

Linear Logic and Naive Set Theory

~ Make our garden grow ~

Kazushige Terui

terui@nii.ac.jp

National Institute of Informatics

Fundamental question

- Contraction inference:

$$\frac{A, A, \Gamma \vdash C}{A, \Gamma \vdash C}$$

“You can use your hypothesis as many times as you like.”

- Quite natural and inevitable in reasoning.
- Why then do you study logics without contraction?

Possible reasons

- To understand contraction better.
(Contraction is available for closed Π_1 provable formulas in 2nd order BCI, etc.)

- To make logic constructive. In BCK,

$$\text{Excluded middle} = \text{Contraction} + \neg\neg A \rightarrow A.$$

- Applications in linguistics etc.
- To save naive comprehension in set theory.

Cut-Elimination

- **Cut inference:** Generalization of *modus ponens*

$$\frac{\Gamma_1 \vdash A \quad A, \Gamma_2 \vdash C}{\Gamma_1, \Gamma_2 \vdash C}$$

- May introduce redundancy:

$$\frac{\frac{\frac{\vdots \pi_1}{\vdash A} \quad \frac{\vdots \pi_2}{\vdash B}}{\vdash A \wedge B} \quad \frac{\frac{\vdots \pi_3}{A \vdash C}}{A \wedge B \vdash C}}{\vdash C}$$

- **Cut-Elimination Theorem (Gentzen 1934):** There is a concrete procedure to eliminate all cuts from a given proof in sequent calculus.

$$\frac{\frac{\frac{\vdots \pi_1}{\vdash A} \quad \frac{\vdots \pi_2}{\vdash B}}{\vdash A \wedge B} \quad \frac{\frac{\vdots \pi_3}{A \vdash C}}{A \wedge B \vdash C}}{\vdash C} \implies \frac{\frac{\vdots \pi_1}{\vdash A} \quad \frac{\vdots \pi_3}{A \vdash C}}{\vdash C}$$

Proofs-as-Programs correspondence

- Formulas = Types (Specifications)
- Proofs = Programs (with Verifications)
- Cut-elimination = Computation
- “A logic without cut-elimination is like a car without an engine.” (Jean-Yves Girard)

Feasibility

- A useful program must be **feasible** (executable in, say, polynomial time).
- Unrestricted use of contraction leads to **exponential explosion** of cut-elimination (=computation).

$$\frac{\frac{\frac{\vdots \pi_1}{A \vdash B} \quad \frac{\frac{\vdots \pi_2}{B, B \vdash C}}{B \vdash C}}{A \vdash C}}{\implies \frac{\frac{\frac{\vdots \pi_1}{A \vdash B} \quad \frac{\frac{\frac{\vdots \pi_1}{A \vdash B} \quad \frac{\frac{\vdots \pi_2}{B, B \vdash C}}{B, B \vdash C}}{B, A \vdash C}}{A, A \vdash C}}{A \vdash C}}$$

Contraction has to be restricted

Contraction is

- Perfectly sound in reasoning
- Problematic in computation.
- “A logic with untamed contraction is like a car with a rocket engine.”

Naive set theory

- **Naive comprehension principle:** For any property $A(x)$ there exists a set $\{x|A(x)\}$ such that for any t

$$t \in \{x|A(x)\} \iff A(t)$$

- Intuitive and powerful.
- Compatible with cut-elimination procedure.
- Inconsistent.

Russell's paradox \Rightarrow Contradiction

- Let $R = \{x | x \notin x\}$ and $A \equiv R \in R$. Then $A \vdash \neg A$ and $\neg A \vdash A$.

$$\frac{\frac{A \vdash \neg A \quad \overline{A \vdash A}}{\neg A, A \vdash} \quad \frac{\neg A \vdash A \quad \overline{A \vdash A}}{\neg A, A \vdash}}{\frac{A, A \vdash}{A \vdash} \text{ (Contr)} \quad \frac{\neg A, \neg A \vdash}{\neg A \vdash} \text{ (Contr)}}{\vdash}$$

- It requires of contraction to derive contradiction from the paradox.
- Naive comprehension is consistent when contraction is restricted in the underlying logic (Grishin 74).

Naive set theories with restricted contraction

- Grishin's set theory (1974),
- **BCK set theory** (White 1987, Komori 1989),
- Light linear set theory (Girard 1998)
- **Light affine set theory** (Terui 2001),
- **Elementary affine set theory.**

BCK set theory

- **Terms:** $x, \{x|A\}$
(when x a variable, A a formula.)
- **Formulas:** $t \in u, A \multimap B, \forall x.A$
(when t, u terms, A, B formulas, x a variable.)
- **Axioms and inference rules:**

$$\begin{aligned} (A \multimap B) \multimap ((C \multimap A) \multimap (C \multimap B)) & \quad A \multimap (B \multimap A) \\ (A \multimap (B \multimap C)) \multimap (B \multimap (A \multimap C)) & \quad \forall x A \multimap A[t/x] \\ \forall x(A \multimap B) \multimap (A \multimap \forall x B) & \quad (x \text{ is not free in } A.) \end{aligned}$$

$$\frac{A \quad A \multimap B}{B}$$

$$\frac{A}{\forall x A}$$

$$A[t/x] \multimap t \in \{x|A\}$$

$$t \in \{x|A\} \multimap A[t/x]$$

Sequent calculus for BCK set theory

Identity and Cut:

$$\frac{}{A \vdash A} \text{ (Id)} \qquad \frac{\Gamma_1 \vdash A \quad A, \Gamma_2 \vdash C}{\Gamma_1, \Gamma_2 \vdash C} \text{ (Cut)}$$

Weakening:

$$\frac{\Gamma \vdash C}{A, \Gamma \vdash C} \text{ (Weak)}$$

Implication:

$$\frac{\Gamma_1 \vdash A \quad B, \Gamma_2 \vdash C}{A \multimap B, \Gamma_1, \Gamma_2 \vdash C} \text{ (}\multimap\text{l)} \qquad \frac{A, \Gamma \vdash B}{\Gamma \vdash A \multimap B} \text{ (}\multimap\text{r)}$$

Set Quantifiers:

$$\frac{A[t/x], \Gamma \vdash C}{\forall x.A, \Gamma \vdash C} \text{ (}\forall\text{l)} \qquad \frac{\Gamma \vdash A}{\Gamma \vdash \forall x.A} \text{ (}\forall\text{r)}, x \text{ is not free in } \Gamma$$

