $\{u,v\} \cap B_{p,\infty}^k \cap C_c(K) \neq \emptyset$. Let $f,g \in \{u,v\}$ satisfy $\{f,g\} = \{u,v\}$ and $f \in B_{p,\infty}^k \cap C_c(K)$. Similar to the proof of Proposition 4.7, we can find an open neighborhood U of the compact subset $\operatorname{supp}_K[f]$ of K and $\varphi \in B_{p,\infty}^k \cap C_c(K)$ so that $0 \leq \varphi \leq 1$ and $\varphi(x) = 1$ for any $x \in U$. Then $\operatorname{supp}_K[f] \cap \operatorname{supp}_K[g(\varphi - \mathbf{1}_K)] = \emptyset$, so we have

$$\mathcal{E}_{p}^{k}(u;v) = \begin{cases} \mathcal{E}_{p}^{k}(f;g\varphi) & \text{ if } f = u, \\ \mathcal{E}_{p}^{k}(g\varphi;f) & \text{ if } f = v, \end{cases}$$

by Theorem 3.8-(e) and

$$\Gamma_p^{\boldsymbol{k}}\langle u; v \rangle(K) = \begin{cases} \Gamma_p^{\boldsymbol{k}}\langle f; g\varphi \rangle(K) & \text{if } f = u, \\ \Gamma_p^{\boldsymbol{k}}\langle g\varphi; f \rangle(K) & \text{if } f = v, \end{cases}$$

by Theorem 4.11-(c),(d). Since $f, g\varphi \in B_{p,\infty}^k \cap C_c(K)$, we obtain $\Gamma_p^k \langle u; v \rangle(K) = \mathcal{E}_p^k(u; v)$ by Proposition 4.7.

5 *p*-Energy forms on *p*-conductively homogeneous spaces

In this section, we verify $(WM)_{p,k}$ for the family of kernels $k = k^{s_p}$ defined by (3.26) and (3.27) on *p*-conductively homogeneous compact metric spaces equipped with Ahlfors regular measures. We also show some estimates on localized versions of Korevaar–Schoen *p*-energy forms, and construct, on the basis of Korevaar–Schoen *p*-energy forms, self-similar *p*-energy forms on *p*-conductively homogeneous self-similar sets as well. We refer to [33, Sections 4.3–4.6] for many concrete examples covered by this framework.

5.1 *p*-Conductively homogeneous spaces

Les us recall the notation and terminology in [32, 33] by following [27, Section 8.1]. We fix a locally finite (non-directed) infinite tree (T, E_T) in the usual sense (see [33, Definition 2.1] for example), and fix a *root* $\phi \in T$ of *T*. (Here *T* is the set of vertices

and E_T is the set of edges.) For any $w \in T \setminus \{\phi\}$, we use $\overline{\phi w}$ to denote the unique simple path in T from ϕ to w.

Definition 5.1 ([33, Definition 2.2])

(1) For $w \in T$, define $\pi: T \to T$ by

$$\pi(w) := \begin{cases} w_{n-1} & \text{if } w \neq \phi \text{ and } \overline{\phi w} = (w_0, \dots, w_n), \\ \phi & \text{if } w = \phi. \end{cases}$$

Set $S(w) := \{v \in T \mid \pi(v) = w\} \setminus \{w\}$. Moreover, for $k \in \mathbb{N}$, we define $S^k(w)$ inductively as

$$S^{k+1}(w) = \bigcup_{v \in S(w)} S^k(v).$$

For $A \subseteq T$, define $S^k(A) := \bigcup_{w \in A} S^k(A)$.

- (2) For $w \in T$ and $n \in \mathbb{N} \cup \{0\}$, define $|w| := \min\{n \ge 0 \mid \pi^n(w) = \phi\}$ and $T_n := \{w \in T \mid |w| = n\}.$
- (3) Define $\Sigma := \{(\omega_n)_{n\geq 0} \mid \omega_n \in T_n \text{ and } \omega_n = \pi(\omega_{n+1}) \text{ for all } n \in \mathbb{N} \cup \{0\}\}.$ For $\omega = (\omega_n)_{n\geq 0} \in \Sigma$, we write $[\omega]_n$ for $\omega_n \in T_n$. For $w \in T$, define $\Sigma_w := \{(\omega_n)_{n\geq 0} \in \Sigma \mid \omega_{|w|} = w\}.$ For $A \subseteq T$, define $\Sigma_A := \bigcup_{w \in A} \Sigma_w.$

We introduce a partition parametrized by a rooted tree (see [32, Definition 2.2.1] and [42, Lemma 3.6]).

Definition 5.2 (Partition parametrized by a tree) Let *K* be a compact metrizable topological space without isolated points. A family of non-empty compact subsets $\{K_w\}_{w \in T}$ of *K* is called a *partition of K parametrized by the rooted tree* (T, E_T, ϕ) if and only if it satisfies the following conditions:

- (P1) $K_{\phi} = K$ and for any $w \in T$, $\#K_w \ge 2$ and $K_w = \bigcup_{v \in S(w)} K_v$.
- (P2) For any $w \in \Sigma$, $\bigcap_{n>0} K_{\lceil w \rceil_n}$ is a single point.

In the rest of this section, we fix a compact metrizable topological space without isolated points *K*, a locally finite rooted tree (T, E_T, ϕ) satisfying $\#\{v \in T \mid \{v, w\} \in E_T\} \ge 2$ for any $w \in T$, a partition $\{K_w\}_{w \in T}$ parametrized by (T, E_T, ϕ) , a metric *d* on *K* with diam(K, d) = 1, and a Borel probability measure *m* on *K*. In the following definition, we collect some basic pieces of the notation used in [32, 33].

Definition 5.3 For $n \in \mathbb{N} \cup \{0\}$ and $A \subseteq T_n$, define

$$E_n^* := \{\{v, w\} \mid v, w \in T_n, v \neq w, K_v \cap K_w \neq \emptyset\},\$$

and $E_n^*(A) = \{\{v, w\} \in E_n^* \mid v, w \in A\}$. Let d_n be the graph distance of (T_n, E_n^*) . For $M \in \mathbb{N} \cup \{0\}, w \in T_n$ and $x \in K$, define

$$\Gamma_M(w) \coloneqq \{v \in T_n \mid d_n(v, w) \le M\} \text{ and } U_M(x; n) \coloneqq \bigcup_{w \in T_n; x \in K_w} \bigcup_{v \in \Gamma_M(w)} K_v.$$

To state geometric assumptions in [33], we need the following definition (see [32, Definitions 2.2.1 and 3.1.15].)

Definition 5.4 (1) The partition $\{K_w\}_{w \in T}$ is said to be *minimal* if and only if $K_w \setminus \bigcup_{v \in T_{|w|} \setminus \{w\}} \neq \emptyset$ for any $w \in T$.

(2) The partition $\{K_w\}_{w \in T}$ is said to be *uniformly finite* if and only if $\sup_{w \in T} \#\Gamma_1(w) < \infty$.

We also use the following notation for simplicity.

Definition 5.5 For $n \in \mathbb{N} \cup \{0\}$ and $U \subseteq K$, define $T_n[U] := \{w \in T_n \mid K_w \cap U \neq \emptyset\}$.

Now we describe basic geometric conditions in [33]. The conditions (1), (2) and (5.6) in (3) below are important to follow the rest of this paper.

Assumption 5.6 ([33, Assumption 2.15]) Let (K, O) be a connected compact metrizable space, $\{K_w\}_{w\in T}$ a partition parametrized by the rooted tree (T, ϕ) , da metric on K that is compatible with the topology O and diam(K, d) = 1 and m a Borel probability measure on K. There exist $M_* \in \mathbb{N}$ and $r_* \in (0, 1)$ such that the following conditions (1)–(5) hold.

- (1) K_w is connected for any w ∈ T, {K_w}_{w∈T} is minimal and uniformly finite, and inf_{m≥0} min_{w∈T_m} #S(w) ≥ 2.
- (2) There exist $c_i > 0, i \in \{1, ..., 5\}$, such that the following conditions (2A)–(2C) are true.
 - (2A) For any $w \in T$,

$$c_1 r_*^{|w|} \le \operatorname{diam}(K_w, d) \le c_2 r_*^{|w|}.$$
 (5.1)

(2B) For any $n \in \mathbb{N}$ and $x \in K$,

$$B_d(x, c_3 r_*^n) \subseteq U_{M_*}(x; n) \subseteq B_d(x, c_4 r_*^n).$$
 (5.2)

(2C) For any $n \in \mathbb{N}$ and $w \in T_n$, there exists $x \in K_w$ satisfying

$$K_w \supseteq B_d(x, c_5 r_*^n). \tag{5.3}$$

(3) There exist $m_1 \in \mathbb{N}$, $\gamma_1 \in (0, 1)$ and $\gamma \in (0, 1)$ such that

$$m(K_w) \ge \gamma m(K_{\pi(w)})$$
 for any $w \in T$, (5.4)

and

$$m(K_v) \le \gamma_1 m(K_w)$$
 for any $w \in T$ and $v \in S^{m_1}(w)$. (5.5)

Furthermore, m is volume doubling with respect to d and

$$m(K_w) = \sum_{v \in S(w)} m(K_v) \quad \text{for any } w \in T.$$
(5.6)

(4) There exists $M_0 \ge M_*$ such that for any $w \in T$, $k \ge 1$ and any $v \in S^k(w)$,

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$$\Gamma_{M_*}(v) \cap S^k(w) \subseteq \left\{ v' \in T_{|v|} \middle| \begin{array}{l} \text{there exist } l \leq M_0 \text{ and } (v_0, \dots, v_l) \in S^k(w)^{l+1} \\ \text{such that } (v_{j-1}, v_j) \in E^*_{|v|} \text{ for any } j \in \{1, \dots, l\} \end{array} \right\}$$

(5) For any $w \in T$, $\pi(\Gamma_{M_*+1}(w)) \subseteq \Gamma_{M_*}(\pi(w))$.

Note that if a Borel probability measure *m* on *K* satisfies (5.6), then we have

$$m(K_v \cap K_w) = 0$$
 for any $v, w \in T$ with $v \neq w$ and $|v| = |w|$; (5.7)

see [27, Proposition 8.7] for a proof of this fact.

Next we introduce conductance, neighbor disparity constants and the notion of p-conductive homogeneity in Definitions 5.9, 5.7 and 5.10. We also recall the notion of a covering system in Definition 5.8, which is used in the definition of neighbor disparity constants. See [33, Sections 2.2, 2.3 and 3.3] for further details on these topics. In the rest of this section, we fix $p \in (1, \infty)$ unless otherwise stated. We will state some definitions and statements below for any $p \in [1, \infty)$, but on each such occasion we will explicitly declare that we let $p \in [1, \infty)$.

Definition 5.7 ([33, Definitions 2.17 and 3.4]) Let $p \in [1, \infty)$, $n \in \mathbb{N} \cup \{0\}$ and $A \subseteq T_n$.

(1) Define $\mathcal{E}_{p,A}^n \colon \mathbb{R}^A \to [0,\infty)$ by

$$\mathcal{E}_{p,A}^n(f) \coloneqq \sum_{\{u,v\} \in E_n^*(A)} |f(u) - f(v)|^p, \quad f \in \mathbb{R}^A.$$

We write $\mathcal{E}_p^n(f)$ for $\mathcal{E}_{p,T_n}^n(f)$.

(2) For $A_0, A_1 \subseteq A$, define $\operatorname{cap}_p^n(A_0, A_1; A)$ by

 $\operatorname{cap}_{p}^{n}(A_{0}, A_{1}; A) \coloneqq \inf \{ \mathcal{E}_{p,A}^{n}(f) \mid f \in \mathbb{R}^{A}, f|_{A_{i}} = i \text{ for } i \in \{0, 1\} \}.$

(3) (Conductance constant) For $A_1, A_2 \subseteq A$ and $k \in \mathbb{N} \cup \{0\}$, define

$$\mathcal{E}_{p,k}(A_1, A_2, A) \coloneqq \operatorname{cap}_p^{n+k} \big(S^k(A_1), S^k(A_2); S^k(A) \big).$$

For $M \in \mathbb{N}$, define $\mathcal{E}_{M,p,k} \coloneqq \sup_{w \in T} \mathcal{E}_{p,k}(\{w\}, T_{|w|} \setminus \Gamma_M(w), T_{|w|})$.

Definition 5.8 ([33, **Definitions 2.26-(3) and 2.29**]) Let $N_T, N_E \in \mathbb{N}$.

- (1) Let $n \in \mathbb{N} \cup \{0\}$ and $A \subseteq T_n$. A collection $\{G_i\}_{i=1}^k$ with $G_i \subseteq T_n$ is called a covering of $(A, E_n^*(A))$ with covering numbers (N_T, N_E) if and only if $A = \bigcup_{i=1}^k G_k$, $\max_{x \in A} \#\{i \mid x \in G_i\} \leq N_T$ and for any $(u, v) \in E_n^*(A)$, there exists $l \leq N_E$ and $\{w(1), \ldots, w(l+1)\} \subseteq A$ such that w(1) = u, w(l+1) = v and $(w(i), w(i+1)) \in \bigcup_{j=1}^k E_n^*(G_j)$ for any $i \in \{1, \ldots, l\}$.
- (2) Let $\mathscr{J} \subseteq \bigcup_{n \in \mathbb{N} \cup \{0\}} \{A \mid A \subseteq T_n\}$. The collection \mathscr{J} is called a *covering* system with covering number (N_T, N_E) if and only if the following conditions are satisfied:
 - (i) $\sup_{A \in \mathscr{J}} #A < \infty$.

- (ii) For any $w \in T$ and $k \in \mathbb{N}$, there exists a finite subset $\mathcal{N} \subseteq \mathscr{J} \cap T_{|w|+k}$ such that \mathcal{N} is a covering of $(S^k(w), E^*_{|w|+k}(S^k(w)))$ with covering numbers (N_T, N_E) .
- (iii) For any $G \in \mathcal{J}$ and $k \in \mathbb{N} \cup \{0\}$, if $G \subseteq T_n$, then there exists a finite subset $\mathcal{N} \subseteq \mathcal{J} \cap T_{n+k}$ such that \mathcal{N} is a covering of $(S^k(G), E_{n+k}^*(S^k(G)))$ with covering numbers (N_T, N_E) .

The collection \mathscr{J} is simply said to be a *covering system* if \mathscr{J} is a covering system with covering numbers (N_T, N_E) for some $(N_T, N_E) \in \mathbb{N}^2$.

Definition 5.9 ([33, Definitions 2.26 and 2.29]) Let $p \in [1, \infty)$, $n \in \mathbb{N}$ and $A \subseteq T_n$. (1) For $k \in \mathbb{N} \cup \{0\}$ and $f: T_{n+k} \to \mathbb{R}$, define $P_{n,k}f: T_n \to \mathbb{R}$ by

$$(P_{n,k}f)(w) \coloneqq \frac{1}{\sum_{v \in S^k(w)} m(K_v)} \sum_{v \in S^k(w)} f(v)m(K_v), \quad w \in T_n.$$

(Note that $P_{n,k}f$ depends on the measure *m*.)

(2) (Neighbor disparity constant) For $k \in \mathbb{N} \cup \{0\}$, define

$$\sigma_{p,k}(A) \coloneqq \sup_{f \colon S^k(A) \to \mathbb{R}} \frac{\mathcal{E}_{p,A}^n(P_{n,k}f)}{\mathcal{E}_{p,S^k(A)}^{n+k}(f)}$$

(3) Let $\mathscr{J} \subseteq \bigcup_{n \ge 0} \{A \mid A \subseteq T_n\}$ be a covering system. Define

$$\sigma_{p,k,n}^{\mathscr{I}}\coloneqq\max\{\sigma_{p,k}(A)\mid A\in\mathscr{J}, A\subseteq T_n\} \quad \text{and} \quad \sigma_{p,k}^{\mathscr{I}}\coloneqq\sup_{n\in\mathbb{N}\cup\{0\}}\sigma_{p,k,n}^{\mathscr{I}}.$$

Definition 5.10 ([33, Definition 3.4]) Let $p \in [1, \infty)$. The compact metric space *K* (with a partition $\{K_w\}_{w \in T}$ and a measure *m*) is said to be *p*-conductively homogeneous if and only if there exists a covering system \mathscr{J} such that

$$\sup_{k\in\mathbb{N}\cup\{0\}}\sigma_{p,k}^{\mathscr{J}}\mathcal{E}_{M_*,p,k}<\infty.$$
(5.8)

Theorem 5.11 (A part of [33, Theorem 3.30]) Let $p \in [1, \infty)$ and suppose that Assumption 5.6 holds. If K is p-conductively homogeneous, then there exist $c_1, c_2, \sigma_p \in (0, \infty)$ and a covering system \mathcal{J} such that for any $k \in \mathbb{N} \cup \{0\}$,

$$c_1 \sigma_p^{-k} \le \mathcal{E}_{M_*, p, k} \le c_2 \sigma_p^{-k} \quad and \quad c_1 \sigma_p^k \le \sigma_{p, k}^{\mathscr{I}} \le c_2 \sigma_p^k.$$
(5.9)

The following weak monotonicity is a key consequence of the p-conductive homogeneity.

Lemma 5.12 (Weak monotonicity) Let $p \in [1, \infty)$ and suppose that Assumption 5.6 holds. If K is p-conductively homogeneous, then there exists $C \in (0, \infty)$ such that for any $k, l \in \mathbb{N}$, any $A \subseteq T_k$ and any $f \in L^1(K, m)$,

$$\sigma_{p}^{k} \mathcal{E}_{p,A}^{k}(P_{k}f) \leq C \sigma_{p}^{k+l} \mathcal{E}_{p,S^{l}(A)}^{k+l}(P_{k+l}f),$$
(5.10)

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where σ_p is the constant in (5.9).

Proof. This follows immediately by combining [33, Lemma 2.27] and (5.9). \Box

We also recall the "Sobolev space" W^p introduced in [33, Lemma 3.13].

Definition 5.13 Let $p \in [1, \infty)$. Suppose that Assumption 5.6 holds and that *K* is *p*-conductively homogeneous. Let σ_p be the constant in (5.9).

- (1) For $n \in \mathbb{N} \cup \{0\}$, $w \in T_n$, $E \in \mathcal{B}(K)$ with $E \supseteq K_w$ and $f \in L^1(E, m|_E)$, define $P_n f(w) \coloneqq \int_{K_w} f \, dm$.
- (2) We define $\mathcal{N}_p \colon L^p(K, m) \to [0, \infty]$ and $\mathcal{W}^p \subseteq L^p(K, m)$ by

$$\mathcal{N}_p(f) \coloneqq \left(\sup_{n \in \mathbb{N} \cup \{0\}} \sigma_p^n \mathcal{E}_p^n(P_n f)\right)^{1/p}, \quad f \in L^p(K, m),$$
$$\mathcal{W}^p \coloneqq \left\{ f \in L^p(K, m) \mid \mathcal{N}_p(f) < \infty \right\}.$$

Note that $\mathcal{N}_p(f) = 0$ if and only if f is constant on K (see [27, Section 8.1] for details). We also equip \mathcal{W}^p with the norm $\|\cdot\|_{\mathcal{W}^p}$ defined by

$$\|f\|_{W^p} \coloneqq \left(\|f\|_{L^p(K,m)}^p + \mathcal{N}_p(f)^p\right)^{1/p}, \quad f \in \mathcal{W}^p.$$

(3) For $n \in \mathbb{N} \cup \{0\}$, $A \subseteq T_n$, $E \in \mathcal{B}(K)$ with $E \supseteq \bigcup_{w \in A} K_w$ and $f \in L^1(E, m|_E)$, we define

$$\widetilde{\mathcal{E}}_{p,A}^n(f) \coloneqq \sigma_p^n \mathcal{E}_{p,A}^n(P_n f).$$

We also set $\widetilde{\mathcal{E}}_p^n(f) \coloneqq \widetilde{\mathcal{E}}_{p,T_n}^n(f)$ for $f \in L^1(K,m)$.

Now we can introduce a framework to construct a p-resistance form on K.

Assumption 5.14 Let $(K, d, \{K_w\}_{w \in T}, m)$ satisfy Assumption 5.6. In addition, $(K, d, \{K_w\}_{w \in T}, m, p)$ satisfies the following conditions:

- (1) The measure m is Ahlfors regular with respect to d. (Recall (3.21).)
- (2) K is p-conductively homogeneous.
- (3) $\sigma_p > 1$, where σ_p is the constant in (5.9).
- **Remark 5.15** (1) By [32, Theorem 4.6.9], Assumption 5.14-(3) is equivalent to $p > \dim_{ARC}(K, d)$, where $\dim_{ARC}(K, d)$ denotes the Ahlfors regular conformal dimension of (K, d). (See, e.g., [33, (1.1)] for the definition of $\dim_{ARC}(K, d)$.)
- (2) It is highly non-trivial in general to verify that a given compact metric space K is p-conductively homogeneous. In [33, Sections 4.3–4.6] and [34], the p-conductive homogeneity for $p > \dim_{ARC}(K, d)$ has been proved for various large classes of self-similar sets K in \mathbb{R}^n equipped with the Euclidean metric d.

In the following theorem, we recall a fundamental result on \mathcal{W}^p .

Theorem 5.16 ([33, Lemmas 3.16, 3.19, 3.24 and Theorem 3.22], [27, Theorem 8.16]) Let $p \in [1, \infty)$. Suppose that $(K, d, \{K_w\}_{w \in T}, m)$ satisfies Assumption 5.6 and that K is p-conductively homogeneous. Then W^p equipped with the norm $\|\cdot\|_{W^p}$ is a Banach space. If p > 1, then W^p is reflexive and separable. If $p > \dim_{ARC}(K, d)$, or equivalently $\sigma_p > 1$, then $W^p \subseteq C(K)$ and W^p is dense in $(C(K), \|\cdot\|_{sup})$.

Let us introduce an important exponent, which we call the *p*-walk dimension, to describe the main result in this section.

Definition 5.17 Suppose that Assumption 5.6 holds, that *m* is Ahlfors regular and that *K* is *p*-conductively homogeneous. Let $r_* \in (0, 1)$ be the constant in (5.1), let σ_p be the constant in (5.9) and let d_f be the Hausdorff dimension of (K, d). Define

$$d_{\mathrm{w},p} \coloneqq d_{\mathrm{f}} + \frac{\log \sigma_p}{\log r_*^{-1}}.$$
(5.11)

We call $d_{w,p}$ the *p*-walk dimension of $(K, d, \{K_w\}_{w \in T}, m)$.

The next proposition states a suitable capacity upper bound in this framework.

Proposition 5.18 ([27, Proposition 8.21]) Suppose that $(K, d, \{K_w\}_{w \in T}, m, p)$ satisfies Assumption 5.14. Then there exists $C \in (0, \infty)$ such that for any $(x, s) \in K \times (0, 1]$,

$$\inf\left\{\mathcal{N}_p(f)^p \mid f \in \mathcal{W}^p, f|_{B_d(x,s)} = 1, \operatorname{supp}_K[f] \subseteq B_d(x, 2s)\right\} \le Cs^{d_f - d_{w,p}}.$$
(5.12)

We also consider the following setting to deal with the case $p \leq \dim_{ABC}(K, d)$.

Assumption 5.19 Let $(K, d, \{K_w\}_{w \in T}, m)$ satisfy Assumption 5.6. In addition, $(K, d, \{K_w\}_{w \in T}, m, p)$ satisfies the following conditions:

- (1) The measure m is Ahlfors regular with respect to d.
- (2) K is p-conductively homogeneous.
- (3) There exists $C \in (0, \infty)$ such that for any $(x, s) \in K \times (0, 1]$,

$$\inf \left\{ \mathcal{N}_p(f)^p \mid f \in \mathcal{W}^p \cap C(K), f|_{B_d(x,s)} = 1, \operatorname{supp}_K[f] \subseteq B_d(x, 2s) \right\}$$

$$\leq C s^{d_f - d_{w,p}}.$$
(5.13)

Note that Assumption 5.14 implies Assumption 5.19 by Proposition 5.18.

The same argument as in [39, Lemma 6.26] yields a good partition of unity under Assumption 5.19 as given in Lemma 5.20 and thus we obtain the regularity of W^p in Corollary 5.21.

Lemma 5.20 Suppose that Assumption 5.19 holds. Let $\varepsilon \in (0, 1)$ and let V be a maximal ε -net of (K, d). Then there exists a family of functions $\{\psi_z\}_{z \in V}$ that satisfies the following properties:

(i) $\sum_{z \in V} \psi_z \equiv 1.$

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- (ii) $\psi_z \in W^p \cap C(K), \ 0 \le \psi_z \le 1, \ \psi_z|_{B_d(z,\varepsilon/4)} \equiv 1 \text{ and } \operatorname{supp}_K[\psi_z] \subseteq B_d(z, \varepsilon/4) \text{ for any } z \in V.$
- (iii) If $z \in V$ and $z' \in V \setminus \{z\}$, then $\psi_{z'}|_{B_d(z,\varepsilon/4)} \equiv 0$.
- (iv) There exists $C \in (0, \infty)$ such that $\mathcal{N}_p(\psi_z)^p \leq C \varepsilon^{d_f d_{w,p}}$ for any $z \in V$.

Corollary 5.21 Suppose that Assumption 5.19 holds. Then $W^p \cap C(K)$ is dense in $(C(K), \|\cdot\|_{sup})$.

5.2 Localized energy estimates

In this subsection, we show localized energy estimates on Korevaar–Schoen *p*-energy forms, which will imply $(WM)_{p,k}$ with the family of kernels k^{s_p} (recall (3.26)) and the equality $s_p = d_{w,p}/p$. Estimates in this subsection are very similar to [39, Section 7] although the setting of "partitions" in [39] is slightly different from ours.

We start with the following lemma giving a Poincaré-type estimate.

Lemma 5.22 ([27, Lemma 8.22]) Suppose that Assumption 5.19 holds. Then there exists a constant $C \in (0, \infty)$ such that for any $f \in L^p(K, m)$ and any $w \in T$,

$$\int_{K_w} \left| f(x) - f_{K_w} \right|^p \, m(dx) \le C r_*^{|w|d_{w,p}} \liminf_{n \to \infty} \tilde{\mathcal{E}}_{p,S^n(w)}^{n+|w|}(f).$$
(5.14)

The next proposition shows an upper bound on localized Korevaar–Schoen energy functionals.

Proposition 5.23 Suppose that Assumption 5.19 holds. Then there exists $C \in (0, \infty)$ such that for any $E \in \mathcal{B}(K)$, any open neighborhood E' of \overline{E}^K and any $f \in L^p(E', m|_{E'})$,

$$\limsup_{r \downarrow 0} \int_{E} \int_{B_{d}(x,r)} \frac{|f(x) - f(y)|^{p}}{r^{d_{w,p}}} m(dy)m(dx)$$

$$\leq C \limsup_{r \downarrow 0} \liminf_{n \to \infty} \widetilde{\mathcal{E}}^{n}_{p,T_{n}[(E)_{d,r}]}(f), \qquad (5.15)$$

Furthermore, with $C \in (0, \infty)$ the same as in (5.15), for any $f \in L^p(K, m)$,

$$\sup_{r>0} \int_{K} \oint_{B_{d}(x,r)} \frac{|f(x) - f(y)|^{p}}{r^{d_{w,p}}} m(dy)m(dx) \le C\mathcal{N}_{p}(f)^{p}.$$
 (5.16)

Proof. Let $r_* \in (0, 1)$ and $M_* \in \mathbb{N}$ be the constants in Assumption 5.6. Let r > 0and choose $n(r) \in \mathbb{N}$ satisfying $c_3 r_*^{n(r)+1} < r \le c_3 r_*^{n(r)}$, where c_3 is the constant in (5.2). Then, for any $w \in T_{n(r)}$ and $x \in K_w$, we have $B_d(x, r) \subseteq U_{M_*}(x; n(r)) \subseteq$ $U_{M_*+1}(w)$. Let $f \in L^p(E', m|_{E'})$, where E' is an open neighborhood of \overline{E}^K . Set $c := (M_* + 2)c_2(c_3r_*)^{-1} \in (0, \infty)$, where c_2 is the constant in (5.1). Then, by (5.1), $\bigcup_{w \in T_{n(r)}[E]} S^k(\Gamma_{M_*+1}(w)) \subseteq T_{k+n(r)}[(E)_{d,cr}]$ for any $k \in \mathbb{N}$ and there exists $r_0 \in (0, \infty)$ such that $(E)_{d,cr} \subseteq E'$ for any $r \in (0, r_0)$. By using $|f(x) - f(y)|^p \leq |f(x) - f_{K_w}|^p + |f(y) - f_{K_v}|^p + |f_{K_v} - f_{K_w}|^p$ and Lemma 5.22, we see that for any $r \in (0, r_0)$,

$$\int_{E} \int_{B_{d}(x,r)} \frac{|f(x) - f(y)|^{p}}{r^{d_{w,p}}} m(dy)m(dx)
\leq r_{*}^{-n(r)d_{f}} \sum_{w \in T_{n(r)}[E], v \in \Gamma_{M_{*}+1}(w)} \int_{K_{w}} \int_{K_{v}} \frac{|f(x) - f(y)|^{p}}{r^{d_{w,p}}} m(dy)m(dx)
\lesssim \sum_{w \in T_{n(r)}[E], v \in \Gamma_{M_{*}+1}(w)} \left(\liminf_{k \to \infty} \widetilde{\mathcal{E}}_{p,S^{k}(v)}^{k+n(r)}(f) + \liminf_{k \to \infty} \widetilde{\mathcal{E}}_{p,S^{k}(w)}^{k+n(r)}(f) \right)
+ \sum_{w \in T_{n(r)}[E]} \sigma_{p}^{n(r)} |f_{K_{v}} - f_{K_{w}}|^{p}
\lesssim \sum_{w \in T_{n(r)}[E]} \liminf_{k \to \infty} \widetilde{\mathcal{E}}_{p,S^{k}(\Gamma_{M_{*}+1}(w))}^{k+n(r)}(f) + \sum_{w \in T_{n(r)}[E]} \widetilde{\mathcal{E}}_{p,\Gamma_{M_{*}+1}(w)}^{n(r)}(f). \quad (5.17)$$

Since the partition $\{K_w\}_{w \in T}$ is uniformly finite, we have

$$\sum_{w \in T_{n(r)}[E]} \liminf_{k \to \infty} \widetilde{\mathcal{E}}_{p,S^{k}(\Gamma_{M_{*}+1}(w))}^{k+n(r)}(f) \leq \liminf_{k \to \infty} \sum_{w \in T_{n(r)}[E]} \widetilde{\mathcal{E}}_{p,S^{k}(\Gamma_{M_{*}+1}(w))}^{n+n(r)}(f)$$
$$\lesssim \liminf_{k \to \infty} \widetilde{\mathcal{E}}_{p,T_{k}[(E)_{d,cr}]}^{k}(f).$$
(5.18)

We also have from Lemma 5.12 that

$$\sum_{w \in T_{n(r)}[E]} \widetilde{\mathcal{E}}_{p,\Gamma_{M_{*}+1}(w)}^{n(r)}(f) \leq \widetilde{\mathcal{E}}_{p,T_{n(r)}[(E)_{d,cr}]}^{n(r)}(f) \leq \liminf_{n \to \infty} \widetilde{\mathcal{E}}_{p,T_{n}[(E)_{d,cr}]}^{n}(f).$$
(5.19)

By (5.17), (5.18) and (5.19), there exists $C \in (0, \infty)$ (depending only on the constants associated with Assumption 5.6) such that for any $r \in (0, r_0)$,

$$\int_{E} \int_{B_{d}(x,r)} \frac{|f(x) - f(y)|^{p}}{r^{d_{w,p}}} m(dy)m(dx) \le C \liminf_{n \to \infty} \widetilde{\mathcal{E}}_{p,T_{n}[(E)_{d,cr}]}^{n}(f), \quad (5.20)$$

whence we obtain (5.15) by letting $r \downarrow 0$ in (5.20). If $f \in L^p(K, m)$, then we have (5.16) by letting E := K in (5.20).

Before proving inequalities in the converse direction matching (5.15) and (5.16), let us introduce a localized version of W^p .

Definition 5.24 Let *U* be a non-empty open subset of *K*. We define a linear subspace $W_{loc}^p(U)$ of $L^0(U, m|_U)$ by

$$\mathcal{W}_{\text{loc}}^{p}(U) \coloneqq \left\{ f \in L^{0}(U, m|_{U}) \middle| \begin{array}{l} f = f^{\#} \text{ m-a.e. on } V \text{ for some } f^{\#} \in \mathcal{W}^{p} \text{ for} \\ \text{ each relatively compact open subset } V \text{ of } U \end{array} \right\}.$$

The following lower bound on localized Korevaar–Schoen energy functionals can be shown in a similar way as [6, Theorem 5.2].