Comprehension:

$$\frac{A[t/x], \Gamma \vdash C}{t \in \{x|A\}, \Gamma \vdash C} \text{ (}\in\text{l)} \qquad \frac{\Gamma \vdash A[t/x]}{\Gamma \vdash t \in \{x|A\}} \text{ (}\in\text{r)}$$

Defined Connectives

$$A \otimes B \equiv \forall x.((A \multimap B \multimap t_0 \in x) \multimap t_0 \in x);$$

$$A \oplus B \equiv \forall x.((A \multimap t_0 \in x) \multimap (B \multimap t_0 \in x) \multimap t_0 \in x);$$

$$\mathbf{0} \equiv \forall x.t_0 \in x;$$

$$\exists y.A \equiv \forall x.(\forall y.(A \multimap t_0 \in x) \multimap t_0 \in x),$$

where t_0 is a fixed closed term and x is a fresh variable.

$$A \multimap B \multimap A \otimes B \quad (A \multimap B \multimap C) \multimap (A \otimes B \multimap C)$$

$$A \multimap A \oplus B \quad (A \multimap C) \multimap (B \multimap C) \multimap (A \oplus B \multimap C)$$

$$A \multimap \neg A \multimap \mathbf{0} \quad \mathbf{0} \multimap A$$

$$A[t/x] \multimap \exists x.A \quad A \multimap C \text{ implies } (\exists x.A) \multimap C \text{ if } x \notin FV(C).$$

Cut-elimination for BCK set theory

Principal cut for naive comprehension:

$$\frac{\frac{\Gamma \vdash A[t/x]}{\Gamma \vdash t \in \{x|A\}} \quad \frac{A[t/x], \Delta \vdash C}{t \in \{x|A\}, \Delta \vdash C}}{\Gamma, \Delta \vdash C} \implies \frac{\Gamma \vdash A[t/x] \quad A[t/x], \Delta \vdash C}{\Gamma, \Delta \vdash C}$$

- Elimination of a principal cut always reduces the size of a proof.
- Cut-elimination can be done in linear steps (in terms of proofnets).

Consequences of cut-elimination

- **Consistency:** BCK set theory is **provably** consistent (in contrast to the alleged consistency of ZF).
- **Disjunction property:** If $A \oplus B$ is provable, then either A or B is provable.
- **Existential property:** If $\exists x.A$ is provable, then $A[t/x]$ is provable for some term t .
- **Proof:** A cut-free proof of $\exists x.A \equiv \forall x.(\forall y.(A \multimap t_0 \in x) \multimap t_0 \in x)$ looks like:

$$\begin{array}{c}
 \vdots \\
 \hline
 \vdash A[u/y] \quad t_0 \in x \vdash t_0 \in x \\
 \hline
 \vdash A[u/y] \multimap t_0 \in x \quad t_0 \in x \\
 \hline
 \vdash \forall y.(A \multimap t_0 \in x) \quad t_0 \in x \\
 \hline
 \vdash \forall y.(A \multimap t_0 \in x) \multimap t_0 \in x \\
 \hline
 \vdash \forall x.(\forall y.(A \multimap t_0 \in x) \multimap t_0 \in x)
 \end{array}$$

Identity of BCK sets

● **Equality:** $t = u \equiv \forall x.(t \in x \multimap u \in x)$

● BCK set theory proves

1. $t = t.$

2. $t = u \multimap (A[t/x] \multimap A[u/x]).$

3. $t = u \multimap u = t.$

4. $t = u \otimes u = r \multimap t = r.$

5. $t = u \multimap t = u \otimes t = u.$

(take $A \equiv (t = x \otimes t = x)$ and apply 2, 1.)

● **Proposition:** $t = u$ is provable iff t and u are syntactically identical.

● In particular, $\{x|A \oplus B\} = \{x|B \oplus A\}$ is not provable.

Basic constructions

$$\emptyset \equiv \{x \mid x \neq x\};$$

$$\{t\} \equiv \{x \mid x = t\};$$

$$\{t, u\} \equiv \{x \mid x = t \oplus x = u\};$$

$$t \cup u \equiv \{x \mid x \in t \oplus x \in u\};$$

$$\langle t, u \rangle \equiv \{\{t\}, \{t, u\}\};$$

BCK set theory proves

1. $t \notin \emptyset$.
2. $t \in \{u\} \circ\text{---}\circ t = u$.
3. $t \in \{u, v\} \circ\text{---}\circ t = u \oplus t = v$.
4. $\langle t, u \rangle = \langle r, s \rangle \circ\text{---}\circ t = r \otimes u = s$.

(The standard proof applies, since contraction is available for equational formulas.)

Axioms of ZF (1)

- Proposition(Grishin 74): **Extensionality principle**

$$\forall x.(x \in t \circ\text{--}\circ x \in u) \text{--}\circ t = u$$

implies Contraction. Thus BCK set theory + Extensionality is inconsistent.

- Proof. We have contraction for equational formulas. So it suffices to show that every formula A is equivalent to an equational formula $t = u$.

- Let $t \equiv \{x|x = x\}$ and $u \equiv \{x|x = x \otimes A\}$.

$$A \circ\text{--}\circ (x = x \circ\text{--}\circ x = x \otimes A), x \text{ not free in } A$$

$$A \circ\text{--}\circ (x \in t \circ\text{--}\circ x \in u)$$

$$A \circ\text{--}\circ \forall x.(x \in t \circ\text{--}\circ x \in u)$$

$$A \circ\text{--}\circ t = u$$

- A weaker form of extensionality is also inconsistent. See [2]

Axioms of ZF (2)

- **Constructive axioms:** Ok, but uniqueness is not guaranteed.
- **Separation, Replacement:** Part of naive comprehension.
- **Regularity:** Inconsistent. Let $V \equiv \{x \mid x = x\}$. Then

$$\dots V \in V \in V$$

- **Infinity:** Provable, but “infinity” no more means infinity...
- Let $suc(t) \equiv t \cup \{t\}$ and

$$N' \equiv \{n \mid \forall \alpha. (\emptyset \in \alpha \otimes \forall x. (x \in \alpha \rightarrow suc(x) \in \alpha)) \rightarrow n \in \alpha\}$$

Then $\emptyset, suc(\emptyset) \in N'$ holds, but $suc(suc(\emptyset)) \in N'$ does not hold.