Proposition 5.25 Suppose that Assumption 5.19 holds. Then there exists $C \in (0, \infty)$ such that for any $E \subseteq K$, any open neighborhood E' of \overline{E}^K and any $u \in W^p_{loc}(E')$,

$$\limsup_{n \to \infty} \widetilde{\mathcal{E}}_{p,T_n[E]}^n(u) \le C \liminf_{\delta \downarrow 0} \liminf_{r \downarrow 0} \int_{(E)_{d,\delta}} \oint_{B_d(x,r)} \frac{|u(x) - u(y)|^p}{r^{d_{w,p}}} m(dy) m(dx).$$
(5.21)

Furthermore, with $C \in (0, \infty)$ the same as in (5.21), for any $f \in L^p(K, m)$,

$$\mathcal{N}_{p}(f)^{p} \leq C \liminf_{r \downarrow 0} \int_{K} \int_{B_{d}(x,r)} \frac{|f(x) - f(y)|^{p}}{r^{d_{\mathrm{w},p}}} \, m(dy)m(dx).$$
(5.22)

Proof. Let $r \in (0, 1)$, let N_r be a maximal *r*-net of (K, d), and let $\{\psi_{z,r}\}_{z \in N_r}$ be a partition of unity as given in Lemma 5.20. Define $A_r : L^p(K,m) \to W^p \cap C(K)$ by $A_r f := \sum_{z \in N_r} f_{B_d(z,r/4)}\psi_{z,r}$ for $f \in L^p(K,m)$. Then we can easily see that $\lim_{r\to 0} ||A_r f - f||_{L^p(K,m)} = 0$ and $\sup_{r>0} ||A_r||_{L^p(K,m)\to L^p(K,m)} < \infty$. For any large $n \in \mathbb{N}$ so that $4c_2r_n^n < r$, where c_2 is the constant in (5.1), a similar argument as in [39, Lemma 7.4] shows that there exists $C_1 > 0$ depending only on the constants associated with Assumption 5.6 such that

$$\widetilde{\mathcal{E}}_{p,T_{n}[B_{d}(z,5r/4)]}^{n}(A_{r}f) \leq C_{1} \sum_{w \in N_{r} \cap B_{d}(z,11r/4)} \int_{B_{d}(w,3r)} \int_{B_{d}(x,9r)} \frac{|f(x) - f(y)|^{p}}{r^{d_{w,p}}} m(dy)m(dx).$$
(5.23)

Let us fix $\delta > 0$ and define $N_r(E) := \{z \in N_r \mid E \cap B_d(z, r) \neq \emptyset\}$. Then, for any small enough r > 0 so that $r < \delta/7$, we have $E \subseteq \bigcup_{z \in N_r(E)} B_d(z, 5r/4)$ and

$$\bigcup_{z \in N_r(E)} \bigcup_{w \in N_r \cap B_d(z, 11r/4)} B_d(w, 3r) \subseteq (E)_{d, \delta},$$

whence we see that for any $f \in L^{p}(K, m)$,

$$\begin{split} \widetilde{\mathcal{E}}_{p,T_{n}[E]}^{n}(A_{r}f) \\ &\leq \sum_{z \in N_{r}(E)} \widetilde{\mathcal{E}}_{p,T_{n}[B_{d}(z,5r/4)]}^{n}(A_{r}f) \\ &\stackrel{(5.23)}{\leq} C_{1} \sum_{z \in N_{r}(E)} \sum_{w \in N_{r} \cap B_{d}(z,11r/4)} \int_{B_{d}(w,3r)} \int_{B_{d}(x,9r)} \frac{|f(x) - f(y)|^{p}}{r^{d_{w,p}}} m(dy)m(dx) \\ &\lesssim \int_{(E)_{d,\delta}} \int_{B_{d}(x,9r)} \frac{|f(x) - f(y)|^{p}}{r^{d_{w,p}}} m(dy)m(dx), \end{split}$$
(5.24)

where we used the metric doubling property of (K, d) in the last inequality. (Here, we consider small enough r > 0 so that $r < \delta/7$ and large enough $n \in \mathbb{N}$ so that $4c_2r_*^n < r$.)

To prove the desired estimate (5.21) for $u \in W_{loc}^{p}(E')$, we fix a relatively compact open subset V of E' and $u^{\#} \in W^{p}$ satisfying $V \supseteq \overline{E}^{K}$, $u^{\#} \in W^{p}$ and $u = u^{\#} m$ -a.e. on V. Also, fix a sequence $\{r_{k}\}_{k \in \mathbb{N}} \subseteq (0, \infty)$ such that $r_{k} \downarrow 0$ as $k \to \infty$ and

$$\lim_{k \to \infty} r_k^{-d_{w,p}} J_{p,r_k}(u^{\#} \mid (E)_{d,\delta}) = \liminf_{r \downarrow 0} r^{-d_{w,p}} J_{p,r}(u^{\#} \mid (E)_{d,\delta}) \le \mathcal{N}_p(u^{\#}) < \infty,$$

where $J_{p,r}(g \mid A) \coloneqq \int_A \int_{B_d(x,r)} \frac{|g(x)-g(y)|^p}{r^{d_{W,p}}} m(dy)m(dx)$ for $g \in L^p(K,m)$ and $A \in \mathcal{B}(K)$. Set $u_k \coloneqq A_{r_k/9}u^{\#}$ for each $k \in \mathbb{N}$. By combining (5.24) with E = K and (5.16), for all large $k \in \mathbb{N}$, we have

$$\mathcal{N}_{p}(u_{k})^{p} \lesssim \int_{K} \int_{B_{d}(x,r_{k})} \frac{\left|u^{\#}(x) - u^{\#}(y)\right|^{p}}{r_{k}^{d_{w,p}}} m(dy)m(dx) \lesssim \mathcal{N}_{p}(u^{\#})^{p} < \infty,$$
(5.25)

which implies that $\{u_k\}_{k \in \mathbb{N}}$ is bounded in \mathcal{W}^p . Since \mathcal{W}^p is reflexive by Theorem 5.16, we can assume that u_k converges weakly in \mathcal{W}^p to some function $u_{\infty} \in \mathcal{W}^p$ as $k \to \infty$. Since \mathcal{W}^p is continuously embedded in $L^p(K,m)$, we have $u_{\infty} = u^{\#}$. Hence, by Mazur's lemma and (5.24), we obtain

$$\limsup_{n \to \infty} \widetilde{\mathcal{E}}_{p, T_n[E]}^n(u^{\#}) \le \liminf_{\delta \downarrow 0} \liminf_{r \downarrow 0} r^{-d_{\mathrm{w}, p}} J_{p, r}(u^{\#} \mid (E)_{d, \delta}).$$
(5.26)

Note that, by (5.1), $\bigcup_{w \in T_n[E]} K_w \subseteq V$ for all large enough $n \in \mathbb{N}$ and $(E)_{d,r+\delta} \subseteq V$ for all small enough $\delta, r \in (0, \infty)$. For such n, δ and r, we have $\widetilde{\mathcal{E}}_{p,T_n[E]}^n(u^{\#}) = \widetilde{\mathcal{E}}_{p,T_n[E]}^n(u)$ and $J_{p,r}(u^{\#} | (E)_{d,\delta}) = J_{p,r}(u | (E)_{d,\delta})$, whence we obtain (5.21).

We next consider the case E = K. Let $f \in L^p(K, m)$ and set $J_{p,r}(f) \coloneqq J_{p,r}(f|K)$ for r > 0. Similar to the previous case, we assume that $\{r_k\}_{k \in \mathbb{N}}$ is a sequence of positive numbers such that $r_k \downarrow 0$ as $k \to \infty$ and

$$\lim_{k \to \infty} r_k^{-d_{\mathrm{w},p}} J_{p,r_k}(f) = \liminf_{r \downarrow 0} r^{-d_{\mathrm{w},p}} J_{p,r}(f) < \infty,$$

which together with (5.24) implies that $\{A_{r_k/9}f\}_{k\in\mathbb{N}}$ is a bounded sequence in \mathcal{W}^p . A similar argument using Mazur's lemma as in the previous paragraph yields (5.22).

5.3 Weak monotonicity and Poincaré inequality

Now we can prove the main theorem of this section, which verifies $(WM)_{p,k}$ for the family of kernels $k = k^{s_p}$ defined by (3.26) and (3.27) for the first time in the setting

of a *p*-conductively homogeneous compact metric space equipped with an Ahlfors regular measure. This also solves a part of [33, Section 6.3, Problem 4].

Theorem 5.26 Suppose that $(K, d, \{K_w\}_{w \in T}, m, p)$ satisfies Assumption 5.19, and let s_p , $\mathbf{k} := \mathbf{k}^{s_p}$ and $\mathrm{KS}^{1,p}$ be as defined in Example 3.14. Then $s_p = d_{w,p}/p$, $\mathcal{W}^p = \mathrm{KS}^{1,p}$, $\mathcal{W}^p \cap C(K)$ is dense in \mathcal{W}^p , and $(\mathrm{WM})_{p,\mathbf{k}}$ holds. Moreover, there exists $C \in [1, \infty)$ such that

$$C^{-1} \sup_{r>0} J^{k}_{p,r}(f) \le \mathcal{N}_{p}(f)^{p} \le C \liminf_{r\downarrow 0} J^{k}_{p,r}(f) \quad \text{for any } f \in L^{p}(K,m).$$
(5.27)

Proof. By (5.16) and (5.22), we have $W^p = B_{p,\infty}^{d_{w,p}/p}$ and (5.27). (Recall Example 3.14 for the definition of $B_{p,\infty}^s$.) In particular, $s_p \ge d_{w,p}/p$. To show the opposite inequality, let $s > d_{w,p}/p$ and let $f \in W^p \setminus \mathbb{R}\mathbf{1}_K$. (Note that W^p contains a non-constant function by (5.12).) Let $A_r : L^p(K,m) \to W^p \cap C(K)$ be the same operator as in the proof of Proposition 5.25 for each $r \in (0, 1)$. Then, by (5.24) with E = K,

$$\frac{r^{d_{w,p}}}{r^{sp}}\widetilde{\mathcal{E}}_p^n(A_rf) \le C \int_K \oint_{B_d(x,9r)} \frac{|f(x) - f(y)|^p}{r^{sp}} m(dy)m(dx)$$
(5.28)

for any $n \in \mathbb{N}$ and $r \in (0, 1)$ with $4c_2r_*^n < r$, where c_2 is the constant in (5.1) and C > 0 is a constant independent of f, r, and n. As in the proof of [33, Theorem 3.21], let $\{\widetilde{\mathcal{E}}_p^{n_k}\}_{k\in\mathbb{N}}$ be a Γ -converging subsequence of $\{\widetilde{\mathcal{E}}_p^n\}_{n\in\mathbb{N}}$ and define $\widehat{\mathcal{E}}_p$ as its Γ -limit. Since $\widehat{\mathcal{E}}_p$ is lower semicontinuous with respect to the $L^p(K, m)$ -topology (see [13, Proposition 6.8]) and $\widehat{\mathcal{E}}_p \asymp \mathcal{N}_p(\cdot)^p$ (see [33, pp. 45–46]), we see that

$$0 < \mathcal{N}_p(f)^p \lesssim \widehat{\mathcal{E}}_p(f) \le \liminf_{r \downarrow 0} \widehat{\mathcal{E}}_p(A_r f) \le \liminf_{r \downarrow 0} \liminf_{k \to \infty} \widetilde{\mathcal{E}}_p^{n_k}(A_r f),$$

which together with (5.28) and $\lim_{r\to 0} r^{d_{W,p}-sp} = \infty$ implies that $f \notin B_{p,\infty}^s$. Since $s > d_{W,p}/p$ is arbitrary, we conclude that $d_{W,p}/p \ge s_p$. In particular, we obtain $W^p = \mathrm{KS}^{1,p}$ and $(\mathrm{WM})_{p,k}$. The inclusion $W^p \subseteq \overline{W^p \cap C(K)}^{W^p}$ follows from (5.25) and Mazur's lemma, so we complete the proof.

Corollary 5.27 Suppose that $(K, d, \{K_w\}_{w \in T}, m, p)$ satisfies Assumption 5.14. Then any Korevaar–Schoen p-energy form $(\mathcal{E}_p^{\text{KS}}, \mathcal{W}^p)$ on (K, d, m), which exists by Theorems 5.26 and 3.8 (recall Example 3.14), is a p-resistance form on K, and there exist $\alpha_0, \alpha_1 \in (0, \infty)$ such that for any such $(\mathcal{E}_p^{\text{KS}}, \mathcal{W}^p)$,

$$\alpha_0 d(x, y)^{d_{w, p} - d_{f}} \le R_{\mathcal{E}_{p}^{KS}}(x, y) \le \alpha_1 d(x, y)^{d_{w, p} - d_{f}} \quad for any \, x, y \in K.$$
(5.29)

Proof. Define $\mathbf{k} \coloneqq \mathbf{k}^s$ by (3.26) with $s = d_{w,p}/p$. Then by Theorem 5.26, Proposition 5.18 and [6, Theorem 3.2], the assumptions of Proposition 3.13 with $d_f, d_{w,p}$ in place of Q, β_p hold under Assumption 5.14, so $(\mathcal{E}_p^{KS}, \mathcal{W}^p)$ is a *p*-resistance form on *K*. The estimate (5.29) follows from the d_f -Ahlfors regularity of *m* and Proposition 3.13.

We also have a Poincaré-type inequality in terms of the localized versions of $(\mathcal{E}_p^k, \mathcal{W}^p)$. (For the Vicsek set, such a Poincaré-type inequality was proved in [7, Corollary 4.2].)

Proposition 5.28 Suppose that $(K, d, \{K_w\}_{w \in T}, m, p)$ satisfies Assumption 5.19. Then there exist $C \in (0, \infty)$ and $A \in [1, \infty)$ such that for any $(z, s) \in K \times (0, 1]$ and any $f \in W_{loc}^p(B_d(z, As))$,

$$\int_{B_{d}(z,s)} |f(y) - f_{B_{d}(z,s)}|^{p} m(dy)$$

$$\leq Cs^{d_{w,p}} \liminf_{r \downarrow 0} \int_{B_{d}(z,As)} \int_{B_{d}(x,r)} \frac{|f(x) - f(y)|^{p}}{r^{d_{w,p}}} m(dy)m(dx).$$
(5.30)

Proof. Throughout this proof, $M_* \in \mathbb{N}$ and $r_* \in (0, 1)$ are the same constants as in Assumption 5.6. We assume that $f \in W^p$ for simplicity. Let $(z, s) \in K \times (0, 1]$ and choose $n \in \mathbb{N}$ satisfying $c_3 r_*^n \ge s > c_3 r_*^{n+1}$, where c_3 is the constant in (5.2). Let $f \in L^p(K, m)$ and set $\Gamma_{M_*}(z; n) \coloneqq \{v \in T \mid v \in \Gamma_{M_*}(w) \text{ for some } w \in T_n \text{ with } z \in K_w\}$. Then we see that

$$\begin{split} &\int_{U_{M_{*}}(z;n)} \left| f(y) - f_{U_{M_{*}}(x;n)} \right|^{p} m(dy) \\ &\leq \sum_{w \in \Gamma_{M_{*}}(z;n)} \int_{K_{w}} \left| f(y) - f_{U_{M_{*}}(x;n)} \right|^{p} m(dy) \\ &\leq 2^{p-1} \sum_{w \in \Gamma_{M_{*}}(z;n)} \left(\int_{K_{w}} \left| f(y) - f_{K_{w}} \right|^{p} m(dy) + m(K_{w}) \left| f_{K_{w}} - f_{U_{M_{*}}(x;n)} \right|^{p} \right) \\ &\lesssim \sum_{w \in \Gamma_{M_{*}}(z;n)} \left(s^{d_{w,p}} \liminf_{k \to \infty} \widetilde{\mathcal{E}}_{p,S^{k}(w)}^{n+k}(f) + s^{d_{f}} \left| f_{K_{w}} - f_{U_{M_{*}}(z;n)} \right|^{p} \right). \tag{5.31}$$

Since $\min_{v \in \Gamma_{M_*}(z;n)} f_{K_v} \leq f_{U_{M_*}(z;n)} \leq \max_{v \in \Gamma_{M_*}(z;n)} f_{K_v}$, for any $w \in \Gamma_{M_*}(z;n)$ there exists $w' \in \Gamma_{M_*}(z;n) \setminus \{w\}$ such that $|f_{K_w} - f_{U_{M_*}(z;n)}| \leq |f_{K_w} - f_{K_{w'}}|$, which together with Hölder's inequality yields that

$$\left| f_{K_w} - f_{U_{M_*}(z;n)} \right|^p \lesssim \mathcal{E}_{p,\Gamma_{2M_*}(w)}^n(f) \lesssim s^{d_{w,p}-d_{\rm f}} \liminf_{k \to \infty} \tilde{\mathcal{E}}_{p,S^k(\Gamma_{2M_*}(w))}^{n+k}(f), \quad (5.32)$$

where we used (5.9) and [33, (2.17)] in the last inequality. Note that $\sup_{v \in T} \#\Gamma_M(w) \le L_*^M$ by (5.2) and the volume doubling property of *m*. This observation together with (5.31) and (5.32) implies that

$$\begin{split} &\int_{U_{M_*}(z;n)} \left| f(y) - f_{U_{M_*}(x;n)} \right|^p \, m(dy) \\ &\lesssim s^{d_{\mathrm{w},p}} \liminf_{k \to \infty} \sum_{w \in \Gamma_{M_*}(z;n)} \widetilde{\mathcal{E}}_{p,S^k(\Gamma_{2M_*}(w))}^{n+k}(f) \lesssim s^{d_{\mathrm{w},p}} \liminf_{k \to \infty} \widetilde{\mathcal{E}}_{p,T_k[B_d(z,As/2)]}^k(f) \end{split}$$

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$$\overset{(5.21)}{\lesssim} s^{d_{\mathrm{w},p}} \liminf_{r \downarrow 0} \int_{B_d(z,As)} \oint_{B_d(x,r)} \frac{|f(y) - f(x)|^p}{r^{d_{\mathrm{w},p}}} m(dy) m(dx)$$

which yields (5.30) in the case $f \in W^p$ since

$$\int_{U_{M_*}(z;n)} |f(y) - f_{U_{M_*}(x;n)}|^p \ m(dy) \gtrsim \int_{B_d(z,s)} |f(y) - f_{B_d(z,s)}|^p \ m(dy).$$

The case $f \in W_{loc}^p(B_d(x, A's))$, where A' > A (set, e.g., A' = 2A), is similar. \Box

5.4 Self-similar *p*-energy forms based on Korevaar–Schoen *p*-energy forms

In this subsection, we construct a self-similar *p*-energy form by improving [33, Theorem 4.6]. We need some preparations before constructing such a good self-similar *p*-energy form. We first review basic notation and terminology on self-similar structures. In particular, we recall the notion of a post-critically finite self-similar structure introduced by Kigami [28], which is mainly dealt with in the next section. See [29, Section 1] and [30, Chapter 1] for further details. Throughout this section, we fix a compact metrizable space *K*, a finite set *S* with $\#S \ge 2$ and a continuous injective map $F_i: K \to K$ for each $i \in S$. We set $\mathcal{L} := (K, S, \{F_i\}_{i \in S})$.

- **Definition 5.29** (1) Let $W_0 := \{\emptyset\}$, where \emptyset is an element called the *empty word*, let $W_n := S^n = \{w_1 \dots w_n \mid w_i \in S \text{ for } i \in \{1, \dots, n\}\}$ for $n \in \mathbb{N}$ and let $W_* := \bigcup_{n \in \mathbb{N} \cup \{0\}} W_n$. For $w \in W_*$, the unique $n \in \mathbb{N} \cup \{0\}$ with $w \in W_n$ is denoted by |w| and called the *length of* w.
- (2) We set $\Sigma := S^{\mathbb{N}} = \{\omega_1 \omega_2 \omega_3 \dots | \omega_i \in S \text{ for } i \in \mathbb{N}\}$, which is always equipped with the product topology of the discrete topology on *S*, and define the *shift map* $\sigma: \Sigma \to \Sigma$ by $\sigma(\omega_1 \omega_2 \omega_3 \dots) := \omega_2 \omega_3 \omega_4 \dots$. For $i \in S$ we define $\sigma_i: \Sigma \to \Sigma$ by $\sigma_i(\omega_1 \omega_2 \omega_3 \dots) := i\omega_1 \omega_2 \omega_3 \dots$. For $\omega = \omega_1 \omega_2 \omega_3 \dots \in \Sigma$ and $n \in \mathbb{N} \cup \{0\}$, we write $[\omega]_n := \omega_1 \dots \omega_n \in W_n$.
- (3) For $w = w_1 \dots w_n \in W_*$, we set $F_w \coloneqq F_{w_1} \circ \dots \circ F_{w_n}$ $(F_\emptyset \coloneqq \mathrm{id}_K)$, $K_w \coloneqq F_w(K)$, $\sigma_w \coloneqq \sigma_{w_1} \circ \dots \circ \sigma_{w_n}$ $(\sigma_\emptyset \coloneqq \mathrm{id}_\Sigma)$ and $\Sigma_w \coloneqq \sigma_w(\Sigma)$.
- (4) Let $w, v \in W_*$, $w = w_1 \dots w_{n_1}$, $v = v_1 \dots v_{n_2}$. We define $wv \in W_*$ by $wv \coloneqq w_1 \dots w_{n_1}v_1 \dots v_{n_2}$ ($w\emptyset \coloneqq w, \emptyset v \coloneqq v$). We write $w \le v$ if and only if $w = v\tau$ for some $\tau \in W_*$.
- (5) A finite subset Λ of W_{*} is called a *partition* of Σ if and only if Σ_w ∩ Σ_v = Ø for any w, v ∈ Λ with w ≠ v and Σ = ⋃_{w∈Λ} Σ_w.
- (6) Let Λ_1, Λ_2 be partitions of Σ . We say that Λ_1 is a *refinement* of Λ_2 , and write $\Lambda_1 \leq \Lambda_2$, if and only if for each $w^1 \in \Lambda_1$ there exists $w^2 \in \Lambda_2$ such that $w^1 \leq w^2$.

Definition 5.30 $\mathcal{L} = (K, S, \{F_i\}_{i \in S})$ is called a *self-similar structure* if and only if there exists a continuous surjective map $\chi : \Sigma \to K$ such that $F_i \circ \chi = \chi \circ \sigma_i$ for any

 $i \in S$. Note that such χ , if it exists, is unique and satisfies $\{\chi(\omega)\} = \bigcap_{n \in \mathbb{N}} K_{[\omega]_n}$ for any $\omega \in \Sigma$.

Definition 5.31 Let $\mathcal{L} = (K, S, \{F_i\}_{i \in S})$ be a self-similar structure.

(1) We define the *critical set* $C_{\mathcal{L}}$ and the *post-critical set* $\mathcal{P}_{\mathcal{L}}$ of \mathcal{L} by

$$C_{\mathcal{L}} \coloneqq \chi^{-1} \left(\bigcup_{i, j \in S, i \neq j} K_i \cap K_j \right) \quad \text{and} \quad \mathcal{P}_{\mathcal{L}} \coloneqq \bigcup_{n \in \mathbb{N}} \sigma^n(C_{\mathcal{L}}).$$

 \mathcal{L} is called *post-critically finite*, *p.-c.f.* for short, if and only if $\mathcal{P}_{\mathcal{L}}$ is a finite set. (2) We set $V_0 := \chi(\mathcal{P}_{\mathcal{L}}), V_n := \bigcup_{w \in W_n} F_w(V_0)$ for $n \in \mathbb{N}$ and $V_* := \bigcup_{n \in \mathbb{N} \cup \{0\}} V_n$.

The set V_0 should be considered as the "boundary" of the self-similar set K; indeed, $K_w \cap K_v = F_w(V_0) \cap F_v(V_0)$ for any $w, v \in W_*$ with $\Sigma_w \cap \Sigma_v = \emptyset$ by [29, Proposition 1.3.5-(2)]. According to [29, Lemma 1.3.11], $V_{n-1} \subseteq V_n$ for any $n \in \mathbb{N}$, and V_* is dense in K if $V_0 \neq \emptyset$.

Definition 5.32 (Self-similar measure) Let $\mathcal{L} = (K, S, \{F_i\}_{i \in S})$ be a self-similar structure and let $(\theta_i)_{i \in S} \in (0, 1)^S$ satisfy $\sum_{i \in S} \theta_i = 1$. A Borel probability measure *m* on *K* is said to be a *self-similar measure on* \mathcal{L} *with weight* $(\theta_i)_{i \in S}$ if and only if the following equality (of Borel measures on *K*) holds:

$$m = \sum_{i \in S} \theta_i(F_i)_* m.$$
(5.33)

Next we introduce the notion of self-similarity for *p*-energy forms and *p*-resistance forms.

Definition 5.33 (Self-similar *p*-energy/*p*-resistance form) Let $\mathcal{L} = (K, S, \{F_i\}_{i \in S})$ be a self-similar structure and let *m* be a Radon measure on *K* with full topological support. Let $(\rho_{p,s})_{s \in S} \in (0, \infty)^S$ and define $\rho_{p,w} \coloneqq \rho_{p,w_1} \cdots \rho_{p,w_n}$ for each $w = w_1 \dots w_n \in W_*$. A *p*-energy form $(\mathcal{E}_p, \mathcal{F}_p)$ on (K, m) (with $\mathcal{F}_p \subseteq L^p(K, m)$) is called a *self-similar p-energy form on* (\mathcal{L}, m) *with weight* $(\rho_{p,s})_{s \in S}$ if and only if the following hold:

$$\mathcal{F}_p \cap C(K) = \{ u \in C(K) \mid u \circ F_s \in \mathcal{F}_p \text{ for any } s \in S \},$$
(5.34)

$$\mathcal{E}_p(u) = \sum_{s \in S} \rho_{p,s} \mathcal{E}_p(u \circ F_s) \quad \text{for any } u \in \mathcal{F}_p \cap C(K).$$
(5.35)

If $\mathcal{F}_p \subseteq C(K)$ and $(\mathcal{E}_p, \mathcal{F}_p)$ is a *p*-resistance form on *K* satisfying (5.34) and (5.35), then $(\mathcal{E}_p, \mathcal{F}_p)$ is called a *self-similar p-resistance form on* \mathcal{L} *with weight* $(\rho_{p,s})_{s \in S}$.

We will focus on self-similar structures having *rationally related contraction ratios* as in [33]. In the next definition, we introduce a good partition parametrized by a rooted tree.

Definition 5.34 ([33, Definition 4.2]) Let $\mathcal{L} = (K, S, \{F_i\}_{i \in S})$ be a self-similar structure, let $r \in (0, 1)$ and let $(j_s)_{s \in S} \in \mathbb{R}^S$. Define

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$$j(w) \coloneqq \sum_{i=1}^{n} j_{w_i}$$
 and $g(w) \coloneqq r^{j(w)}$ for $w = w_1 \dots w_n \in W_n$.

Define $\widetilde{\pi}(w_1 \cdots w_n) \coloneqq w_1 \cdots w_{n-1}$ for $w = w_1 \dots w_n \in W_n$ and

$$\Lambda_{r^k}^g \coloneqq \{w = w_1 \cdots w_n \in W_* \mid g(\widetilde{\pi}(w)) > r^k \ge g(w)\}$$

Set $T_k^{(r)} \coloneqq \{(k, w) \mid w \in \Lambda_{r^k}^g\}$ and $T^{(r)} \coloneqq \bigcup_{k \in \mathbb{N} \cup \{0\}} T_k^{(r)}$. Moreover, define $E_{T^{(r)}} \subseteq T^{(r)} \times T^{(r)}$ by

$$E_{T^{(r)}} := \left\{ ((k, v), (k+1, w)) \in T_k^{(r)} \times T_{k+1}^{(r)} \mid k \in \mathbb{N} \cup \{0\}, v = w \text{ or } v = \widetilde{\pi}(w) \right\}.$$

We introduce the following assumption in order to construct a self-similar *p*-energy form on (\mathcal{L}, m) . (Recall that we have fixed $p \in (1, \infty)$.)

Assumption 5.35 Let $\mathcal{L} = (K, S, \{F_i\}_{i \in S})$ be a self-similar structure such that $\#S \ge 2$ and K is connected. There exist $r_* \in (0, 1), (j_s)_{s \in S} \in \mathbb{N}^S$ and a metric d giving the original topology of K with diam(K, d) = 1 such that $(K, d, \{K_w\}_{w \in T^{(r_*)}}, m, p)$ satisfies Assumption 5.19, where $d_f \in (0, \infty)$ is such that $\sum_{s \in S} r_*^{j_s d_f} = 1$ and m is the self-similar measure on K with weight $(r_*^{j_s d_f})_{s \in S}$. (The collection $\{F_i\}_{i \in S}$ is said to have rationally related contraction ratios $(r_*^{j_s})_{s \in S}$.)

Under Assumption 5.35, we have $V_0 \neq \emptyset$ since *K* is connected and $\#S \ge 2$ (see [29, Proposition 1.3.5-(3)] or [29, Theorem 1.6.2]). Also, we can easily show that *m* is *d*_f-Ahlfors regular as stated in the following proposition (see [33, Proposition 4.5]).

Proposition 5.36 Suppose that \mathcal{L} is a self-similar structure and that there exist $r_* \in (0, 1), (j_s)_{s \in S} \in \mathbb{N}^S$ and a metric d giving the original topology of K with diam(K, d) = 1 such that $(K, d, \{K_w\}_{w \in T^{(r_*)}}, m)$ satisfies Assumption 5.6. Let $d_f \in (0, \infty)$ be such that $\sum_{s \in S} r_*^{j_s d_f} = 1$ and let m be the self-similar measure on K with weight $(r_*^{j_s d_f})_{s \in S}$. Then d_f is the Hausdorff dimension of (K, d) and m is d_f -Ahlfors regular with respect to d.

To construct a self-similar *p*-energy form, we need to take care of the pre-selfsimilarity condition (see [39, Theorem 8.12]). We can easily verify this condition in the case $\sigma_p > 1$ by modifying [33, Proof of Theorem 4.6]; see [27, Section 8.2] for details.

Proposition 5.37 Suppose that Assumption 5.35 holds and that $\sigma_p > 1$. Then (5.34) with W^p in place of \mathcal{F}_p holds and there exists $C \in [1, \infty)$ such that for any $n \in \mathbb{N}$ and any $u \in W^p \subseteq C(K)$,

$$C^{-1}\sum_{w\in W_n}\sigma_p^{j(w)}\mathcal{N}_p(u\circ F_w)^p\leq \mathcal{N}_p(u)^p\leq C\sum_{w\in W_n}\sigma_p^{j(w)}\mathcal{N}_p(u\circ F_w)^p.$$

Now we can present an improvement of [33, Theorem 4.6] in the following formulation.

Theorem 5.38 Suppose that Assumption 5.35 holds, that (5.34) with W^p in place of \mathcal{F}_p holds, and that there exists $C_0 \in [1, \infty)$ such that for any $n \in \mathbb{N}$ and any $u \in W^p \cap C(K)$,

$$C_0^{-1}\sum_{w\in W_n}\sigma_p^{j(w)}\mathcal{N}_p(u\circ F_w)^p \le \mathcal{N}_p(u)^p \le C_0\sum_{w\in W_n}\sigma_p^{j(w)}\mathcal{N}_p(u\circ F_w)^p.$$
 (5.36)

For each $n \in \mathbb{N}$, define $\mathbf{k}^{(n)} = \{k_r^{(n)}\}_{r>0}$ by

$$k_r^{(n)}(x,y) \coloneqq \frac{1}{n+1} \sum_{l=0}^n \sum_{w \in W_l} r_*^{-j(w) \cdot (d_{w,p} + d_{\mathrm{f}})} \frac{\mathbf{1}_{A_{w,r}}(x,y)}{r^{d_{w,p} + d_{\mathrm{f}}}}, \quad x, y \in K,$$

where $A_{w,r} := \{(x, y) \in K_w \times K_w \mid d(F_w^{-1}(x), F_w^{-1}(y)) < r\}$. Then $\mathbf{k}^{(n)}$ is asymptotically local, $(WM)_{p,\mathbf{k}^{(n)}}$ holds, $B_{p,\infty}^{\mathbf{k}^{(n)}} = W^p$, and for any sequence $\{(\mathcal{E}_p^{\mathbf{k}^{(n)}}, \mathcal{W}^p)\}_{n \in \mathbb{N}}$ with $(\mathcal{E}_p^{\mathbf{k}^{(n)}}, \mathcal{W}^p)$ a $\mathbf{k}^{(n)}$ -Korevaar–Schoen p-energy form on (K,m) for each $n \in \mathbb{N}$, there exists a sequence $\{n_j\}_{j \in \mathbb{N}} \subseteq \mathbb{N}$ with $n_j < n_{j+1}$ for any $j \in \mathbb{N}$ such that the following limit exists in $[0, \infty)$ for any $u \in \mathcal{W}^p$:

$$\check{\mathcal{E}}_{p}^{\mathrm{KS}}(u) \coloneqq \lim_{j \to \infty} \mathcal{E}_{p}^{k^{(n_{j})}}(u).$$
(5.37)

Moreover, for any such $\{\mathcal{E}_p^{k^{(n)}}\}_{n \in \mathbb{N}}$ and $\{n_j\}_{j \in \mathbb{N}}$, the functional $\check{\mathcal{E}}_p^{\mathrm{KS}} \colon \mathcal{W}^p \to [0, \infty)$ defined by (5.37) satisfies the following properties:

- (a) $(\check{\mathcal{E}}_p^{\text{KS}}, \mathcal{W}^p)$ is a self-similar *p*-energy form on (\mathcal{L}, m) with weight $(\sigma_p^{j_s})_{s \in S}$.
- (b) For any $u \in W^p$,

$$(CC_0)^{-1}\mathcal{N}_p(u)^p \le \check{\mathcal{E}}_p^{\mathrm{KS}}(u) \le CC_0\mathcal{N}_p(u)^p, \tag{5.38}$$

where $C, C_0 \in [1, \infty)$ are the constants in (5.27) and in (5.36) respectively.