Booleans in BCK set theory

$$\text{true} \equiv \emptyset$$

$$\text{false} \equiv \{\emptyset\}$$

$$\mathbf{B} \equiv \{x \mid x = \text{true} \oplus x = \text{false}\}$$

$$\text{neg}(x, y) \equiv (x = \text{true} \otimes y = \text{false}) \oplus (x = \text{false} \otimes y = \text{true})$$

$$\text{disj}(x, y, z) \equiv (x = \text{true} \otimes z = \text{true}) \oplus (x = \text{false} \otimes y = z)$$

We have **contraction for booleans**: $x \in \mathbf{B} \multimap x \in \mathbf{B} \otimes x \in \mathbf{B}$.

Theorem: For any boolean circuit $C(x_1, \dots, x_n)$, there exists a formula $F_C(x_1, \dots, x_n, y)$ such that it represents C and

$$\vdash \forall x_1, \dots, x_n \in \mathbf{B}. \exists y \in \mathbf{B}. F_C(x_1, \dots, x_n, y)$$

has a proof of size $O(|C|)$ in BCK set theory.

P-completeness of Disjunction Property

Disjunction Property Problem: Given a proof of $\vdash C \oplus D$, determine which one of $\vdash C$ or $\vdash D$ holds.

Theorem: DPP is P-complete (under logspace-reducibility).

Proof:

(In P) By cut-elimination (in quadratic time).

(P-hard) Reduction of Circuit Value Problem; given a circuit C and truth values b_1, \dots, b_n , construct a proof of

$$\vdash A_C(b_1, \dots, b_n, \text{true}) \oplus A_C(b_1, \dots, b_n, \text{false})$$

in logspace, by noting that

$$\exists y \in B. A_C(\vec{b}, y) \circ\text{--}\circ A_C(\vec{b}, \text{true}) \oplus A_C(\vec{b}, \text{false}).$$

Fixpoint theorems

Fixpoint theorem 1: For every formula $A(\alpha)$ with a propositional variable α , there exists a formula B such that $B \circ\text{---}\circ A(B)$.

Proof: Let $B \equiv \{x | A(x \in x)\} \in \{x | A(x \in x)\}$.

Fixpoint theorem 2: For every formula $A(x, y)$ with term variables x, y , there exists a term (set) f such that $x \in f \circ\text{---}\circ A(x, f)$.

Proof: Let

$$s \equiv \{z \mid \exists u \exists v (z = \langle u, v \rangle \otimes A[\{w \mid \langle w, v \rangle \in v\} / y, u/x])\};$$

$$f \equiv \{w \mid \langle w, s \rangle \in s\},$$

where u, v and w are fresh variables.

Natural numbers (1)

● Numerals:

$$\underline{0} \equiv \emptyset, \quad S(t) \equiv \langle \emptyset, t \rangle, \quad \underline{n} \equiv S^n(\underline{0}).$$

● Inequality: $\langle x, y \rangle \in \text{leq} \circ\text{--}\circ x = y \oplus \exists y' (\langle x, y' \rangle \in \text{leq} \otimes y = S(y'))$

● The set of natural numbers:

$$x \in N \circ\text{--}\circ x = \underline{0} \oplus \exists y \in N. x = S(y)$$

● Proposition: BCK set theory proves

1. $S(t) \neq \underline{0}$.

2. $S(t) = S(u) \circ\text{--}\circ t = u$.

● Proposition: $\underline{n} \neq \underline{m}$ is provable iff $n \neq m$.

● Proposition: $\langle x, \underline{n} \rangle \in \text{leq} \circ\text{--}\circ x = \underline{0} \oplus \dots \oplus x = \underline{n}$ is provable.

Natural Numbers (2)

Proposition: $t \in N$ is provable iff t is a numeral.

Proof:

(\Leftarrow): By induction on n such that $t \equiv \underline{n}$.

(\Rightarrow): By induction on the size of term t . If $\vdash t \in N^*$ is provable, then either $\vdash t = \underline{0}$ or $\vdash \exists y \in N^*(t = S(y))$ is provable by DPP.

In the former case, $t \equiv \underline{0}$. In the latter case, there is some term u such that $\vdash u \in N^*$ and $\vdash t = S(u)$ are provable by the existential property. Thus $t \equiv S(u)$, and hence the induction hypothesis applies to u , as it means that u is smaller than t . It follows that $u \equiv \underline{m}$ for some $m \in \mathbb{N}$. Therefore $t \equiv \underline{m + 1}$.

Addition and multiplication (1)

• Addition:

$$\langle x, y, z \rangle \in \text{add} \circ\text{--}\circ (y = \underline{0} \otimes x = z) \oplus \exists y' \exists z' (y = S(y') \otimes z = S(z') \otimes \langle x, y', z' \rangle \in \text{add}).$$

• Multiplication: $\langle x, y, z \rangle \in \text{mult} \circ\text{--}\circ$

$$(y = \underline{0} \otimes z = \underline{0}) \oplus \exists y' \exists z' (y = S(y') \otimes \langle x, y', z' \rangle \in \text{mult} \otimes \langle z', x, z \rangle \in \text{add}).$$

• Proposition: BCK set theory proves

1. $\langle x, \underline{0}, z \rangle \in \text{add} \circ\text{--}\circ x = z.$
2. $\langle x, S(y), z \rangle \in \text{add} \circ\text{--}\circ \exists z' (z = S(z') \otimes \langle x, y, z' \rangle \in \text{add}).$
3. $\langle x, \underline{0}, z \rangle \in \text{mult} \circ\text{--}\circ z = \underline{0}.$
4. $\langle x, S(y), z \rangle \in \text{mult} \circ\text{--}\circ \exists z' (\langle z', x, z \rangle \in \text{add} \otimes \langle x, y, z' \rangle \in \text{mult}).$

Addition and multiplication (2)

• Proofs of (1) and (2):

$$\langle x, \underline{0}, z \rangle \in \text{add} \quad \begin{array}{l} \text{---} \quad (\underline{0} = \underline{0} \otimes x = z) \oplus \exists y' \exists z' (\underline{0} = S(y') \otimes z = S(z') \otimes \langle x, y', z' \rangle) \\ \text{---} \quad x = z \end{array}$$

$$\langle x, S(y), z \rangle \in \text{add} \quad \begin{array}{l} \text{---} \quad (S(y) = \underline{0} \otimes x = z) \oplus \exists y' \exists z' (S(y) = S(y') \otimes z = S(z') \otimes \langle x, y', z' \rangle) \\ \text{---} \quad \exists z' (z = S(z') \otimes \langle x, y, z' \rangle \in \text{add}) \end{array}$$

• Proposition: Let $n + m = k$, $n \cdot m = l$. BCK set theory proves

1. $\langle \underline{n}, \underline{m}, \underline{k} \rangle \in \text{add}$
2. $\forall z. \langle \underline{n}, \underline{m}, z \rangle \in \text{add} \text{---} z = \underline{k}$
3. $\langle \underline{n}, \underline{m}, \underline{l} \rangle \in \text{mult}$
4. $\forall z. \langle \underline{n}, \underline{m}, z \rangle \in \text{mult} \text{---} z = \underline{l}$

• Proof: By induction on m .