(c) $(\check{\mathcal{E}}_p^{\text{KS}}, \mathcal{W}^p)$ satisfies $(\text{GC})_p$. Furthermore, for any $u, v \in \mathcal{W}^p$, $\{\mathcal{E}_p^{k^{(n_j)}}(u; v)\}_{j \in \mathbb{N}}$ is convergent in \mathbb{R} and

$$\check{\mathcal{E}}_{p}^{\mathrm{KS}}(u;v) = \lim_{j \to \infty} \mathcal{E}_{p}^{\boldsymbol{k}^{(n_{j})}}(u;v).$$
(5.39)

- (d) Theorem 3.8-(c),(d),(e) with $(\check{\mathcal{E}}_p^{\text{KS}}, \mathcal{W}^p)$ in place of $(\mathcal{E}_p^k, B_{p,\infty}^k)$ hold.
- (e) For any isometric map $T: (K, d) \to (K, d)$ preserving $m, u \circ T \in W^p$ and $\check{\mathcal{E}}_p^{\mathrm{KS}}(u \circ T) = \check{\mathcal{E}}_p^{\mathrm{KS}}(u)$ for any $u \in W^p$.
- (f) If in addition $\sigma_p > 1$, then $(\check{\mathcal{E}}_p^{\text{KS}}, \mathcal{W}^p)$ is a *p*-resistance form on *K*, and there exist $\alpha_0, \alpha_1 \in (0, \infty)$ independent of particular choices of $\{\mathcal{E}_p^{k^{(n)}}\}_{n \in \mathbb{N}}$ and $\{n_j\}_{j \in \mathbb{N}}$ such that

$$\alpha_0 d(x, y)^{d_{w, p} - d_{f}} \le R_{\check{\mathcal{E}}_{p}^{KS}}(x, y) \le \alpha_1 d(x, y)^{d_{w, p} - d_{f}} \quad for \ any \ x, y \in K.$$
(5.40)

Proof. Set $\mathbf{k} = \{k_r\}_{r>0}$ by $k_r(x, y) \coloneqq r^{-d_{w,p}-d_f} \mathbf{1}_{B_d(x,r)}(y)$. Recall that $B_{p,\infty}^{\mathbf{k}} = KS^{1,p}$ since $ps_p = d_{w,p}$ and m is d_f -Ahlfors regular (see Example 3.14, Theorem 5.26 and Proposition 5.36). By using (5.2), we can easily see that $\mathbf{k}^{(n)}$ is asymptotically local. Let us show $(WM)_{p,\mathbf{k}^{(n)}}$. Note that for any r > 0 and any $u \in L^p(K, m)$, we have

$$J_{p,r}^{\boldsymbol{k}^{(n)}}(u) = \frac{1}{n+1} \sum_{l=0}^{n} \sum_{w \in W_{l}} \sigma_{p}^{j(w)} J_{p,r}^{\boldsymbol{k}}(u \circ F_{w}),$$
(5.41)

where we used $(F_w \times F_w)^{-1}(A_{w,r}) = \{(x, y) \in K \times K \mid d(x, y) < r\}$ and $m = r_*^{j(w)d_{\mathrm{f}}}(F_w)_*m$. By combining (5.41), Theorem 5.26 and (5.36), we obtain $(\mathrm{WM})_{p,k^{(n)}}$. Moreover, for any $n \in \mathbb{N}$ and any $u \in W^p$,

$$(CC_0)^{-1} \sup_{r>0} J_{p,r}^{k^{(n)}}(u) \le \mathcal{N}_p(u)^p \le CC_0 \liminf_{r\to 0} J_{p,r}^{k^{(n)}}(u), \tag{5.42}$$

where $C, C_0 \in [1, \infty)$ are the constants in (5.27) and in (5.36) respectively. In particular, $B_{p,\infty}^{k^{(n)}} = W^p$ and $\{\mathcal{E}_p^{k^{(n)}}(u)\}_{n \in \mathbb{N}}$ is bounded for each $u \in W^p$. Since W^p is separable and $\mathcal{E}_p^{k^{(n)}} \simeq \mathcal{N}_p(\cdot)^p$ by (5.42), a standard diagonal argument implies that there exists $\{n_j\}_{j \in \mathbb{N}} \subseteq \mathbb{N}$ with $n_j < n_{j+1}$ such that the limit $\lim_{j\to\infty} \mathcal{E}_p^{k^{(n_j)}}(u) =$: $\check{\mathcal{E}}_p^{KS}(u)$ exists for any $u \in W^p$. From this definition, (5.42) and Theorem 3.8-(b), we immediately see that (5.38) holds and that $(\check{\mathcal{E}}_p^{KS}, W^p)$ satisfies (GC)_p.

(a): Since we assume that W^p satisfies (5.34), it suffices to show the following equality for any $u \in W^p$:

$$\check{\mathcal{E}}_{p}^{\mathrm{KS}}(u) = \sum_{s \in S} \sigma_{p}^{j_{s}} \check{\mathcal{E}}_{p}^{\mathrm{KS}}(u \circ F_{s}).$$
(5.43)

From Theorem 3.8 together with a diagonal argument, we can choose a sequence $\{r_l\}_{l \in \mathbb{N}} \subseteq (0, \infty)$ with $\lim_{l \to \infty} r_l = 0$ such that $\mathcal{E}_p^{k^{(n_j)}}(u) = \lim_{l \to \infty} J_{p,r_l}^{k^{(n_j)}}(u)$ for any $j \in \mathbb{N}$ and any $u \in \mathcal{W}^p$. Using (5.41), we easily see that for any $(j, l) \in \mathbb{N}^2$ and any $u \in L^p(K, m)$,

$$\begin{split} &\sum_{s \in S} \sigma_p^{j_s} J_{p,r_l}^{k^{(n_j)}}(u \circ F_s) + \frac{1}{n_j + 1} J_{p,r_l}^{k^{(n_j)}}(u) \\ &= J_{p,r_l}^{k^{(n_j)}}(u) + \frac{1}{n_j + 1} \sum_{w \in W_{n_j + 1}} \sigma_p^{j(w)} J_{p,r_l}^{k^{(n_j)}}(u \circ F_w) \end{split}$$

Letting $l \to \infty$ and $j \to \infty$, we obtain (5.43) by (5.42) and (5.36).

(c): Similar to the proof of (3.9), by using Proposition 2.4 and the convexity of $t \mapsto \mathcal{E}_p^{k^{(n_j)}}(u+tv)$, we can prove (5.39).

(d): This is clear from Theorem 3.8-(c),(d),(e) for $(\check{\mathcal{E}}_p^{k^{(n)}}, \mathcal{W}^p)$ and (5.39).

(e): If $T: (K, d) \to (K, d)$ is an isometric map preserving *m*, then for any $n \in \mathbb{N}$, $k^{(n)}$ is clearly *T*-invariant, and hence by Theorem 3.8-(f) and $B_{p,\infty}^{k^{(n)}} = W^p$ we have

 $u \circ T \in \mathcal{W}^p$ and $\mathcal{E}_p^{k^{(n)}}(u \circ T) = \mathcal{E}_p^{k^{(n)}}(u)$ for any $u \in \mathcal{W}^p$, which together with (5.37) implies that $\check{\mathcal{E}}_p^{\mathrm{KS}}(u \circ T) = \check{\mathcal{E}}_p^{\mathrm{KS}}(u)$ for any $u \in \mathcal{W}^p$.

(f): In the case $\sigma_p > 1$, we easily see that $(\check{\mathcal{E}}_p^{\text{KS}}, \mathcal{W}^p)$ is a *p*-resistance form on *K* satisfying (5.40) by combining Proposition 3.13, d_f -Ahlfors regularity of *m*, $d_{\text{W},p} > d_f$ by $\sigma_p > 1$, Theorem 5.16, Proposition 5.18 and [33, Lemma 3.34].

We collect properties of the *p*-energy measures associated with $(\check{\mathcal{E}}_p^{KS}, \mathcal{W}^p)$ in the following theorem. See also [27, Sections 4 and 5] for other basic properties. Let us emphasize that we do not know whether Theorem 5.39-(c) below holds in a more general setting of self-similar *p*-energy forms like that of [27].

Theorem 5.39 Suppose the same assumptions as in Theorem 5.38, let $(\mathcal{E}_p^{k^{(n)}}, \mathcal{W}^p)$ be any $k^{(n)}$ -Korevaar–Schoen *p*-energy form on (K,m) for each $n \in \mathbb{N}$, let $\{n_j\}_{j\in\mathbb{N}} \subseteq \mathbb{N}$ be any sequence as in Theorem 5.38, and let $(\check{\mathcal{E}}_p^{KS}, \mathcal{W}^p)$ be the *p*-energy form on (K,m) defined by (5.37). Then for any $u \in \mathcal{W}^p \cap C(K)$, there exists a unique positive Radon measure $\check{\Gamma}_p^{KS}(u)$ on K such that

$$\int_{K} \varphi \, d\check{\Gamma}_{p}^{\mathrm{KS}} \langle u \rangle$$

= $\check{\mathcal{E}}_{p}^{\mathrm{KS}}(u; u\varphi) - \left(\frac{p-1}{p}\right)^{p-1} \check{\mathcal{E}}_{p}^{\mathrm{KS}}(|u|^{\frac{p}{p-1}}; \varphi) \quad for any \ \varphi \in \mathcal{W}^{p} \cap C(K).$ (5.44)

Moreover, the following hold:

(a) For any $u \in W^p$, there exists a unique positive Radon measure $\check{\Gamma}_p^{\text{KS}}\langle u \rangle$ on K such that for any $\{u_n\}_{n \in \mathbb{N}} \subseteq W^p \cap C(K)$ with $\lim_{n \to \infty} N_p(u - u_n) = 0$ and any Borel measurable function $\varphi \colon K \to [0, \infty)$ with $\|\varphi\|_{\sup} < \infty$,

$$\int_{K} \varphi \, d\breve{\Gamma}_{p}^{\text{KS}} \langle u \rangle = \lim_{n \to \infty} \int_{K} \varphi \, d\breve{\Gamma}_{p}^{\text{KS}} \langle u_{n} \rangle, \tag{5.45}$$

and $\check{\Gamma}_p^{\text{KS}}\langle u \rangle$ further satisfies $\check{\Gamma}_p^{\text{KS}}\langle u \rangle(K) = \check{\mathcal{E}}_p^{\text{KS}}(u)$. Moreover, for each such φ , $(\int_K \varphi \, d\check{\Gamma}_p^{\text{KS}}\langle \cdot \rangle, W^p)$ is a *p*-energy form on *K* satisfying (GC)_p.

(b) Theorem 4.6, with W^p and $\check{\Gamma}^k_p$ in place of $\mathcal{D}^{k,b}_{p,\infty}$ and Γ^k_p respectively, holds. In particular, for any $u, v \in W^p$,

$$\breve{\Gamma}_{p}^{\text{KS}}\langle u; v \rangle(A) \coloneqq \frac{1}{p} \left. \frac{d}{dt} \breve{\Gamma}_{p}^{\text{KS}} \langle u + tv \rangle(A) \right|_{t=0}, \quad A \in \mathcal{B}(K),$$
(5.46)

defines a signed Borel measure on K such that $\check{\Gamma}_p^{\text{KS}}\langle u; v \rangle(K) = \check{\mathcal{E}}_p^{\text{KS}}(u; v)$ and $\check{\Gamma}_p^{\text{KS}}\langle u; u \rangle = \check{\Gamma}_p^{\text{KS}}\langle u \rangle$. Furthermore, for any $u, v \in W^p$ and any $\varphi \in C(K)$,

$$\int_{K} \varphi \, d\breve{\Gamma}_{p}^{\text{KS}} \langle u; v \rangle = \lim_{j \to \infty} \int_{K} \varphi \, d\Gamma_{p}^{\boldsymbol{k}^{(n_{j})}} \langle u; v \rangle.$$
(5.47)

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- (c) Theorem 4.8-(a),(b), with W^p and $\check{\Gamma}_p^{\text{KS}}$ in place of $\mathcal{D}_{p,\infty}^{k,b}$ and Γ_p^k respectively, hold.
- (d) Theorems 4.9, 4.10 and 4.11, with $W^p \cap C(K)$ and $\check{\Gamma}_p^{\text{KS}}$ in place of $B_{p,\infty}^k \cap C_b(K)$ and Γ_p^k respectively, hold.

Proof. Fix $\{n_j\}_{j \in \mathbb{N}} \subseteq \mathbb{N}$ so that $\check{\mathcal{E}}_p^{\mathrm{KS}} = \lim_{j \to \infty} \mathcal{E}_p^{k^{(n_j)}}$. Let $u \in \mathcal{W}^p \cap C(K)$. Letting $j \to \infty$ in (4.6) with $\mathcal{E}_p^{k^{(n_j)}}$ in place of \mathcal{E}_p^k and using (5.39), we have

$$0 \leq \Psi_p(u;\varphi) \coloneqq \check{\mathcal{E}}_p^{\mathrm{KS}}(u;u\varphi) - \left(\frac{p-1}{p}\right)^{p-1} \check{\mathcal{E}}_p^{\mathrm{KS}}(|u|^{\frac{p}{p-1}};\varphi) \leq \|\varphi\|_{\mathrm{sup}} \check{\mathcal{E}}_p^{\mathrm{KS}}(u)$$

for any $\varphi \in W^p \cap C(K)$ with $\varphi \ge 0$. Since $W^p \cap C(K)$ is dense in C(K), we can get the desired positive Radon measure $\check{\Gamma}_p^{\text{KS}}\langle u \rangle$ (in the case $u \in W^p \cap C(K)$) by using the Riesz–Markov–Kakutani representation theorem as done in the proof of Theorem 4.2. Also, we easily see that

$$\int_{K} \psi \, d\check{\Gamma}_{p}^{\text{KS}} \langle u \rangle = \lim_{j \to \infty} \int_{K} \psi \, d\Gamma_{p}^{\boldsymbol{k}^{(n_{j})}} \langle u \rangle \quad \text{for any } \psi \in C(K),$$
(5.48)

whence $\left(\int_{K} \psi \, d\check{\Gamma}_{p}^{\text{KS}}\langle \cdot \rangle, \mathcal{W}^{p} \cap C(K)\right)$ is a *p*-energy form on (K, m) satisfying $(\text{GC})_{p}$. Then we can prove (a) by following the same argument as in the proof of Theorem 4.5.

The property (b) except for $\check{\Gamma}_{p}^{\text{KS}}\langle u; v \rangle(K) = \check{\mathcal{E}}_{p}^{\text{KS}}(u; v)$ and for (5.47) follow from [27, Theorem 4.5 and Proposition 4.6]. The equality (5.47) can be shown in the same way as the proof of (3.9) by using (5.48), Proposition 2.4 and the convexity of $t \mapsto \int_{K} \varphi \, d\check{\Gamma}_{p}^{\text{KS}}\langle u + tv \rangle$. We have $\check{\Gamma}_{p}^{\text{KS}}\langle u; v \rangle(K) = \check{\mathcal{E}}_{p}^{\text{KS}}(u; v)$ from Proposition 4.7, (5.37) and (5.47).

The statement (c) and the chain rule (4.22) with $\check{\Gamma}_p^{\text{KS}}$ in place of Γ_p^k are immediate from (5.47) and the corresponding properties of $\Gamma_p^{k^{(n_j)}}$. Since we can follow the proofs of Theorems 4.10 and 4.11 by using the chain rule of $\check{\Gamma}_p^{\text{KS}}$, we complete the proof of (d).

Remark 5.40 There is another way to construct the *p*-energy measures associated with $(\check{\mathcal{E}}_p^{\text{KS}}, \mathcal{W}^p)$, which is based on the self-similarity (5.43); see [27, Section 5.2] for the details of this construction (see also Proposition 6.12 below). The resulting *p*-energy measures turn out to satisfy (5.44) and therefore coincide with the ones $\{\check{\Gamma}_p^{\text{KS}}\langle u \rangle\}_{u \in \mathcal{W}^p}$ constructed in Theorem 5.39 (see [27, Proposition 5.12]).

6 *p*-Resistance forms on p.-c.f. self-similar structures

In this section, we verify $(WM)_{p,k}$ for a family of kernels k corresponding to the (1, p)-Korevaar–Schoen–Sobolev space under the assumption of the existence of a good p-resistance form on a post-critically finite self-similar structure. (See

[9, Theorem 4.2] or [27, Section 8.3] for the existing construction of self-similar *p*-resistance forms in this setting.)

6.1 Geometry under the *p*-resistance metric

We first present the setting of this section. Throughout this section, we presume the following assumption.

Assumption 6.1 Let $p \in (1, \infty)$ and $\mathcal{L} = (K, S, \{F_i\}_{i \in S})$ be a p.-c.f. self-similar structure with $\#S \ge 2$ and K connected. Let $(\mathcal{E}_p, \mathcal{F}_p)$ be a self-similar *p*-resistance form on \mathcal{L} with weight $(\rho_{p,i})_{i \in S} \in (0, \infty)^S$ such that

$$\min_{i \in S} \rho_{p,i} > 1. \tag{6.1}$$

Let $d_{f,p} \in (0, \infty)$ be such that $\sum_{i \in S} \rho_{p,i}^{-d_{f,p}/(p-1)} = 1$, and let *m* be the self-similar measure on \mathcal{L} with weight $(\rho_{p,i}^{-d_{f,p}/(p-1)})_{i \in S}$.

Remark 6.2 (1) The condition (6.1) corresponds to the condition (R) in [9, p. 18].

(2) Assumption 6.1 is equivalent to the existence of a *p*-eigenform on V_0 with respect to the renormalization operator with weight $(\rho_{p,i})_{i \in S} \in (1, \infty)^S$, i.e., a *p*-resistance form $\mathcal{E}_p^{(0)}$ on V_0 such that

$$\inf\left\{\sum_{i\in S} \rho_{p,i} \mathcal{E}_p^{(0)}(v \circ F_i) \middle| v \in \mathbb{R}^{V_1}, v|_{V_0} = u\right\} = \mathcal{E}_p^{(0)}(u) \quad \text{for any } u \in \mathbb{R}^{V_0};$$

see [27, Proposition 6.19 and Theorem 8.42] for a detailed proof of this equivalence. In the case p = 2, this is nothing but the existence of a regular harmonic structure on \mathcal{L} as defined in [29, Definition 3.1.2].

- (3) Any self-similar *p*-resistance form constructed in [33, Theorem 4.6] must satisfy *ρ_{p,i}* = *σ_p^{n_i* for some *n_i* ∈ N, where *σ_p* is the constant in (5.9). This restriction excludes the self-similar *p*-resistance forms with weight (*ρ_{p,i}*)_{*i*∈S} ∈ (1,∞)^S satisfying (log *ρ_{p,i}*)/log *ρ_{p,j}* ∉ Q for some *i*, *j* ∈ S, whereas they are covered by [9]; as proved in [27, Proposition B.2], they do exist abundantly on plenty of typical affine nested fractals.}
- (4) It is easy to see that $d_{f,p} \ge 1$ by using (6.1) and (6.3) below.

In this subsection, we will show the Ahlfors regularity of m, the capacity upper bound and the Poincaré inequality in terms of the *p*-resistance metric of $(\mathcal{E}_p, \mathcal{F}_p)$, which is defined as follows.

Definition 6.3 (*p*-**Resistance metric; [27, Definition 6.33**]) We define the *p*-resistance metric $\widehat{R}_{p,\mathcal{E}_p}$: $K \times K \to [0,\infty)$ of $(\mathcal{E}_p,\mathcal{F}_p)$ by

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Fig. 1 Some examples of affine nested fractals

$$\widehat{R}_{p,\mathcal{E}_p}(x,y) \coloneqq R_{\mathcal{E}_p}(x,y)^{\frac{1}{p-1}}, \quad x,y \in K$$
(6.2)

(recall (3.18)). For simplicity, we write $\widehat{R}_p := \widehat{R}_{p,\mathcal{E}_p}$.

We record some properties of $R_{\mathcal{E}_p}$ and \widehat{R}_p .

Proposition 6.4 ([27, Proposition 7.2 and Corollary 6.32])

(1) For any $w \in W_*$ and any $x, y \in K$,

$$R_{\mathcal{E}_p}(F_w(x), F_w(y)) \le \rho_{p,w}^{-1} R_{\mathcal{E}_p}(x, y).$$
(6.3)

- (2) \widehat{R}_p is a metric on K giving the original topology of K. In particular, $\overline{V_*}^{\widehat{R}_p} = K$.
- (3) For any $u \in \mathcal{F}_p$ and $x, y \in K$,

$$|u(x) - u(y)|^p \le R_{\mathcal{E}_p}(x, y)\mathcal{E}_p(u).$$

In particular, $\mathcal{F}_p \subseteq C(K)$.

In the next definition, we introduce the *symmetry* on *K* with respect to $(\mathcal{E}_p, \mathcal{F}_p)$.

Definition 6.5 We define

$$\mathcal{G} \coloneqq \left\{ T \mid X \to K, T \text{ is a homeomorphism preserving } m, \text{ and} \right\}, \qquad (6.4)$$
$$\mathcal{G} \coloneqq \left\{ T \mid u \circ T, u \circ T^{-1} \in \mathcal{F}_p \text{ and } \mathcal{E}_p(u \circ T) = \mathcal{E}_p(u) \text{ for any } u \in \mathcal{F}_p \right\},$$

which forms a subgroup of the group of surjective isometries of (K, \widehat{R}_p) by (3.18) and (6.2).

Let us introduce natural *scales* $\{\Lambda_s\}_{s \in (0,1]}$ with respect to \widehat{R}_p . (See [26, Definitions 6.12 and 6.13] for the case p = 2.)

Definition 6.6 (1) We define $\Lambda_1 \coloneqq \{\emptyset\}$,

$$\Lambda_s := \left\{ w \mid w = w_1 \dots w_n \in W_* \setminus \{\emptyset\}, (\rho_{p,w_1 \dots w_{n-1}})^{-1/(p-1)} > s \ge \rho_{p,w}^{-1/(p-1)} \right\}$$

for each $s \in (0, 1)$. (Note that $\{\Lambda_s\}_{s \in (0, 1]}$ is the scale associated with the weight function $g(w) \coloneqq \rho_{p,w}^{-1/(p-1)}$; see [32, Definition 2.3.1].)

(2) For each $(s,x) \in (0,1] \times K$, we define $\Lambda_{s,0}(x) \coloneqq \{w \in \Lambda_s \mid x \in K_w\},$ $U_0(x,s) \coloneqq \bigcup_{w \in \Lambda_{s,0}(x)} K_w, \Lambda_{s,1}(x) \coloneqq \{w \in \Lambda_s \mid K_w \cap U_0(x,s) \neq \emptyset\}$ and $U_1(x,s) \coloneqq \bigcup_{w \in \Lambda_{s,1}(x)} K_w.$

Similar to the case p = 2 in [26, Section 6.1], it is easy to see that $\lim_{s\downarrow 0} \min\{|w| \mid w \in \Lambda_s\} = \infty$, that Λ_s is a partition of Σ for any $s \in (0, 1]$, and that $\Lambda_{s_1} \leq \Lambda_{s_2}$ for any $s_1, s_2 \in (0, 1]$ with $s_1 \leq s_2$. By [32, Proposition 2.3.7], for any $x \in K$, each of $\{U_0(x, s)\}_{s\in(0,1]}$ and $\{U_1(x, s)\}_{s\in(0,1]}$ is non-decreasing in s and forms a fundamental system of neighborhoods of x in K. Moreover, $\{U_1(x, s)\}_{s\in(0,1]}$ can be used as a replacement for the metric balls $\{B_{\widehat{R}_p}(x, s)\}_{(x,s)\in K\times(0,\text{diam}(K,\widehat{R}_p)]}$ in (K, \widehat{R}_p) by virtue of the following lemma, which was obtained in [26, Lemma 6.14] in the case p = 2.

Lemma 6.7 There exist $\alpha_1, \alpha_2 \in (0, \infty)$ such that for any $(s, x) \in (0, 1] \times K$,

$$B_{\widehat{R}_{n}}(x,\alpha_{1}s) \subseteq U_{1}(x,s) \subseteq B_{\widehat{R}_{n}}(x,\alpha_{2}s).$$
(6.5)

Proof. By (5.35), we have diam $(K_w, \widehat{R}_p) \leq \rho_{p,w}^{-1/(p-1)} \operatorname{diam}(K, \widehat{R}_p)$ for any $w \in W_*$, which implies the latter inclusion in (6.5) with $\alpha_2 \in (2 \operatorname{diam}(K, \widehat{R}_p), \infty)$ arbitrary. (In particular, diam $(K_w, \widehat{R}_p) < \alpha_2 s$ for any $w \in \Lambda_s$.) We will show the former inclusion in (6.5) in the rest of this proof. To this end, it suffices to prove that there exists $\alpha_1 \in (0, \infty)$ such that $\widehat{R}_p(x, y) \geq \alpha_1 s$ for any $s \in (0, 1]$, any $w, v \in \Lambda_s$ with $K_w \cap K_v = \emptyset$ and any $(x, y) \in K_w \times K_v$. Let $\psi_q \coloneqq h_{V_0}^{\mathcal{E}_p}[\mathbf{1}_q^{V_0}]$ for any $q \in V_0$, where $h_{V_0}^{\mathcal{E}_p}$ denotes the \mathcal{E}_p -harmonic extension operator from V_0 , that is, ψ_q is the unique function in \mathcal{F}_p such that $\psi_q|_{V_0} = \mathbf{1}_q^{V_0}$ and $\mathcal{E}_p(\psi_q) = \min\{\mathcal{E}_p(v) \mid v \in \mathcal{F}_p, v|_{V_0} = \mathbf{1}_q^{V_0}\}$ (see [27, Theorem 6.13]). Fix $w \in \Lambda_s$ and let $u_w \in C(K)$ be such that, for $\tau \in \Lambda_s$,

$$u_{w} \circ F_{\tau} = \begin{cases} 1 & \text{if } \tau = w, \\ \sum_{q \in V_{0}; F_{\tau}(q) \in F_{w}(V_{0})} \psi_{q} & \text{if } \tau \neq w \text{ and } K_{\tau} \cap K_{w} \neq \emptyset, \\ 0 & \text{if } K_{\tau} \cap K_{w} = \emptyset. \end{cases}$$
(6.6)

Since Λ_s is a partition of Σ , we have $u_w \in \mathcal{F}_p$ by (5.34), and

$$\mathcal{E}_{p}(u_{w}) = \sum_{\tau \in \Lambda_{s}} \rho_{p,\tau} \mathcal{E}_{p}(u_{w} \circ F_{\tau})$$
$$= \sum_{\tau \in \Lambda_{s} \setminus \{w\}; K_{\tau} \cap K_{w} \neq \emptyset} \rho_{p,\tau} \mathcal{E}_{p}\left(\sum_{q \in V_{0}; F_{\tau}(q) \in F_{w}(V_{0})} \psi_{q}\right)$$
(6.7)

by (5.35). Set $\overline{\rho}_p := \max_{i \in S} \rho_{p,i} \in (1, \infty)$ and $c_1 := \max_{q \in V_0} \mathcal{E}_p(\psi_q) \in (0, \infty)$. Then $\rho_{p,\tau}^{-1} \ge (\overline{\rho}_p)^{-1} s^{p-1}$ for any $\tau \in \Lambda_s$. Since $\#\{\tau \in \Lambda_s \mid K_\tau \cap K_w \neq \emptyset\} \le (\#\mathcal{C}_{\mathcal{L}})(\#V_0)$ by [29, Lemma 4.2.3], (6.7) together with Hölder's inequality implies Korevaar–Schoen *p*-energy forms and associated *p*-energy measures on fractals

that

$$\mathcal{E}_{p}(u_{w}) \leq (\#\mathcal{C}_{\mathcal{L}})(\#V_{0})\overline{\rho}_{p}s^{-p+1}(\#V_{0})^{p-1}c_{1} \eqqcolon (\alpha_{1}s)^{-(p-1)}.$$
(6.8)

For any $v \in \Lambda_s$ with $K_w \cap K_v = \emptyset$ and any $(x, y) \in K_w \times K_v$, we clearly have $u_w(x) = 1$ and $u_w(y) = 0$. Hence

$$\widehat{R}_p(x, y) \ge \mathcal{E}_p(u)^{-1/(p-1)} \ge \alpha_1 s,$$

which proves the desired result.

Now we can show that *m* is $d_{f,p}$ -Ahlfors regular (see [26, Lemma 6.8] for the case p = 2).

Lemma 6.8 There exist $c_1, c_2 \in (0, \infty)$ such that for any $x \in K$ and any $s \in (0, 2 \operatorname{diam}(K, \widehat{R}_p)]$,

$$c_1 s^{d_{f,p}} \le m(B_{\widehat{R}_p}(x,s)) \le c_2 s^{d_{f,p}}.$$
 (6.9)

Proof. This is immediate from (6.5), $\#\{\tau \in \Lambda_s \mid K_\tau \cap K_w \neq \emptyset\} \le (\#C_{\mathcal{L}})(\#V_0)$ (see [29, Lemma 4.2.3]) and $m(K_w) = \rho_{p,w}^{-1/(p-1)}$ (see [29, Corollary 1.4.8]).

The proof of Lemma 6.7 includes the following capacity upper bound in terms of the *p*-resistance metric \hat{R}_p .

Proposition 6.9 There exists $C \in (0, \infty)$ such that for any $x \in K$ and any $s \in (0, 2 \operatorname{diam}(K, \widehat{R}_p)]$,

$$\inf \left\{ \mathcal{E}_p(u) \mid u \in \mathcal{F}_p, u|_{B_{\widehat{R}_p}(x, \alpha_1 s)} = 1, \operatorname{supp}_K[u] \subseteq B_{\widehat{R}_p}(x, 2\alpha_2 s) \right\} \le C s^{-(p-1)},$$
(6.10)

where α_1, α_2 are the constants in (6.5).

Proof. Let $u_w \in \mathcal{F}_p$ be the same function as in the proof of Lemma 6.7 for each $w \in \Lambda_s$. Then $\varphi := \max_{w \in \Lambda_{s,1}(x)} u_w$ satisfies $\varphi|_{U_1(x,s)} = 1$. Since diam $(K_w, \hat{R}_p) < \alpha_2 s$, we see from (6.5) that $\operatorname{supp}_K[\varphi] \subseteq B_{\hat{R}_p}(x, 2\alpha_2 s)$. By (2.3) for $(\mathcal{E}_p, \mathcal{F}_p)$, (6.8) and [29, Lemma 4.2.3], we have $\varphi \in \mathcal{F}_p$ and

$$\mathcal{E}_p(\varphi) \le \sum_{w \in \Lambda_{s,1}(x)} \mathcal{E}_p(u_w) \le (\alpha_1 s)^{-(p-1)} (\# \mathcal{C}_{\mathcal{L}}) (\# V_0) \eqqcolon \mathcal{C}s^{-(p-1)}.$$

Similar to Lemma 5.20 and Corollary 5.21, we can easily show the next lemma as a consequence of (6.10), and obtain the regularity of \mathcal{F}_p .

Lemma 6.10 Let $\varepsilon \in (0, 1)$ and let V be a maximal ε -net of (K, R_p) . Then there exists a family of functions $\{\psi_z\}_{z \in V}$ that satisfies the following properties:

- (i) $\sum_{z \in V} \psi_z \equiv 1.$
- (ii) $\psi_z \in \mathcal{F}_p, 0 \le \psi_z \le 1, \psi_z|_{B_{\widehat{R}_p}(z,\varepsilon/4)} \equiv 1 \text{ and } \operatorname{supp}_K[\psi_z] \subseteq B_{\widehat{R}_p}(z,5\varepsilon/4) \text{ for any } z \in V;$

- (iii) If $z \in V$ and $z' \in V \setminus \{z\}$, then $\psi_{z'}|_{B_{\widehat{R}_p}(z,\varepsilon/4)} \equiv 0$.
- (iv) There exists $C \in (0, \infty)$ such that $\mathcal{E}_p(\psi_z) \leq C \varepsilon^{-(p-1)}$ for any $z \in V$.

Corollary 6.11 $(\mathcal{E}_p, \mathcal{F}_p)$ is regular, i.e., \mathcal{F}_p is dense in $(C(K), \|\cdot\|_{sup})$.

Next, in order to state a Poincaré-type inequality in this context, we introduce the associated self-similar *p*-energy measures in Proposition 6.12 and a localized version of \mathcal{F}_p in Definition 6.13. Thanks to (5.35), we can define the *p*-energy measures associated with $(\mathcal{E}_p, \mathcal{F}_p)$ by using Kolmogorov's extension theorem. We recall fundamental results on the *p*-energy measures constructed in this way in the following proposition. See [39, Section 9] and [27, Section 5.2] for further details and properties of them.