Embedding classical arithmetic (1)

- **Arithmetical terms:** $x, 0, s(a), a + b, a \cdot b$
- **Δ_0 formulas:**
 $a = b, \neg F, F \wedge G, F \vee G, F \rightarrow G, \exists x \leq a.F, \forall x \leq a.G$
(where x does not occur in a .)
- **Σ_1 formulas:** $\exists x_1 \cdots \exists x_n.F$ where F is Δ_0 .
- Truth values of closed Σ_1 formulas: naturally defined.

Embedding classical arithmetic (2)

- For each arithmetical term a whose variables are from $\vec{x} = x_1, \dots, x_k$, define a BCK formula $Val_a(\vec{x}, y)$ as follows:

$$Val_{x_i}(\vec{x}, y) \equiv y = x_i, \quad Val_0(\vec{x}, y) \equiv y = \underline{0}$$

$$Val_{s(a)}(\vec{x}, y) \equiv \exists y'. Val_a(\vec{x}, y') \otimes y = S(y')$$

$$Val_{a+b}(\vec{x}, y) \equiv \exists y_1 \exists y_2. Val_a(\vec{x}, y_1) \otimes Val_b(\vec{x}, y_2) \otimes \langle y_1, y_2, y \rangle \in \text{add}$$

$$Val_{a \cdot b}(\vec{x}, y) \equiv \exists y_1 \exists y_2. Val_a(\vec{x}, y_1) \otimes Val_b(\vec{x}, y_2) \otimes \langle y_1, y_2, y \rangle \in \text{mult}$$

- Proposition:** For any arithmetical term a and $\vec{m} = m_1, \dots, m_k$, if the value of $a[\vec{m}/\vec{x}]$ is n , then BCK set theory proves

$$Val_a(\vec{m}, \underline{n}) \otimes \forall z. Val_a(\vec{m}, z) \multimap z = \underline{n}.$$

Embedding classical arithmetic (3)

● **Proof:** By induction on a .

● $a \equiv x_i: \underline{m}_i = \underline{m}_i \otimes \forall z.z = \underline{m}_i \multimap z = \underline{m}_i$

● $a \equiv 0: \underline{0} = \underline{0} \otimes \forall z.z = \underline{0} \multimap z = \underline{0}$

● $a \equiv b + c$: when the values of b and c are n_1 and n_2 , we have

$$Val_b(\underline{\vec{m}}, \underline{n_1}) \otimes Val_c(\underline{\vec{m}}, \underline{n_2}) \otimes \langle \underline{n_1}, \underline{n_2}, \underline{n} \rangle \in \text{add},$$

from which $Val_a(\underline{\vec{m}}, \underline{n})$ follows. Now, working within BCK set theory, suppose $Val_a(\underline{\vec{m}}, z)$. Then there are y_1, y_2 such that $Val_b(\underline{\vec{m}}, y_1), Val_b(\underline{\vec{m}}, y_2)$ and $\langle y_1, y_2, z \rangle \in \text{add}$. By IH, $y_1 = \underline{n_1}$ and $y_2 = \underline{n_2}$, so $z = \underline{n}$ by what precedes.

Embedding classical arithmetic (4)

- For each Δ_0 formula F whose free variables are from $\vec{x} = x_1, \dots, x_k$, define a BCK formula $Sat_F(\vec{x})$ as follows:

$$Sat_{a=b}(\vec{x}) \equiv \exists z. Val_a(\vec{x}, z) \otimes Val_b(\vec{x}, z)$$

$$Sat_{\neg F}(\vec{x}) \equiv \neg Sat_F(\vec{x})$$

$$Sat_{F \wedge G}(\vec{x}) \equiv Sat_F(\vec{x}) \otimes Sat_G(\vec{x})$$

$$Sat_{F \vee G}(\vec{x}) \equiv Sat_F(\vec{x}) \oplus Sat_G(\vec{x})$$

$$Sat_{F \rightarrow G}(\vec{x}) \equiv Sat_F(\vec{x}) \multimap Sat_G(\vec{x})$$

$$Sat_{\exists y \leq a. F}(\vec{x}) \equiv \exists z (Val_a(\vec{x}, z) \otimes \exists y (\langle y, z \rangle \in \text{leq} \otimes Sat_F(\vec{x}, y)))$$

$$Sat_{\forall y \leq a. F}(\vec{x}) \equiv \exists z (Val_a(\vec{x}, z) \otimes \forall y (\langle y, z \rangle \in \text{leq} \multimap Sat_F(\vec{x}, y)))$$

- Theorem:** For any Δ_0 formula F and $\vec{m} = m_1, \dots, m_k$,
 $F[\vec{m}/\vec{x}]$ is true $\iff Sat_F(\vec{m})$ is provable,
 $F[\vec{m}/\vec{x}]$ is false $\iff \neg Sat_F(\vec{m})$ is provable.

Embedding classical arithmetic (5)

● **Proof:** By induction on F .

● $F \equiv (a = b)$: If $a[\vec{m}/\vec{x}] = b[\vec{m}/\vec{x}] = n$, we have

$$Val_a(\underline{\vec{m}}, \underline{n}) \otimes Val_b(\underline{\vec{m}}, \underline{n}).$$

If $a[\vec{m}/\vec{x}] = n_1$, $b[\vec{m}/\vec{x}] = n_2$ and $n_1 \neq n_2$, we have

$$Val_a(\underline{\vec{m}}, z) \vdash z = \underline{n_1} \text{ and } Val_b(\underline{\vec{m}}, z) \vdash z = \underline{n_2},$$

from which $Sat_F(\underline{\vec{m}}) \vdash \underline{n_1} = \underline{n_2} \vdash \mathbf{0}$ follows.

● $F \equiv \neg G$: Immediate.