Proposition 6.12 (Self-similar *p***-energy measures)** For each $u \in \mathcal{F}_p$, there exists a unique positive Radon measure $\Gamma_{\mathcal{E}_p}\langle u \rangle$ on K satisfying

$$\int_{K} \varphi \, d\Gamma_{\mathcal{E}_{p}} \langle u \rangle = \mathcal{E}_{p}(u; u\varphi) - \left(\frac{p-1}{p}\right)^{p-1} \mathcal{E}_{p}\left(|u|^{\frac{p}{p-1}}; \varphi\right) \quad for \ any \ \varphi \in \mathcal{F}_{p}. \ (6.11)$$

Moreover, the following hold:

- (i) $\Gamma_{\mathcal{E}_p}\langle u \rangle(K_w) = \rho_{p,w} \mathcal{E}_p(u \circ F_w)$ for any $u \in \mathcal{F}_p$ and any $w \in W_*$.
- (ii) $\Gamma_{\mathcal{E}_n}\langle \cdot \rangle(A)^{1/p}$ is a seminorm on \mathcal{F}_p for any $A \in \mathcal{B}(K)$.
- (iii) $\Gamma_{\mathcal{E}_p}(u)(K_w \cap K_\tau) = 0$ for any $u \in \mathcal{F}_p$ and any $w, \tau \in W_*$ with $\Sigma_w \cap \Sigma_\tau = \emptyset$.
- (iv) $\Gamma_{\mathcal{E}_p}\langle u\rangle(A) = \Gamma_{\mathcal{E}_p}\langle v\rangle(A)$ for any $u, v \in \mathcal{F}_p$ and any $A \in \mathcal{B}(K)$ with $(u-v)|_A \in \mathbb{R}\mathbf{1}_A$.

Proof. For the construction of a candidate for $\Gamma_{\mathcal{E}_p} \langle u \rangle$, see [39, Section 9] or [27, Section 5.2]. Then the properties (ii), (iii) and (iv) follow from [39, Proposition 9.3, Corollaries 9.8 and 9.9] since $\#(K_w \cap K_\tau) < \infty$ by $\#V_0 < \infty$ and [29, Proposition 1.3.5-(2)]. We obtain (i) by combining (iii) and [39, Proposition 9.4]. The equality (6.11) is proved in [27, Proposition 5.12], and the uniqueness of a positive Radon measure on *K* satisfying (6.11) follows from Corollary 6.11 and the uniqueness part of the Riesz–Markov–Kakutani representation theorem (see, e.g., [41, Theorems 2.14 and 2.18]).

Definition 6.13 Let *U* be a non-empty open subset of *K*. We define a linear subspace $\mathcal{F}_{p,\text{loc}}(U)$ of C(U) by

$$\mathcal{F}_{p,\text{loc}}(U) \coloneqq \left\{ f \in C(U) \mid f|_A = f^{\#}|_A \text{ for some } f^{\#} \in \mathcal{F} \text{ for each} \right\}.$$
(6.12)

For each $f \in \mathcal{F}_{p,\text{loc}}(U)$, we further define a positive Radon measure $\Gamma_{\mathcal{E}_p}\langle f \rangle$ on Uas follows. We first define $\Gamma_{\mathcal{E}_p}\langle f \rangle(E) \coloneqq \Gamma_{\mathcal{E}_p}\langle f^{\#} \rangle(E)$ for each relatively compact Borel subset E of U, with $A \subseteq U$ and $f^{\#} \in \mathcal{F}_p$ as in (6.12) chosen so that $E \subseteq A$; this definition of $\Gamma_{\mathcal{E}_p}\langle f \rangle(E)$ is independent of a particular choice of such A and $f^{\#}$ by Proposition 6.12-(iv). We then define $\Gamma_{\mathcal{E}_p}\langle f \rangle(E) \coloneqq \lim_{n\to\infty} \Gamma_{\mathcal{E}_p}\langle f \rangle(E \cap A_n)$

for each $E \in \mathcal{B}(U)$, where $\{A_n\}_{n \in \mathbb{N}}$ is a non-decreasing sequence of relatively compact open subsets of U such that $\bigcup_{n \in \mathbb{N}} A_n = U$; it is clear that this definition of $\Gamma_{\mathcal{E}_p} \langle f \rangle(E)$ is independent of a particular choice of $\{A_n\}_{n \in \mathbb{N}}$, coincides with the previous one when E is relatively compact in U, and gives a Radon measure on U.

Now we can prove a Poincaré-type inequality in terms of the *p*-resistance metric.

Proposition 6.14 ((*p*, *p*)-**Poincaré inequality**) There exist $C, A \in (0, \infty)$ with $A \ge 1$ such that for any $(x, s) \in K \times (0, \operatorname{diam}(K, \widehat{R}_p)]$ and any $u \in \mathcal{F}_{p, \operatorname{loc}}(B_{\widehat{R}_p}(x, As))$,

$$\int_{B_{\widehat{R}_p}(x,s)} \left| u(y) - u_{B_{\widehat{R}_p}(x,s)} \right|^p m(dy) \le C s^{d_{\mathfrak{f},p}+p-1} \Gamma_{\mathcal{E}_p} \langle u \rangle (B_{\widehat{R}_p}(x,As)).$$
(6.13)

Proof. For simplicity, we consider the case $u \in \mathcal{F}_p$. Note that, since $m(K_v \cap K_{v'}) = 0$ for any $v, v' \in W_*$ with $\Sigma_v \cap \Sigma_{v'} = \emptyset$ (see [29, Corollary 1.4.8]),

$$\int_{B_{\widehat{R}_{p}}(x,\alpha_{1}s)} \left| u - u_{B_{\widehat{R}_{p}}(x,\alpha_{1}s)} \right|^{p} dm \leq \sum_{w \in \Lambda_{s,1}(x)} \int_{K_{w}} \left| u - u_{U_{1}(x,s)} \right|^{p} dm.$$

Let $w \in \Lambda_{s,1}(x)$. For any $(y, z) \in K_w \times U_1(x, s)$, there exist $v^1, v^2, v^3 \in \Lambda_{s,1}(x)$ such that $v^1 = w, z \in K_{v^3}$ and $K_{v^i} \cap K_{v^{i+1}} \neq \emptyset$ for each $i \in \{1, 2\}$. Let us fix $x_i \in K_{v^i} \cap K_{v^{i+1}}$ and $q_i \in V_0$ so that $x_i = F_{v^i}(q_i)$. Then

$$\begin{split} |u(y) - u(z)|^{p} &\leq 3^{p-1} \Big(|u(y) - u(x^{1})|^{p} + |u(x^{1}) - u(x^{2})|^{p} + |u(x^{2}) - u(z)|^{p} \Big) \\ &\leq (3 \operatorname{diam}(K, \widehat{R}_{p}))^{p-1} \sum_{i=1}^{3} \rho_{p,v^{i}}^{-1} \Gamma_{\mathcal{E}_{p}} \langle u \rangle (K_{v^{i}}) \\ &\leq C s^{p-1} \Gamma_{\mathcal{E}_{p}} \langle u \rangle \left(\bigcup_{i=1}^{3} K_{v^{i}} \right) \leq C s^{p-1} \Gamma_{\mathcal{E}_{p}} \langle u \rangle (B_{\widehat{R}_{p}}(x, \alpha_{2} s)). \end{split}$$

Therefore, noting that $m(K_w) \leq s^{d_{f,p}}$ by (6.5) and (6.9), we have

$$\begin{split} \int_{K_w} \left| u(y) - u_{U_1(x,s)} \right|^p \, m(dy) &\leq \int_{K_w} \oint_{U_1(x,s)} \left| u(y) - u(z) \right|^p \, m(dx) m(dy) \\ &\lesssim s^{d_{\mathrm{f},p} + p - 1} \Gamma_{\mathcal{E}_p} \langle u \rangle (B_{\widehat{R}_p}(x, \alpha_2 s)), \end{split}$$

which together with $\sup_{(x,s)\in K\times(0,1]} #\Lambda_{s,1}(x) < \infty$ (see [29, Lemma 4.2.3]) yields (6.13).

6.2 Estimates on self-similar *p*-energy measures and weak monotonicity

In this subsection, we show localized energy estimates on Korevaar–Schoen *p*energy forms in terms of their associated self-similar *p*-energy measures and verify $(WM)_{p,k}$. We continue to follow the setting in the previous subsection, i.e., we suppose that Assumption 6.1 holds. We consider \mathcal{E}_p as a $[0, \infty]$ -valued functional defined on $L^p(K, m)$ by setting $\mathcal{E}_p(f) \coloneqq \infty$ for $f \in L^p(K, m) \setminus \mathcal{F}_p$.

Similar arguments as in Propositions 5.23 and 5.25 yield an upper bound on localized Korevaar–Schoen energy functionals in Proposition 6.15 and a lower bound on them in Proposition 6.16 below.

Proposition 6.15 There exists $C \in (0, \infty)$ such that for any $E \in \mathcal{B}(K)$, any open neighborhood E' of \overline{E}^K and any $u \in \mathcal{F}_{p, \text{loc}}(E')$,

$$\limsup_{s\downarrow 0} \int_E \int_{B_{\widehat{R}_p}(x,s)} \frac{|u(x) - u(y)|^p}{s^{d_{i,p}+p-1}} \, m(dy) m(dx) \le C \Gamma_{\mathcal{E}_p} \langle u \rangle \big(\overline{E}^K\big). \tag{6.14}$$

Moreover, with $C \in (0, \infty)$ *the same as in* (6.14)*, for any* $f \in L^{p}(K, m)$ *,*

$$\sup_{s>0} \int_{K} \oint_{B_{\hat{R}_{p}}(x,s)} \frac{|f(x) - f(y)|^{p}}{s^{d_{f,p}+p-1}} m(dy)m(dx) \le C\mathcal{E}_{p}(f).$$
(6.15)

Proof. Let *V* be a relatively compact open subset of *E'* with $V \supseteq \overline{E}^K$ and let $u^{\#} \in \mathcal{F}_p$ satisfy $u^{\#} = u$ *m*-a.e. on *V*. Similar to [39, (7.2)], by using (6.9) and (6.13), we easily see that for any $s \in (0, \infty)$,

$$\int_{E} \int_{B_{\widehat{R}_{p}}(x,s)} \frac{\left|u^{\#}(x) - u^{\#}(y)\right|^{p}}{s^{d_{f,p}+p-1}} m(dy)m(dx) \le C\Gamma_{\mathcal{E}_{p}} \langle u^{\#} \rangle \left((E)_{\widehat{R}_{p},2As}\right), \quad (6.16)$$

where $A \in [1, \infty)$ is the constant in (6.13) and $C \in (0, \infty)$ is independent of x, s and f. We get (6.14) by letting $s \downarrow 0$ since $\Gamma_{\mathcal{E}_p} \langle u^{\#} \rangle ((E)_{\widehat{R}_p, 2As}) = \Gamma_{\mathcal{E}_p} \langle u \rangle ((E)_{\widehat{R}_p, 2As})$ for any $s \in (0, \infty)$ with $(E)_{\widehat{R}_p, 2As} \subseteq V$ by Proposition 6.12-(iv). The estimate (6.15) for $f \in \mathcal{F}_p$ is easily implied by $\Gamma_{\mathcal{E}_p} \langle f \rangle (K) = \mathcal{E}_p(f)$ and (6.16) with E = K. For $f \in L^p(K, m) \setminus \mathcal{F}_p$, (6.15) is obvious by $\mathcal{E}_p(f) = \infty$, so the proof is completed. \Box

Proposition 6.16 There exists $C \in (0, \infty)$ such that for any $E \in \mathcal{B}(K)$, any open neighborhood E' of \overline{E}^K and any $u \in \mathcal{F}_{p, \text{loc}}(E')$,

$$\Gamma_{\mathcal{E}_p}\langle u\rangle(E) \le C \liminf_{\delta \downarrow 0} \liminf_{s \downarrow 0} \int_{(E)_{\widehat{R}_p,\delta}} \int_{B_{\widehat{R}_p}(x,s)} \frac{|u(x) - u(y)|^p}{s^{d_{\mathrm{f},p}+p-1}} m(dy) m(dx).$$
(6.17)

Furthermore, with $C \in (0, \infty)$ the same as in (6.17), for any $f \in L^p(K, m)$,

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$$\mathcal{E}_{p}(f) \leq C \liminf_{s \downarrow 0} \int_{K} \int_{B_{\hat{R}_{p}}(x,s)} \frac{|f(x) - f(y)|^{p}}{s^{d_{f,p}+p-1}} m(dy)m(dx).$$
(6.18)

Proof. Let $s \in (0, 1)$ and fix a maximal *r*-net N_s of (K, \widehat{R}_p) . Let $\{\psi_{z,s}\}_{z \in N_s}$ be a partition of unity as given in Lemma 6.10 and define $A_s : L^p(K,m) \to \mathcal{F}_p$ by $A_s f := \sum_{z \in N_s} f_{B_{\widehat{R}_p}(z,s/4)}\psi_{z,s}$ for $f \in L^p(K,m)$. Then we can easily see that $\lim_{r\to 0} ||A_r f - f||_{L^p(K,m)} = 0$ and $\sup_{r>0} ||A_r||_{L^p(K,m)\to L^p(K,m)} < \infty$. Using Proposition 6.12-(iv), we can show that there exists $C_1 > 0$ that is independent of x, s and f such that

$$\Gamma_{\mathcal{E}_{p}}\langle A_{s}f\rangle \left(B_{\widehat{R}_{p}}(z,5s/4)\right) \leq C_{1} \sum_{w \in N_{s} \cap B_{\widehat{R}_{p}}(z,11s/4)} \int_{B_{\widehat{R}_{p}}(w,3s)} \int_{B_{\widehat{R}_{p}}(x,9s)} \frac{|f(x) - f(y)|^{p}}{s^{d_{f,p}+p-1}} m(dy)m(dx),$$
(6.19)

for any small enough s > 0. Let us fix $\delta > 0$ and define $N_s(E) := \{z \in N_s \mid E \cap B_{\widehat{R}_p}(z,s) \neq \emptyset\}$. Since $\bigcup_{z \in N_s(E)} \bigcup_{w \in N_s \cap B_{\widehat{R}_p}(z,11s/4)} B_{\widehat{R}_p}(w,3s) \subseteq (E)_{\widehat{R}_p,\delta}$ for all small enough s > 0 and (K, \widehat{R}_p) is metric doubling by Lemma 6.8, we have

$$\begin{split} \Gamma_{\mathcal{E}_{p}}\langle A_{s}f\rangle(E) &\leq \sum_{z\in N_{s}(E)} \Gamma_{\mathcal{E}_{p}}\langle A_{s}f\rangle \big(B_{\widehat{R}_{p}}(z,5s/4)\big) \\ &\stackrel{(6.19)}{\leq} C \int_{(E)_{\widehat{R}_{p},\delta}} \int_{B_{\widehat{R}_{p}}(x,9s)} \frac{|f(x)-f(y)|^{p}}{s^{d_{\mathrm{f},p}+p-1}} \, m(dy)m(dx), \quad f\in L^{p}(K,m), \end{split}$$

$$(6.20)$$

where $C \in (0, \infty)$ is independent of x, s and f. Once we get (6.20), the argument in the proof of Proposition 5.25 with minor modifications proves (6.17). Indeed, for $u \in \mathcal{F}_{p,\text{loc}}(E')$, a relatively compact open subset V of E' with $V \supseteq \overline{E}^K$ and $u^{\#} \in \mathcal{F}_p$ satisfying $u^{\#} = u$ *m*-a.e. on V, we have from Proposition 6.12-(iv) that $\Gamma_{\mathcal{E}_p}\langle A_s u^{\#} \rangle(E) = \Gamma_{\mathcal{E}_p}\langle A_s u \rangle(E)$ if *s* is sufficiently small. Then similar arguments using Mazur's lemma as in the proof of Proposition 5.25 implies (6.17) and (6.18).

Now we can identify \mathcal{F}_p as the (1, p)-Korevaar–Schoen–Sobolev space.

Theorem 6.17 Let s_p , $\mathbf{k} := \mathbf{k}^{s_p}$ and $\mathrm{KS}^{1,p}(K, \widehat{R}_p, m)$ be as defined in Example 3.14 with \widehat{R}_p in place of d. Then $s_p = (d_{\mathrm{f},p} + p - 1)/p$, $\mathcal{F}_p = \mathrm{KS}^{1,p}(K, \widehat{R}_p, m)$, and $(\mathrm{WM})_{p,\mathbf{k}}$ holds. Moreover, there exists $C \in [1, \infty)$ such that

$$C^{-1} \sup_{r>0} J_{p,r}^{k}(f) \le \mathcal{E}_{p}(f) \le C \liminf_{r\downarrow 0} J_{p,r}^{k}(f) \quad \text{for any } f \in L^{p}(K,m).$$
(6.21)

Proof. We have $\mathcal{F}_p = B_{p,\infty}^{(d_{\mathrm{f},p}+p-1)/p}$ and (6.21) by (6.15) and (6.18). In particular, $s_p \geq (d_{\mathrm{f},p}+p-1)/p$. Let $s > (d_{\mathrm{f},p}+p-1)/p$ and let $f \in \mathcal{F}_p \setminus \mathbb{R}\mathbf{1}_K$, which

exists by (6.10). Let $A_r : L^p(K, m) \to \mathcal{F}_p$ be the same operator as in the proof of Proposition 6.16 for each $r \in (0, 1)$. Then, by (6.20) with E = K, for any $r \in (0, 1)$ and $f \in L^p(K, m)$,

$$\frac{r^{d_{f,p}+p-1}}{r^{sp}}\mathcal{E}_p(A_rf) \le C \int_K \oint_{B_{\hat{R}_p}(x,9r)} \frac{|f(x) - f(y)|^p}{r^{sp}} m(dy)m(dx), \quad (6.22)$$

where C > 0 is independent of f and r. Clearly, $\sup_{r>0} \mathcal{E}_p(A_r f) > 0$ and $r^{d_{f,p}+p-1-sp} \to \infty$ as $r \downarrow 0$. Hence we obtain $s \ge s_p$ since $f \notin B^s_{p,\infty}$ by (6.22). This implies that $(d_{f,p} + p - 1)/p \ge s_p$. In particular, we obtain $\mathcal{F}_p = \mathrm{KS}^{1,p}(K, \widehat{R}_p, m)$. Also, $(\mathrm{WM})_{p,k}$ follows from (6.15) and (6.18).

Unfortunately, it is not clear whether Korevaar–Schoen *p*-energy forms $(\mathcal{E}_p^{KS}, \mathcal{F}_p)$ on (K, \widehat{R}_p, m) , which exist by Theorems 6.17 and 3.8 (recall Example 3.14), are selfsimilar or not. However, we can construct a self-similar *p*-resistance form on \mathcal{L} by the same argument as in the proof of Theorem 5.38. Recall that $\mathcal{F}_p \cap C(K) = \mathcal{F}_p$ is dense both in $(C(K), \|\cdot\|_{sup})$ and in \mathcal{F}_p by Proposition 6.4-(3) and Corollary 6.11.

Theorem 6.18 For each $n \in \mathbb{N}$, define $\mathbf{k}^{(n)} = \{k_r^{(n)}\}_{r>0}$ by

$$k_r^{(n)}(x,y) \coloneqq \frac{1}{n+1} \sum_{l=0}^n \sum_{w \in W_l} \rho_{p,w}^{(2d_{\mathrm{f},p}+p-1)/(p-1)} \frac{\mathbf{1}_{A_{w,r}}(x,y)}{r^{2d_{\mathrm{f},p}+p-1}}, \quad x,y \in K,$$

where $A_{w,r} := \{(x, y) \in K_w \times K_w \mid \widehat{R}_p(F_w^{-1}(x), F_w^{-1}(y)) < r\}$. Then $k^{(n)}$ is asymptotically local, $(WM)_{p,k^{(n)}}$ holds, $B_{p,\infty}^{k^{(n)}} = \mathcal{F}_p$, and for any sequence $\{(\mathcal{E}_p^{k^{(n)}}, \mathcal{F}_p)\}_{n \in \mathbb{N}}$ with $(\mathcal{E}_p^{k^{(n)}}, \mathcal{F}_p)$ a $k^{(n)}$ -Korevaar–Schoen p-energy form on (K,m) for each $n \in \mathbb{N}$, there exists a sequence $\{n_j\}_{j \in \mathbb{N}} \subseteq \mathbb{N}$ with $n_j < n_{j+1}$ for any $j \in \mathbb{N}$ such that the following limit exists in $[0, \infty)$ for any $u \in \mathcal{F}_p$:

$$\check{\mathcal{E}}_{p}^{\mathrm{KS}}(u) \coloneqq \lim_{j \to \infty} \mathcal{E}_{p}^{k^{(n_{j})}}(u).$$
(6.23)

Moreover, for any such $\{\mathcal{E}_p^{k^{(n)}}\}_{n\in\mathbb{N}}$ and $\{n_j\}_{j\in\mathbb{N}}$, the functional $\check{\mathcal{E}}_p^{\mathrm{KS}} \colon \mathcal{F}_p \to [0,\infty)$ defined by (6.23) satisfies the following properties:

- (a) $(\check{\mathcal{E}}_p^{\mathrm{KS}}, \mathcal{F}_p)$ is a self-similar p-resistance form on \mathcal{L} with weight $(\rho_{p,i})_{i \in S}$.
- (b) For any $u \in \mathcal{F}_p$,

$$C^{-1}\mathcal{E}_p(u) \le \check{\mathcal{E}}_p^{\mathrm{KS}}(u) \le C\mathcal{E}_p(u),$$

where $C \in [1, \infty)$ is the constant in (6.21).

(c) For any $u, v \in \mathcal{F}_p$, $\{\mathcal{E}_p^{k^{(n_j)}}(u; v)\}_{j \in \mathbb{N}}$ is convergent in \mathbb{R} and

$$\check{\mathcal{E}}_{p}^{\mathrm{KS}}(u;v) = \lim_{j \to \infty} \mathcal{E}_{p}^{\boldsymbol{k}^{(n_{j})}}(u;v).$$
(6.24)

(d) Theorem 3.8-(c),(d),(e) with $(\check{\mathcal{E}}_p^{\text{KS}},\mathcal{F}_p)$ in place of $(\mathcal{E}_p^k, B_{p,\infty}^k)$ hold.

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(e)
$$\check{\mathcal{E}}_{p}^{\text{KS}}(u \circ T) = \check{\mathcal{E}}_{p}^{\text{KS}}(u)$$
 for any $u \in \mathcal{F}_{p}$ and any $T \in \mathcal{G}$ (recall (6.4))

In addition, we obtain the *p*-energy measures associated with the *p*-resistance form $(\check{\mathcal{E}}_p^{\text{KS}}, \mathscr{F}_p)$ in the same way as in Theorem 5.39. (See also [27, Sections 4 and 5] for other basic properties. As mentioned before Theorem 5.39, we do not know whether Theorem 6.19-(c) below holds for general self-similar *p*-resistance forms.)

Theorem 6.19 Let $(\mathcal{E}_p^{k^{(n)}}, \mathcal{F}_p)$ be any $k^{(n)}$ -Korevaar–Schoen *p*-energy form on (K, m) for each $n \in \mathbb{N}$, let $\{n_j\}_{j \in \mathbb{N}} \subseteq \mathbb{N}$ be any sequence as in Theorem 6.18, and let $(\check{\mathcal{E}}_p^{\text{KS}}, \mathcal{F}_p)$ be the *p*-resistance form on *K* defined by (6.23). Then for any $u \in \mathcal{F}_p$, there exists a unique positive Radon measure $\check{\Gamma}_p^{\text{KS}}\langle u \rangle$ on *K* such that for any $\varphi \in \mathcal{F}_p$,

$$\int_{K} \varphi \, d\breve{\Gamma}_{p}^{\text{KS}}\langle u \rangle = \breve{\mathcal{E}}_{p}^{\text{KS}}(u; u\varphi) - \left(\frac{p-1}{p}\right)^{p-1} \breve{\mathcal{E}}_{p}^{\text{KS}}(|u|^{\frac{p}{p-1}}; \varphi). \tag{6.25}$$

Moreover, the following hold:

- (a) Let $\varphi: K \to [0, \infty)$ be a Borel measurable function with $\|\varphi\|_{\sup} < \infty$. Then $(\int_{K} \varphi \, d\check{\Gamma}_{p}^{\mathrm{KS}} \langle \cdot \rangle, \mathcal{F}_{p})$ is a p-energy form on (K, m) satisfying (GC)_p.
- (b) Theorem 4.6, with \mathcal{F}_p and $\check{\Gamma}_p^k$ in place of $\mathcal{D}_{p,\infty}^{k,b}$ and Γ_p^k respectively, holds. In particular, for any $u, v \in \mathcal{F}_p$,

$$\breve{\Gamma}_{p}^{\text{KS}}\langle u; v \rangle(A) \coloneqq \frac{1}{p} \left. \frac{d}{dt} \breve{\Gamma}_{p}^{\text{KS}} \langle u + tv \rangle(A) \right|_{t=0}, \quad A \in \mathcal{B}(K), \tag{6.26}$$

defines a signed Borel measure on K such that $\check{\Gamma}_p^{\text{KS}}\langle u; v \rangle(K) = \check{\mathcal{E}}_p^{\text{KS}}(u; v)$ and $\check{\Gamma}_p^{\text{KS}}\langle u; u \rangle = \check{\Gamma}_p^{\text{KS}}\langle u \rangle$. Furthermore, for any $u, v \in \mathcal{F}_p$ and any $\varphi \in C(K)$,

$$\int_{K} \varphi \, d\check{\Gamma}_{p}^{\text{KS}} \langle u; v \rangle = \lim_{j \to \infty} \int_{K} \varphi \, d\Gamma_{p}^{k^{(n_{j})}} \langle u; v \rangle. \tag{6.27}$$

- (c) Theorem 4.8-(a),(b), with \mathcal{F}_p and $\check{\Gamma}_p^{\text{KS}}$ in place of $\mathcal{D}_{p,\infty}^{\boldsymbol{k},b}$ and $\Gamma_p^{\boldsymbol{k}}$ respectively, hold.
- (d) Theorems 4.9, 4.10 and 4.11, with \mathcal{F}_p and $\check{\Gamma}_p^{\text{KS}}$ in place of $B_{p,\infty}^k \cap C_b(K)$ and Γ_p^k respectively, hold.

A Appendix: An alternative family of kernels in Example 3.14

In this Appendix, we give a simple sufficient condition for $B_{p,\infty}^{k^{\#}} = KS^{1,p}$ and $(WM)_{p,k^{\#}}$ where $k^{\#} = \{k_r^{\#}\}_{r>0}$ is defined by (3.28). As in Example 3.14, we fix $p \in (1,\infty)$ and assume that (K,d) is a connected separable metric space with $\#K \ge 2$ and that *m* is a Borel measure on *K* with full topological support satisfying $m(B_d(x,r)) < \infty$ for any $(x,r) \in K \times (0,\infty)$.

Proposition A.1 Let $s \in (0, \infty)$ and let $k^s = \{k_r^s\}_{r>0}$ be the family of kernels defined by (3.26). Assume that m is volume doubling, and that the following Poincaré-type inequality holds: there exist $C \in (0, \infty)$ and $\lambda \in [1, \infty)$ such that for any $u \in B_{p,\infty}^{k^s}$ and any $(z, r) \in K \times (0, \infty)$,

$$\int_{B_d(z,r)} |u - u_{B_d(z,r)}|^p dm$$

$$\leq Cr^{ps} \liminf_{\delta \downarrow 0} \int_{B_d(z,\lambda r)} \oint_{B_d(x,\delta)} \frac{|u(x) - u(y)|^p}{\delta^{ps}} m(dy)m(dx).$$
(A.1)

Then there exists $C' \in [1, \infty)$ such that for any $u \in B_{p,\infty}^{k^s}$,

$$\begin{split} \sup_{r>0} & \int_{K} \oint_{B_{d}(x,r)} \frac{|u(x) - u(y)|^{p}}{d(x,y)^{ps}} \, m(dy)m(dx) \\ & \leq C' \liminf_{r\downarrow 0} \int_{K} \oint_{B_{d}(x,r)} \frac{|u(x) - u(y)|^{p}}{r^{ps}} \, m(dy)m(dx). \end{split}$$
(A.2)

In particular, if (A.1) with $s = s_p$ holds, then the family of kernels $k^{\#} = \{k_r^{\#}\}_{r>0}$ defined by (3.28) satisfies $B_{p,\infty}^{k^{\#}} = \mathrm{KS}^{1,p}$ and $(\mathrm{WM})_{p,k^{\#}}$.

Remark A.2 If (K, d, m) supports the *p*-Poincaré inequality in terms of upper gradients, then the estimate (A.2) with s = 1 follows from [37, Corollaries 6.3 and 6.5]. For *p*-conductively homogeneous compact metric spaces and post-critically finite self-similar sets as in the settings of Sections 5 and 6, we can verify (A.2) with $s = s_p$; see Propositions 5.28, 6.14 and 6.16.

Proof of Proposition A.1. Since *m* is volume doubling and (K, d) is connected, we have the following *reverse volume doubling* property of *m* (see, e.g., [8, Corollary 3.8] or [21, Exercise 13.1]): there exist $c_1, \alpha \in (0, \infty)$ depending only on the doubling constant C_D of *m* such that

$$\frac{m(B_d(x,r))}{m(B_d(x,R))} \le c_1 \left(\frac{r}{R}\right)^{\alpha} \quad \text{for any } x \in K \text{ and any } 0 < r \le R < \text{diam}(K,d).$$
(A.3)

Let $r \in (0, \infty)$. We have

$$\begin{split} &\int_{K} \int_{B_{d}(x,r)} \frac{|u(x) - u(y)|^{p}}{d(x,y)^{ps}} \, m(dy) m(dx) \\ &\stackrel{(A.3)}{\leq} \sum_{j=0}^{\infty} \frac{c_{1}}{2^{\alpha j}} \int_{K} \int_{B_{d}(x,2^{-j}r) \setminus B_{d}(x,2^{-(j+1)}r)} \frac{|u(x) - u(y)|^{p}}{d(x,y)^{ps} m(B(x,2^{-j}r))} \, m(dy) m(dx). \end{split}$$

Let $j \in \mathbb{N} \cup \{0\}$, and let $N_j \subset K$ be a $2^{-j}r$ -net in (K, d), i.e., a maximal subset of K such that $d(z_1, z_2) \ge 2^{-j}r$ for any $z_1, z_2 \in N_j$ with $z_1 \ne z_2$; such N_j exists and is countable since $B_d(x, R)$ is totally bounded for any $(x, R) \in K \times (0, \infty)$ thanks to the metric doubling property of d implied by the volume doubling property of m.

Then we see that

$$\begin{split} &\int_{K} \int_{B_{d}(x,2^{-j}r)\setminus B_{d}(x,2^{-(j+1)}r)} \frac{|u(x) - u(y)|^{p}}{d(x,y)^{ps}m(B_{d}(x,2^{-j}r))} \, m(dy)m(dx) \\ &\leq \sum_{z \in N_{j}} \int_{B_{d}(z,2^{-j}r)} \int_{B_{d}(x,2^{-j}r)\setminus B(x,2^{-(j+1)}r)} \frac{|u(x) - u(y)|^{p}}{d(x,y)^{ps}m(B_{d}(x,2^{-j}r))} \, m(dy)m(dx) \\ &\leq \sum_{z \in N_{j}} \frac{C_{D}^{2}(2^{-(j+1)}r)^{-ps}}{m(B_{d}(z,2^{-j}r))} \int_{B_{d}(z,2^{-j}r)} \int_{B_{d}(x,2^{-j}r)} |u(x) - u(y)|^{p} \, m(dy)m(dx) \\ &\leq \sum_{z \in N_{j}} \frac{2^{p}C_{D}^{2}(2^{-(j+1)}r)^{-ps}}{m(B_{d}(z,2^{-j}r))} \int_{B_{d}(z,2^{-j+1}r)^{2}} |u(x) - u_{B_{d}(z,2^{-j+1}r)}|^{p} \, m(dy)m(dx) \\ &\leq \sum_{z \in N_{j}} \frac{2^{p+2ps}C_{D}^{3}}{m(B_{d}(z,2^{-j}r))} \, \iint_{B_{d}(z,\lambda^{2^{-j+1}r})^{2}} \left| u(x) - u_{B_{d}(z,2^{-j+1}r)} \right|^{p} \, m(dy)m(dx) \\ &\leq c_{2} \liminf_{\delta \downarrow 0} \int_{K} \int_{B_{d}(x,\delta)} \frac{|u(x) - u(y)|^{p}}{\delta^{ps}} \, m(dy)m(dx), \end{split}$$

where c_2 depends only on p, s, λ , C_D and C in (A.1). Combining the above estimates, we obtain

$$\begin{split} &\int_{K} \oint_{B_{d}(x,r)} \frac{|u(x) - u(y)|^{p}}{d(x,y)^{ps}} m(dy)m(dx) \\ &\leq c_{1}c_{2} \sum_{j=0}^{\infty} 2^{-\alpha j} \liminf_{\delta \downarrow 0} \int_{K} \oint_{B_{d}(x,\delta)} \frac{|u(x) - u(y)|^{p}}{\delta^{ps}} m(dy)m(dx) \\ &= c_{1}c_{2}(1 - 2^{-\alpha})^{-1} \liminf_{\delta \downarrow 0} \int_{K} \oint_{B_{d}(x,\delta)} \frac{|u(x) - u(y)|^{p}}{\delta^{ps}} m(dy)m(dx), \end{split}$$

which shows (A.2).

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