● $F \equiv G \wedge H$: The case $F[\vec{m}/\vec{x}]$ true is obvious. If it is false, one of the conjuncts, say $G[\vec{m}/\vec{x}]$, is false. By IH, $\neg Sat_G(\underline{\vec{m}})$ is provable, which implies $\neg(Sat_G(\underline{\vec{m}}) \otimes Sat_H(\underline{\vec{m}}))$.

● $F \equiv \forall y \leq a.G$: Use $x \leq \underline{n} \circ\text{--}\circ x = \underline{0} \oplus \cdots \oplus x = \underline{n}$.

Embedding classical arithmetic (6)

- For each Σ_1 formula F whose free variables are from $\vec{x} = x_1, \dots, x_k$, define a BCK formula $Sat_F(\vec{x})$ by:

$$Sat_{\exists y.F}(\vec{x}) \equiv \exists y(y \in N \otimes Sat_F(\vec{x}, y)).$$

- **Theorem:** For any Σ_1 formula F and $\vec{m} = m_1, \dots, m_k$, $F[\vec{m}/\vec{x}]$ is true $\iff Sat_F(\vec{m})$ is provable.

- **Proof:** By induction on F

$\exists y.F[\vec{m}/\vec{x}]$ is true

$\iff F[\vec{m}/\vec{x}, n/y]$ is true for some n

$\iff Sat_F(\vec{m}, \underline{n})$ and $\underline{n} \in N$ are provable for some n

$\iff \exists y(y \in N \otimes Sat_F(\vec{m}, y))$ is provable.

Embedding classical arithmetic (7)

- **Corollary:** Every r.e. predicate is weakly numeralwise representable in BCK set theory. Namely, for every r.e. predicate $\psi \subseteq \mathbb{N}$, there exists a formula $A(x)$ such that for any $n \in \mathbb{N}$

$$\psi(n) \iff \vdash A(\underline{n}) \text{ is provable in BCK set theory.}$$

- **Corollary:** BCK set theory is undecidable.
- **Corollary:** For any closed Δ_0 formula F , BCK set theory proves $Sat_F \oplus \neg Sat_F$.
- **Question:** To what extent we may have excluded middle in BCK set theory? Is the above result related to the availability of contraction for closed provable Π_1 formulas in 2nd order MLL?

Expressivity of BCK set theory

- **Definability** is rich (as it weakly numeralwise represents all r.e. predicates)
- **Computability** is too weak (as cut-elimination can be done in linear steps)
- In analogy, BCK set theory corresponds to Robinson's Q in arithmetic. We need to strengthen it to get a computationally more interesting system (like S_2^1 , $I\Delta_0 + exp$, $I\Sigma_1$, PA , etc.).
- \Rightarrow Light affine set theory (**LAST**) and Elementary affine set theory (**EAST**).

Background on LAST and EAST (1)

- **Light linear logic** (LLL, Girard 1998): subsystem of linear logic corresponding to polynomial time complexity.
 - Proofs of LLL precisely captures the polynomial time functions via the Curry-Howard correspondence.
- **Light linear set theory**: LLL+ Naive comprehension.
 - Considered as a basis of "polytime mathematics". But formal justification is not given enough.
 - Complexity is light, but syntax is "heavy".

Background on LAST and EAST (2)

- **Intuitionistic light affine logic** (ILAL, Asperti 1998):
Intuitionistic LLL + Weakening.
 - Drastic simplification of LLL with the same computational power.
 - Set theory has not been developed on it.
- NB. Multiplicative LLL is already complete for PTIME (Mairson-Terui, ICTCS 2003)
- Cf. **Elementary linear logic** (Girard 1998): Subsystem of linear logic corresponding to the elementary recursive functions.

Our contributions

- **Light affine set theory (LAST):** $\text{ILAL} +$ Naive comprehension.
 - Every provably total function in **LAST** is polynomial time computable and vice versa. \Rightarrow **LAST** as a formalization of polynomial time mathematics.
- **Elementary affine set theory (EAST):** Elementary version of **LAST**.
 - Every provably total function in **EAST** is (Kalmar-) elementary recursive and vice versa.

Elementary affine set theory

- Extend BCK set theory with **modally controlled Contraction**.
- Contraction inference rule controlled by modality !:

$$\frac{!A, !A, \Gamma \vdash C}{!A, \Gamma \vdash C}$$

- **EAST**: BCK set theory + K-controlled contraction
- **K**: $!(A \multimap B) \multimap !A \multimap !B$
- In sequent calculus,

$$\frac{A_1, \dots, A_n \vdash B}{!A_1, \dots, !A_n \vdash !B}$$

Naive comprehension is inconsistent with T-Contraction

• $T : !A \multimap A$

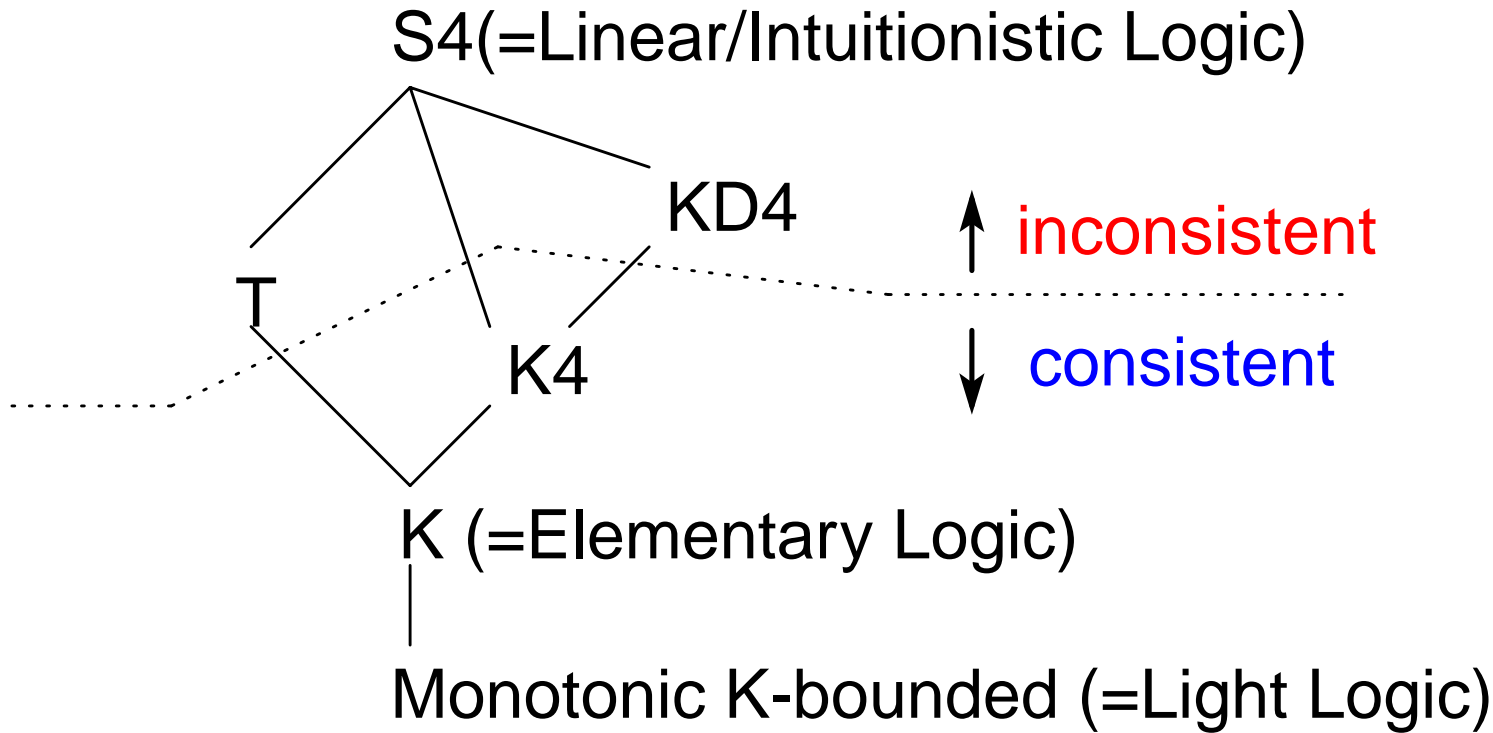
$$\begin{array}{c}
 \frac{\frac{\frac{! \neg D \vdash D}{\neg D, ! \neg D \vdash}}{! \neg D, ! \neg D \vdash} (T)}{! \neg D \vdash} (Contr)}{D \vdash ! \neg D} \\
 \frac{\frac{\frac{D \vdash}{\vdash \neg D}}{\vdash ! \neg D}}{\vdash} \\
 \frac{\frac{\frac{! \neg D \vdash D}{\neg D, ! \neg D \vdash}}{! \neg D, ! \neg D \vdash} (T)}{! \neg D \vdash} (Contr)}{\vdash}
 \end{array}$$

• Also inconsistent with $KD4$

• $D : !A \multimap ?A$

• $4 : !A \multimap !!A$

Hierarchy of Naive Set Theories



Expressivity of EAST

Define \mathbb{N} by

$$x \in \mathbb{N} \circ \dashv \dashv \forall \alpha. ! \forall y. (y \in \alpha \dashv \dashv S(y) \in \alpha) \dashv \dashv (0 \in \alpha \dashv \dashv x \in \alpha)$$

A numeric function ϕ is **provably total** in **EAST** if there is a term f which represents ϕ and for some $d \geq 0$,

$$\vdash \forall x \in \mathbb{N}. !^d (\exists ! y \in \mathbb{N}. \langle x, y \rangle \in f)$$

is provable in **EAST**.

Theorem: ϕ is provably total in **EAST**

$\iff \phi$ is an elementary recursive function (i.e. the runtime of ϕ is bounded by a tower of exponentials).

Light affine set theory

- **LAST**: BCK set theory + **monotonic K-bounded Contraction**
- Multi-modal system with two modalities $\xi, !$, where $!$ controls Contraction.
- **K**: $\xi(A \multimap B) \multimap \xi A \multimap \xi B$
- **K-boundedness**: $!A \multimap \xi A$
- **Monotonicity**: $A \vdash B$ implies $!A \vdash !B$.

$$\frac{B \vdash A}{!B \vdash !A} (!), \quad B \text{ can be absent.}$$

$$\frac{\Gamma, \Delta \vdash A}{! \Gamma, \xi \Delta \vdash \xi A} (\xi)$$

$$\frac{!A, !A, \Gamma \vdash C}{!A, \Gamma \vdash C} (\text{Contr})$$

Natural Numbers in LAST

Define

$$\mathbb{N} \equiv \{x \mid \forall \alpha. !\forall y (y \in \alpha \multimap S(y) \in \alpha) \multimap \S(\underline{0} \in \alpha \multimap x \in \alpha)\}.$$

Then **LAST** proves

1. $\underline{0} \in \mathbb{N}$.
2. $t \in \mathbb{N} \multimap S(t) \in \mathbb{N}$.

LAST proves $t \in \mathbb{N}$ iff $t \equiv \underline{n}$ for some $n \in \mathbb{N}$.

Proof of “Successor of a natural number is a natural number”

$$\begin{array}{c}
 t \in \alpha \vdash t \in \alpha \quad S(t) \in \alpha \vdash S(t) \in \alpha \\
 \hline
 t \in \alpha \rightarrow S(t) \in \alpha, t \in \alpha \vdash S(t) \in \alpha \\
 \hline
 \underline{0} \in \alpha \vdash \underline{0} \in \alpha \quad \forall y(y \in \alpha \rightarrow S(y) \in \alpha), t \in \alpha \vdash S(t) \in \alpha \\
 \hline
 \underline{0} \in \alpha, \forall y(y \in \alpha \rightarrow S(y) \in \alpha), \underline{0} \in \alpha \rightarrow t \in \alpha \vdash S(t) \in \alpha \\
 \hline
 \forall y(y \in \alpha \rightarrow S(y) \in \alpha), \underline{0} \in \alpha \rightarrow t \in \alpha \vdash \underline{0} \in \alpha \rightarrow S(t) \in \alpha \\
 \hline
 !\forall y(y \in \alpha \rightarrow S(y) \in \alpha), \S(\underline{0} \in \alpha \rightarrow t \in \alpha) \vdash \S(\underline{0} \in \alpha \rightarrow S(t) \in \alpha) \\
 \hline
 !\forall y(y \in \alpha \rightarrow S(y) \in \alpha)^2, !\forall y(y \in \alpha \rightarrow S(y) \in \alpha) \rightarrow \S(\underline{0} \in \alpha \rightarrow t \in \alpha) \vdash \S(\underline{0} \in \alpha \rightarrow S(t) \in \alpha) \\
 \hline
 !\forall y(y \in \alpha \rightarrow S(y) \in \alpha) \rightarrow \S(\underline{0} \in \alpha \rightarrow t \in \alpha) \vdash !\forall y(y \in \alpha \rightarrow S(y) \in \alpha) \rightarrow \S(\underline{0} \in \alpha \rightarrow S(t) \in \alpha) \\
 \hline
 \forall \alpha. !\forall y(y \in \alpha \rightarrow S(y) \in \alpha) \rightarrow \S(\underline{0} \in \alpha \rightarrow t \in \alpha) \vdash \forall \alpha. !\forall y(y \in \alpha \rightarrow S(y) \in \alpha) \rightarrow \S(\underline{0} \in \alpha \rightarrow S(t) \in \alpha) \\
 \hline
 t \in \mathbf{N} \vdash S(t) \in \mathbf{N}
 \end{array}$$

Light Induction

The **Light induction** principle

$$\frac{\vdash A(\underline{0}) \quad B, A(y) \vdash A(S(y))}{!B, \vdash \forall x \in \mathbb{N}. \S A(x)}$$

is available in **LAST**.

Proof:

$$\frac{\frac{\frac{B, A[y/x] \vdash A[S(y)/x]}{B, y \in \{x|A\} \vdash S(y) \in \{x|A\}}{B \vdash \forall y(y \in \{x|A\} \rightarrow S(y) \in \{x|A\})}{!B \vdash !\forall y(y \in \{x|A\} \rightarrow S(y) \in \{x|A\})} \quad \frac{\frac{\Gamma \vdash A[\underline{0}/x] \quad A[t/x] \vdash A[t/x]}{\Gamma \vdash \underline{0} \in \{x|A\} \quad t \in \{x|A\} \vdash A[t/x]}{\Gamma, \underline{0} \in \{x|A\} \rightarrow t \in \{x|A\} \vdash A[t/x]}}{\S\Gamma, \S(\underline{0} \in \{x|A\} \rightarrow t \in \{x|A\}) \vdash \S A[t/x]}}{\S\Gamma, !B, !\forall y(y \in \{x|A\} \rightarrow S(y) \in \{x|A\}) \rightarrow \S(\underline{0} \in \{x|A\} \rightarrow t \in \{x|A\}) \vdash \S A[t/x]}}{\frac{\S\Gamma, !B, \forall \alpha. !\forall y(y \in \alpha \rightarrow S(y) \in \alpha) \rightarrow \S(\underline{0} \in \alpha \rightarrow t \in \alpha) \vdash \S A[t/x]}{\S\Gamma, !B, t \in \mathbb{N} \vdash \S A[t/x]}}$$

Totality of addition

Prove

(i) $\vdash \forall x \in \mathbf{N}.\exists! z \in \mathbf{N}(\langle x, \underline{0}, z \rangle \in \text{add})$ and

(ii) $\forall x \in \mathbf{N}.\exists! z \in \mathbf{N}(\langle x, y, z \rangle \in \text{add}) \vdash \forall x \in \mathbf{N}.\exists! z \in \mathbf{N}(\langle x, S(y), z \rangle \in \text{add})$.

By light induction on y ,

(*) $y \in \mathbf{N} \vdash \S(\forall x \in \mathbf{N}.\exists! z \in \mathbf{N}(\langle x, y, z \rangle \in \text{add}))$.

Therefore,

$$\forall x \in \mathbf{N}.\forall y \in \mathbf{N}.\S\exists! z \in \mathbf{N}(\langle x, y, z \rangle \in \text{add}).$$

Totality of multiplication

We have $\langle x, y, z \rangle \in \text{mult}$, $\langle z, x, w \rangle \in \text{add} \vdash \langle x, \underline{S}(y), w \rangle \in \text{mult}$.

$\exists^! z \in \mathbf{N}(\langle x, y, z \rangle \in \text{mult}), \forall z \in \mathbf{N}.\exists^! w \in \mathbf{N}(\langle z, x, w \rangle \in \text{add})$

$\vdash \exists^! w \in \mathbf{N}(\langle x, \underline{S}(y), w \rangle \in \text{mult})$.

$\S \exists^! z \in \mathbf{N}(\langle x, y, z \rangle \in \text{mult}), \S \forall z \in \mathbf{N}.\exists^! w \in \mathbf{N}(\langle z, x, w \rangle \in \text{add})$

$\vdash \S \exists^! w \in \mathbf{N}(\langle x, \underline{S}(y), w \rangle \in \text{mult})$.

By (*),

$x \in \mathbf{N}, \S \exists^! z \in \mathbf{N}(\langle x, y, z \rangle \in \text{mult}) \vdash \S \exists^! w \in \mathbf{N}(\langle x, S(y), w \rangle \in \text{mult})$.

On the other hand,

$\vdash \S \exists^! z \in \mathbf{N}(\langle x, \underline{0}, z \rangle \in \text{mult})$

By Light Induction,

$!x \in \mathbf{N}, y \in \mathbf{N} \vdash \S^2 \exists^! z \in \mathbf{N}(\langle x, y, z \rangle \in \text{mult})$.

Hence,

$\forall x \in \mathbf{N}.\forall y \in \mathbf{N}.\S\S\S \exists^! z \in \mathbf{N}(\langle x, y, z \rangle \in \text{mult})$.

Exponentiation is not total

Define by fixpoint: $\langle y, z \rangle \in \text{exp} \circ\text{--}\circ (y = \underline{0} \otimes z = \underline{1}) \oplus$
 $\exists y' \exists x (y = S(y') \otimes \langle y', x \rangle \in \text{exp} \otimes \langle x, x, z \rangle \in \text{add}).$

(based on:

$$\begin{aligned} 2^0 &= 1 \\ 2^{n+1} &= 2^n + 2^n \end{aligned}$$

Then we have

- (i) $\vdash \exists! z \in \mathbb{N} (\langle \underline{0}, z \rangle \in \text{exp})$
- (ii) $\exists! z \in \mathbb{N}. \S\S (\langle y, z \rangle \in \text{exp}) \vdash \S\S \exists! z \in \mathbb{N} (\langle S(y), z \rangle \in \text{exp}).$

But light induction cannot be applied!

Expressivity of LAST

The set of 0-1 words: $x \in W \circ \dashv \circ$

$\forall \alpha. !\forall y. (y \in \alpha \dashv S_0(y) \in \alpha) \dashv !\forall y. (y \in \alpha \dashv S_1(y) \in \alpha) \dashv \S(\underline{\epsilon} \in \alpha \dashv x \in \alpha)$,
where $\underline{\epsilon} \equiv \emptyset$ and $S_i(t) \equiv \langle \underline{i}, t \rangle$ for $i = 0, 1$.

A function ϕ over $\{0, 1\}^*$ is **provably total** in **LAST** if there is a term f which represents ϕ and for some $d \geq 0$,

$$\forall x \in W. \S^d(\exists ! y \in W. \langle x, y \rangle \in f)$$

is provable in **LAST**.

Theorem: If ϕ is a polynomial time function, then ϕ is provably total in **LAST**.

Interpretation of LAST proofs as λ terms

$$\frac{}{x:A \vdash x:A} \textit{Id}$$

$$\frac{\Gamma_1 \vdash N:A \quad x:A, \Gamma_2 \vdash M:C}{\Gamma_1, \Gamma_2 \vdash M[N/x]:C} \textit{Cut}$$

$$\frac{\Gamma \vdash M:C}{x:A, \Gamma \vdash M:C} \textit{Weak}$$

$$\frac{x:!\!A, y:!\!A, \Gamma \vdash M:C}{z:!\!A, \Gamma \vdash M[z/x, z/y]:C} \textit{Cntr}$$

$$\frac{\Gamma_1 \vdash N:A_1 \quad x:A_2, \Gamma_2 \vdash M:C}{\Gamma_1, y:A_1 \multimap A_2, \Gamma_2 \vdash M[yN/x]:C} \multimap l$$

$$\frac{x:A_1, \Gamma \vdash M:A_2}{\Gamma \vdash \lambda x. M:A_1 \multimap A_2} \multimap r$$

$$\frac{x:B \vdash M:A}{x:!\!B \vdash M:!\!A} !$$

$$\frac{\Gamma, \Delta \vdash M:A}{!\! \Gamma, \xi \Delta \vdash M:\xi A} \xi$$

$$\frac{x:A[u/x], \Gamma \vdash M:C}{x:\forall x. A, \Gamma \vdash M:C} \forall l$$

$$\frac{\Gamma \vdash M:A}{\Gamma \vdash M:\forall x. A} \forall r$$

$$\frac{x:A[u/x], \Gamma \vdash M:C}{x:u \in \{x|A\}, \Gamma \vdash M:C} \in l$$

$$\frac{\Gamma \vdash M:A[u/x]}{\Gamma \vdash M:u \in \{x|A\}} \in r$$

Example of Proof Interpretation

$$\begin{array}{c}
 \frac{t \in \alpha \vdash t \in \alpha \quad S(t) \in \alpha \vdash S(t) \in \alpha}{t \in \alpha \multimap S(t) \in \alpha, t \in \alpha \vdash S(t) \in \alpha} \\
 \frac{\underline{0} \in \alpha \vdash \underline{0} \in \alpha \quad \forall y(y \in \alpha \multimap S(y) \in \alpha), t \in \alpha \vdash S(t) \in \alpha}{\underline{0} \in \alpha, \forall y(y \in \alpha \multimap S(y) \in \alpha), \underline{0} \in \alpha \multimap t \in \alpha \vdash S(t) \in \alpha} \\
 \frac{\forall y(y \in \alpha \multimap S(y) \in \alpha), \underline{0} \in \alpha \multimap t \in \alpha \vdash \underline{0} \in \alpha \multimap S(t) \in \alpha}{! \forall y(y \in \alpha \multimap S(y) \in \alpha), \S(\underline{0} \in \alpha \multimap t \in \alpha) \vdash \S(\underline{0} \in \alpha \multimap S(t) \in \alpha)} \\
 \frac{! \forall y(y \in \alpha \multimap S(y) \in \alpha)^2, ! \forall y(y \in \alpha \multimap S(y) \in \alpha) \multimap \S(\underline{0} \in \alpha \multimap t \in \alpha) \vdash \S(\underline{0} \in \alpha \multimap S(t) \in \alpha)}{! \forall y(y \in \alpha \multimap S(y) \in \alpha) \multimap \S(\underline{0} \in \alpha \multimap t \in \alpha) \vdash ! \forall y(y \in \alpha \multimap S(y) \in \alpha) \multimap \S(\underline{0} \in \alpha \multimap S(t) \in \alpha)} \\
 \frac{\forall \alpha. ! \forall y(y \in \alpha \multimap S(y) \in \alpha) \multimap \S(\underline{0} \in \alpha \multimap t \in \alpha) \vdash \forall \alpha. ! \forall y(y \in \alpha \multimap S(y) \in \alpha) \multimap \S(\underline{0} \in \alpha \multimap S(t) \in \alpha)}{t \in \mathbb{N} \vdash S(t) \in \mathbb{N}}
 \end{array}$$

⇓ interpreted by

$$Suc(n) \equiv \lambda f x. f(n f x)$$

Main Properties of Interpretation

- A proof in **LAST** is **canonical** if it does not contain $A \multimap !B$, $!!B$, $\xi !B$ (! always appears as $!A \multimap B$).
- In what follows, we assume that all proofs are canonical.
- **Subject Reduction**: $\Gamma \vdash M : C, M \rightarrow_{\beta} M' \implies \Gamma \vdash M' : C$.
- **Church-Rosser**: $M_1 \longleftarrow^* M_0 \longrightarrow^* M_2$ implies $M_1 \longrightarrow^* M_3 \longleftarrow^* M_2$ for some term M_3 .
- **Polynomial Time Strong Normalization**: Let A be a Π_1 type of depth d (d counts the nesting of $!$, ξ). Then any term $M : A$ reduces to its normal form within $O(|M|^{2^{d+1}})$ reduction steps. This result holds independently of which reduction strategy we take.

Program Extraction

Program extraction theorem: If

$$Total(f) \equiv \forall x \in W. \exists^d y \in W. \langle x, y \rangle \in f$$

has a (canonical) proof in **LAST**, then we can extract from that proof a λ term corresponding to f .

Corollary: $\phi : \{0, 1\}^* \longrightarrow \{0, 1\}^*$ is a polynomial time function $\iff \phi$ is provably total in **LAST**.

Conclusion

- Restricting Contraction is reasonable when **feasible constructivity** is concerned.
- When Contraction is restricted, naive comprehension is fully available. Naive comprehension endows a system with rich definitional power (but not computational power).
- **LAST**: A formalization of feasible mathematics.
- Problem 1: Extend **EAST** (to primitive recursive functions, etc.)
Are there “strongest” naive set theories?
- Problem 2: Intuitive semantics (cf. Komori 89, Shirahata 9?).
- Problem 3: Find a concrete example of mathematical theorems provable in **LAST** and extract a polynomial time program from the proof.